

PREPARATION OF GRAPHENE QUANTUM DOTS  
AS A GREEN PHOTSENSITIZER AND ITS  
APPLICATION IN DYE-SENSITIZED SOLAR CELL

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INSTITUTE OF GRADUATE STUDIES  
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KUALA LUMPUR

2018

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APPLICATION IN DYE-SENSITIZED SOLAR CELL**

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**DISSERTATION SUBMITTED IN FULFILMENT OF  
THE REQUIREMENTS FOR THE DEGREE OF DOCTOR  
OF PHILOSOPHY**

**INSTITUTE OF GRADUATE STUDIES  
UNIVERSITY OF MALAYA  
KUALA LUMPUR**

**2018**

**UNIVERSITY OF MALAYA**  
**ORIGINAL LITERARY WORK DECLARATION**

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Title of Dissertation: PREPARATION OF GRAPHENE QUANTUM DOTS AS A GREEN PHOTSENSITIZER AND ITS APPLICATION IN DYE-SENSITIZED SOLAR CELL

Field of Study: NANOTECHNOLOGY

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# **PREPARATION OF GRAPHENE QUANTUM DOTS AS A GREEN PHOTSENSITIZER AND ITS APPLICATION IN DYE-SENSITIZED SOLAR CELL**

## **ABSTRACT**

The increasing incidence of global warming provides the impetus for research in alternative green renewable energy sources, such as tidal, geothermal, biomass, wind, and solar energy. Among these options, solar energy is of great interest due to its inherent abundance. Currently, commercially produced solar cells are based on silicon technology, which is very expensive due to the high production costs. An alternative to the conventional Si solar cell is the Dye-Sensitized Solar Cells (DSSCs), which is currently being actively explored. The commonly used dyes for a photosensitizer in DSSCs are ruthenium-based complexes. To improve the performances of DSSCs and to increase their commercial attractiveness, cheap, colorful, stable, and highly efficient ruthenium-free dyes needs to be developed due to ruthenium-based dyes are quite rare. An alternative to dye-type sensitizers are quantum dots (QDs). QDs are interesting due to their intrinsic properties. The band gap varies with size, therefore, absorption and redox properties can be tuned by the synthesis of QDs. Zero-dimensional graphene quantum dots (GQDs) consist of single- or few-layer graphene with a size less than 100nm and stand for a new type of QDs with unique properties combining the graphene nature and size-resulted quantum effects. GQDs possess unique optical and electronic properties, and in particular possess a band-gap less than 2.0 eV because of quantum confinement and edge effects. In this study, we synthesized GQDs from graphene oxide (GO) by using the hydrothermal method. We investigated the performance of DSSCs using different thicknesses of TiO<sub>2</sub> and ZnO nanoparticles as photo-anodes and the as-prepared GQD as a green photosensitizer. The I-V test results indicate that the performance of DSSCs is improved by increasing the thickness of the photo-anode and the thickness of 40 μm shows the highest efficiency for both DSSC devices based on

TiO<sub>2</sub> and ZnO nanoparticles photo-anodes. The DSSCs using TiO<sub>2</sub> and ZnO nanoparticles as photo-anodes with thickness of 40 μm show almost same efficiency when we replaced N-719 with GQDs which is confirmed that using GQDs as an alternative to ruthenium based dyes is a new approach for DSSCs.

**Keywords:** Graphene quantum dots, Green photosensitizer, Dye-densitized solar cell, Solar cell fabrication

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**PENYEDIAAN DARI TANAH GRAPHENE QUANTUM SEBAGAI  
PHOTOSENSITISER HIJAU DAN APLIKASI ITU DALAM SELAR SELAR-  
SENSITIS**

**ABSTRAK**

Insiden meningkatkan pemanasan global menjadi penggerak untuk penyelidikan dalam sumber tenaga boleh diperbaharui alternatif hijau, seperti pasang surut, panas bumi, biomas, angin, dan tenaga solar. Antara pilihan ini, tenaga solar sangat menarik kerana banyak yang wujud itu. Pada masa ini, sel-sel solar yang dihasilkan secara komersial adalah berdasarkan kepada teknologi silikon, yang sangat mahal kerana kos pengeluaran yang tinggi. Satu alternatif kepada Si sel solar konvensional adalah Sel Solar Dye-sensitif (DSSCs), yang kini sedang giat diterokai. Pewarna yang biasa digunakan untuk photosensitizer dalam DSSCs adalah kompleks berasaskan ruthenium. Untuk meningkatkan prestasi DSSCs dan untuk meningkatkan daya tarikan komersial mereka, murah, pewarna ruthenium bebas berwarna-warni, stabil, dan sangat berkesan perlu dibangunkan kerana pewarna berasaskan ruthenium-agak jarang berlaku. Satu alternatif kepada pewarna-jenis mereka adalah titik kuantum (QDs). QDs adalah menarik kerana sifat intrinsik mereka. Jurang band berbeza dengan saiz, oleh itu, penyerapan dan redoks hartanah boleh ditala oleh sintesis QDs. Zero dimensi titik graphene kuantum (GQDs) terdiri daripada tunggal atau beberapa lapisan graphene dengan saiz yang kurang daripada 100 nm dan berdiri untuk jenis baru QDs dengan ciri-ciri unik yang menggabungkan sifat graphene dan kesan kuantum saiz menyebabkan. GQDs memiliki sifat-sifat optik dan elektronik yang unik, dan khususnya memiliki memberikan nilai jurang yang kurang daripada 2.0 eV kerana kesan pantang dan kelebihan kuantum. Dalam kajian ini, kami disintesis GQDs daripada oksida graphene dengan menggunakan kaedah hidroterma. Kami menyiasat prestasi DSSCs

menggunakan thicknesses berbeza TiO<sub>2</sub> dan ZnO nanopartikel sebagai photo-anodes dan GQD as-disediakan sebagai photosensitizer hijau. Keputusan ujian I-V menunjukkan bahawa prestasi DSSCs diperbaiki dengan meningkatkan ketebalan photo-anode dan ketebalan 40 mikron menunjukkan kecekapan yang paling tinggi bagi kedua-dua peranti DSSC berdasarkan TiO<sub>2</sub> dan ZnO nanopartikel photo-anodes. The DSSCs menggunakan TiO<sub>2</sub> dan ZnO nanoparticlcs sebagai photo-anodes dengan ketebalan 40 mikron menunjukkan kecekapan hampir sama apabila kami menggantikan N-719 dengan GQDs yang disahkan bahawa menggunakan GQDs sebagai alternatif kepada ruthenium pewarna berasaskan adalah satu pendekatan baru untuk DSSCs.

**Kata kunci:** Titik kuantum graphene, fotosensitizer Hijau, Sel suria bertekanan suria, fabrikasi sel Suria

## ACKNOWLEDGEMENTS

My sincere gratitude goes to my supervisors, Dr. Samira Bagheri and Late Prof Dr. Sharifah Bee Abd Hamid for their constant guidance, valuable advice, and inspiration throughout the progress of this project. Without the direct support and persistent involvement from them in this work, the completion of this research and thesis would not have been an easy task.

I wish to express my special thanks for the financial support from University of Malaya Grand Challenge Grant (Grant No. GC001A-14AET) and University of Malaya Postgraduate Research Grant (Grant No. PG058-2015B).

Last but not least, I wish to thank my beloved parents, my brothers and my sister for their love and unconditional support. Also to my friends, I am very grateful for your continuous unflinching support.

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## LIST OF SYMBOLS AND ABBREVIATIONS

COOH	:	Carboxylic group
Si	:	Silicon
EPFL	:	École Polytechnique Fédérale de Lausanne
HOMO	:	Highest Occupied Molecular Orbital
LUMO	:	Lowest Unoccupied Molecular Orbital
NIR	:	Near infrared
HBC	:	Hexa-peri-hexabenzocoronene
KBr	:	Potassium Bromide
DSSC	:	Dye Sensitized Solar Cell
EIS	:	Electrochemical Impedance Spectra
EQE	:	External Quantum Efficiency
FESEM	:	Field Emission Scanning Electron Microscopy
FF	:	Fill factor
FTIR	:	Fourier Transform Infrared
FTO	:	Fluorine-doped Tin Oxide
GNR	:	Graphene Nanoribbon
GQD	:	Graphene Quantum Dot
HRTEM	:	High Resolution Transmission Electron Microscopy
IPCE	:	Incident Photon to Current Conversion Efficiency
ITO	:	Indium-doped Tin Oxide
MLCT	:	Metal-to-Ligand Charge-Transfer
PCE	:	Power Conversion Efficiency
PL	:	Photoluminescent
QD	:	Quantum Dot

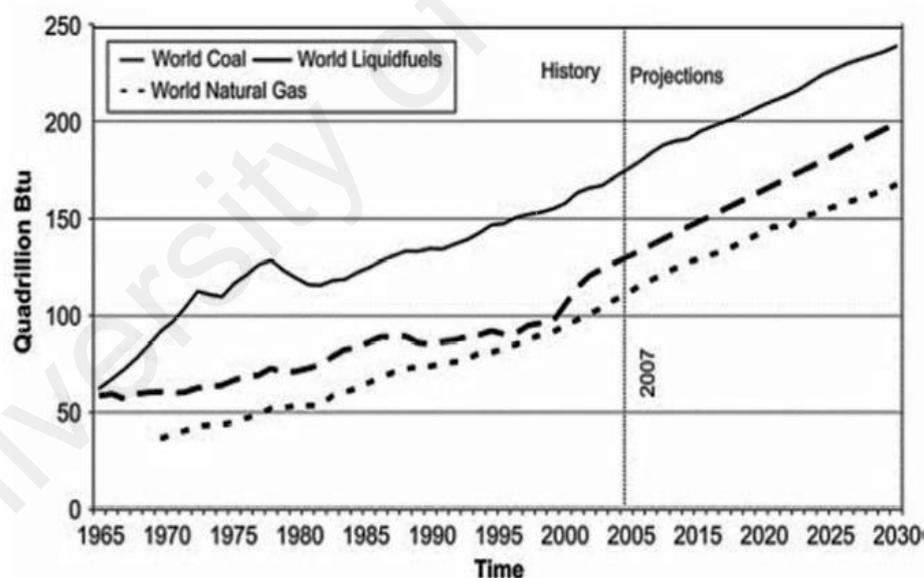
QE	:	Quantum Efficiency
Ru	:	Ruthenium
TEM	:	Transmission Electron Microscopy
TiO <sub>2</sub>	:	Titanium Dioxide
TMO	:	Transition Metal Oxide
XRD	:	X-Ray Diffraction
ZnO	:	Zinc Oxide

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## CHAPTER 1: INTRODUCTION

### 1.1 Research Background

Energy is generated from fossil fuels and nuclear power. The currently limited fossil fuel resources and its usage, which results in a large amount of carbon dioxide, increases the greenhouse effect and induces climate change, which is fast becoming a global concern (Bunn and Heinonen, 2011; Evans, et al., 2009). Figure 1.1 shows the consumption of fossil fuels between 1965-2030, where the demand increases as a function of time. Fossil fuels are regarded as a non-renewable energy source. Shafiee and Topal calculated that oil, coal, and gas stocks will only be sufficient for the next 40, 200, and 70 years, respectively, if the projected world-consumption rate remains similar to the ones reported for 2006 (Shafiee and Topal, 2009).



**Figure 1.1: Consumption of the fossil fuel in the world from 1965 to 2030 (Shafiee and Topal, 2009)**

Researchers searched for an alternative that is green, renewable, environmentally friendly and safe, such as solar energy, hydropower, geothermal energy, wind power, and bioenergy. Solar energy is of great interest, as the sun is regarded as an infinite source of energy (Conn, 2011; Solangi, et al., 2011; Sternberg, 2010).

### 1.1.1 Photovoltaic Technology

Solar cell, or photovoltaic cell, is an electrical device that converts the incident photon energy of the solar radiation directly into electricity via the photovoltaic effect. The photovoltaic effect is the physical and chemical phenomenon that occurs when photons falls into a semiconductor and generates an electron-hole pair.

A solar cell contains two electrodes: cathode and anode. When light is irradiated, solar cell builds up a voltage through its electrodes (Ellis, 2014b). The concept of a photovoltaic device involves charge separation at a junction of two materials with different conduction mechanism (Grätzel, 2003).

#### 1.1.1.1 Solar Cell Terminologies

A current source in parallel with a forward-biased diode represents an equivalent circuit of an ideal solar cell. Series and parallel resistances are added to account for various loss mechanisms. Figure 1.2 shows an equivalent circuit of an ideal solar cell.

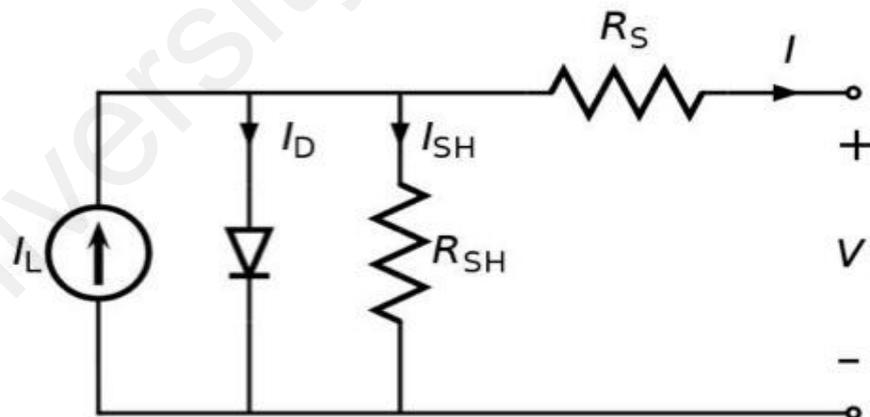


Figure 1.2: Equivalent circuit of an ideal solar cell (Khan, 2013)

### 1.1.1.2 Short-circuit Current

It is the current obtained from the cell when short circuited or in other words, when the load resistance is zero. Solar cell current is normally represented as current density,  $J_{sc}$ :

$$J_{sc} = \frac{I_{sc}}{A} \quad (1.1)$$

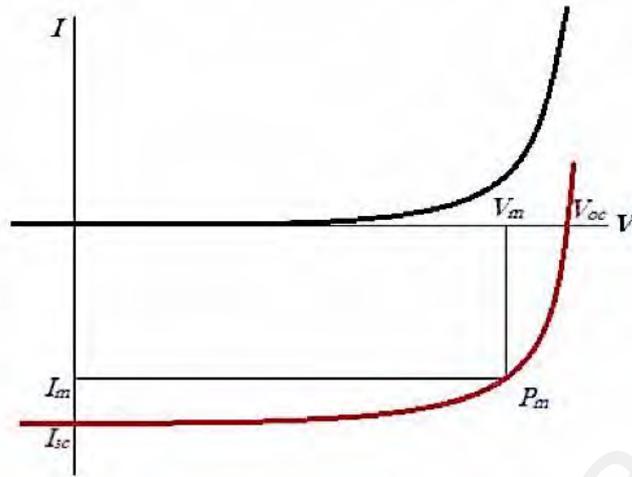
where  $A$  is the effective area of the solar cell. It is a function of the solar illumination, optical properties and charge transfer probability of the cell (Khan, 2013).

### 1.1.1.3 Open-circuit Voltage

Open-circuit voltage is the maximum voltage available from a solar cell and is obtained when a load with infinite resistance is attached to its terminals. It is a function of the semiconductor band-gap and charge recombination in the cell. For DSSC the  $V_{oc}$  is given by:

$$V_{oc} = \frac{E_{CB}}{q} + \frac{kT}{q} \ln\left(\frac{n}{N_{CB}}\right) - \frac{E_{redox}}{q} \quad (1.2)$$

where,  $n$  is the number of electrons in semiconductor conduction band and  $N_{CB}$  is the effective density of states (Marinado, et al., 2009). The first two terms define the quasi-fermi level of semiconductor and  $E_{redox}$  is the Nernst potential of the redox mediator. Typical current-voltage relationship of a solar cell which red line is related to light I-V response and black line is relates to dark I-V response is shown in Figure 1.3.



**Figure 1.3: Typical current-voltage relationship of a solar cell (Khan, 2013)**

#### 1.1.1.4 Fill Factor

The fill factor (FF) is a measure of the maximum power output from a solar cell. It represents the squareness of the I-V curve and is defined as the ratio of the maximum power of the product of  $V_{OC}$  and  $I_{SC}$  for the solar cell:

$$FF = \frac{V_{max} \times I_{max}}{V_{OC} \times I_{SC}} \quad (1.3)$$

where,  $V_{max}$  and  $I_{max}$  are the voltage and current at maximum power point. FF, being a ratio of the same physical parameters, has no unit. FF is a function of the series and shunt resistance of the solar cell. For DSSC, it reflects the extent of electrical and electrochemical losses during cell operation (Khan, 2013).

#### 1.1.1.5 Efficiency

The efficiency of a solar cell is defined as the ratio of maximum electrical energy output to the energy input from the sun. Thus the mathematical definition of Efficiency:

$$\eta = \frac{V_{OC} \times I_{SC} \times FF}{P_{in}} \quad (1.4)$$

where,  $P_{in}$  is the power input from the sunlight. Efficiency is generally expressed in percentage (Khan, 2013).

#### 1.1.1.6 Quantum Efficiency

Quantum efficiency (QE) or ‘External Quantum Efficiency (EQE)’, sometimes also referred to as incident photon-to-current conversion efficiency (IPCE) is a measure of how efficient a solar cell is in producing photo-generated charge at a given frequency. It is defined as the ratio of the number of incident photons to the number of charge carriers generated and is a function of the excitation wavelength:

$$IPCE(\lambda) = 1240 \times \frac{I_{sc}}{\lambda \times \phi} \quad (1.5)$$

where,  $I_{sc}$  is the short circuit current ( $\text{mA}/\text{cm}^2$ ),  $\lambda$  is the wavelength and  $\Phi$  is the incident radiative light flux ( $\text{W}/\text{m}^2$ ) (Grätzel, 2009).

#### 1.1.2 Photovoltaic generation

Photovoltaics are divided into three generations based on their performance and cost effectiveness.

The first generation of solar cell is based on silicon, and has a relatively high efficiency at high production costs, and is limited by the Shockley-Queisser limit (Conibeer, et al., 2006).

The Shockley-Queisser limit, in physics, refers to the maximum theoretical efficiency that the solar cells build upon on the principle of a single p-n junction to collect power from a cell. It was calculated by William Shockley and Hans Queisser in 1961 (Shockley and Queisser, 1961). Limited absorption of photons is one of the most important limitations in the efficiency of solar energy production.

In conventional crystalline silicon solar cells, the photo-generated electron-hole pair is separated and collected through the p-n junction of a doped semiconductor (Gibbons, 1977).

The thin film solar cells based on CdTe or CuInGaSe belong to the second generation. Thin film technology is still limited by the Shokley-Queisser limit and is less efficient compared to the 1<sup>st</sup> generation solar cells, but they are much cheaper due to its low-cost manufacturing process. In 2<sup>nd</sup> generation photovoltaics, the cell's thickness has been reduced from millimeters to only a few micrometers. The Shokley-Queisser theoretical limit limits the propagation of both 1<sup>st</sup> and 2<sup>nd</sup> generations of solar cells (Timilsina, et al., 2012).

The 3<sup>rd</sup> generation of photovoltaics includes any cells that were not included in the previous generations. 3<sup>rd</sup> generation solar cells are capable of exceeding the Shokley-Queisser limit. Nanomaterials are used to fabricate 3<sup>rd</sup> generation photovoltaics. The cost of the fabrication process is low as it does not require extreme temperatures for the preparation of pure silicon. DSSCs belong to the 2<sup>nd</sup> and 3<sup>rd</sup> generation of solar cells (Hoffmann and Dorgan, 2012).

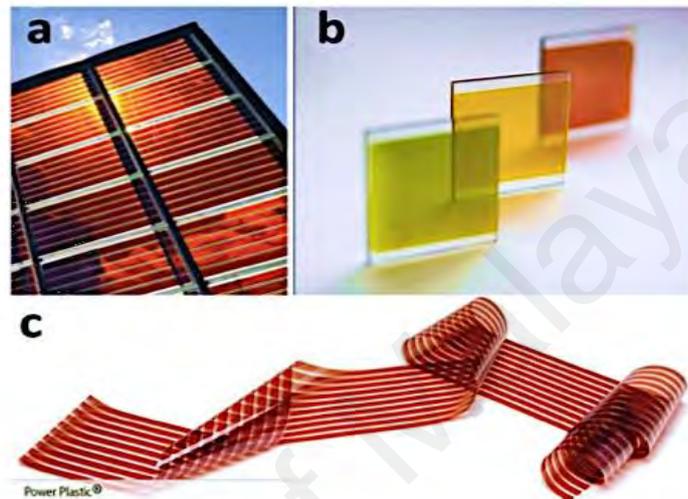
### **1.1.3 Dye-sensitized solar cells**

Currently, there is a need to develop cheaper photovoltaic devices that are reasonably efficient to instigate the widespread application of photovoltaic technology. DSSC is regarded as a thin film solar cell, and have emerged as an important alternative to conventional silicon solar cells (Grätzel, 2004).

DSSCs was first reported by O'Regan and Gratzell in 1991, and have attracted significant attention, as it is environmentally friendly, easy to manufacture, capable of

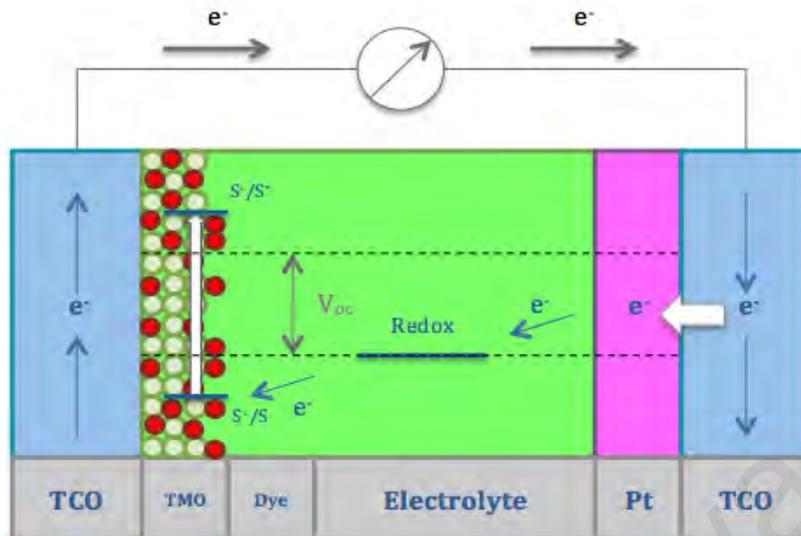
utilizing indoor light sources, cheap, and relatively efficient (O’regan and Grätzel, 1991).

Figure 1.4 shows the DSSCs fabricated by Dyesol, Oxford PV, and power plastic on market (Dong, 2013).



**Figure 1.4: DSSCs were fabricated by Dyesol, Oxford PV and power plastic on market (Dong, 2013)**

At its simplest configuration (Figure 1.5), DSSCs is comprised of a photoelectrode made of mesoporous  $\text{TiO}_2$  film, which is coated on a transparent conducting glass (Fluorine-doped tin oxide, FTO), dye molecules attached to the surface of  $\text{TiO}_2$ , a liquid electrolyte containing  $\text{I}^-/\text{I}_3^-$  redox couple, and a catalyst (typically platinum) coated counter electrode (Ni, et al., 2006).

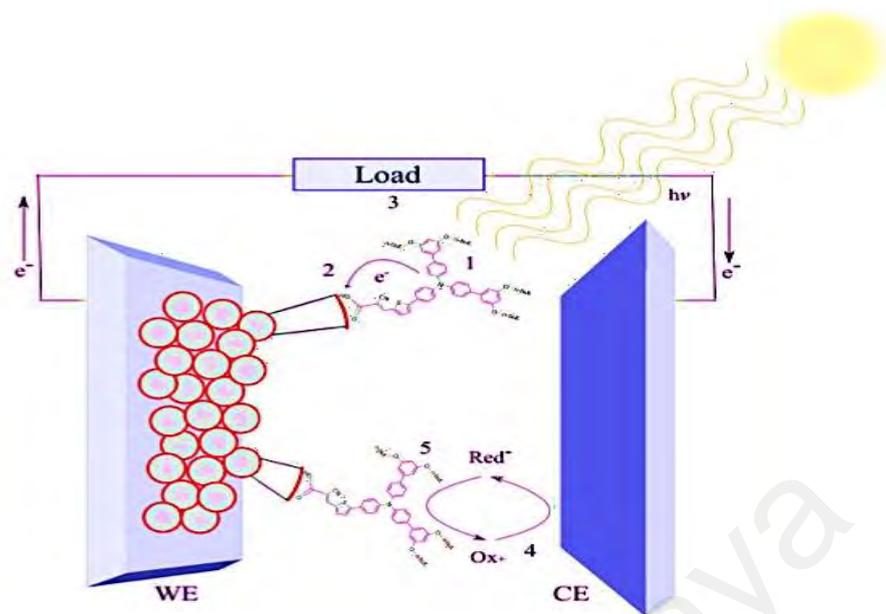


**Figure 1.5: Schematic of the interior of a DSSC (Ni, et al., 2006)**

### 1.1.3.1 Structure and mechanism of dye-sensitized solar cells

Figure 1.6 shows the processes taking place in DSSCs:

1. The absorption of the photon in DSSCs occurs by dye molecules, where the dye is excited from the ground state to the excited state.
2. The excited electron is injected into the conduction band of the  $\text{TiO}_2$  electrode.
3. The electron is transported to the back of the electrode to reach the counter electrode via the circuit.
4. The oxidized dye accepts electron from the redox couple in the electrolyte and regenerate the ground state of the dye, while  $\text{I}^-$  is oxidized to the oxidized state  $\text{I}_3^-$ .
5.  $\text{I}_3^-$ , which is the oxidized redox mediator, diffuses towards the counter electrode, where it is then reduced to  $\text{I}^-$  ions (Ellis, 2014a; Halme, et al., 2010).



**Figure 1.6: Schematic illustration of a DSSC (Ellis, 2014a)**

#### 1.1.4 Graphene quantum dots

Carbon is the 15<sup>th</sup> most abundant element in the Earth's crust, and the 4<sup>th</sup> most abundant element in the universe. Carbon nanostructures, or nanocarbons, i.e. low-dimensional nanomaterials, are being extensively researched for the past two decades due to their unique structural and electronic properties, prompting a huge interest from the perspective of fundamental research and application in molecular electronics, materials science, energy storage and conversion, bio-medicine, sensing, and bio-sensing (Delhaes, 2012; Gogotsi and Presser, 2013; Rapino, et al., 2014).

Graphene is a single or few atomic layers of graphite, with  $sp^2$  carbon atoms packed in a honeycomb crystal lattice. Graphene was recently touted as a wonder material, due to its high-mechanical strength, high electron mobility, lightness, flexibility, single-atom thickness, and near transparency. Graphene also has large surface area, is impermeable to gas, reports very high thermal conductivity and Young's moduli, all of which make it suitable for composites, thin films, electromagnetic shielding, sensor, and solar cells (Katsnelson, 2007).

To effectively tune the band gap of graphene and facilitate its use in various applications, its size needs to be reduced (Li, et al., 2011).

Techniques to alter the band-gap in graphene have attracted significant interest for application in graphene-based electronics. To date, diverse strategies for the formation of a bandgap in graphene structures have been developed, including graphene nanoribbons (GNRs) and GQDs (Tong, et al., 2016).

Zero-dimensional GQDs consist of single-or few-layer graphene that measures less than 100 nm and stands for a new type of quantum dots with unique properties, combining the nature of the graphene and size-induced quantum effects. GQDs exhibit unique optical and electronic properties, with a band-gap of less than 2.0 eV due to quantum confinement and edge effects. GQDs recently emerged as a potential candidate for fluorescent probes in cell imaging, bio-sensing, and solar cells, and were explored due to their unique characteristics such as high surface area, large diameter, and enhanced surface grafting using the  $\pi$ - $\pi$  conjugated network or surface groups. GQDs also possess rich functional groups, such as carboxylic acid moieties at the edge, which imparts them with excellent water solubility and subsequent functionalization possibilities (Huang, et al., 2011).

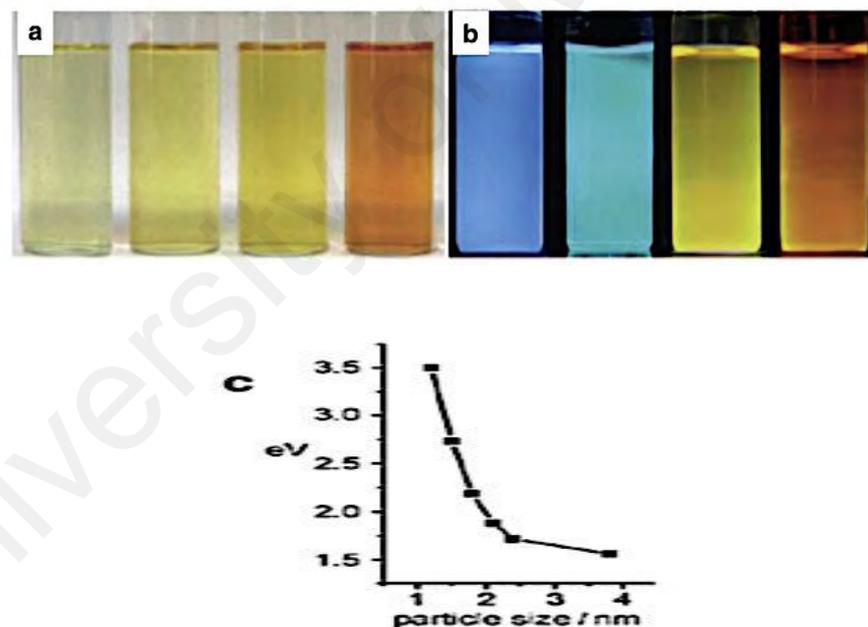
#### **1.1.4.1 Advantages of graphene quantum dots**

The photoluminescent (PL) behavior of GQDs can be tuned from ultraviolet to near infrared based on its size, shape, edge effects, functional groups, heterojunction doping, and  $sp^2$  carbon fraction.

In addition to their excellent PL behavior, GQDs exhibit a number of attractive characteristics. They report minimal toxicity to humans and the environment in contrast to their heavy metal based counterparts. They are also synthesized in bulk from an

abundance of starting materials via low cost strategies. Also, because GQDs are dispersed in water and organic solvents, they are readily integrated into standard industrial manufacturing.

GQDs are suitable as sensitizers in solar cells, given that their absorption edge extends up to 900 nm and they are of one order of magnitude higher in absorbance compared to standard metal complexes. In the first proof-of-concept demonstration, the low current density was attributed to the low affinity between GQDs and  $\text{TiO}_2$  (Kelarakis, 2015). Figure 1.7 indicates a different size of GQDs in water under white and UV illumination and also shows the dependence of the band gap as a function of the GQD size (Li, et al., 2010).



**Figure 1.7: Images of different sized GQDs in water under (a) white and (b) UV illumination (b). (c) Dependence of the band gap as a function of the GQD size (Li, et al., 2010)**

#### 1.1.4.2 Quantum confinement

The quantum confinement effect is observed when the size of the particle is too small to be comparable to the wavelength of the electron. To understand this effect, we can break the words like quantum and confinement, the word confinement means to confine

the motion of randomly moving electron to restrict its motion in specific energy levels (discreteness) and quantum reflects the atomic realm of particles. So, as the size of a particle decrease till we can reach a nano scale the decrease in confining dimension makes the energy levels discrete and this increases or widens up the band gap and ultimately the band gap energy also increases. Materials will have dimensions comparable to the exciton Bohr radius, increasing the surface to volume ratio, which results in the change in thermal, magnetic, electric and optical properties (Schaefer, 2010) of the materials, as the size of the materials changes from bulk to the nanoscale. When the electrons in the nanomaterials are squeezed to the size comparable to exciton Bohr radius, the overlapping energy orbitals of nanomaterials change to discrete energy levels (Kittel, et al., 1996; Myasnikov and Myasnikova, 2001), this phenomenon is known as quantum confinement.

## **1.2 Problem statement**

In the past decade, ruthenium-based complexes are the most utilized dyes in DSSCs. However, major technical drawbacks of these complexes include the fact that they are expensive, as the metal is rare, its purification process tedious, and its lack of absorption in the red region of the visible spectrum, where the light harvesting process is optimized. This basically means that an alternative is required. Replacing ruthenium-based dye with GQDs as a green photo-sensitizer is seen as a new approach for higher efficiency DSSCs, since the band gap of GQDs varies with size, therefore, the absorption and redox properties can be tuned via the synthesis of quantum dots. Moreover, GQDs demonstrate several fascinating properties, such as strong PL activity, chemical stability, lower toxicity compared to ruthenium-based dyes, and very high optical absorptivity (Tang, et al., 2014).

The photo-anode serves a dual function: support sensitizer loading and transport photo-excited electrons from the sensitizer to the external circuit. Therefore, a large surface area is necessary to ensure increased dye loadings, and a fast rate of charge transport is required to ensure high electron collection efficiency. These two properties are the defining characteristics of an ideal photo-anode. TMOs (TiO<sub>2</sub> and ZnO) report many advantages, such as high surface area, which helps dye absorption, electrolyte penetration, light scattering, and multi-reflection via its visible light harvesting capability. Many efforts were expounded to enhance the performance of the working electrode in terms of reducing the recombination loss, increase dye uptake, increase Fermi energy level, enhance the electron lifetime with longer diffusion length, as well as electron collection and transport. Thus, the optimization procedure should be taken into account when determining the perfect thickness of the TMO nanoparticles and immersion time in the proposed dye.

### **1.3 Research Scopes**

There are three main stages in this work: Synthesis, Fabrication, and Application and Optimization of DSSC which is presented in Table 1.1. The synthesis and characterization of TiO<sub>2</sub>, ZnO nanoparticles and GQDs are investigated in this study. They will then be used in the DSSCs to enhance the power conversion efficiency (PCE).

**Table 1.1: Overview of research scopes**

<b>STAGE 1:</b> a) Synthesis of TMO nanoparticles	<b>Preparation of TMO nanoparticles by Hydrothermal method</b>
	<ul style="list-style-type: none"> <li>❖ Intensity</li> <li>❖ Reaction time</li> <li>❖ Concentration</li> </ul>
<b>STAGE 1:</b> b) Synthesis of GO	<b>Preparation of GO from Gt by Hummer's method</b>
	<ul style="list-style-type: none"> <li>❖ Oxidation duration</li> <li>❖ Acid concentration</li> <li>❖ Reaction temperature</li> </ul>
<b>STAGE 1:</b> c) Synthesis of GQDs	<b>Preparation of GQDs by Hydrothermal method</b>
	<ul style="list-style-type: none"> <li>❖ Intensity</li> <li>❖ Reaction time</li> <li>❖ Concentration</li> </ul>
Characterization of TMO, GO and GQDs	
	❖ TEM, HRTEM, Raman, FTIR, PL, UV-Vis
<b>STAGE 2:</b> a) TMO coated on FTO glass by using Dr. Blade method	
	❖ Concentration of nanoparticles
Characterization of Photo-anode	
	❖ FESEM, Raman, FTIR, PL, UV- Vis
<b>STAGE 3:</b> DSSC performance and Optimization of PCE	<ul style="list-style-type: none"> <li>❖ I-V characteristics of DSSC</li> <li>❖ Electrochemical impedance spectra of DSSCs</li> <li>❖ Incident power conversion efficiency (IPCE)</li> <li>❖ Optimization for preparing the sample</li> <li>❖ Optimization for using sample for the application</li> <li>❖ Enhancement of power conversion efficiency in DSSCs</li> </ul>

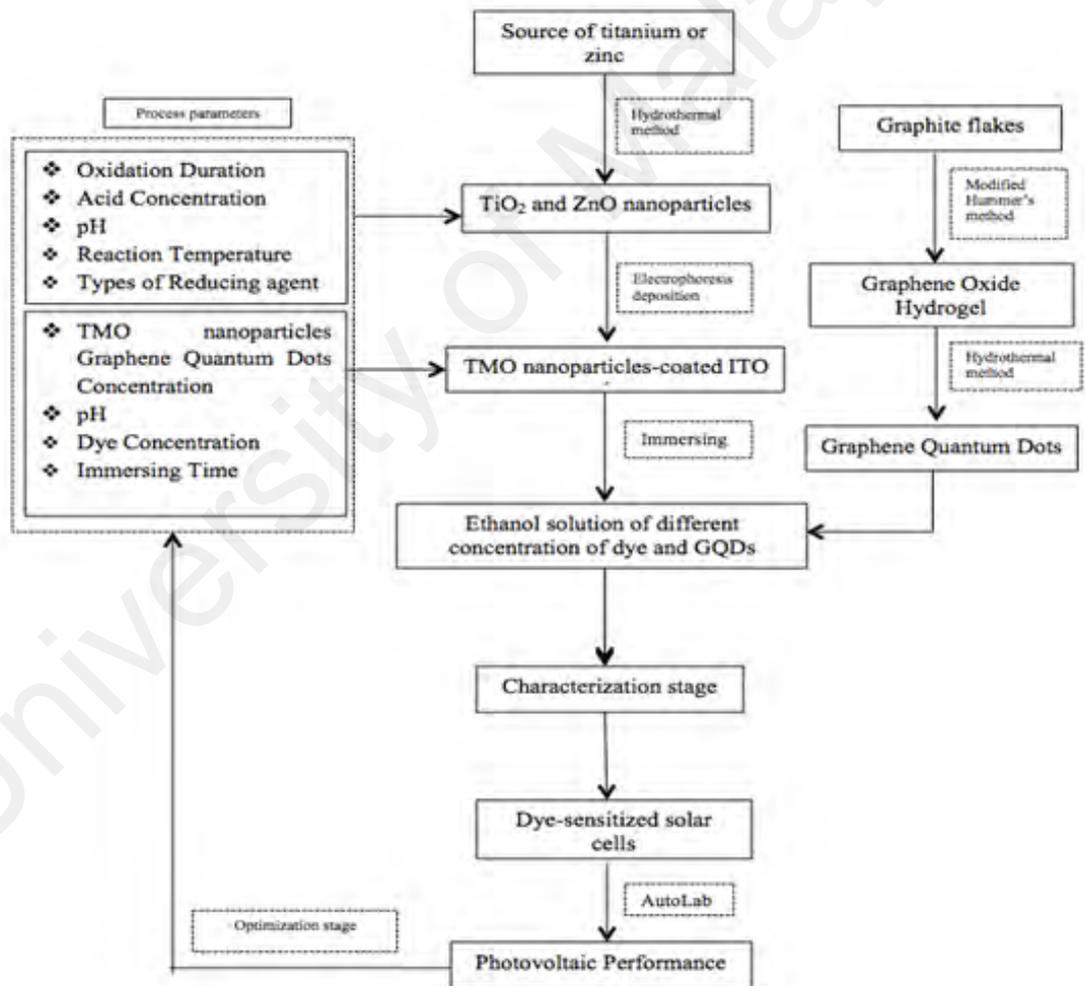
#### **1.4 Research Objectives**

The goal of my research is to understand the fundamental physics and performance of DSSCs with improved PCE at lower costs based on TiO<sub>2</sub> and ZnO nanostructures and sensitizers via integrated experiments.

The specific objectives of this research are:

1. To synthesize graphene quantum dots as a green sensitizer with band gap analogous to a transition metal.
2. To fabricate a highly efficient DSSCs using the as-synthesized GQDs.
3. To investigate the power conversion efficiency of novel fabricated DSSCs.

The methodology of this research is shown in the following flowchart (Figure 1.8).



**Figure 1.8: Flowchart of fabricating DSSCs**

## 1.5 Organization of Thesis

This dissertation adopts a university Malaya style guide to presentation, logically aimed and systematically rendered to enhance understanding of the research. The thesis is divided into five chapters as follows:

**Chapter one** highlights the background of the study, the problems existing in this area which build motivation for this project, and the objectives of this research.

**Chapter two** presents the literature review, which covers materials used in DSSCs and experimental parameters. This chapter shows that there have been many experimental studies regarding the fabrication of DSSCs. However, no work has been published on comparing the effect of ZnO and TiO<sub>2</sub> photo-anodes with different thickness in DSSCs based on GQDs as a photosensitizer.

**Chapter three** explains the methodology for conducting this research project. Preparation of materials and using them for the fabrication of DSSCs described schematically and the characterization equipment explained in details by adding some photographs, figures.

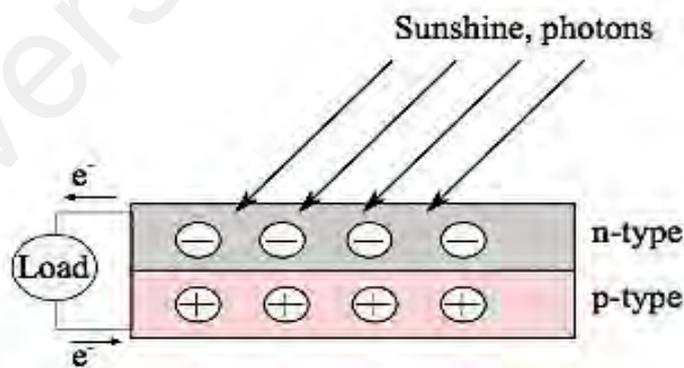
**Chapter four** presents the experimental results which are analyzed by different characterization techniques.

**Chapter five** demonstrates the comprehensive conclusions along with recommendations for further work.

## CHAPTER 2: LITERATURE REVIEW

### 2.1 Photovoltaics

Devices used for converting the energy of photons into the electricity are called photovoltaics. The generation of electron-hole pair while photons are falling upon a semiconductor is called the photovoltaic effect. The electrons and holes can be directed to different contacts via a circuit, which establishes an electric potential (Lewis, 2007). In 1839, Edmond Becquerel reported the photoelectric effect. Becquerel conducted experiments on liquid photo-electrochemical devices. The illuminating solution contains metal halide salts. Becquerel observed an electric current between the platinum electrodes immersed in the electrolyte solution (Becquerel, 1839). Figure 2.1 illustrates the working principle of the solar cell. The n-doped and p-doped layers were connected. When irradiated, the electrons in the n-doped layer moves to the conduction band in its excited state. The electrons move into the circuit and arrive at the p-doped layer after the circuit. The electrons will then move to the n-type layer again due to the difference in energy levels, making the process cyclic (Ellis, 2014b).



**Figure 2.1: Schematic illustration of a silicon solar cell (Ellis, 2014b)**

Various kinds of photovoltaics are available; traditional silicon solar cells, thin film technologies, organic solar cells, quantum dot solar cells, perovskite solar cells, and DSSCs. Just like renewable energy, which should be available in the form of various

energy sources in the future, photovoltaics should also be designed to make it adaptable (Ellis, 2014b). Different types of solar cells will be briefly summarized in the following subsections.

### **2.1.1 Silicon solar cells**

The most widely used photovoltaic technology is crystalline silicon (Si). Silicon which is known as the second most plentiful element in the earth's crust -rarely exists in its pure form. Instead, it appears as silicon dioxide (silica) or silicates. The abundance of silicon is one of the main advantages of solar cells. However, the energy consumption for producing pure silicon is a disadvantage. Crystalline silicon solar cells are available in a) mono-crystalline silicon, produced by slicing wafers from a high-purity single crystal ingot, and b) multi-crystalline silicon, made by sawing a cast block of silicon first into bars, then wafers. The latter reports lower efficiencies. The crystalline silicon solar cells proved to have an efficiency of around 25 % (Meinardi, et al., 2014). The working principle of silicon solar cells is illustrated in Figure 2.1. Two semiconductors, both silicon, one n-doped (most often with phosphorous) and the other p-doped (for example with boron) are connected to form the silicon solar cell (Ellis, 2014b; Saga, 2010).

### **2.1.2 Thin film technologies**

Amorphous Si, CdS, CdTe, CuInSe<sub>2</sub> (CIS), and CuInGaSe<sub>2</sub> (CIGS) are included in devices fabricated by thin film technology. The working principles of thin film solar cells are almost similar to crystalline silicon photovoltaics; semiconductors are connected and an electric field is established at the junction between the p-type and the n-type inorganic semiconductors. Around 20 % efficiency has been reported for thin film solar cells (Poortmans and Arkhipov, 2006).

### **2.1.3 Organic solar cells**

Conductive polymers or other organic conductors (as charge transport materials) are used in organic solar cells. They are seen as analogous to semiconductor based solar cells (Heo, et al., 2013). Different conductive polymers with differing highest occupied molecular orbital and lowest unoccupied molecular orbital (HOMO-LUMO) levels are brought together, and the application of an effective field resulted in a charge separation, which prompt the electrons to fall from one excited state level to another. Organic solar cells report efficiencies of around 11 % (Meinardi, et al., 2014).

### **2.1.4 Quantum dot solar cells**

Different types of quantum dot solar cells are available, and are almost similar to DSSCs. Quantum dot solar cells reported an efficiency of around 8.6 %. Quantum dots can be utilized both as a sensitizer and redox mediator, and the quantum dot solar cells can be both liquid and solid state based. Lead-sulfide is an example of quantum dots materials (Heo, et al., 2013).

### **2.1.5 Perovskite solar cells**

One of the latest photovoltaic technologies is the perovskite solar cell. It includes a broad class of crystalline minerals, with their working principles and kinetics still being investigated. These cells are shown to operate both as charge carrier and absorbance medium. One of its disadvantages is that it contains lead (a health hazard), and perovskites, being salt-like minerals, can be readily dissolved in water or even humid air. Efficiencies of 6.4 % and 17.9 % are reported for non-lead and lead containing versions, respectively (Noel, et al., 2014).

### **2.1.6 Dye Sensitized Solar Cells**

Dye-sensitization dates back to 1873 (Vogel, 1873), when Vogel sensitized silver halide emulsions by dyes to produce black and white photographic films (referred in

(McEvoy and Grätzel, 1994)). However, the application of dye-sensitized in photovoltaics remained unsuccessful until the 1990's, when Professor Grätzel and his co-workers successfully developed a solar cell (reporting an energy conversion efficiency that exceeded 10 %) by combining nanostructured electrodes and efficient charge injection dyes in the Laboratory of Photonics and Interfaces in École Polytechnique Fédérale de Lausanne (EPFL), Switzerland. This dye-sensitized nanostructured solar cell is called the Grätzel cell (O'regan and Grätzel, 1991). It is regarded as a photo-electrochemical solar cell, which means a liquid electrolyte or other ion-conducting phase is used as a charge transport medium. It is the focus of many researches due to its high efficiency and long-term stability. There are also patents and licenses developed for the main invention, and numerous research groups investigated the possibility of replacing its original materials. The next sub-sections will present the DSSCs technology, with a more detailed look into the cell operation in light of the key steps of photovoltaic conversion, as well as other important fundamental operational aspects of the cell's physics and chemistry (Gong, et al., 2017; Halme, 2002).

## **2.2 Comparison of Different photovoltaic devices**

Table 2.1 shows the comparison between various types of photovoltaic devices. Although DSSCs reports lower efficiency compared to traditional silicon-based solar cells and CuInSe<sub>2</sub> solar cells, several advantages of DSSCs render them suitable for conventional solar cells. First, the fabrication cost is quite low compared to silicon-based solar cells. Second, the materials used to make DSSCs, such as TiO<sub>2</sub>, ZnO, dye, and iodine, are all widely available. The potentially harmful organic solvents have been replaced by the non-volatile ionic liquid and solid-state electrolyte. Moreover, colorful and transparent solar cells are easily fabricated, which can serve as power-producing windows in architectures or as decorations for both indoor and outdoor applications (Wei, 2011).

**Table 2.1: Performance of photovoltaic and photoelectrochemical solar cells  
(Grätzel, 2001)**

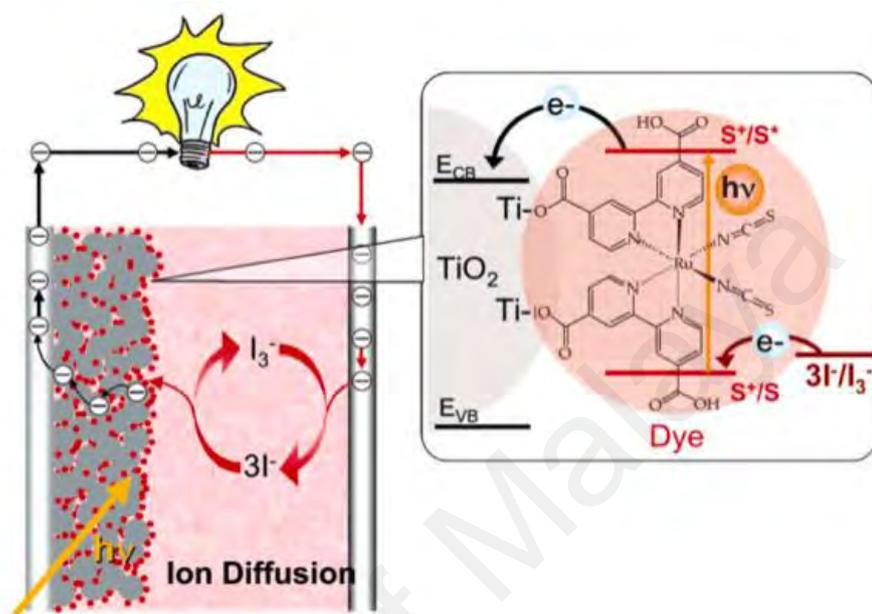
<b>Type of cells</b>	<b>Efficiency</b>	<b>Research and technology needs</b>
Crystalline silicon	25.0	Increase production yields, reduce cost and energy content
Multicrystalline silicon	20.4	Reduce manufacturing cost and complexity
Amorphous silicon	13	Reduce production costs, increase production volume and stability
CuInSe <sub>2</sub>	19.6	Replace indium (too expensive and limited supply), replace CdS window layer, scale up production
DSSCs	13	Improve efficiency and high temperature stability, scale up production
Bipolar AlGaAs/Si photoelectrochemical cells	19-20	Reduce material cost, scale up
Organic polymer solar cells	8.3	Improve stability and efficiency

Cell area is larger than 1 cm<sup>2</sup>.

### **2.3 Basic principles of Dye Sensitized Solar Cells**

Figure 2.2 depicts the typical structure and operational principles of a DSSC (Grätzel, 2005). Generally, it consists of four elements: a photoelectrode with a thin layer of nanostructured wide band-gap semiconductor (usually TiO<sub>2</sub>, ZnO, SnO<sub>2</sub> or Nb<sub>2</sub>O<sub>5</sub>) attached to the conducting substrate FTO, a monolayer of dye adsorbed on the surface of the semiconductor, electrolyte containing a redox couple (typically I<sup>-</sup>/ I<sub>3</sub><sup>-</sup>), and a counter electrode (platinized FTO). Following photo-excitation of the dye, electrons are injected into the conduction band of the semiconductor. I<sup>-</sup> in the electrolyte generate dye and reduce I<sub>3</sub><sup>-</sup> to generate I<sup>-</sup> at the counter electrode. The voltage generated under illumination corresponds to the difference between the quasi-Fermi level of the electron in the semiconductor and redox potential of the electrolyte. This converts light

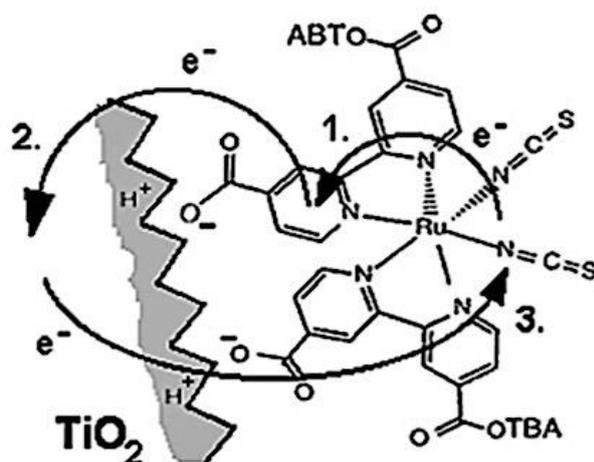
into electricity, with no permanent chemical transformation taking place. Therefore, DSSC is regarded as a regenerative-type photo electrochemical cell (Grätzel, 2001; Wei, 2011).



**Figure 2.2: Typical structure and operation of a DSSC (Grätzel, 2005)**

### 2.3.1 Absorption of light

The superior efficiency of the DSSC is due to many well-tuned physio-chemical properties, the most important one being the use of a large band gap semiconductor material as an electrode. Its properties are enhanced by the coating of the internal surface of the porous semiconductor with a type of altered dye molecules that absorbs incoming light. The dye is adsorbed onto the surface of  $\text{TiO}_2$ , owing to the special anchoring groups attached to the dye molecule (Pugliese, 2014). Absorption of an impinging photon occurs through an excitation between the electronic states of the molecule: in Ru complexes-based dyes, the excitation is of metal-to-ligand charge-transfer (MLCT) type, as reported in Figure 2.3 (Halme, 2002).



**Figure 2.3: Charge transfer processes between the dye and the TiO<sub>2</sub> lattice: 1. MLCT excitation; 2. Electron injection; 3. Charge recombination (Halme, 2002)**

The HOMO level is placed near the Ru metal atom, while the LUMO level is localized at the ligand species. During excitation, electrons are transferred from HOMO to LUMO, and since there is a partial overlap between the electron wave functions of the LUMO level of the dye with the conduction band of the oxide material, the electron is subsequently fast-injected into the conduction band of TiO<sub>2</sub> (Pugliese, 2014).

### 2.3.2 Charge separation

Electron transfer from the dye molecule to the TiO<sub>2</sub> and the movement of holes to the electrolyte (from the oxidized dye) resulted in the separation of charges in the DSSCs. The mechanism of electron transfer is closely related to the adsorbed dye electronic structure of the adsorbed dye molecule, in addition to the energy levels between the excited state of the sensitizer and the conduction band of the oxide semiconductor (Kamat, 1993).

The main difference between the typical p-n junction cell and the one based on the nanoparticle electrode/electrolyte interface is that the charge separation in silicon-based cell occurs due to an electric field across the junction region. In a DSSC, it is slightly different due to the small dimensions of the particles of the nanostructured electrode not

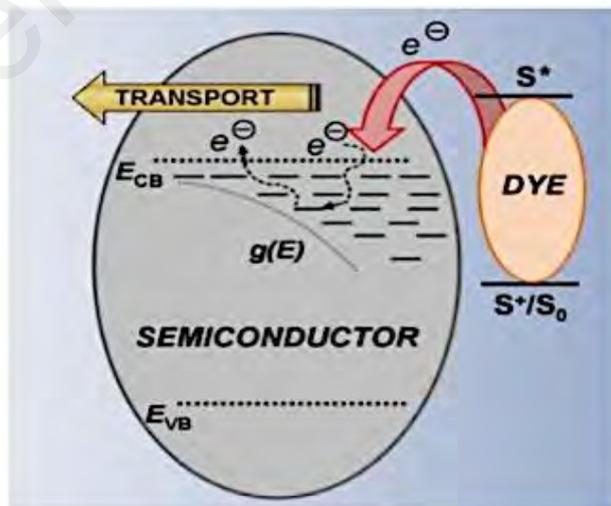
permitting the generation of a field. The electrolyte surrounding all the nanoparticles decouples them and looks for any electric field in a range of about a nanometer (Pichot and Gregg, 2000). Even if the band bending inside the particles is denied, an electric field is otherwise created at the interface of oxide/electrolyte, owing to the dye molecules being adsorbed. The latter usually employs acid anchoring groups (carboxyl group, COOH) as attachment units, and when binding with TiO<sub>2</sub>, a proton (H<sup>+</sup>) is released, leaving the dye molecule negatively charged. The generated potential difference is estimated to be around 0.3 eV, and is the responsible for charge separation. The most important mechanism for the separation of charges is the relative position of the energy levels: the excited level of the dye must be higher than the conduction band of the oxide, while the HOMO level of the sensitizer must be lower than the redox potential of the electrolyte (Pugliese, 2014).

### 2.3.3 Charge transport

Electrons move across the interface until the Fermi level of electrons in the semiconductor is equal to the electrolyte redox potential once a semiconductor comes into contact with an electrolyte. This electron flux creates an area on both junction sides, known as the space-charge layer. The charge distribution in the space-charge layer differs from the bulk of the material, producing an electric field to drive electron-hole separation (Kelly, et al., 1999; Pichot and Gregg, 2000). However, nanocrystalline semiconductor particles are simply too small and too lightly doped to sustain any significant charge imbalance between the surface and the bulk of the particle. Furthermore, the porous nature of the semiconductor means that the electrolyte surrounds the particles throughout the entirety of the film thickness, which looks for any electric fields that may be present between sintered particles (Fabregat-Santiago, et al., 2007; Park, et al., 2000). The charge transport in DSSCs is therefore dominated by diffusion, or more specifically, ambipolar diffusion, which is a process where electrons

and the associated cations on the surface simultaneously move through the film (Kopidakis, et al., 2000; Murakoshi, et al., 1997).

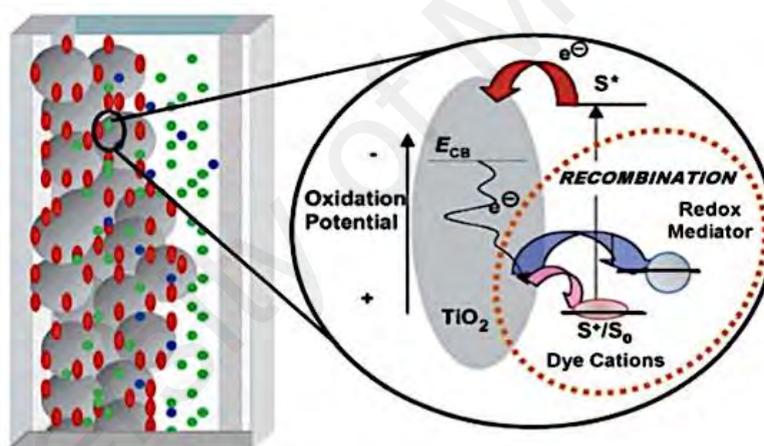
Although diffusion is the dominant driving force for charge transport in the semiconductor, early attempts to describe the transport behavior using the diffusion equation found that the diffusion coefficient was unexpectedly dependent on illumination intensity. It is now widely accepted that charge transport behavior in nanocrystalline semiconductors is dominated by trap states in the semiconductor (Nelson, 1999; Saito, et al., 2002b). This model suggests that electronic states in the semiconductor are a combination of conduction band states, which allow free transport of electrons, and intra-band trap states, which are localized electronic states that trap and release electrons to the conduction band. The number of trap states follows an exponential distribution with energy, and is significantly higher than the number of free electrons in the conduction band, thus, the movement of electrons into these traps and their subsequent escape into the conduction band is the dominant charge transport mode in the DSSC. This process is illustrated in Figure 2.4 (Griffith, 2012).



**Figure 2.4: Schematic illustration of trap-limited charge transport in a dye sensitized semiconductor nanoparticle (Griffith, 2012)**

### 2.3.4 Recombination

Electrons injected into the semiconductor from the photoexcited dye have a finite lifetime prior to recombining with other species to re-establish electrochemical equilibrium. Such recombination is highly undesirable in a solar cell, as the electrons can no longer work in an external circuit. Conduction band electrons in a DSSC can recombine with one of two sources; either the dye cations created by electron injection, or the electron acceptor species of the redox mediator (Figure 2.5) (Grätzel, 2005). Fortunately, these two recombination reactions can be analyzed independently, since the redox mediator can be added or removed from the electrolyte to activate or deactivate the recombination pathway (Griffith, 2012).



**Figure 2.5: A schematic illustration of the two possible charge recombination pathways in DSSCs following injection from the photo-excited dye state (S<sup>\*</sup>) (Grätzel, 2005)**

### 2.4 DSSCs components

The basic device structure of DSSCs can be easily modified by replacing each component with an alternative material. There are a very large number of material combinations that can be employed, each with their own unique benefits. Systematically, modifying device components have allowed many of the fundamental limitations of DSSCs to be analyzed in isolation, and there are a range of novel materials that show great promise for future advancements (Griffith, 2012).

#### 2.4.1 Transparent conducting oxide-coated glass substrate in DSSCs

Typical DSSCs employ anodes comprised of a glass substrate coated with a transparent conducting oxide (FTO and indium-doped tin oxide (ITO)). This material combination is one of the only candidates that satisfy the dual criteria for DSSC anodes of good conductivity, high transparency, and thermal stability (to allow deposited semiconductor films to be sintered). However, the conducting glass electrode constitutes 30-50 % of the total cost for a DSSC module, which would make it better if it is replaced with a cheaper alternative (Meyer, 1996; Smestad, et al., 1994). Poly (ethylene terephthalate) films coated with ITO has been tested as an anode material (Nogueira, et al., 2004), however, the low thermal stability of the polymer forces the utilization of unsintered semiconductor films, which significantly reduce the overall efficiency. Consequently, the overwhelming majority of DSSCs is constructed from conducting glass anodes.

The cathode of a conventional DSSC is constructed from ITO plated with Platinum. In contrast to the anode, it does not need to be transparent, but must instead satisfy the dual criteria of moderate thermal stability (due to the annealing processes employed for most deposited catalysts) and fast catalysis for the reduction of the redox mediator acceptor species. Given its extensive use as an electrode material, carbon-functionalized counter electrodes have been intricately studied in the context of DSSCs. Counter electrodes are formed by coating ITO with activated nanoparticles (Ahmad, et al., 2010), nanotubes (Suzuki, et al., 2002), and graphene (Kaniyoor and Ramaprabhu, 2011), with all demonstrating comparable efficiencies and stabilities towards platinum coated electrodes. Polymers such as poly (3,4- ethylenedioxythiophene) (PEDOT) (Saito, et al., 2002a) or polyester (Fang, et al., 2005) have shown limited success, although the polymer coating increases sheet resistance of the electrode, which limits the FF of the device. Stainless steel and nickel sheets exhibit reasonable performance as

counter electrodes, although the stability of such coatings to the standard  $I^-/I_3^-$  corrosive redox mediator have not been fully examined (Mosconi, et al., 2012). Whilst there are clearly many electro-catalysts that can be used as counter electrodes, the material cost is negligible compared to the overall module cost. Platinum-coated FTO electrodes are therefore used almost exclusively since they consistently result in the highest efficiency (Griffith, 2012)

#### **2.4.2 Photo-anodes in DSSCs**

The photoelectrode is a key component in a DSSC. The morphology, surface area, porosity, and pore size of the semiconductor films directly influence the electron transport and electrolyte diffusion in a cell (Jiu, et al., 2006). Due to their large surface areas and electron transfer medium,  $TiO_2$ ,  $ZnO$ ,  $SnO_2$ ,  $Nb_2O_5$ , (semiconductor oxides) serves as the carrier for the monolayers of the sensitizer in DSSCs (Yeoh and Chan, 2017).

$TiO_2$  is an excellent choice as semiconductors, due to its low cost, abundant in the market, nontoxicity, and biocompatibility. Rutile, anatase, and brookite are naturally occurring  $TiO_2$  crystal types, with rutile reported to be the most thermodynamically stable form. Thanks to having a larger band-gap and higher conduction band edge energy, anatase is preferred in DSSCs, which in turn leads to a higher Fermi level and  $V_{oc}$  in DSSCs for similar conduction band electron concentrations (Nwanya, et al., 2011; Sopian, et al., 2017).

In the context of environmental remediation,  $TiO_2$  is believed to be the most suitable semiconductor. Owing to their large surface-to-volume ratios, nanostructured solar cells benefit from increased loading of sensitizers, and the potential of an increased number of current-producing electron transfer chemical reactions (Kamat, et al., 2010). Nanostructure can increase the specific surface area up to 1000 times that of a bulk

material (Nogi, et al., 2012). Nanoparticles are widely utilized for the fabrication of mesoporous film, partially due to the direct availability of porous structures with assembled nanoparticles and the simplicity of synthesis. Commercially available Degussa P25 is one of the conventional sources of TiO<sub>2</sub> (Ito, et al., 2006; Rasalingam, et al., 2015). TiO<sub>2</sub> is easily available, quite inexpensive, and have high photocatalyst activity. It contains both anatase and rutile phase in a ratio of 7:3 and a crystallite size is 30nm (Xin, 2012).

The TiO<sub>2</sub> film generally employed in a DSSC is fabricated from a paste in which anatase nanoparticles, with a mean dimension of 20nm, is dispersed. Doctor-blading and screen-printing are two widely used deposition processes for preparing nanocrystalline TiO<sub>2</sub> films, where a viscous dispersion of colloidal TiO<sub>2</sub> particles are spread on a conducting glass support before being sintering at high temperature to enhance the electronic interconnection between the nanoparticles and the charge transfer towards the substrate (Nazeeruddin, et al., 1993). The nanoparticles based photo-anode is beneficial due to its high SSA value (in the range 50-25 m<sup>2</sup>g<sup>-1</sup>), which permits the anchoring of a great number of dye molecules, while a disadvantage is the reduced charge transport due to a long pathway for the electron diffusion within the semiconductor network (Pugliese, 2014). Table 2.2 shows the efficiency reported by different studies on the usage of TiO<sub>2</sub> nanostructures as a photo-anode in DSSCs.

**Table 2.2: Efficiency of DSSCs using TiO<sub>2</sub> nanostructures as a photo-anode**

<b>Photo-anode</b>	<b>Area of the cell (cm<sup>2</sup>)</b>	<b>Efficiency (%)</b>	<b>References</b>
<b>TiO<sub>2</sub> nanoparticles (N-719)</b>	3.75	0.015	(Zahn, et al., 2006)
<b>Different thickness of TiO<sub>2</sub> nanoparticles photo-anode (N-719)</b>	1	1.10-8.35	(Xin, 2012)
<b>TiO<sub>2</sub> nanotube, different thickness of photo-anode (N-719)</b>	1	3.69-8.02	(Xin, et al., 2012)
<b>Different chemical compositions of TiO<sub>2</sub> nanoparticles pastes (N-719)</b>	0.16	4.46-6.77	(Karthick, et al., 2012)
<b>TiO<sub>2</sub> nanoparticles (Ru 535-bisTBA)</b>	1	5.7	(Karthick, et al., 2012)
<b>TiO<sub>2</sub> nanoparticles different electrolyte (N-719)</b>	1	3.67-5.00	(Weerasinghe, et al., 2010)

Having acceptable conversion efficiency increases the chance for the DSSCs to compete with commercially available, but expensive solar cells based on silicon or compound semiconductors. Nevertheless, the increased additional conversion efficiency has been restricted by energy loss due to the recombination between electrons and either the oxidized dye molecules or electron-accepting species in the electrolyte during the charge-transport process (Grätzel, 2004, 2005; Nissfolk, et al., 2006). Such a recombination is predominately derived from the lack of a depletion layer on the TiO<sub>2</sub> nanocrystallite surface, and becomes significantly serious when the thickness of the

photoelectrode film increases. In order to understand this, DSSC technology based on ZnO has been explored extensively. A promising alternative to TiO<sub>2</sub> for the fabrication of DSSC photo-anode is ZnO (Ko, et al., 2011; Zhang, et al., 2009).

Zinc oxide (ZnO) is a wide-band-gap semiconductor with an energy-band structure. The physical properties of ZnO are similar to those of TiO<sub>2</sub>, but its electronic mobility is higher, which would be advantageous for the transport of electrons, on top of the reduced recombination loss when used in DSSCs. Numerous investigations have already reported the application of ZnO in DSSCs. ZnO's ease of crystallization and anisotropic growth makes it a great alternative to TiO<sub>2</sub>, although the conversion efficiencies of 0.4–5.8 % reported for ZnO are lower than that of 11 % for TiO<sub>2</sub>. All of the aforementioned properties allow ZnO to be produced in various nanostructures, therefore offering exceptional properties for electronics, optics, or photocatalysis (Schmidt-Mende and MacManus-Driscoll, 2007; Tornow, et al., 2008; Tornow and Schwarzburg, 2007; Wang, 2004). Recent investigations of ZnO nanostructure based DSSCs have brought about many new concepts, resulting in a better knowledge of photoelectrochemically-based energy conversion, which in turn would accelerate the growth of DSSCs connected with TiO<sub>2</sub>.

The basic units of nanostructures on the nanometer scale represent an important feature due to its provision of a large specific surface area. It could also result in many specific behaviors in electron transport or light propagation when taking into account the surface effect, quantum-confinement effect, or photon localization (Arico, et al., 2005; Bittkau and Carius, 2007; Xia, et al., 2003). The nanostructural forms of ZnO developed during the past several decades, mainly include nanoparticles (Meulenkamp, 1998; Vafae and Ghamsari, 2007), nanowires (or nanorods) (Kar, et al., 2006; Yang, et al., 2002), nanotubes (Li, et al., 2005), nanobelts (Wang, et al., 2004b), and nanosheets

(Fu, et al., 2006; Kar, et al., 2006). The production of these structures can be realized via sol–gel synthesis, (Vafae and Ghamsari, 2007) hydrothermal/solvothermal growth (Kar, et al., 2006), physical or chemical vapor deposition (Wang, et al., 2004b; Yang, et al., 2002), low-temperature aqueous growth (Li, et al., 2005), chemical bath deposition (Wang and Xie, 2006), or electrochemical deposition (Fu, et al., 2006; Nonomura, et al., 2003). Table 2.3 tabulates the efficiency reported by different studies on ZnO nanostructures as a photo-anode in DSSCs.

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**Table 2.3: Efficiency of DSSCs using ZnO nanostructures as a photo-anode**

<b>Photo-anode</b>	<b>Area of the cell (cm<sup>2</sup>)</b>	<b>Efficiency (%)</b>	<b>References</b>
<b>ZnO nanoparticles (N-719)</b>	0.25	6.6	(Anta, et al., 2012)
<b>ZnO nanoparticles (D102)</b>	0.25	5.4	(Anta, et al., 2012)
<b>ZnO nanoparticles (N-719)</b>	0.25	5.5	(Anta, et al., 2012)
<b>ZnO nanoparticles (N-719)</b>	1	2.82	(Li, et al., 2014)
<b>ZnO nanoparticles (N-719)</b>	1	0.44, 2.1, 2.22	(Keis, et al., 2000; Kim, et al., 2006; Longyue, et al., 2006)
<b>ZnO nanoparticles (N-719)</b>	1	5	(Keis, et al., 2002)
<b>ZnO nanoparticles (N3)</b>	1	0.4, 3.4	(Redmond, et al., 1994; Suliman, et al., 2007)
<b>ZnO nanoparticles (Heptamethine cyanine)</b>	1	0.67	(Otsuka, et al., 2008)
<b>ZnO nanoparticles (Unsymmetrical squaraine)</b>	1	1.5	(Otsuka, et al., 2006)
<b>ZnO nanoparticles (Eosin-Y)</b>	1	1.11	(Rani, et al., 2008)
<b>ZnO nanoparticles (Acridine)</b>	1	0.588	(Senevirathne, et al., 2008)
<b>ZnO nanoparticles (Mercurochrome)</b>	1	2.5	(Hara, et al., 2000)

### 2.4.3 Photosensitizers in DSSCs

The photosensitizing dye is perhaps the most crucial component of a DSSC. Accordingly, optimization of the dye structure, including a variety of features such as the size, metal center, ligands, substituents, and conjugation of molecules has attracted significant interests. Studies of thousands of photosensitizers have shown that optimizing the performance of most single dyes to achieve higher efficiencies is extremely difficult. This is because the desirable properties for efficient electron injection and dye regeneration are often incompatible with those required for broad visible and near-infrared (NIR) absorption (Hagfeldt, et al., 2010).

An excellent sensitizer for DSSC should meet several requirements (Duncan and Prezhdo, 2007). First, the sensitizer absorption spectrum should cover the visible and part of the NIR region. Second, the sensitizer should bind strongly to the semiconductor's surface. Third, the sensitizer should possess suitable energy levels to ensure electron injection and dye regeneration. Furthermore, for long-term performance, the dye molecules must display excellent chemical and thermal stabilities. Finally, a strong electronic coupling among the dye and semiconductor is required for efficient charge separation. This is normally achieved by appending a conjugated anchoring group to the chromophore, the design of which is rather complex (Griffith, 2012).

#### 2.4.3.1 Anchoring group of photosensitizers

Several types of anchoring groups have been tested for DSSCs. The legends must first immobilize the dye on the surface, and ideally, should also be electron withdrawing in order to facilitate electron transfer from the dye into the semiconductor. The binding interaction can occur via physisorption, such as hydrogen bonding, although it only results in weak electronic interactions with the semiconductor. Commonly, the anchoring group is designed in a way that it forms a permanent chemical bond with the

semiconductor, and is frequently conjugated in order to facilitate electron transfer (Murakoshi, et al., 1995). Chemical moieties that have been employed for this purpose include sulfonic acids ( $\text{SO}_3\text{H}$ ) (Taffa, et al., 2010), phosphonic acids ( $\text{PO}_3\text{H}$ ) (Nazeeruddin, et al., 2003; Wang, et al., 2004a),  $\text{COOH}$  (Lee, et al., 2009; Nazeeruddin, et al., 2003; Srinivas, et al., 2009), and catechols [ $\text{C}_6\text{H}_4(\text{OH})_2$ ] (An, et al., 2010; Sánchez-de-Armas, et al., 2011). Somewhat surprisingly, the strongest binders ( $\text{PO}_3\text{H}$  and catechols) resulted in poorly performing devices, reportedly due to a very fast recombination from strong coupling.

#### 2.4.3.2 Dye Molecules

There have been thousands of sensitizing dyes tested in DSSCs, making a complete assessment of sensitizers quite complex. Some major classes of dyes and their corresponding advantages will be discussed here, with more detailed information available in a recently published review of various dye systems (Hagfeldt, et al., 2010). Ruthenium organometallic complexes were by far the most extensively investigated due to higher power conversion efficiency of the dyes reported more than two decades ago (O'regan and Grätzel, 1991). The most reported ruthenium complex, the benchmark N-719 dye, exhibit highly efficient electron injection due to a long lived triplet excited state, absorption of light over a broad wavelength range, and extremely slow recombination (Katoh, et al., 2011; Mozer, et al., 2008; Robertson, 2008). The modification of the bipyridyl ligands with conjugated substitutes has been shown to improve the extinction coefficient and long-term stability of the dye (Wang, et al., 2003), whilst the addition of organic antennae to the dye creates an extremely high extinction coefficient that allows it to be employed in devices that require thin semiconductor films (Choi, et al., 2008; Jang, et al., 2009). Several groups investigated the addition of electron donating groups, including furan (Gao, et al., 2008), triarylamine (Haque, et al., 2005; Shi, et al., 2008), and thiophene (Jiang and Masaki,

2006) units to the basic ruthenium polypyridyl structure. This strategy has been shown to reduce charge recombination via controlling the location of electron density in the excited state of the dyes. However, the injection yield of the dyes was often compromised.

Although traditional ruthenium dyes fulfill all these requirements and reported high energy conversion efficiency, they are quite costly and are detrimental to the environment, which severely limit their large-scale applications.

The replacement of the central ruthenium species with other heavy metal atoms that also produce triplet excited states has been tested. Transition metal complexes formed from copper (Sakaki, et al., 2002), platinum (Geary, et al., 2005), and iron (Ferrere, 2002) were examined. The majority of these dyes also produce broad metal-to-ligand charge transfer absorption bands; however, the photocurrent produced from such devices is often poor. This low  $J_{sc}$  was attributed to the poor injection and the regeneration of the neutral dye ground state for many systems.

By contrast, organic dyes have many advantages in the context of application in DSSCs (Campbell, et al., 2004). First, its cost is relatively low, because they are easily synthesized, and are essentially not limited by available resources. Second, organic dyes generally report much higher absorption coefficients than that of ruthenium dyes, and the light absorption band of organic dyes can be easily tuned via molecular design. They are also environmentally friendly, as they could be easily removed by sintering in the air. Accordingly, the photoelectrode could be recycled, which further reduces the cost of the DSSCs. Coumarin (Hara, et al., 2003) and indoline (Ito, et al., 2006) dyes were amongst the first organic species to demonstrate high device efficiencies, with the former also reporting excellent long term stability. The modification of the dye structure led to the extension of the absorption spectrum towards the near infrared region, leading

to conversion efficiencies of ~8-10 % (Ito, et al., 2008a). Carbazole dyes have also been extensively studied in DSSCs (Wang, et al., 2008). These dyes offer all of the advantages of other organic dyes, and have also been heavily utilized to study the effect of dye structure on charge injection and recombination, owing to the ease with which the length of the conjugated thiophene backbone can be controlled and alkyl chain substituents of various lengths can be appended (Miyashita, et al., 2008). Perylene (Shibano, et al., 2007) dyes have also been examined, and whilst they do not demonstrate outstanding power conversion efficiency, they have been shown as injected by direct charge transfer from the ground state to the TiO<sub>2</sub> rather than from the excited state, making them a valuable tool for the examination of some unusual photophysical properties of the sensitizing dye (De Angelis, 2010).

Dyes with absorption bands in the infrared region have been particularly sought after, since this is the region where solar flux is the strongest. Phthalocyanine dyes have been examined in this context, as they have a strong absorption bands in the ~700-800 nm region (Nazeeruddin, 1998). These dyes are of great interest since they behave as an intermediate between transition metal complexes and purely organic dyes, with absorption dominated by the organic framework, but the metal center impacting the injection and recombination processes (Silvestri, et al., 2009). Phthalocyanine dyes suffer from strong  $\pi$ - $\pi$  stacking and aggregation, and also experience very fast recombination, which limits their power conversion efficiencies in devices (Eu, et al., 2008); although recent reports indicate that this class of dyes remain quite promising (Mori, et al., 2010). Squaraine dyes have also demonstrated significant infrared absorption in operational devices (Maeda, et al., 2011), although its small band-gap that produces infrared absorption also limits the driving force for the regeneration between the redox mediator and the dye HOMO level (Tatay, et al., 2007). As a result of this and other issues such as limited injection, the power conversion of the infrared dyes remains

low. Other dyes of interest include triarylamines (Marinado, et al., 2009), anthracenes (Mann, et al., 2008), quinones (Mann, et al., 2008), and polymers (Hong, et al., 2002), where each provides useful support that allows us to examine the relationship between dye structure and device functionality (Griffith, 2012). Table 2.4 tabulates the efficiency of DSSCs using different photosensitizers.

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**Table 2.4: Efficiency of DSSCs using different photosensitizer**

<b>Photosensitizer</b>	<b>Area of the cell (cm<sup>2</sup>)</b>	<b>Efficiency (%)</b>	<b>References</b>
<b>Anthocyanin dye</b>	3.75	0.00159	(Subodro, et al., 2017)
<b>Eosin b disodium salt (different light intensity)</b>	0.25	7.56, 0.28, 0.00159	(Ito, et al., 2008b)
<b>Porphyrin sensitizers (SM371, SM315)</b>	0.28	12,13	(Mathew, et al., 2014)
<b>FbNC</b>	1	0.045	(Griffith, 2012)
<b>ZnNC</b>	1	0.353	(Griffith, 2012)
<b>FbC</b>	1	0.316	(Griffith, 2012)
<b>ZnC</b>	1	1.67	(Griffith, 2012)
<b>GD<sub>2</sub></b>	1	2.82	(Griffith, 2012)
<b>Fb-GD<sub>2</sub></b>	1	0.97	(Griffith, 2012)
<b>Eosin Y</b>	1	0.399	(El-Agez, et al., 2014)
<b>Aniline blue</b>	1	0.117	(El-Agez, et al., 2014)
<b>Bromophenol blue</b>	1	0.120	(El-Agez, et al., 2014)
<b>Alcian blue</b>	1	0.156	(El-Agez, et al., 2014)
<b>Methyl orange</b>	1	0.115	(El-Agez, et al., 2014)
<b>Crystal violet</b>	1	0.249	(El-Agez, et al., 2014)
<b>Fast green</b>	1	0.117	(El-Agez, et al., 2014)
<b>Carbol fuchsin</b>	1	0.303	(El-Agez, et al., 2014)
<b>Ru complex</b>	1	2.58	(El-Agez, et al., 2014)

#### 2.4.4 Counter electrodes in DSSCs

The counter electrode of a DSSC using I<sup>-</sup>/I<sub>3</sub><sup>-</sup> as redox couple is usually fabricated by sputtering a platinum layer (~200 nm) or pyrolysis of H<sub>2</sub>PtCl<sub>6</sub> solution onto an FTO substrate. The features that render platinized FTO glass well suited for the counter

electrode is the electrocatalytic activity of platinum, which improves the reduction of  $I_3^-$  by facilitating electron exchange (Papageorgiou, et al., 1997) and increasing light-reflection due to the mirror-effect of platinized FTO.

Although most highly efficient DSSCs are based on a platinum counter electrode, several disadvantages hinder its widespread application, such as limited material availability for such a rare metal, making it unsuitable for the production of large-area DSSCs. There are also reports proving the corrosion of platinum in  $I_3^-$  containing electrolyte generating platinum iodide, such as  $PtI_4$  (Olsen, et al., 2000), indicating problems with long-term stability of platinum electrode. It is desirable to develop platinum-free counter electrode with excellent catalytic activity for the reduction of  $I_3^-$  and excellent stability in the electrolyte.

In a previous report, a mixture of graphite and carbon black was used as the counter electrode, which resulted in an energy conversion efficiency of 6.7 % (Kay and Grätzel, 1996). Later, several varieties of carbon materials, such as carbon nanotubes (Suzuki, et al., 2002) and activated carbon and graphite (Imoto, et al., 2003; Lindström, et al., 2001) were also employed as catalysts on FTO glass for counter electrodes. Organic ion-doped conducting polymers based on poly (3,4-ethylenedioxythiophene) (PEDOT) were used as a catalytic material, and FTO glass as the counter electrode for DSSCs with both organic and liquid electrolytes (Imoto, et al., 2003; Saito, et al., 2002a; Saito, et al., 2004). Although the costs of carbon materials and organic conducting polymers are lower than that of platinum, poor adhesion to the FTO substrates and insufficient energy conversion efficiency are disadvantages of using platinum free counter electrode (Murakami, et al., 2006).

#### 2.4.5 Electrolytes in DSSCs

The role of a redox mediator is to intercept recombination between electrons in the semiconductor and the oxidized dye molecules by regenerating the dye cation following injection. There are three major components of the redox electrolyte, each affecting its function.

Most high performing DSSCs are based on liquid electrolytes. This is due to their combination of good solvation for a range of redox species, high chemical stability, and low viscosity that minimizes diffusion limitations on redox mediator transport. Water would be the obvious solvent of choice; however, many sensitizing chromophores are susceptible to hydrolysis. Consequently, polar organic solvents are commonly employed, as they satisfy the criteria of a good solvent (Hagfeldt, et al., 2010). The drawback with these organic solvents is their volatility, which makes many choices unsuitable for long-term stability. Currently, the most successful liquid solvent is 3-methoxypropionitrile (Boschloo, et al., 2002), since it combines a higher boiling point with a low viscosity and high chemical stability.

Ionic liquids, where the solvent is composed of bulky anions and cations that are in a liquid state at room temperature have also been explored as electrolyte solvents (Gorlov and Kloo, 2008). Typically based on imidazolium derivatives, these solvents provide an attractive alternative since they have negligible vapor pressure and high boiling points, and can therefore provide greater long-term stability. The higher viscosity of such solvents generally limits mass transport for the redox species. However, this can be circumvented to a certain extent by employing strategies such as forming liquid crystals to increase the local concentration of the redox mediator, thereby enhancing the Grotthuss mechanism charge hopping conductivity mechanism (Yamanaka, et al., 2005;

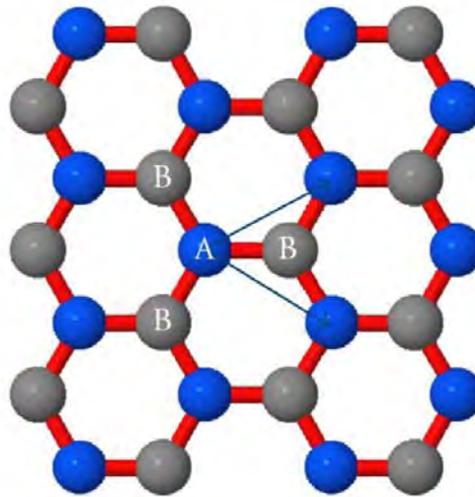
Yamanaka, et al., 2007). Continuous improvements in ionic liquid electrolytes have been transferred to device power conversion efficiencies of up to 9 % (Shi, et al., 2008).

An intriguing substitute for electrolyte solvents is to remove the dissolved redox mediator and replace it with a solid-state hole conductor. This approach has significant practical advantages, since it removes the difficulties normally associated with the permanent sealing of a solid-liquid junction. However, the implementation of these electrolytes limits conductivity due to the charge hopping conductivity mechanism and the difficulty of filling nanopores in the semiconductor with a solid material. The hole conductor most often utilized for such studies is spiro-MeOTAD (Bach, 2000), with efficiencies of up to 5 % reported by these solid state devices (Tétreault, et al., 2010) which the efficiencies of them are lower than the efficiency of devices that using liquid electrolytes.

## **2.5 Graphene**

A planar single sheet of graphite is called a graphene, which is currently used as a heterogeneous catalysis. Electro-catalysis and photocatalysis, which will be further discussed herein, seem to benefit most from the properties of carbon support.

It is a common practice to assume that graphene is an even structure composed of a few stacked carbon layers (maximum 10), as they exhibit very similar properties to individual graphene sheets (Figure 2.6) (Cooper, et al., 2012).

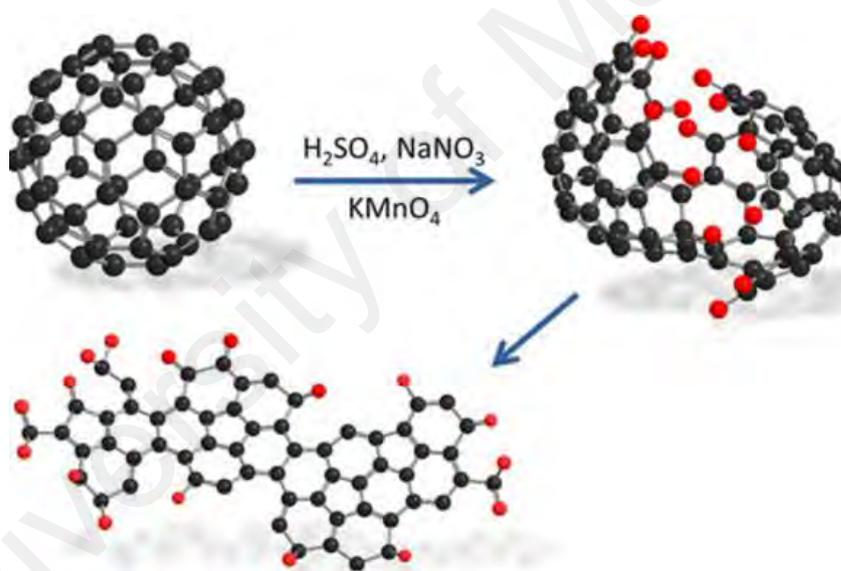


**Figure 2.6: Triangular sublattices of graphene. Each atom in one sublattice (A) has 3 nearest neighbors in sublattice (B) and vice-versa (Cooper, et al., 2012)**

Graphene has recently become the new wonder material due to its high-mechanical strength, high-electron mobility, lightness, flexibility, single-atom thickness, and near-transparency; these properties are somewhat similar to carbon nanotubes (Geim, 2009). They render graphene as a promising candidate for composites, thin films, electromagnetic shielding, barrier films, sensors, as well as other applications. In order to process graphene, as is the case for carbon nanostructures, it needs to be dispersed (Geim and Novoselov, 2007). Ideally, one would like to be able to dissolve it, i.e. deal with separated graphene rather than aggregated ones, or smaller layers of graphite. Some of its important attributes include its conductivity and transparency. Its electronic features are particularly fascinating, as graphene exhibits ambipolar electrical field effects (Chen, et al., 2010).

To effectively tune the bandgap of graphene and facilitate its use in various applications, its size can be reduced (Shen, et al., 2012a). Zero-dimensional GQDs consist of single-or few-layer graphene that measures less than 100 nm and stand for a new type of QDs with unique properties, combining the nature of the graphene and size-resulted quantum effects. GQDs possess unique optical and electronic properties, and

has a band-gap of less than 2.0eV due to the quantum confinement and edge effects (Cheng, et al., 2013). GQDs are carbon materials, and are thus plentiful. Furthermore, they are of low toxicity and high solubility in different solvents, and can be equipped with functional groups. All aforementioned properties make GQDs more suitable for numerous applications as opposed to the inorganic semiconductor QDs. The interesting optoelectronic properties of GQDs have been recently reported, and their potential application in cell imaging, bio-sensing, and solar cells have also been explored. GQD which is indicated in Figure 2.7 also possess rich functional groups, such as COOH at the edge, which imparts them with excellent water solubility and subsequent functionalization possibilities (Zhang, et al., 2012).



**Figure 2.7: Image of GQDs (Chua, et al., 2015)**

### 2.5.1 Synthesis of graphene quantum dots

GQDs synthesis methods fall into two broad classes: top-down and bottom-up. The former involves the cheap decomposition and exfoliation, readily available bulk graphene-based materials, most commonly graphite, in harsh conditions, involving concentrated acids, strong oxidizing agents, and high temperatures. Precise control of particle morphology and size distribution is not possible with these methods. However,

bottom-up methods, although more complex, allow for excellent control of the properties of the final product. They involve the quantum dots synthesis of polycyclic aromatic compounds or other molecules with aromatic structures (e.g. fullerenes) (Liu, et al., 2011; Zhu, et al., 2017).

#### **2.5.1.1 Top-down methods**

The graphite-based starting material is converted to graphite oxide sheets via a modified Hummers method, representing the first step in most reported methods. This technique uses a mix of sulfuric acid, sodium nitrate, and potassium permanganate or other similar reagents. The majority of top-down methods, such as hydrothermal cutting, solvothermal cutting, electrochemical cutting, nanolithography, microwave-assisted cutting, and ultrasonic shearing varies in their respective ways of altering these GO sheets to quantum dots, and are termed accordingly (Bacon, et al., 2014).

#### **2.5.1.2 Bottom-up Methods**

GQDs are made by (Liu, et al., 2013) from hexa-peri-hexabenzocoronene (HBC), which is a polycyclic aromatic hydrocarbon that could be regarded as graphene nanoscaled fragments that stack via  $\pi$ - $\pi$  interactions. Disk-like GQDs measuring approximately 60nm and 2–3 nm thick was produced in their work. HBC was pyrolyzed, oxidized, functionalized, and subsequently reduced.

### **2.5.2 Application of graphene quantum dots in photovoltaics**

The application of GQDs in photovoltaic devices represents its most suitable use, due to extraordinary electronic properties of graphene and its ability to absorb a high percentage of incident light.

The improvement of the efficiency of GQD-based photovoltaics is not limited to chemical modification. Changes in the photoelectrical properties of colloidal GQDs were reported by Hamilton when orientated differently on polar surfaces. The molecular device orientation on surfaces can alter their efficiency, and even functionality, therefore, Hamilton and his co-workers investigated the effect of orientation on the colloidal GQDs photoelectric properties in solution by adjusting its orientation on a water and mica substrate. The arrangement of the GQDs on the substrate (water) was determined by the strength of the molecule–water interaction and the co-facial molecule–water interaction (Hamilton, et al., 2011).

The colloidal GQDs used by Hamilton were produced via the synthetic approach reported by (Pan, et al., 2010), which results in the carboxylated GQDs. Three types of GQDs were synthesized to obtain differing degrees of carboxylic acid modification, differing numbers of attached alkyl chains (2', 4', 6'-trialkyl phenyl), and sizes. The aggregation of the GQDs was prevented by the alkyl chains, which, due to steric constraints, twist in a 3D configuration, creating a cage around the graphene core. The photoinduced charge injection could potentially be tuned via alignment effects for increased cell performance.

GQDs have been investigated for use in DSSCs. A DSSC device consists of a sensitized photoelectrode (typically  $\text{TiO}_2$ ), an electrolyte, and a counter electrode (CE), which is commonly made from platinum. GQDs could increase the efficiency of DSSCs, as they can be used as both photo-anode sensitizers (Li, et al., 2011; Pan, et al., 2013) and composite materials in counter electrodes (Chen, et al., 2013). The size and synthesis-dependent optical properties, efficient multiple carrier generation, high PL quantum yields, tunable band gaps, high electron mobility, and high specific surface areas render GQDs suitable for DSSCs.

As briefly mentioned in the synthesis section, (Yan, et al., 2010) synthesized large (168 conjugated carbon) GQDs for photovoltaic applications, demonstrating maximum absorbance at 591nm. The GQDs had a molar absorption coefficient that is an order of magnitude larger than metal complexes used in DSSCs ( $1.0 \times 10^4 \text{ M}^{-1}$ ). Yan and his group studied the photoelectrical properties of these GQDs on a  $\text{TiO}_2$  photo-anode, which was constructed by the immersion of nanocrystalline thin films of  $\text{TiO}_2$  on conducting glass in a toluene/ethanol mixture containing GQDs. The authors calculated the energy of the HOMO (5.3 eV) and LUMO (3.8 eV) of the GQDs, which, when compared to the relative bands of  $\text{TiO}_2$  and the reduction potential of the  $\text{I}^-/\text{I}_3^-$  electrolyte, theoretically allows for the sensitization of  $\text{TiO}_2$  by GQDs.

Yan was able to produce an open current voltage of 0.48 V, however, he postulated that the efficiency can be increased by tuning functionalization of GQDs and surface chemistry, and increasing the affinity of the GQDs with the  $\text{TiO}_2$  surfaces via similar modifications.

Pan and his co-workers recently fabricated photo-electrocatalysts using GQD-sensitized nano-tube arrays.  $\text{TiO}_2$  nanotube arrays were selected by the authors due to their high *n*-type photoconductivity (excess free electrons), large internal surface area, excellent stability, and environmental friendliness. The GQDs were prepared by a hydrothermal method (Chen, et al., 2013) and were complexed with the  $\text{TiO}_2$  nanotube arrays via vacuum-assisted filling and electrophoretic filling, with the latter being preferred due to poor GQD deposition on the  $\text{TiO}_2$  nanotube arrays when using the former. The GQD- $\text{TiO}_2$  nanotube arrays hetero-junctions showed exhibited photo-electrochemical activity over unfilled  $\text{TiO}_2$  nanotube arrays and those reported for the CdS filled arrays. The researchers reported that visible light excitation of GQDs resulted in electron transfer to the  $\text{TiO}_2$ , and inferred that the mechanism for electron transfer

would lead to highly active holes on the surface of the GQDs, which would oxidize water, producing hydroxyl radicals. Pan suggested that such a mechanism (with production of a  $\cdot\text{OH}$  radical) could be useful for decomposing organic pollutants in water to  $\text{CO}_2$  and  $\text{H}_2\text{O}$ .

(Li, et al., 2011) used green-luminescent GQDs and poly (3-hexylthiophene) ( $\text{P}_3\text{HT}$ ) to construct a bulk hetero-junction polymer. They constructed a photovoltaic cell, where an electron transport cascade results in an electric current, and showed that the addition of GQDs to the active layer ( $\text{P}_3\text{HT}$ ) improved the performance of the cell, reporting an open circuit voltage of 0.67 V (conversion efficiency of 1.28 %). The increased performance is probably due to the high electron mobility within the GQDs. The low FF (conversion efficiency) can be attributed to the lack of device optimization in common with novel constructions. (Kim, et al., 2013) reported a similar system with a significantly improved performance.

(Chen, et al., 2013) recently synthesized a GQD-doped polypyrrole counter electrode, where GQDs were synthesized via the oxidation of carbon black and added to a pyrrole/lithium perchlorate solution. This complex was doped onto FTO glass by electrochemical deposition.

For the fabrication of the DSSC, an  $\text{I}^-/\text{I}_3^-$  electrolyte was sandwiched between the counter electrode and a  $\text{TiO}_2$  photo-anode. Doping the polypyrrole with GQDs resulted in a more porous structure, with more active sites and higher charge transfer rate of electrolyte reduction. A 10 % doping with GQDs results in the highest PCE, which is close to that afforded by a platinum counter electrode.

Gupta and his group also used GQD/polymer blends to improve the optoelectronic properties of photovoltaic devices containing graphene-based materials. They intend to

develop low-cost donor/acceptor materials for photovoltaic applications. They showed that GQD-polymer blends performed better than graphene-sheet-blended polymers. GQDs were synthesized via a hydrothermal method (Gupta, et al., 2011), and were then functionalized with aniline (ANI-GQDs). Two types of cells were constructed; cells with the blended ANI-GQD-functionalized polymers (P3HT/ ANI-GQD), and cells with graphene-sheet-aniline-functionalized polymers (P3HT/ ANIGSSs). The peak performance for the P<sub>3</sub>HT/ANI-GQD was for a device consisting of 1 wt % ANI-GQD. The researchers determined the morphology of the blends using atomic force microscopy, and it was found that while the GQD blend showed uniform morphology and nano-scale phase separation, the graphene sheet blend showed large-scale phase separation, which increased resistance for exciton migration and subsequently decreased performance (Oueiny, et al., 2014).

A ZnO/GQD solid-state solar cell was recently constructed by (Dutta, et al., 2012). They synthesized GQDs using a top-down approach, which were used to infiltrate and cover ZnO nanowire arrays grown on aluminum doped ZnO thin films by repeated spin-casting of an ethanolic suspension of GQDs on the nanowires. They then deposited a 60–70 nm layer of *N,N'*-diphenyl-*N,N'*-bis(3-methylphenyl)-1,1'-biphenyl)-4,4'-diamine to act as a hole transporting layer. The device was then annealed, and a gold electrode was sputtered on the TPD layer (Bacon, et al., 2014).

The researchers verified the charge transfer at the interface between the photo-excited GQDs and ZnO nanowires via emission spectroscopy and photovoltaic measurements. The open circuit voltage was reported to be 0.8 V without any optimization (Ebrahimi, et al., 2016).

Williams and his co-workers reported a hot electron injection and charge recombination dynamics for graphene QDs, anchored to the semiconductor surface via

carboxyl linkers using femtosecond time-resolved second harmonic generation. They found ultrafast electron injection from photo-excited graphene QDs to the semiconductor conduction band with time constant (Williams, et al., 2013).

University of Malaya

## CHAPTER 3: MATERIALS AND CHEMICALS

This chapter provides a detailed account of the synthesis of TiO<sub>2</sub> and ZnO nanoparticles using as a photo-anodes for DSSCs application. It will also detail the preparation of GQDs from GO and using GQDs as a photosensitizer in DSSCs. This chapter also discusses the fabrication of DSSCs by coating different layers of TiO<sub>2</sub> and ZnO nanoparticles to observe the effect of the thickness of the photo-anode on the performance of DSSCs. The principles of the analytical techniques used in the characterization of physical and chemical properties of materials will be discussed as well.

### 3.1 Materials

Graphite powder, H<sub>2</sub>SO<sub>4</sub>, H<sub>3</sub>PO<sub>4</sub>, KMnO<sub>4</sub>, Na<sub>2</sub>HPO<sub>4</sub>, NaH<sub>2</sub>PO<sub>4</sub>, KCl, TTIP, zinc acetate dihydrated (Zn(CH<sub>3</sub>COO)<sub>2</sub>·2H<sub>2</sub>O), ethanol, methanol, NaOH and Triton-X100 were purchased from Sigma-Aldrich. Ruthenium polypyridyl dye N-719, purchased from Solaronix and used as a photosensitizer.

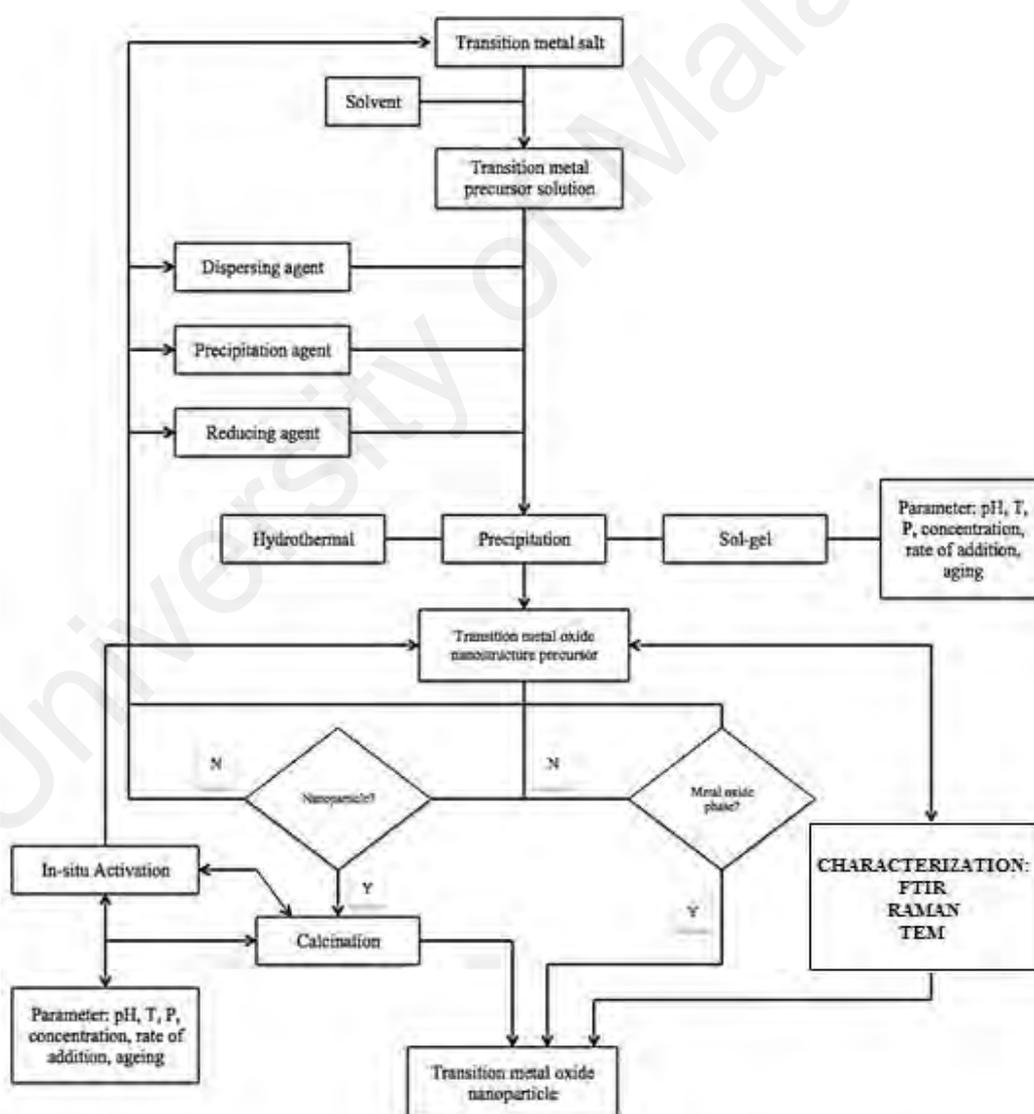
### 3.2 Synthesis and characterization of transition metal oxide nanoparticles

As shown in Figure 3.1, in order to synthesize the TMO nanoparticles, the source of transition metals such as titanium and zinc will be prepared in stirred ethanol.

TiO<sub>2</sub> nanoparticles were prepared by hydrothermal reaction of titanium isopropoxide in an acidic ethanol-water solution. TTIP was added dropwise to a mixed ethanol and water solution at pH 0.7 with nitric acid, and transferred into a Teflon-lined sealed stainless steel autoclaves at 240 °C for 4 h. The TiO<sub>2</sub> nanoparticles synthesized under this acidic ethanol-water environment were mainly primary structure in the anatase phase without secondary structure. The sizes of the particles were controlled to the range of 7-25 nm by adjusting the concentration of Ti precursor and the composition of

the solvent system. After the reaction is completed, the resulting product will be washed with ethanol, filtered, and then dried in a laboratory oven (Chae, et al., 2003).

In order to synthesize the ZnO nanoparticles, stock solutions of  $\text{Zn}(\text{CH}_3\text{COO})_2 \cdot 2\text{H}_2\text{O}$  (0.1 M) was prepared in 50ml methanol under stirring. To this stock solution 25ml of NaOH (0.2 M) solution prepared in methanol was added under continuous stirring in order to get the pH value of reactants between 8 and 11. These solutions was transferred into a teflon lined sealed stainless steel autoclaves and maintained at 200 °C for 6 h under. It was then allowed to cool naturally to room temperature (Aneesh, et al., 2007).



**Figure 3.1: Preparation of TMO nanoparticles**

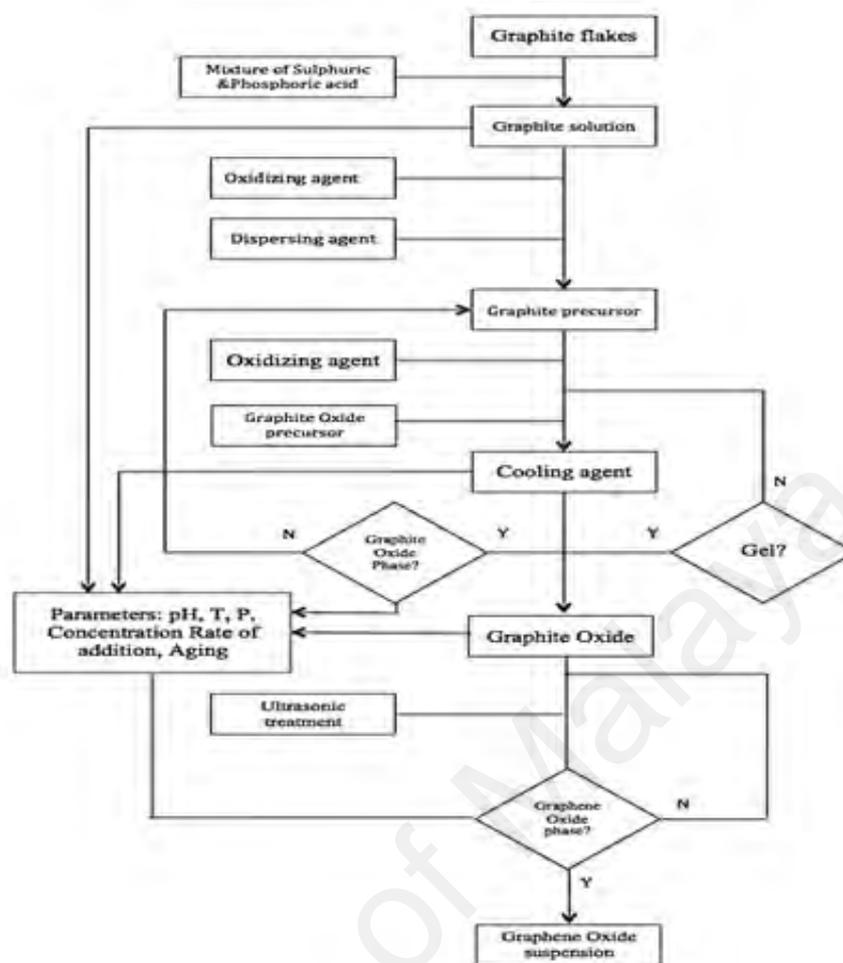
### **3.3 Dye Solution**

In order to prepare GQDs, the first step is to synthesize graphite oxide from graphite using the modified Hummer's method which the process is shown in Figure 3.2.

The properties of GQDs were compared to a benchmark ruthenium polypyridyl dye N-719, purchased from Solaronix and used as is without further purification.

#### **3.3.1 Synthesis and characterization of graphene oxide**

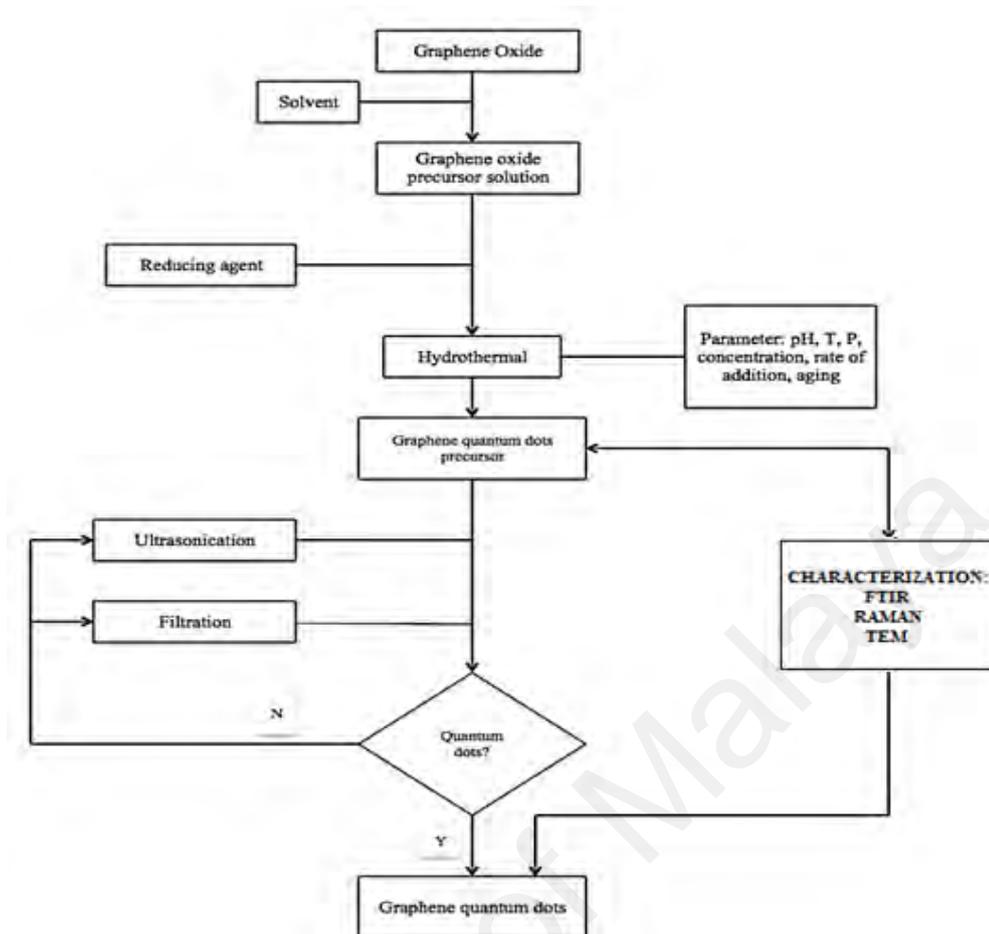
GO will be obtained by oxidizing graphite flakes with sulfuric acid ( $\text{H}_2\text{SO}_4$ ), phosphoric acid ( $\text{H}_3\text{PO}_4$ ), and potassium permanganate ( $\text{KMnO}_4$ ). The mixture will be stirred to oxidize graphite. The formed graphite oxide will be washed thrice with HCl using a centrifuge, and repeatedly washed with deionized water until its pH is  $\sim 4$ – $5$ . During the washing process with deionized water, the graphite oxide underwent exfoliation, which results in the thickening of the GO solution, leading to the formation of GO gel (Shahriary and Athawale, 2014).



**Figure 3.2: Synthesis of GO by modified Hummer's method**

### 3.3.2 Synthesis and characterization of graphene quantum dots

The preparation process of GQDs using one-pot hydrothermal method is indicated in Figure 3.3 (Shen, et al., 2012b). GO (prepared by modified Hummers method) will be added into the acid under refluxing condition. This solution will be then allowed to cool naturally to room temperature. Then, the mixture will be subjected to a hydrothermal process in a 40 mL Teflon-lined stainless-steel autoclave to obtain the GQDs solution (Fang, et al., 2014). The solution will be ultra-sonicated and subsequently filtered.



**Figure 3.3: Preparation of GQDs via hydrothermal method**

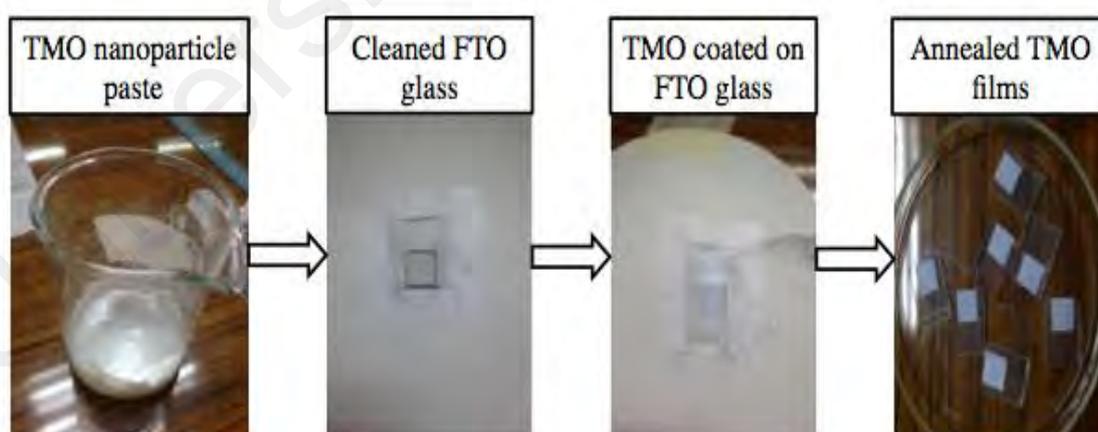
### 3.4 Fabrication of DSSCs

The preparation of DSSCs is crucial for the advancement of DSSC technology. Each of its components is capable of influencing the PEC parameters. The quality of materials, variation of the spacer distance between the photo-anode and the counter electrode, and quality of electrical contacts on the electrodes can result in different values of short-circuit photocurrents and photo-voltages. Both physical and chemical engineering should be optimized to obtain highly efficient devices. This chapter describes the methods used to prepare DSSCs in this work.

### 3.4.1 TMO nanoparticles coating on FTO glass by the Dr. Blade method

FTO-glass was cut into 2×2 cm strips, the FTO slides were cleaned by dipping it in detergent solution for 15 min, then sonicated 5 min each time in acetone, ethanol, and distilled water.

TMO pastes were prepared by adding 1 g TMO nanopowders in 5mL of absolute ethanol, 2mL of Triton-X100, and 0.5 mL of distilled water, and stirred for 20 minutes at room temperature. After that, the TMO pastes were deposited onto a cleaned FTO-glass using the doctor-blade technique. The thickness of the subsequent films can be altered using multiple layer of scotch tape masks and additional TMO pastes after its annealed at 450°C for 30 minutes. After annealing, the TMO film has cooled for 15 minutes at room temperatures. The fabrication process of TMO nanoparticles films is shown in Figure 3.4. After that, the surface-treated TMO nanoparticles were immersed in ethanol solutions of ruthenium-based dye and GQDs at room temperature to allow for sufficient dye absorption.

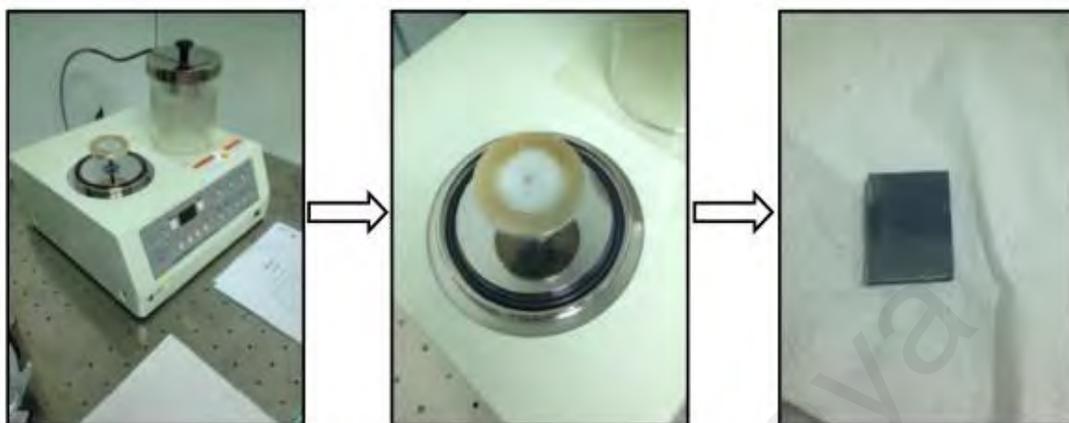


**Figure 3.4: Fabrication process of TMO nanoparticles films**

### 3.4.2 Preparation of counter electrode

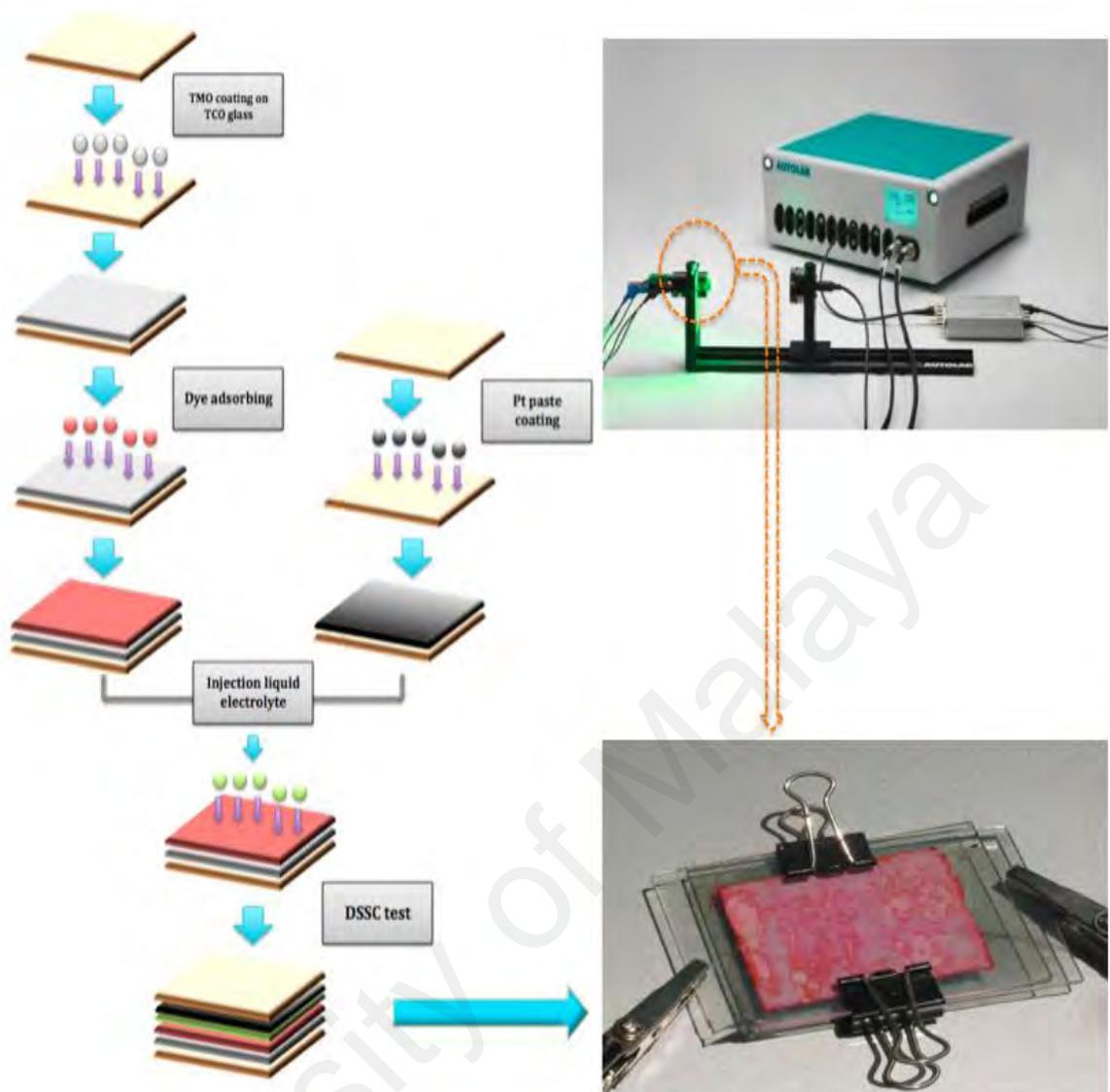
Platinum-coated FTO glass will be used as the counter electrode, prepared by placing a drop of platinum-ethanol solution onto the clean FTO glass substrate, and

subsequently sintered. The fabrication process of the photocathode is shown in Figure 3.5.



**Figure 3.5: Fabrication process of TMO nanoparticles films**

The DSSC will be sandwiched between the TMO nanoparticles paste-coated FTO glass (anode) and the platinum coated onto FTO glass (cathode). The fabrication process of the DSSC is shown in Figure 3.6.



**Figure 3.6: Schematic view of DSSC**

### 3.5 Material characterization technique

#### 3.5.1 X-ray Diffraction

The crystalline states under normal atmospheric conditions are measured by X-ray diffractometer. X-rays focused on a sample fixed on the axis of the spectrometer are diffracted by the sample and the changes in the diffracted X-ray intensities are measured and plotted against the rotation angles of the sample. The result is referred to as the X-ray diffraction (XRD) pattern of the sample. Computer analysis of the peak positions and intensities associated with this pattern enables qualitative analysis, lattice constant determination and stress determination of the sample. Qualitative analysis may

be conducted on the basis of peak height or peak area. The peak angles and profiles can be used to determine particle diameters and degree of crystallization, and are useful in conducting precise X-ray structural analysis (Rahima, 2008). XRD was used to identify the crystal phase and estimate crystal size (Bruker-D8).

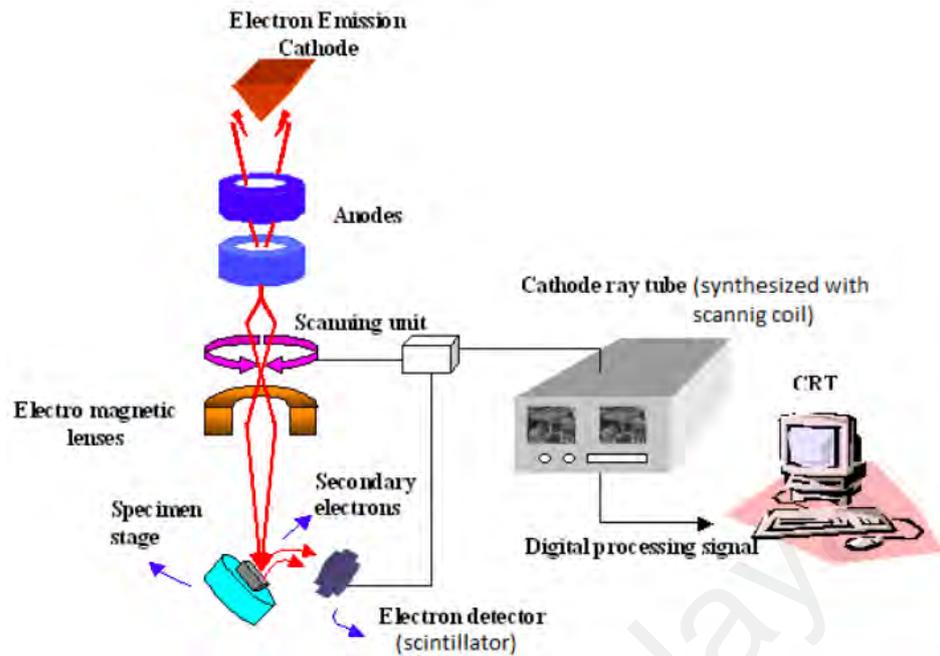
### **3.5.2 Fourier Transform Infrared Spectroscopy**

Fourier transform infrared spectroscopy (FTIR) is a technique to determine the functional group in the samples. 200 mg of potassium bromide and 1% of the samples were mixed and made the pellets by pressing at 10 tonnes for preparing KBr disc. The FTIR spectra of samples were characterized by a Bruker IFS 66/S FTIR (Germany) with  $4\text{ cm}^{-1}$  resolution.

### **3.5.3 Field Emission Scanning Electron Microscopy**

The morphologies and thicknesses of  $\text{TiO}_2$  and  $\text{ZnO}$  nanostructure coated on FTO glasses were studied by Field Emission Scanning Electron Microscopy (FESEM) that scans the sample with a focused beam of electrons and as a result of the bombardment different type of electrons is coming out from the specimen.

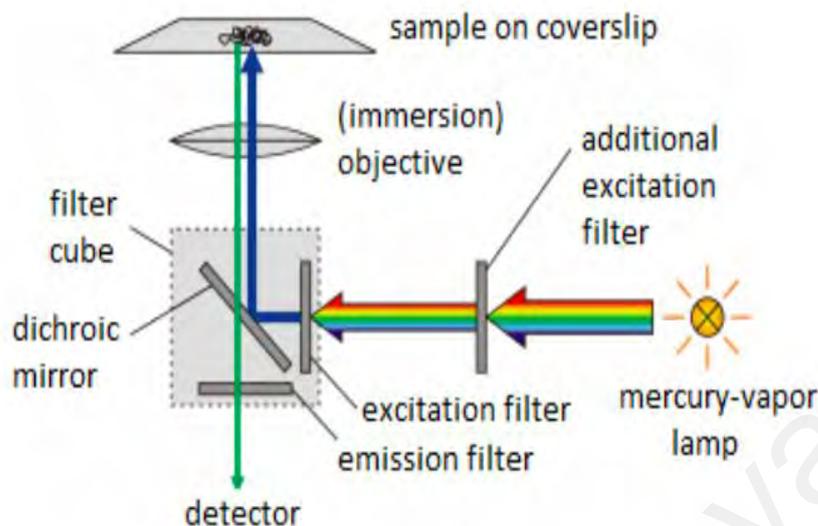
The schematic diagram of FESEM can be seen in Figure 3.7. The secondary electrons are collected or detected by a detector. The surface image of the sample is built up by comparing the intensity of these secondary electrons with the scanning primary electron beam. The FESEM images of samples were captured by a scanning electron microscopy of Model: Nova Nanosem 230.



**Figure 3.7: Schematic of the working principle of FESEM**

### 3.5.4 Photoluminescence spectroscopy

One of the forms of a quantum mechanical which called PL is fluorescence. The process that the electron absorbs photons and move from the valence band to conduction and then re-radiates photons is PL. The schematic of the working of PL spectroscopy is shown in Figure 3.8. It shows specially, a setup with a mercury-vapor lamp as a light source. Dichroic mirror, excitation and emission filter are joined in a so-called filter cube, in many commercial fluorescence microscopes. The PL of TiO<sub>2</sub>, ZnO and GQDs which were coated on FTO glasses were measured by spectrofluorophotometer (Model: RF-5301PC).



**Figure 3.8: Schematic of the working principle of PL spectroscopy**

### 3.5.5 UV-Visible Spectrophotometry

UV-Visible spectra of GQDs, TiO<sub>2</sub> and ZnO nanoparticles were obtained between 300 nm and 750 nm with the spectral acquisition range extended out to 850 nm for the N-719 dye. Solution state UV-visible spectra were measured on a Shimadzu UV 1601 spectrophotometer connected to a PC running UV-Vis Probe software.

### 3.5.6 Raman Spectroscopy

The Raman spectra of GQDs were obtained using a Renishaw inVia™ confocal Raman microscope employing a 532 nm excitation wavelength to confirm the reduction of graphene. Excitation was provided by a HeNe laser (Melles Griot). The exciting laser radiation was coupled into a Zeiss microscope through a wavelength-specific single mode optical fiber. The incident laser beam was collimated via an achromatic lens and passes a holographic band-pass filter before it was focused onto the sample through the microscope objective. The sample is located on a piezo-electrically driven microscope scanning stage with an x, y resolution of ca. 3 nm and a repeatability of 5nm, and z resolution of ca. 0.3 nm and 2 nm repeatability. The Raman back-scattered radiation

was detected by a back-illuminated deep depletion, 1024 128 pixel charge-coupled device camera operating at -82.

### **3.5.7 Brunauer-Emmett-Teller (BET) Surface Area Analysis**

The Brunauer-Emmett-Teller (BET) theory (an extension of the Langmuir adsorption theory<sup>44</sup>) uses gas adsorption to determine surface area was proposed in 1938. BET has since become the most extensively used method to calculate surface area of adsorbent materials, including nanocatalysts and catalyst supports. BET theory assumes that gas molecules are adsorbed randomly onto a surface of equivalent sites with no interaction between adsorbed molecules in the same layer. It further assumes that each layer of gas molecules are essentially the 'first' layer on the adsorbent, with each subsequent adsorbed layer behaving as the surface of the adsorbent, therefore, the rate of adsorption and the heat of condensation do not change between subsequent layers (Olsen, 2013).

### **3.5.8 High Resolution Transmission Electron Microscopy**

Transmission electron microscopy (TEM) images of as-received nanostructures were captured using Philips PW 6061 TEM system (model CM 200, Eindhoven, Netherlands) to analyze the dimension and structure of nanostructures. FEIG-4020, 500kV high-resolution transmission electron microscopy (HRTEM) was used to study GQDs structure.

## **3.6 Photovoltaic performance**

### **3.6.1 Current-voltage characteristics**

One of the most essential measurements of a solar cell is the current-voltage (I-V) measurement. In order to be able to compare performances of solar cells the I-V curve is measured under the illumination of a lamp with a spectrum similar to the AM1.5G illumination (Figure 3.9). The AM1.5G spectrum is the spectrum of sunlight that has traveled 1.5 times the thickness of the atmosphere. The intensity of the illumination is

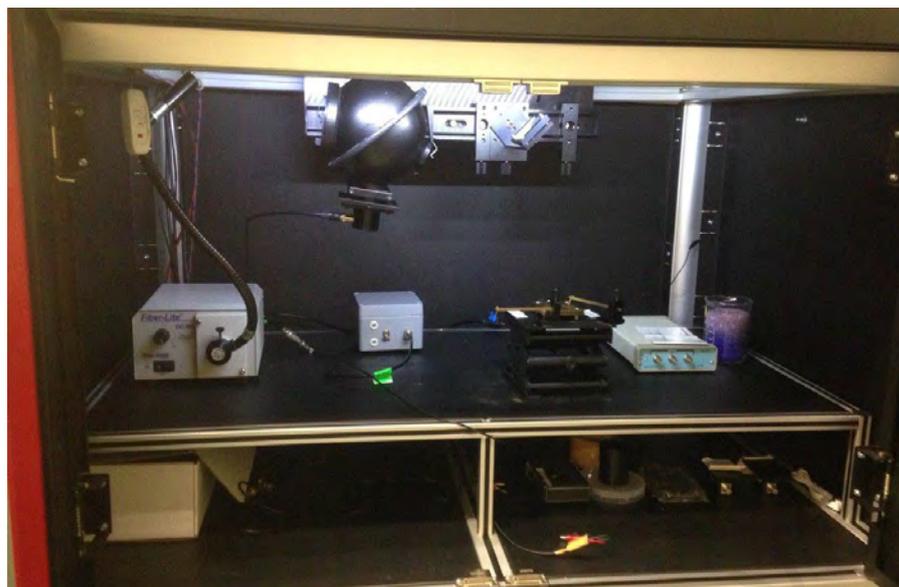
calibrated to  $1000 \text{ W/m}^2$ , equal to 1 sun. The I-V characteristics are monitored under illumination by applying an external potential between the working and counter electrode. The external potential is altered from  $J_{sc}$  to  $V_{oc}$  or opposite depending on scanning direction. The I-V curve can also be measured under dark conditions. This will give information about recombination to the oxidized redox species. Since no oxidized dye is present in dark, the dark current is a measure of electrons going in the reverse way, from the TMOs to the oxidized species of the redox couple.



**Figure 3.9: Current- voltage characteristic**

### **3.6.2 Incident photon to current conversion efficiency**

The incident photon to current conversion efficiency (IPCE) is a measure of the efficiency of the solar cell to convert the incoming photons to photocurrent at different wavelengths was performed by Photovoltaic SR\_EQE\_IQE\_Mapping (Model: PVE300-IVT) which is shown in Figure 3.10. This is done by measuring the resulting photocurrent of the solar cell when illuminated by monochromatic light.



**Figure 3.10: Incident photon to current conversion efficiency**

### 3.6.3 Electrochemical Impedance Spectroscopy

Electrochemical impedance spectroscopy is a recent tool in corrosion and solid state laboratories that are slowly making its way into the service environment as units are decreased in size and become portable. Impedance Spectroscopy is also called AC Impedance or just Impedance Spectroscopy.

The usefulness of impedance spectroscopy lies in the ability to distinguish the dielectric and electrical properties of individual contributions of components under investigation. In order to gain deeper insight into the interfacial charge transfer process within the fabricated bio-electrode, the electrochemical impedance spectra (EIS) were recorded in a frequency range between 0.01 Hz and 100 kHz. All electrochemical measurements were performed using a computer controlled Potentiostat/Galvanostat (302N Autolab).

## CHAPTER 4: RESULTS AND DISCUSSION

The objective of this research is to replace the GQDs with ruthenium-based dye in DSSCs and observe the effect of photo-anodes with different thickness on the performance of DSSCs. This chapter presents a detailed description of the results obtained through experimentations and scientific analysis of the outcomes.

### 4.1 Material characterization

#### 4.1.1 Characterization of TiO<sub>2</sub> nanoparticles

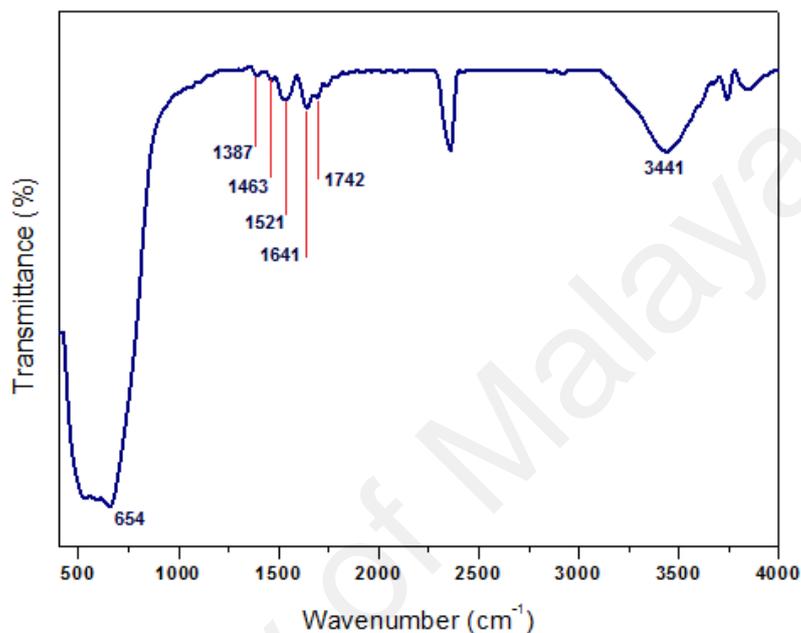
The key to the breakthrough for DSSCs in 1999 was the use of a mesoporous TiO<sub>2</sub> electrode with a high internal surface area to support the monolayer of a sensitizer. Typically, the increase of surface area using mesoporous electrodes is a factor in DSSCs. TiO<sub>2</sub> still reports the highest efficiency, but many other metal oxide systems have been tested, such as ZnO, SnO<sub>2</sub>, and Nb<sub>2</sub>O<sub>5</sub> (Xin, 2012).

TiO<sub>2</sub> is believed to be the most suitable semiconductor for environmental remediation. A major advantage of nanostructured solar cell is their large surface-to-volume ratios, which allows for increased loading of sensitizers and the potential for an increased number of current-producing electron transfer chemical reactions (Kamat, et al., 2010).

In this study, we prepared TiO<sub>2</sub> nanoparticles by a hydrothermal method. To analyze the prepared TiO<sub>2</sub> nanoparticles, the FTIR spectrum was recorded, and shown in Figure 4.1. The peak centered at 654 cm<sup>-1</sup> is attributed to TiO<sub>2</sub> and assigned to the stretching of Ti-O-Ti (Esteban Benito, et al., 2014). The absorption band at 1387cm<sup>-1</sup> can be assigned to the lattice vibrations of TiO<sub>2</sub>. The absorption band at 1641cm<sup>-1</sup> is due to a bending vibration of coordinated H<sub>2</sub>O, as well as Ti-OH, and the characteristic band position at 3441 and 3742cm<sup>-1</sup> are attributed to O-H stretching (Zamiri, et al., 2014).

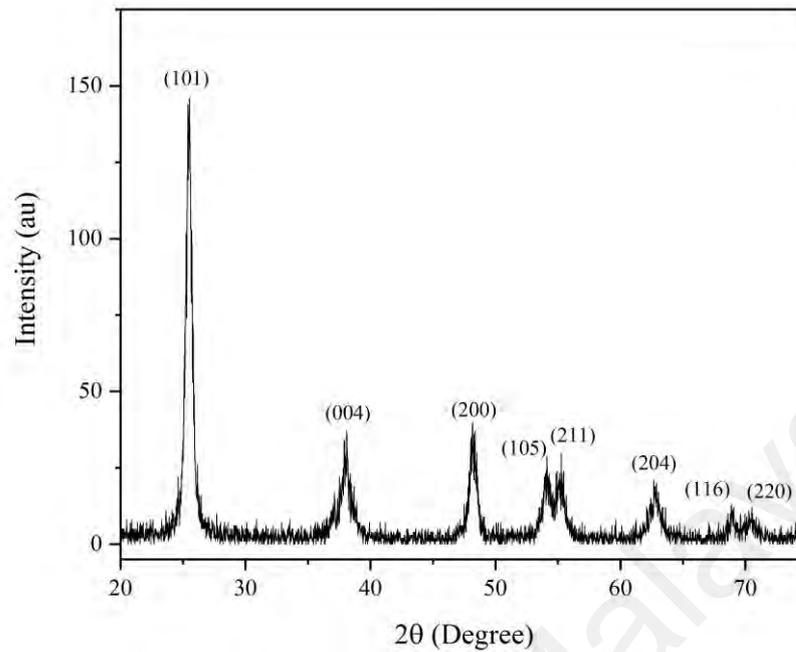
**Table 4.1: Characteristic peaks of TiO<sub>2</sub> nanoparticles**

Characteristic absorptions (cm <sup>-1</sup> )	654	1387	1641	3441, 3742
Functional group	Stretching of Ti-O-Ti	The lattice vibrations of TiO <sub>2</sub>		O-H stretching



**Figure 4.1: FTIR spectrum of TiO<sub>2</sub> nanoparticles**

The XRD patterns of TiO<sub>2</sub> are shown in Figure 4.2. The wide-angle XRD pattern showed anatase-phase TiO<sub>2</sub> with characteristic diffraction peaks of 2θ values at about 25.6(101), 38.1(004), 48.5(200), 54.7(105), 55.3(211), 63.0(204), 68.9(116), 70.8(220) and 76.1(215), respectively (Cheng, et al., 1995).

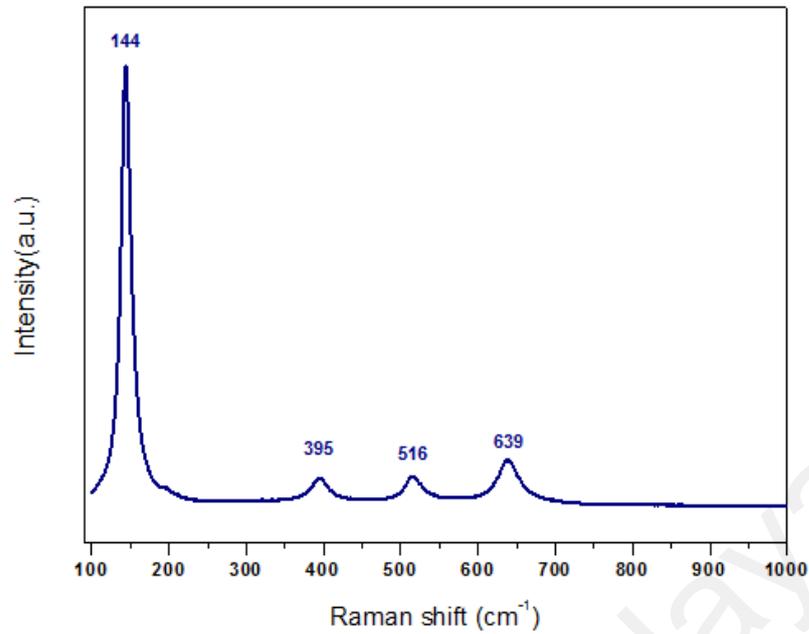


**Figure 4.2: XRD pattern of TiO<sub>2</sub> nanoparticles**

Figure 4.3 shows the Raman spectrum of TiO<sub>2</sub> nanoparticles. Raman spectroscopy provides information regarding the lattice vibration of nanostructure materials. Four bands at 144 cm<sup>-1</sup> (E<sub>g</sub>), 395 cm<sup>-1</sup> (B<sub>1g</sub>), 516 cm<sup>-1</sup> (A<sub>1g</sub>), and 639 cm<sup>-1</sup> (E<sub>g</sub>) are observed in the Raman spectra of the TiO<sub>2</sub>, which are the characteristic Raman modes of the anatase phase (Swamy, et al., 2005).

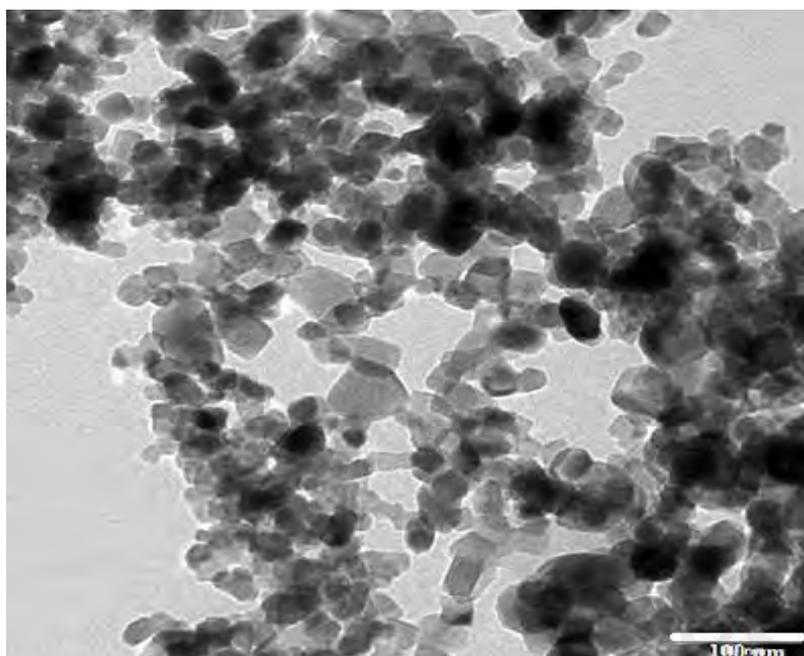
**Table 4.2: Raman peaks of TiO<sub>2</sub> nanoparticles**

Band position	144 (cm <sup>-1</sup> )	395 (cm <sup>-1</sup> )	516 (cm <sup>-1</sup> )	639 (cm <sup>-1</sup> )
Details	E <sub>g</sub>	B <sub>1g</sub>	A <sub>1g</sub>	E <sub>g</sub>



**Figure 4.3: Raman spectrum of TiO<sub>2</sub> nanoparticles**

TEM was used to study the morphology and average particle size of the synthesized TiO<sub>2</sub> nanoparticles. The prepared sample show heterogeneity in its shape and size. The TEM image of the TiO<sub>2</sub> nanoparticles in Figure 4.4 indicates fine nanoparticles measuring around 50 nm. Interesting correlations can be established between the morphology and hydrothermal conditions of the preparation.

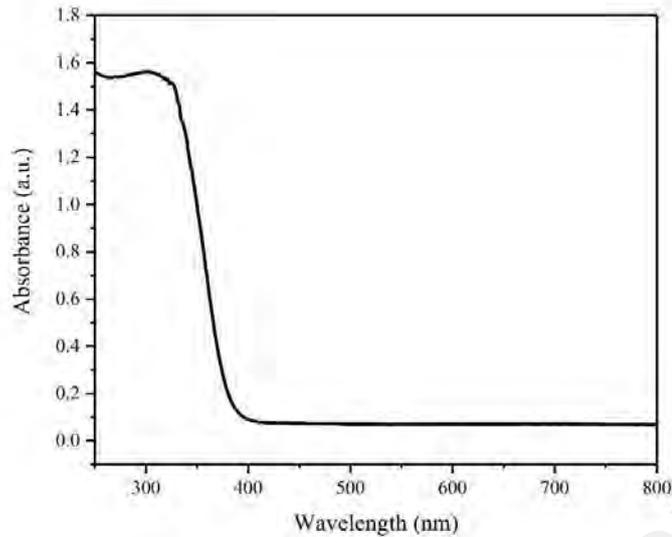


**Figure 4.4: TEM images of TiO<sub>2</sub> nanoparticles**

The UV pattern of TiO<sub>2</sub> is shown in Figure 4.5. To investigate the optical absorption properties of TiO<sub>2</sub> nanoparticles under present investigation, UV-Vis study was carried out (Figure 4.5). The band gap energy ( $E_g$ ) was calculated according to the equation:

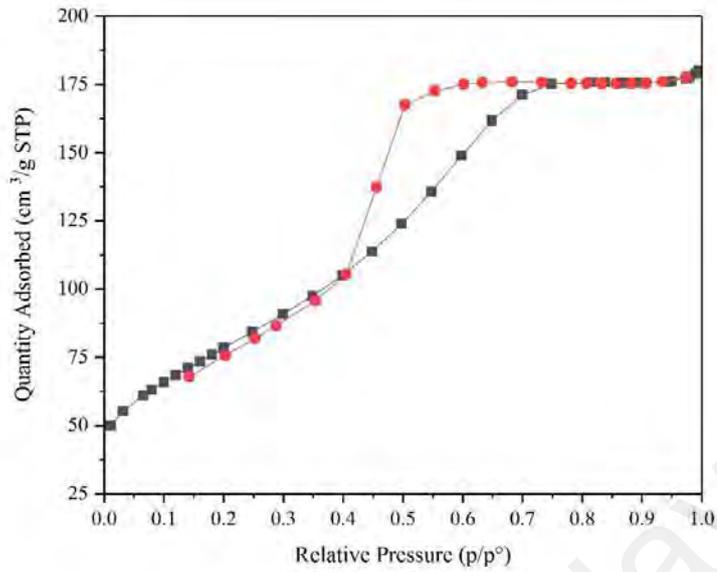
$$E_g = h c / \lambda \quad (4.1)$$

Where  $E_g$  is the band gap energy (eV),  $h$  is Planck's constant,  $c$  is the velocity of light (m/s) and  $\lambda$  is the wavelength in nm. The band gap value for absorption to be 335 nm was calculated by above formula is 3.2 eV (Hema, et al., 2013).



**Figure 4.5: UV-Visible spectrum of TiO<sub>2</sub> nanoparticles.**

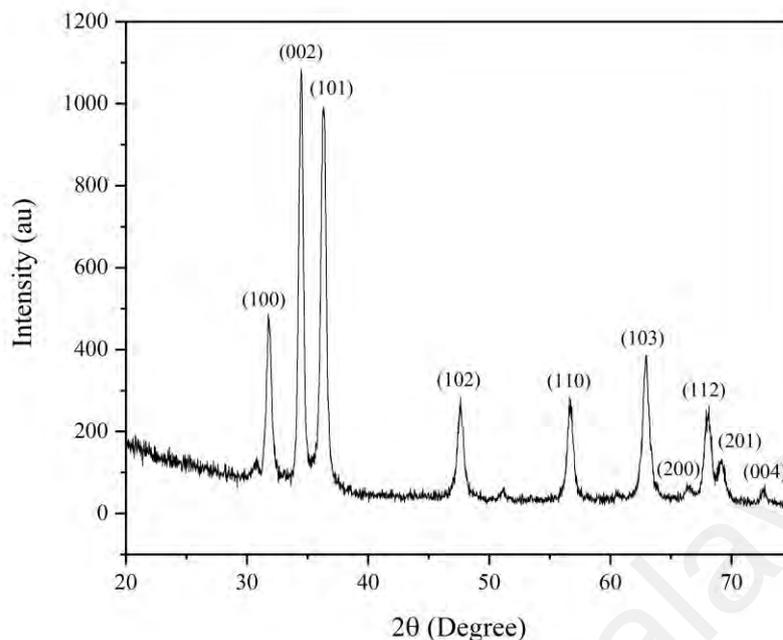
Specific surface area is a significant microstructural parameter of materials particles, which depends on the geometrical shape and porosity. This includes the Brunauer–Emmett–Teller (BET) surface area analysis. The microstructural characteristics of the nanoparticles are investigated with the N<sub>2</sub> adsorption–desorption analysis. Figure 4.6 shows the N<sub>2</sub> adsorption–desorption isotherm OF TiO<sub>2</sub> nanoparticlares. It exhibits a type IV isotherm typical for mesoporous materials with a hysteresis loop. This hysteresis is an intermediate between typical H<sub>1</sub> and H<sub>2</sub> type hysteresis loop in the relative pressure range ( $p/p_0$ ) 0.4–1.0 suggesting large uniform mesopores with a cage-like pore structure connected by windows with a small size. The specific surface area is determined from the isotherms to be 52.60 m<sup>2</sup>/g based on the BET model (Swapna and Haridas, 2016).



**Figure 4.6: Nitrogen adsorption-desorption of TiO<sub>2</sub> nanoparticles**

#### 4.1.2 Characterization of ZnO nanoparticles

The crystal structure of ZnO nanoparticles was characterized by XRD. Figure 4.7 shows XRD patterns of ZnO nanoparticles. The peaks at  $2\theta = 31.67^\circ$ ,  $34.31^\circ$ ,  $36.14^\circ$ ,  $47.40^\circ$ ,  $56.52^\circ$ ,  $62.73^\circ$ ,  $66.28^\circ$ ,  $67.91^\circ$ ,  $69.03^\circ$ , and  $72.48^\circ$  were assigned to (100), (002), (101), (102), (110), (103), (200), (112), (201), and (004). No characteristic peaks of any impurities were detected, suggesting that high-quality ZnO nanoparticles were synthesized (Akhtar, et al., 2012).

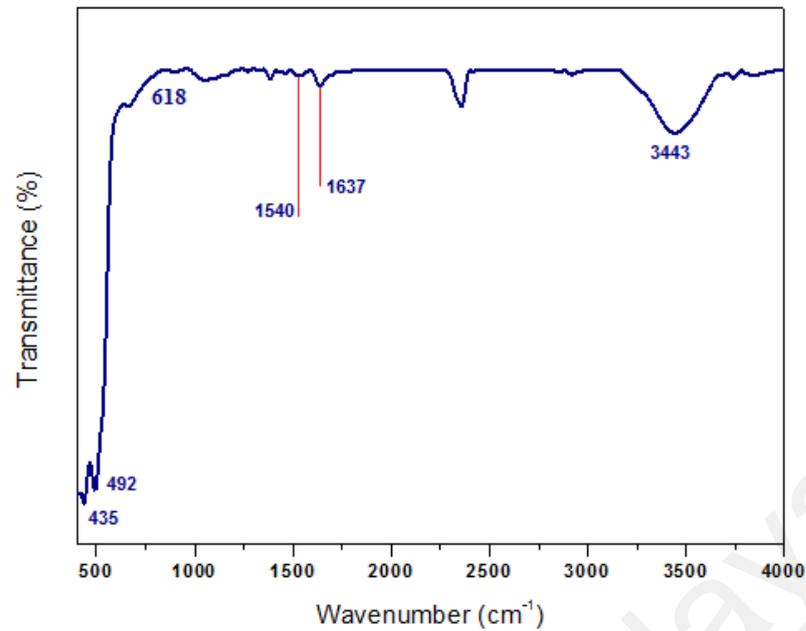


**Figure 4.7: XRD spectrum of ZnO nanoparticles**

Figure 4.8 shows the FTIR spectra of the ZnO nanoparticles. Infrared studies were conducted in order to ascertain the purity and nature of the nanoparticles. Metal oxides generally reports absorption bands in fingerprint region, i.e. below  $1000\text{ cm}^{-1}$ , arising from inter-atomic vibrations. The peaks observed at  $3443$  and  $1540\text{ cm}^{-1}$  are due to O-H stretching and deformation, respectively, assigned to the water adsorption on the metal surface. The peaks at  $1637$  and  $618\text{ cm}^{-1}$  correspond to Zn-O stretching and deformation vibrations, respectively (Kumar and Rani, 2013).

**Table 4.3: Characteristic peaks of ZnO nanoparticles**

Characteristic absorptions ( $\text{cm}^{-1}$ )	430-500	618	1540	1637	3443
Functional group	Metal oxide bond (ZnO)	Zn-O deformation	The O-H bending vibrations	Zn-O stretching	O-H stretching vibration in pure ZnO

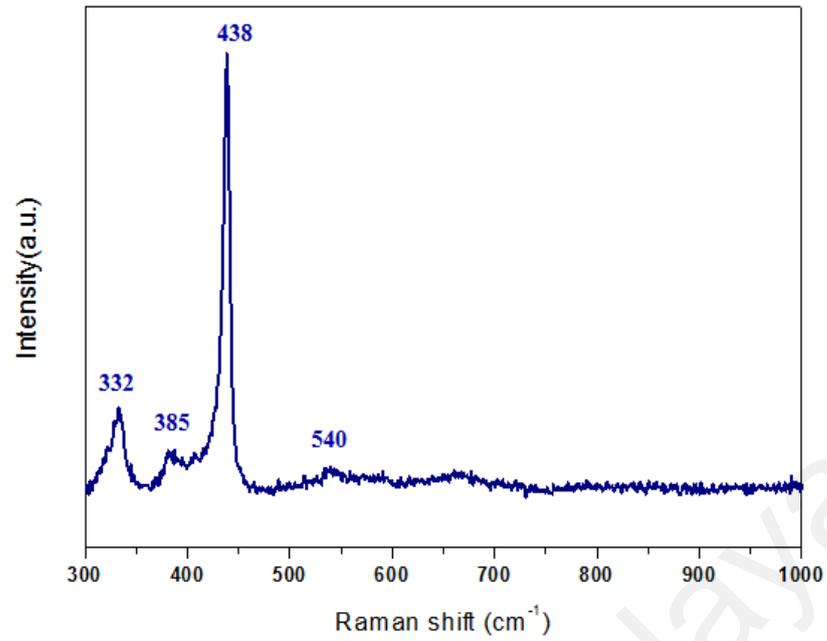


**Figure 4.8: FTIR spectrum of ZnO nanoparticles**

Figure 4.9 shows the Raman spectrum of ZnO nanoparticles. The peak that belongs to the ZnO lattice vibration at  $438\text{ cm}^{-1}$  appears to be sharp and narrow. The other small peak on the right at  $540\text{ cm}^{-1}$  corresponds to the oxygen vacancies in the ZnO nanoparticles. The ratio of zinc and oxygen in ZnO nanoparticles is more obvious at low temperatures, and the small peaks on the left at  $332$  and  $385\text{ cm}^{-1}$  can be accurately analyzed. The Raman spectrum of ZnO nanoparticles was reported by Marie and his co-workers, showing the same peaks at  $332\text{ cm}^{-1}$  ( $E_{2\text{high}}-E_{2\text{low}}$ ),  $385\text{ cm}^{-1}$  ( $A_1(\text{TO})$ ),  $438\text{ cm}^{-1}$  ( $E_{2\text{high}}$ ), and  $540\text{ cm}^{-1}$  ( $A_1(\text{LO})$ ) (Marie, et al., 2015).

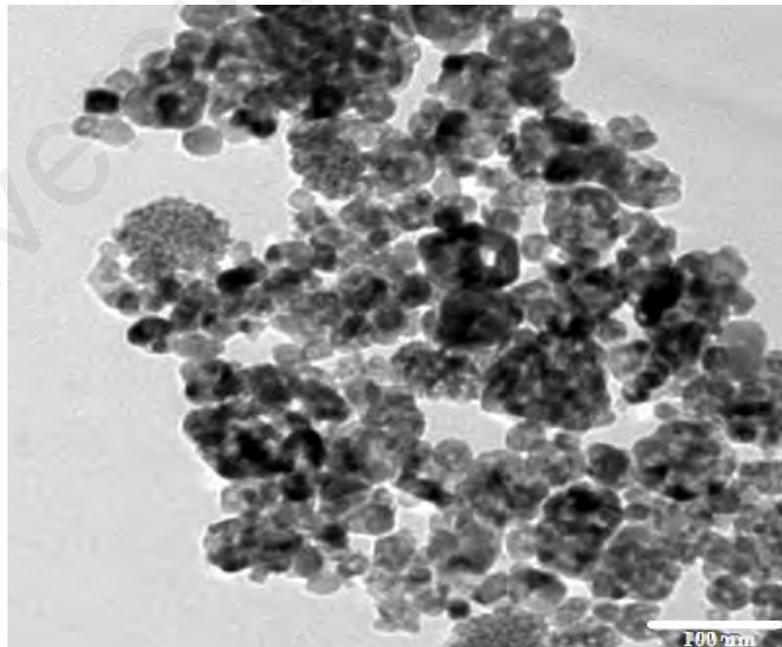
**Table 4.4: Raman peaks of ZnO nanoparticles**

<b>Band position</b>	<b>332 (cm<sup>-1</sup>)</b>	<b>385 (cm<sup>-1</sup>)</b>	<b>438 (cm<sup>-1</sup>)</b>	<b>540 (cm<sup>-1</sup>)</b>
<b>Details</b>	$E_{2\text{high}}-E_{2\text{low}}$	$A_1(\text{TO})$	$E_{2\text{high}}$	$A_1(\text{LO})$



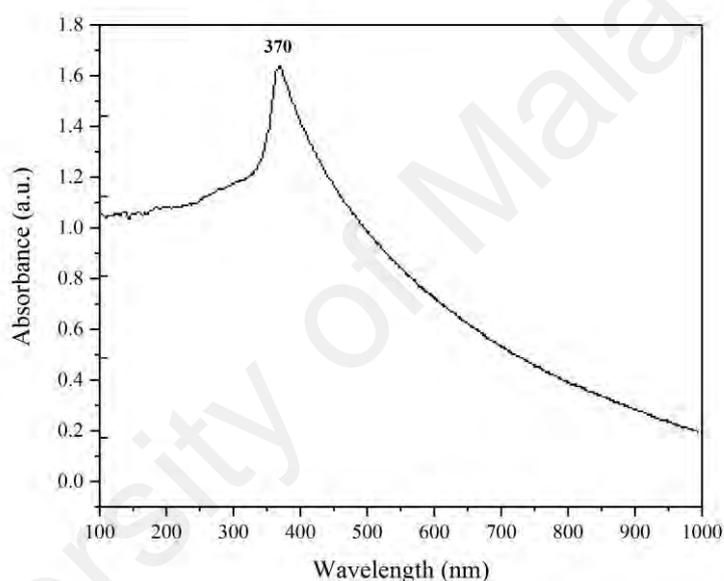
**Figure 4.9: Raman spectrum of ZnO nanoparticles**

Figure 4.10 shows the TEM images of ZnO nanoparticles. The prepared sample showed heterogeneity in its shapes and sizes. It is obvious from the image that the sample is well dispersed, with an average particle size of approximately 50 nm.



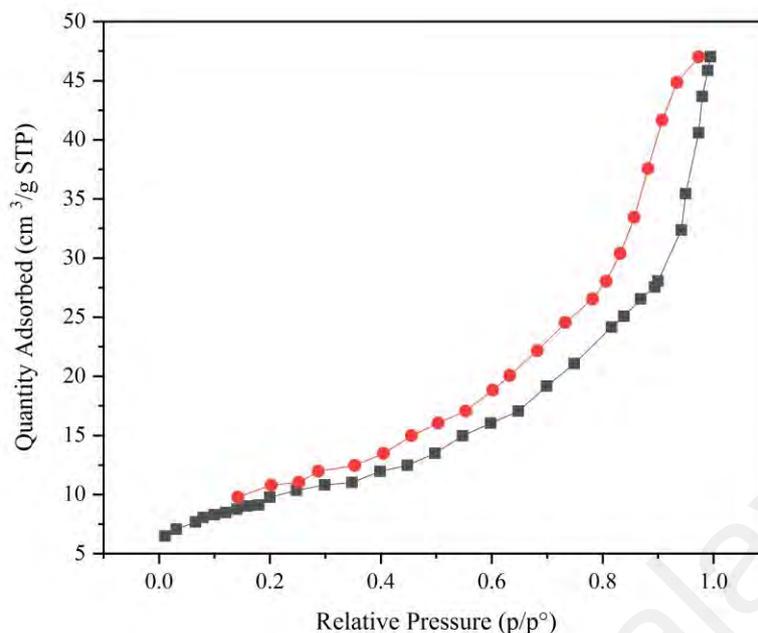
**Figure 4.10: TEM images of ZnO nanoparticles**

For analytical study of the prepared sample, the amount of absorption within wave length of 300-550 nm was observed by uv-vis spectroscopy. It is known that an absorption band at about 370 nm due to surface plasmon resonance in ZnO nanoparticles. Figure 4.11 shows the UV-Vis spectrum of ZnO nanoparticles recorded between 300 and 550 nm. As illustrated the peak centered 370 nm confirms the formation of ZnO nanoparticles in the solution (Ghorbani, et al., 2015). The band gap value was calculated from the formula (4.1) has been 3.3 eV (Akhtar, et al., 2012).



**Figure 4.11: UV-Vis spectrum of ZnO nanoparticles**

Figure 4.12 shows the N<sub>2</sub> adsorption–desorption isotherm OF ZnO nanoparticlares which is characterized by the hysteresis loop, and it does not exhibit any limiting adsorption at high relative pressures. The specific BET surface area of the ZnO nanoparticles was determined to be 37.5 m<sup>2</sup>/g (Do, et al., 2014).



**Figure 4.12: Nitrogen adsorption-desorption of ZnO nanoparticles**

#### 4.1.3 Characterization of GQDs

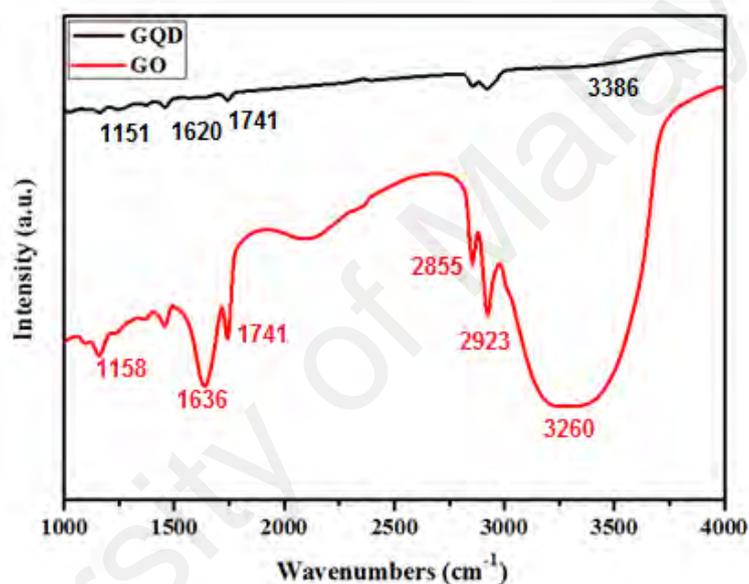
A dye used in DSSC should possess a wide panchromatic capacity to harvest light to produce a large current and high molar extinction coefficient to excite as many electrons as possible, molecular orbital that matches the host material conduction band for efficient charge injection, excellent chemical properties to stabilize the monolayer on the host material, and a low HOMO energy level that can be regenerated with electrolyte and produce a large driving force for electron flow through the external circuit (Hagfeldt, et al., 2010; Luitel, 2015).

The FTIR spectra of GO and GQDs are shown in Figure 4.13. Each GQD show defects due to incomplete reduction. FTIR proved the presence of -OH, epoxy/ether, C=O; it is assumed that these groups caused the structural defects. The peak at 1741  $\text{cm}^{-1}$  belongs to C=O, while the peaks at 1259 and 1370  $\text{cm}^{-1}$  correspond to epoxy/ether groups, making GQDs water soluble. The peak centered at 1620  $\text{cm}^{-1}$  is assigned to C=C stretching, and the broad range from 2500 to 3500  $\text{cm}^{-1}$  confirms the presence of

COOH. The characteristic band position at  $3386\text{ cm}^{-1}$  is attributed to O-H stretching (Ting, et al., 2015).

**Table 4.5: Characteristic peaks of GQDs**

Characteristic absorptions ( $\text{cm}^{-1}$ )	1151	1620	1741	2500-3500	3386
Functional group	C-O stretching of alkoxy groups	C=C stretching	C=O	Carboxylic group	O-H

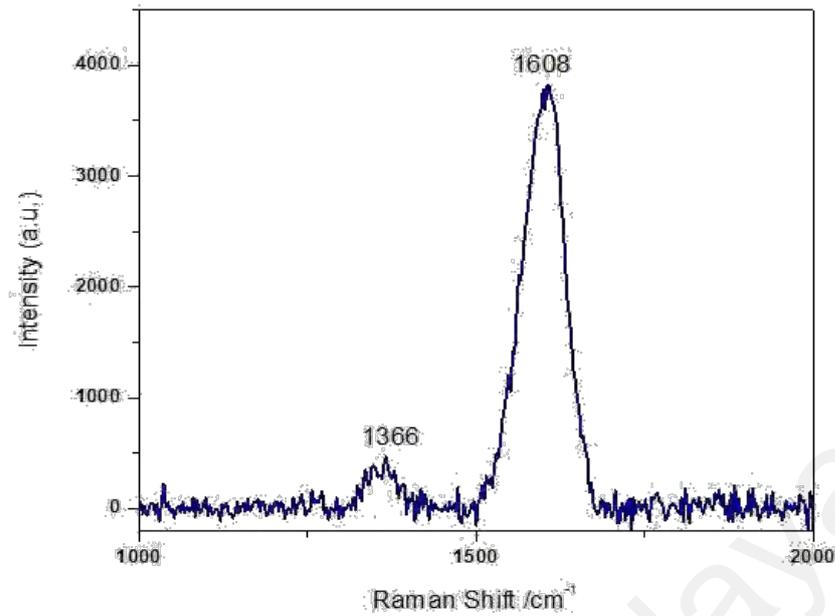


**Figure 4.13: FTIR spectra of GO and GQDs**

Figure 4.14 shows the Raman spectrum of the GQDs. The G ( $1608\text{ cm}^{-1}$ ) and D bands ( $1366\text{ cm}^{-1}$ ) of GQDs show a large intensity ratio ( $I_D/I_G$ ) at 0.85. The results imply that the surfaces of GQDs have many structural defects capped with various oxygenated groups (Fan, et al., 2015).

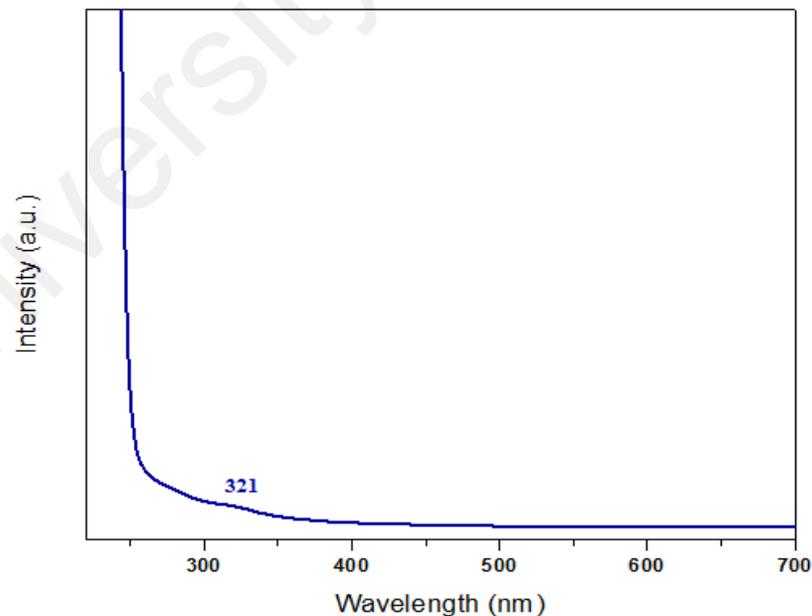
**Table 4.6: Raman peaks of GQDs**

D Band ( $\text{cm}^{-1}$ )	G band ( $\text{cm}^{-1}$ )
1366	1608



**Figure 4.14: Raman spectrum of GQDs**

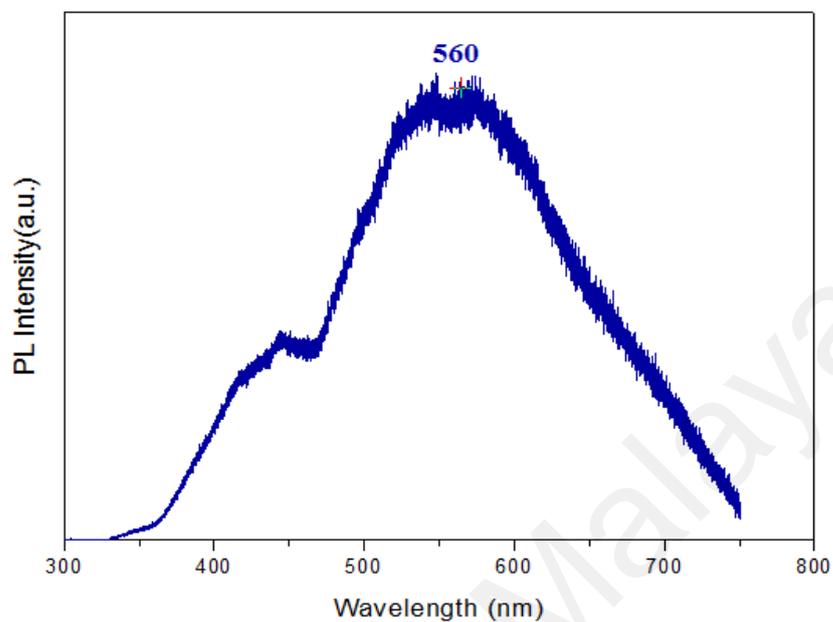
Figure 4.15 shows the GQDs efficiently absorbing UV light. A typical absorption peak at 321 nm was observed, which is similar to the reported GQDs. The peak at 321 nm represents the uniform  $sp^2$  clusters in GQDs.



**Figure 4.15: UV-Visible spectrum of GQDs**

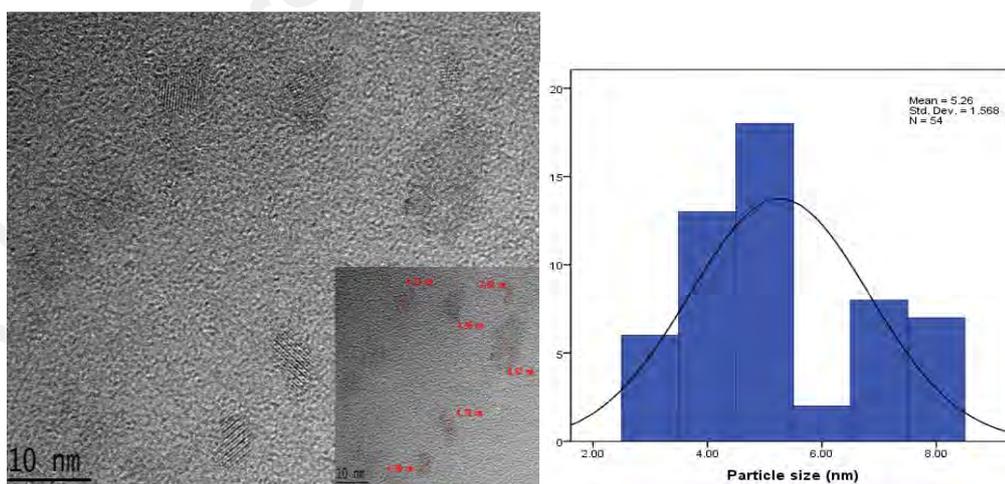
In Figure 4.16, an excitation-dependent PL spectrum was obtained from GQDs, while two peaks at 430 nm and 560 nm were observed with 321 nm excitation. The PL

spectrum was dominated by the peak at 560 nm, which is responsible for green fluorescence of GQDs (Ting, et al., 2015).



**Figure 4.16: Photoluminescence spectrum of GQD**

Figure 4.17 shows HRTEM image of GQDs. The prepared GQDs have a quite uniform size of around 5nm.



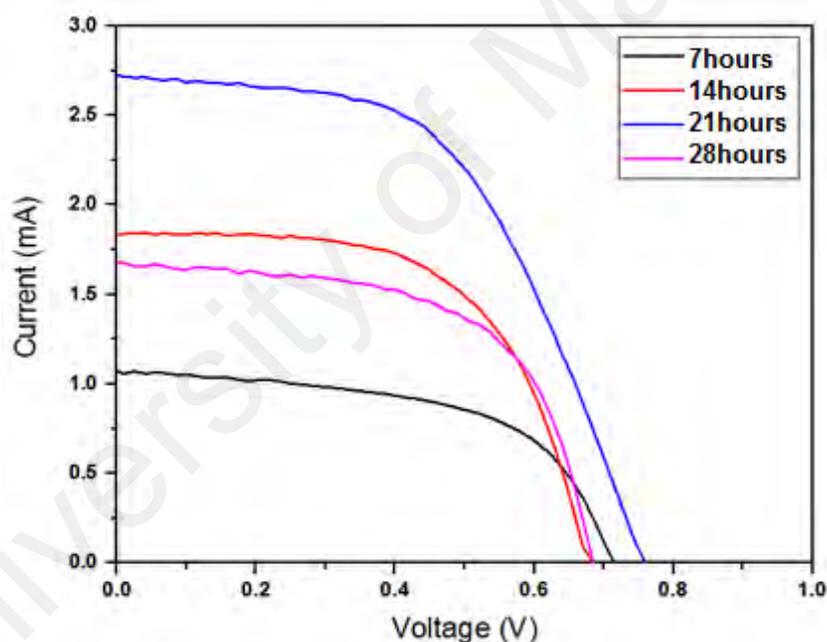
**Figure 4.17: HRTEM images of GQDs**

## 4.2 Characterization of DSSCs based on TiO<sub>2</sub> photo-anode

### 4.2.1 Effect of different immersion time in GQDs on PCE in DSSCs based on TiO<sub>2</sub> photo-anode

To investigate the effect of different immersion times on the performance of DSSCs, TiO<sub>2</sub> photo-anodes with thickness of 10 μm were immersed in GQDs at different times, such as 7, 14, 21, and 28 hours.

Figure 4.18 compares the I-V characteristics obtained from DSSCs made of TiO<sub>2</sub> nanoparticles as photo-anodes immersed in GQDs for many hours. After increasing the immersion time from 7 - 21 hours, the efficiency increased from 0.415 to 1.097 %.



**Figure 4.18: Current-voltage (I-V) Curves of DSSCs based on TiO<sub>2</sub> photo-anode with different immersion time in GQDs as a photosensitizer**

As seen in Figure 4.18 and Table 4.7, the effect of immersion time on the performance of DSSCs was examined. We note that increasing immersion time of TiO<sub>2</sub> photo-anodes in GQDs is a good strategy to improve the performance of DSSCs. More photosensitizer molecules can be adsorbed when the immersion time is increased, thus realizing higher light harvesting efficiency. To this end, DSSCs devices based on TiO<sub>2</sub>

nanoparticles photo-anodes immersed in GQDs at different durations (7, 14, 21, and 28 hours) were prepared. It is not surprising that the lowest immersion time (i.e., 7 hours) reported the lowest efficiency (PCE = 0.417 %). As the duration of immersion time increased from 7 hours to 21 hours,  $I_{sc}$  increased from 1.067 mA to 2.57 mA, while PCE increased from 0.417% to 1.097 %. However, when the immersion time increased from 21 hours to 28 hours,  $I_{sc}$  and PCE decreased from 2.57 to 1.681 mA and from 1.097 % to 0.67 %, respectively.

**Table 4.7: Photovoltaic Characteristics of DCCS devices based on TiO<sub>2</sub> nanoparticles with different immersion time in GQDs as a photosensitizer**

Immersion time	$I_{sc}$ (mA)	$V_{oc}$ (V)	FF	PCE (%)
7 hours	1.067	0.716	0.543	0.415
14 hours	1.85	0.683	0.590	0.744
21 hours	2.75	0.755	0.528	1.097
28 hours	1.681	0.686	0.581	0.67

#### 4.2.2 FESEM images of TiO<sub>2</sub> photo-anode with different thicknesses

The optimum thickness was determined to be critical for the performance of DSSC. With the increase of thickness, more photosensitizer molecules are present in the semiconductor layer for absorbing sunlight, which result in the generation of current. However, increasing thickness requires a longer path for the photo-generated electrons to reach the working electrode, which increases the rate of electron recombination. Therefore, the current started decreasing post-optimal thickness (Xin, et al., 2011).

To investigate the effect of the thickness of TiO<sub>2</sub> photo-anodes in DSSCs, multiple layers of TiO<sub>2</sub> nanoparticles were coated onto the FTO glasses for the preparation of

photo-anodes. The FESEM images in Figure 4.19 show that the thickness of each layer of TiO<sub>2</sub> nanoparticles is around 10 μm.

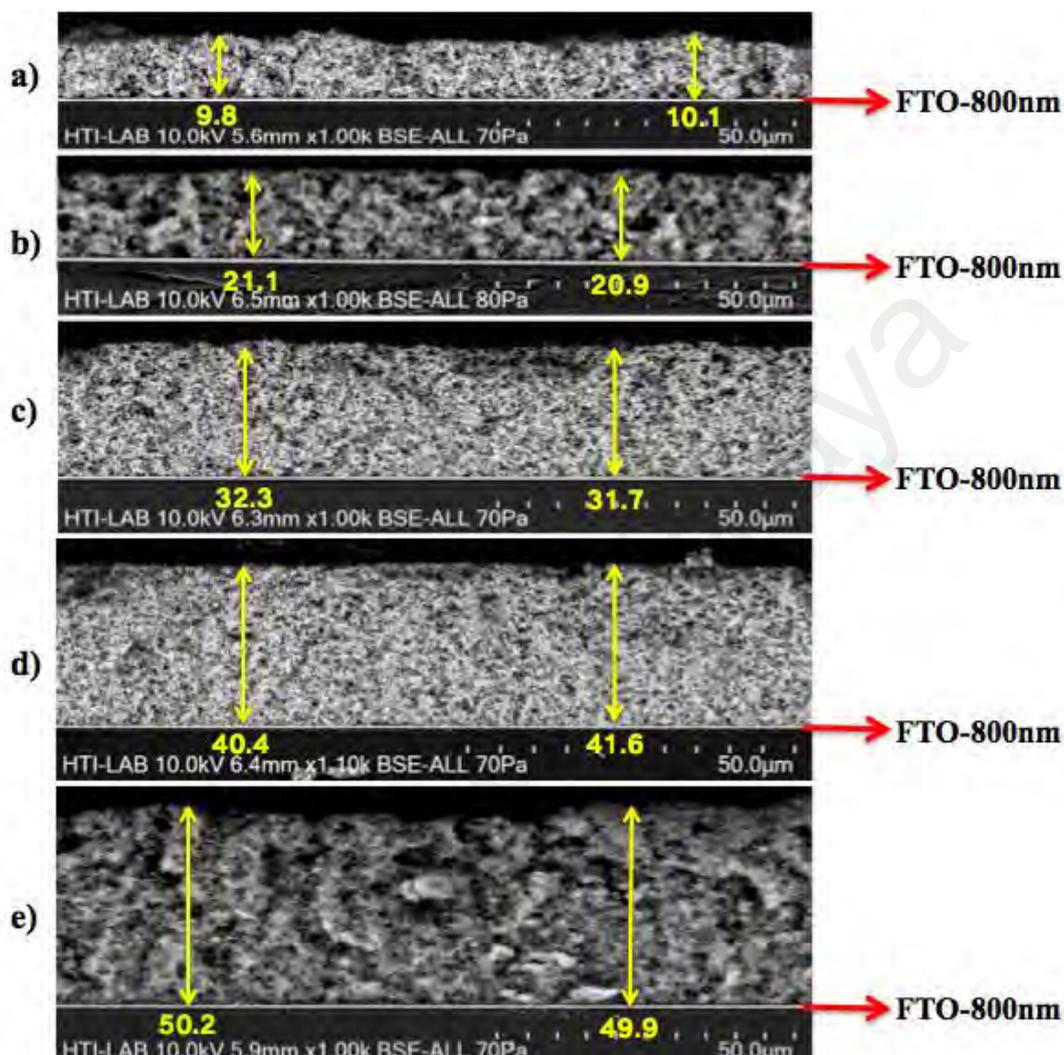
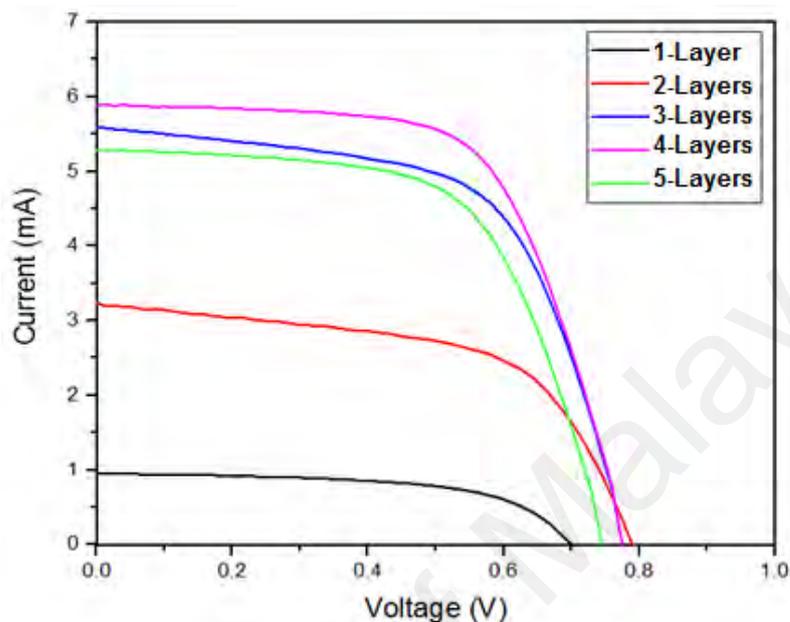


Figure 4.19: FESEM images of TiO<sub>2</sub> photo-anode with different thicknesses

#### 4.2.3 Effect of TiO<sub>2</sub> photo-anode with different thicknesses on PCE in DSSCs using N-719 as photosensitizer

The effect of TiO<sub>2</sub> photo-anode thickness on the performance of DSSCs using N-719 as a photosensitizer was investigated by coating different layers of TiO<sub>2</sub> nanoparticles on FTO glasses to prepare photo-anodes, which was then immersed in N-719 for 21 hours (Wang, et al., 2006).

Figure 4.20 compares the I-V characteristics obtained from DSSCs from five thicknesses of TiO<sub>2</sub> nanoparticles coated on FTO glasses. After the thickness increased from ~10 μm to ~40 μm, the efficiency increased from 0.37 to 2.92 %.



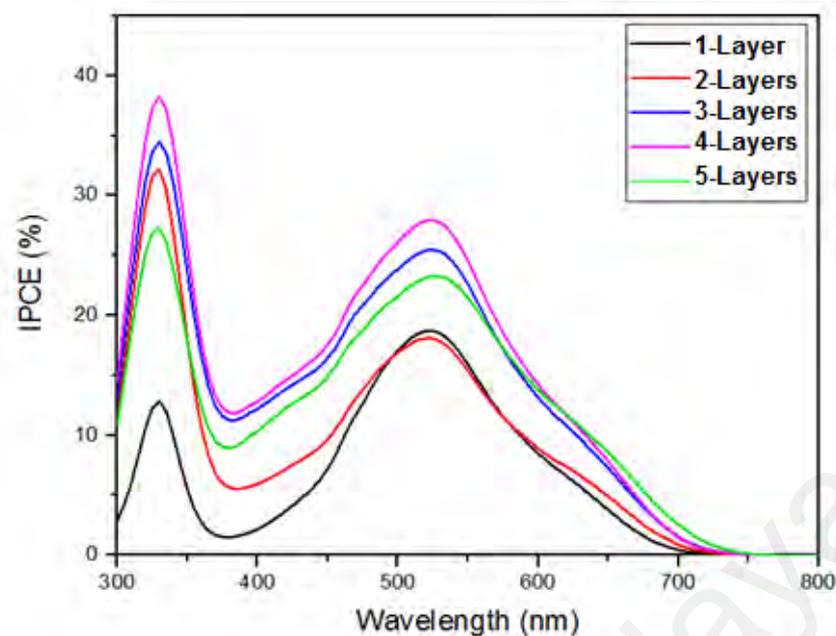
**Figure 4.20: The typical current-voltage (I-V) Curves of DSSCs based on TiO<sub>2</sub> photo-anodes with different thicknesses and using N-719 as a photosensitizer**

The effect of TiO<sub>2</sub> thickness on the performance of the DSSCs was examined (Figure 4.20 and Table 4.8). We note that increasing the thickness of TiO<sub>2</sub> thin film improves the performance of DSSCs. TiO<sub>2</sub> active layer suggests that more dye molecules can be adsorbed, which results in increased light harvesting efficiency (Khan, et al., 2017). DSSCs with TiO<sub>2</sub> thin films 10 μm, 20 μm, 30 μm, 40 μm, and 50 μm thick were prepared. It is not surprising that the thinnest TiO<sub>2</sub> film (i.e., 10 μm) resulted in the lowest efficiency (PCE = 0.37 %). As the thickness increased from 10 μm to 40 μm, the I<sub>SC</sub> increased from 0.938 mA to 5.89 mA, while PCE increased from 0.37 % to 2.92%. However, when thicker TiO<sub>2</sub> nanoparticle film was employed (i.e., 50 μm), I<sub>SC</sub> and PCE decreased from 5.89 to 5.292 mA and from 2.92 % to 2.46 %, respectively. The decrease in I<sub>SC</sub> and PCE can be rationalized as follows.

**Table 4.8: Photovoltaic Characteristics of DCCSs devices based on TiO<sub>2</sub> nanoparticles with different thicknesses and using N-719 as a photosensitizer**

Photosensitizer	Layers	Isc (mA)	Voc (V)	FF	PCE (%)
N-719	1	0.938	0.705	0.559	0.37
	2	3.22	0.790	0.581	1.47
	3	5.596	0.775	0.611	2.65
	4	5.89	0.79	0.627	2.92
	5	5.292	0.745	0.625	2.46

The sensitivity of a DSSC is a function of the wavelength of the incident light. IPCE measures the ratio of the number of electrons generated by the solar cell to the number of incident photons on the active surface under monochromatic light irradiation (Lü, et al., 2010). Figure 4.21 indicates that the maximum IPCE value of ~29 % was obtained at ~540 nm, which is related to TiO<sub>2</sub> photo-anode with thickness of 40 µm. The IPCE suggest that light harvesting was significantly improved via the increase of the thickness of TiO<sub>2</sub> photo-anode (Mohammadpour, et al., 2015).

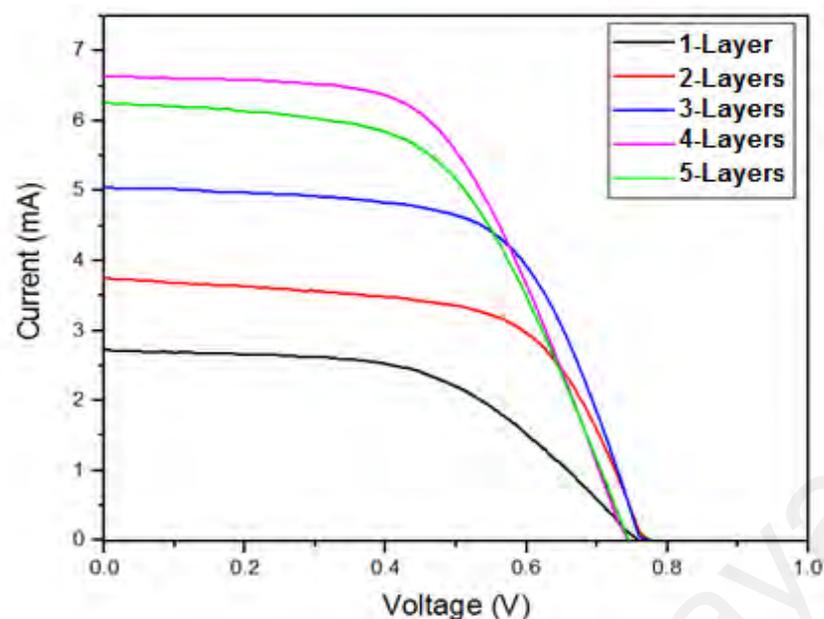


**Figure 4.21: IPCE Curves of DSSCs using TiO<sub>2</sub> photo-anodes with different thicknesses and using GQDs as a photosensitizer**

#### 4.2.4 Effect of TiO<sub>2</sub> photo-anode with different thicknesses on PCE in DSSCs using GQDs as photosensitizer

The influence of the thickness of the TiO<sub>2</sub> photo-anodes when GQDs was used as photosensitizer in DSSCs was investigated by coating multiple layers of TiO<sub>2</sub> nanoparticles on the FTO glasses to prepare photo-anodes, which was then immersed in GQDs for 21 hours.

Figure 4.22 compares the I-V characteristics obtained from DSSCs with different thicknesses of TiO<sub>2</sub> nanoparticles coated onto the FTO glasses. When the thickness increased from ~10 to ~40 μm, the efficiency was increased from 1.097 to 2.76 %.



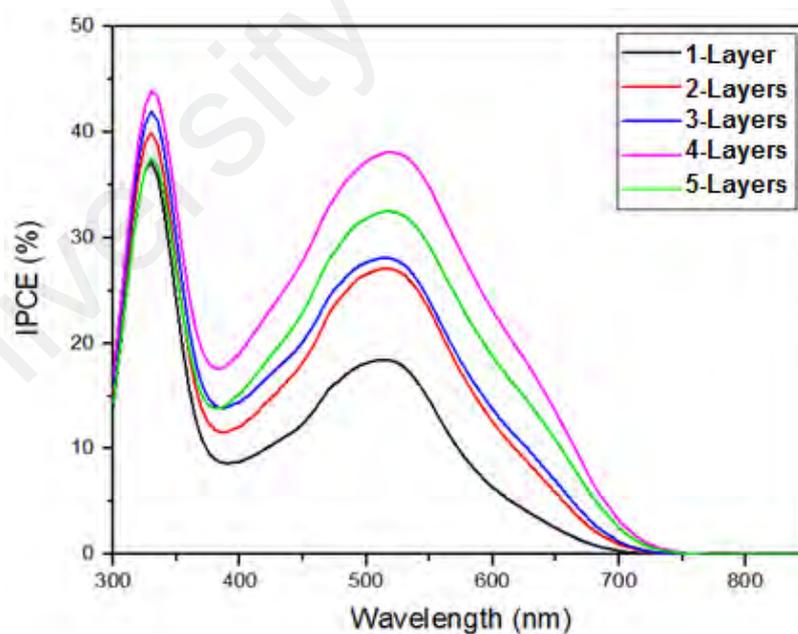
**Figure 4.22: Current-voltage (I-V) Curves of DSSCs using TiO<sub>2</sub> photo-anodes with different thicknesses and using GQDs as a photosensitizer**

The influence of the thickness of TiO<sub>2</sub> on the performance of DSSCs was examined (Figure 4.22 and Table 4.9). By increasing the thickness of TiO<sub>2</sub> thin film, the performance of the DSSCs improves (Mohammadpour, et al., 2015). The thicker TiO<sub>2</sub> active layer seems to suggest that more dye molecules can be absorbed, which increases light harvesting efficiency (Khan, et al., 2017). We prepared DSSCs with TiO<sub>2</sub> nanoparticle film that were 10 μm, 20 μm, 30 μm, 40 μm, and 50 μm thick, which was then immersed in GQDs. As seen in Table 4.8, the thinnest TiO<sub>2</sub> film (i.e., 10 μm) resulted in the lowest efficiency (PCE = 1.097 %), and as the thickness increased from 10 μm to 40 μm, the I<sub>SC</sub> increased from 2.75 mA to 6.618 mA, while PCE increased from 1.097 % to 2.76 %. However, when an even thicker TiO<sub>2</sub> nanoparticle film was employed (i.e., 50 μm), the I<sub>SC</sub> and PCE decreased from 6.618 to 6.25 mA and from 2.76 % to 2.55 %, respectively.

**Table 4.9: Photovoltaic Characteristics of DCCSs devices based on TiO<sub>2</sub> nanoparticles with different thicknesses by using GQDs as a photosensitizer**

Photosensitizer	Layers	Isc (mA)	Voc (V)	FF	PCE (%)
GQDs	1	2.75	0.755	0.528	1.097
	2	3.73	0.762	0.632	1.795
	3	5.084	0.762	0.628	2.43
	4	6.618	0.738	0.566	2.76
	5	6.25	0.744	0.548	2.55

The maximum IPCE value of 38 % obtained at around 510 nm is related to TiO<sub>2</sub> photo-anode that was 40 μm thick (Figure 4.23). As seen in Figure 4.23, the IPCE results indicated that light harvesting is significantly improved by the increase thickness of the TiO<sub>2</sub> photo-anode.



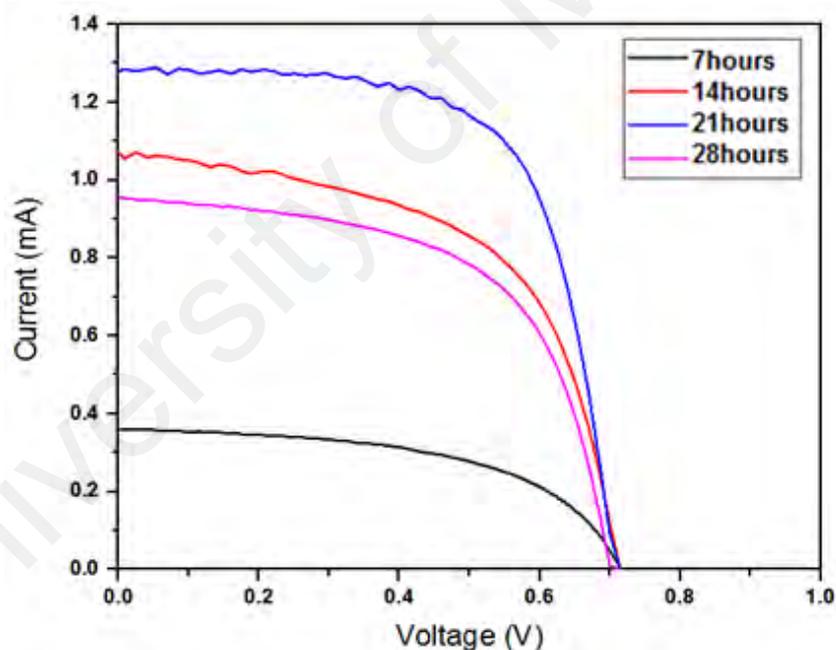
**Figure 4.23: IPCE Curves of DSSCs sensitized by TiO<sub>2</sub> photo-anodes with different thicknesses and using GQDs as a photosensitizer**

### 4.3 Characterization of DSSCs based on ZnO photo-anode

#### 4.3.1 Effect of different immersion time in GQDs on PCE in DSSCs based on ZnO photo-anode

In order to investigate the effect of different immersion times on the performance of DSSCs, ZnO photo-anodes with thickness of 10  $\mu\text{m}$  were immersed in GQDs for 7, 14, 21, and 28 hours.

Figure 4.24 compares the I-V characteristics obtained from DSSCs made of ZnO nanoparticles as photo-anodes, which were immersed in GQDs for different hours. After increasing the immersion time from 7 hours to 21 hours, the efficiency was increased from 0.133 to 0.6 %.



**Figure 4.24: Current-voltage (I-V) Curves of DSSCs based on ZnO photo-anode with different immersion time in GQDs as a photosensitizer**

As seen Figure 4.24 and Table 4.10, we note that increasing the immersion time of ZnO photo-anodes in GQDs improves the performance of DSSCs. More photosensitizer molecules can be adsorbed when immersion time is increased, which also increase the light harvesting efficiency (Xin, et al., 2011). DSSCs devices based on ZnO

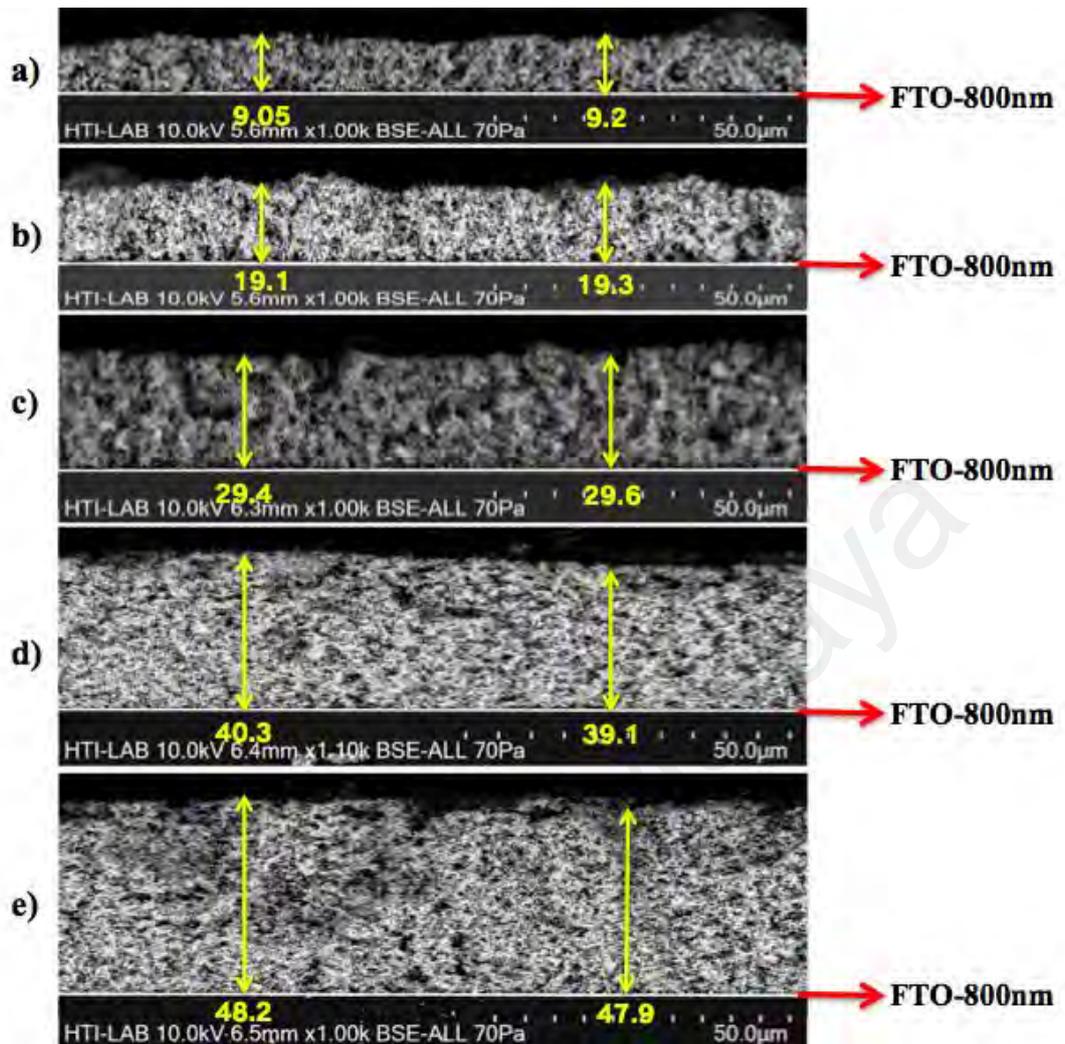
nanoparticles photo-anodes were immersed in GQDs at (7, 14, 21 and 28 hours). The lowest immersion time (i.e., 7 hours) resulted in the lowest efficiency (PCE = 0.133 %). As the duration of immersion time increased from 7 hours to 21 hours, the  $I_{SC}$  increased from 0.361 mA to 1.29 mA, while PCE increased from 0.133 % to 0.6 %. However, when the immersion time was increased from 21 hours to 28 hours,  $I_{SC}$  and PCE decreased from 1.29 to 1.068 mA and from 0.6 % to 0.435 %, respectively.

**Table 4.10: Photovoltaic Characteristics of DSSCs devices based on ZnO nanoparticles with different immersion time in GQDs as a photosensitizer**

<b>Immersion time</b>	<b>I<sub>sc</sub> (mA)</b>	<b>V<sub>oc</sub> (V)</b>	<b>FF</b>	<b>PCE (%)</b>
<b>7 hours</b>	0.361	0.715	0.515	0.133
<b>14 hours</b>	0.954	0.701	0.550	0.398
<b>21 hours</b>	1.29	0.706	0.663	0.6
<b>28 hours</b>	1.068	0.716	0.569	0.435

#### 4.3.2 FESEM images of ZnO photo-anode with different thicknesses

The effect of ZnO photo-anode thickness on the performance of DSSCs using N-719 as a photosensitizer was investigated by coating different layers of ZnO nanoparticles on FTO glasses to prepare photo-anodes. The FESEM images in Figure 4.25 show that the thickness of each layer of ZnO nanoparticles is  $\sim 10 \mu\text{m}$ .

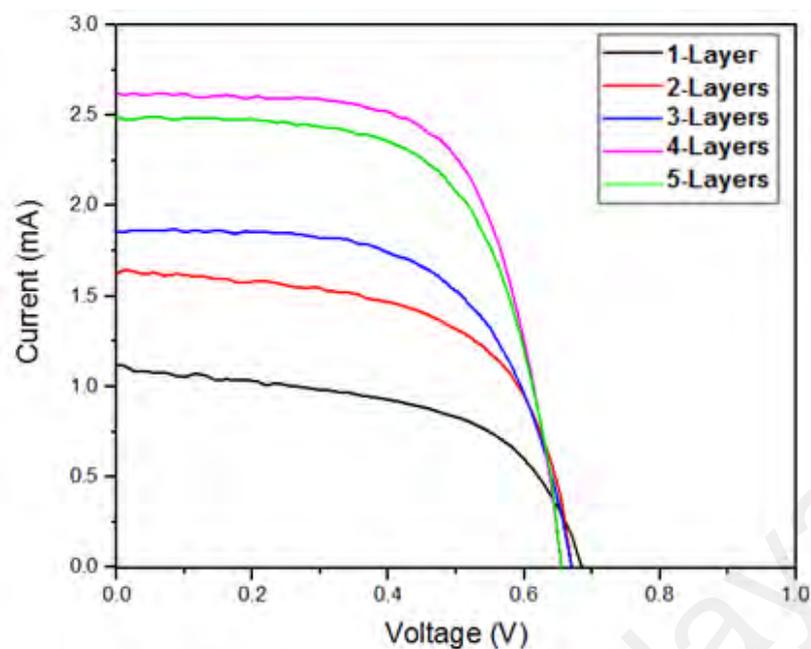


**Figure 4.25: FESEM images of ZnO photo-anode with different thicknesses**

### 4.3.3 The effect of ZnO photo-anode with different thicknesses on PCE in DSSCs using N-719 as photosensitizer

To investigate the effect of the thickness of ZnO photo-anodes when N-719 is used as a photosensitizer in DSSCs, different layers of ZnO nanoparticles were coated onto FTO glasses to prepare photo-anodes, which were then immersed in N-719 for 21 hours (Wang, et al., 2006).

Figure 4.26 compares the I-V characteristics obtained from DSSCs from different thicknesses of ZnO nanoparticles coated onto the FTO glasses. As seen in Figure 4.26, when the thickness increase from 10  $\mu\text{m}$  to 40  $\mu\text{m}$ , the efficiency increased from 0.396 to 1.13 %.



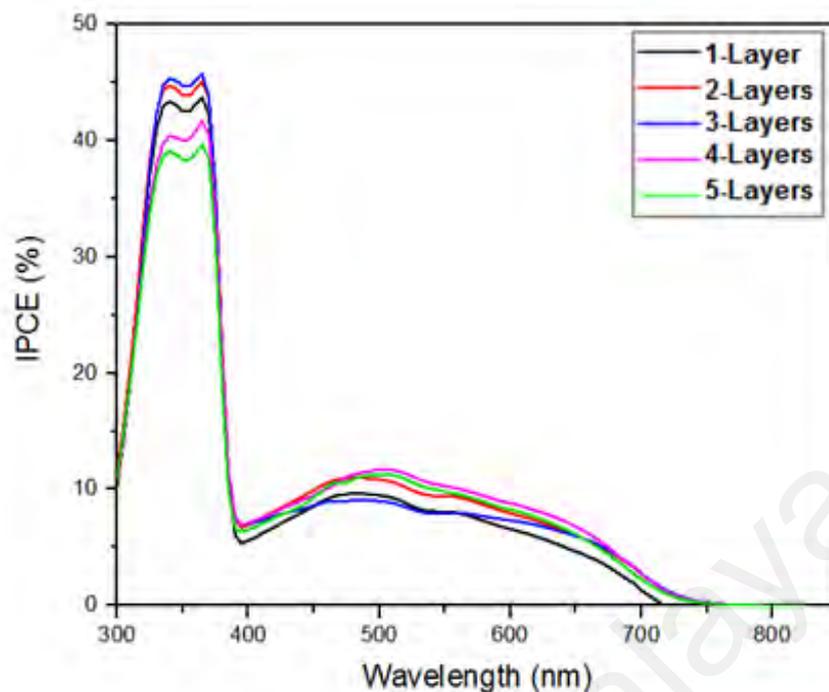
**Figure 4.26: Current-voltage (I-V) Curves of DSSCs using ZnO photo-anodes with different thicknesses and N-719 as a photosensitizer**

The effect of the thickness of ZnO on the performance of the DSSCs was examined (Figure 4.26 and Table 4.11). The results show that increased thickness of ZnO nanoparticle film improves the performance of DSSCs. The thicker ZnO active layer suggests that more photosensitizer molecules can be adsorbed, which results in increased light harvesting efficiency (Khan, et al., 2017). We prepared DSSC with ZnO nanoparticles films that were 10  $\mu\text{m}$ , 20  $\mu\text{m}$ , 30  $\mu\text{m}$ , 40  $\mu\text{m}$  and 50  $\mu\text{m}$  thick. Table 4.9 and Figure 4.26 indicated that the thinnest ZnO film (i.e., 10  $\mu\text{m}$ ) reported the lowest efficiency (PCE = 0.396 %). As the thickness increased from 10  $\mu\text{m}$  to 40  $\mu\text{m}$ ,  $I_{\text{SC}}$  increased from 1.122 mA to 2.657 mA, while PCE increased from 0.396 % to 1.13 %. However, when an even thicker ZnO nanoparticle film was used (i.e., 50  $\mu\text{m}$ ),  $I_{\text{SC}}$  and PCE decreased from 2.657 to 2.54 mA and from 1.13 % to 1.04 %, respectively. The decrease in  $I_{\text{SC}}$  and PCE can be rationalized as follows.

**Table 4.11: Photovoltaic Characteristics of DCCSs devices based on ZnO nanoparticles with different thicknesses and using N-719 as a photosensitizer**

Photosensitizer	Layers	Isc (mA)	Voc (V)	FF	PCE (%)
N-719	1	1.122	0.693	0.510	0.396
	2	1.64	0.673	0.589	0.65
	3	1.85	0.675	0.607	0.76
	4	2.657	0.661	0.645	1.13
	5	2.54	0.661	0.619	1.04

The IPCE plots in Figure 4.27 showed that the active photon-to-current responses of ZnO photo-anode with a thickness of 40  $\mu\text{m}$  are more red-shifted compared to the other thicknesses of ZnO photo-anode (10, 20, 30 and 50  $\mu\text{m}$ ), which indicates that the spectral absorption range is effectively broadened. On the other hand, the maximum IPCE value of 11 % was obtained at around 500 nm, which is related to ZnO nanoparticles photo-anode with a thickness of 40  $\mu\text{m}$ . These results suggest that light harvesting is significantly improved by increasing the thickness of ZnO photo-anode.

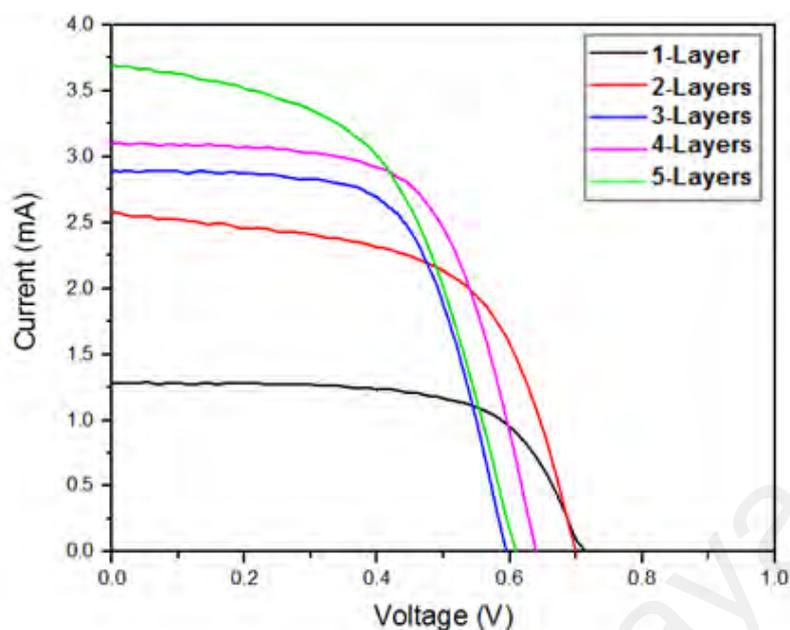


**Figure 4.27: IPCE Curves of DSSCs sensitized by ZnO photo-anodes with different thicknesses and using N-719 as a photosensitizer**

#### **4.3.4 The effect of ZnO photo-anode with different thicknesses on PCE in DSSCs using GQDs as photosensitizer**

The effect of ZnO photo-anodes thickness when GQDs is used as a photosensitizer in DSSCs was investigated by coating different layers of ZnO nanoparticles onto FTO glasses to prepare photo-anodes, which was then immersed in GQDs for 21 hours.

Figure 4.28 compares the I-V characteristics obtained from DSSCs from different thicknesses of ZnO nanoparticles coated onto FTO glasses. Clearly, after increasing the thickness from 10  $\mu\text{m}$  to 40  $\mu\text{m}$ , the efficiency increased from 0.6 % to 1.26 %.



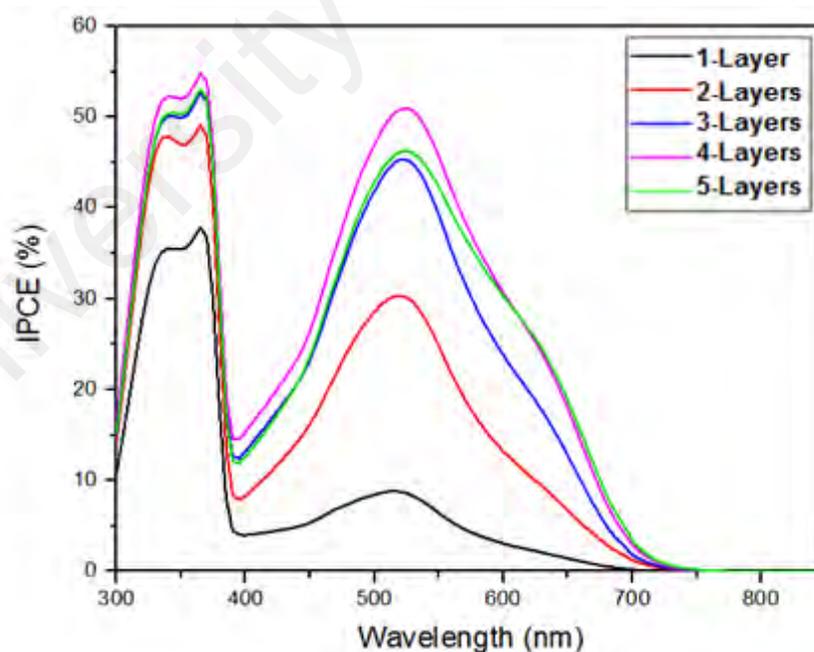
**Figure 4.28: Current-voltage (I-V) Curves of DSSCs using ZnO photo-anodes with different thicknesses and GQDs as a photosensitizer**

The effect of the thickness of ZnO on the performance of the DSSC was examined (Figure 4.28 and Table 4.12). The increasing thickness of ZnO nanoparticle film improves the performance of the DSSCs (Mohammadpour, et al., 2015). The thicker ZnO active layer suggests that more photosensitizer molecules can be adsorbed, which increases the light harvesting efficiency (Khan, et al., 2017). DSSCs were prepared with ZnO nanoparticle films that were 10  $\mu\text{m}$ , 20  $\mu\text{m}$ , 30  $\mu\text{m}$ , 40  $\mu\text{m}$  and 50  $\mu\text{m}$ , which was then immersed in GQDs for 21 hours. As seen in Table 4.10, the thinnest ZnO film (i.e., 10  $\mu\text{m}$ ) reported the lowest efficiency (PCE = 0.6 %). As the thickness increased from 10  $\mu\text{m}$  to 40  $\mu\text{m}$ ,  $I_{\text{sc}}$  increased from 1.29 mA to 3.169 mA, while PCE increased from 0.6 % to 1.26 %. However, when an even thicker ZnO nanoparticle film was used (i.e., 50  $\mu\text{m}$ ), PCE decreased from 1.26 % to 1.21 %, respectively.

**Table 4.12: Photovoltaic Characteristics of DSSCs devices based on ZnO nanoparticles with different thicknesses and using GQDs as a photosensitizer**

Photosensitizer	Layers	Isc (mA)	Voc (V)	FF	PCE (%)
GQDs	1	1.29	0.706	0.663	0.6
	2	2.59	0.703	0.591	1.077
	3	2.887	0.595	0.643	1.105
	4	3.169	0.64	0.623	1.26
	5	3.715	0.602	0.541	1.21

The maximum IPCE value of ~50 % was obtained at ~530 nm, which is due to the ZnO photo-anode that was 40  $\mu\text{m}$  thick (Figure 4.29). As seen in Figure 4.29, the IPCE results indicate that light harvesting is significantly improved by increasing the thickness of ZnO photo-anode (Baxter, et al., 2006).

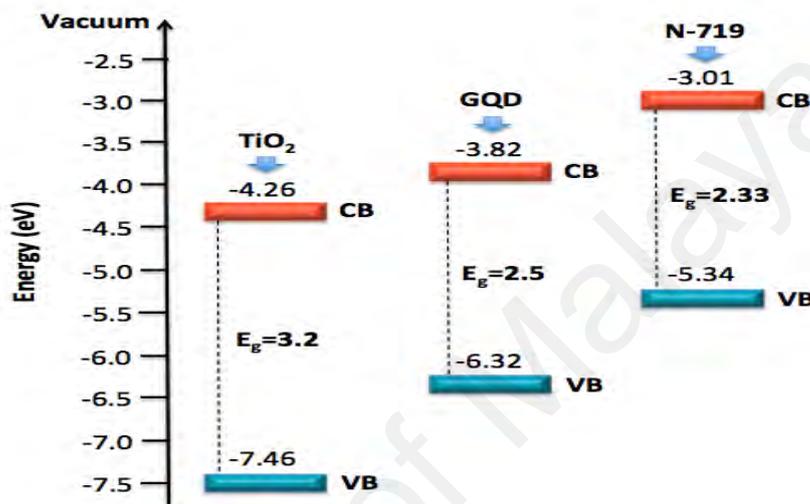


**Figure 4.29: IPCE Curves of DSSCs using ZnO photo-anodes with different thicknesses and GQDs as a photosensitizer**

The results in this section indicate that the increasing thickness of TiO<sub>2</sub> and ZnO nanoparticle films for both N-719 and GQDs as photosensitizers improves the performance of DSSCs, due to the thicker TiO<sub>2</sub> and ZnO active layers, which suggest that more photosensitizer molecules can be adsorbed, increasing the light harvesting efficiency (Yang and Leung, 2011). The performance, decreased with increasing thickness of TiO<sub>2</sub> and ZnO nanoparticle films from 40 μm to 50 μm. Although the increased thickness of TiO<sub>2</sub> and ZnO photo-anodes improve light absorption, in the current front side illumination mode (i.e., light entered the cell through the transparent FTO glass on which TiO<sub>2</sub> and ZnO photo-anodes were directly deposited), as the thicknesses of TiO<sub>2</sub> and ZnO nanoparticles increased, most of the photons were absorbed by the dyes anchored on TiO<sub>2</sub> and ZnO photo-anodes that were close to the FTO glass (i.e., the bottom part of TiO<sub>2</sub> and ZnO thin films), while photosensitizers on the top part of the thin films that were near the TiO<sub>2</sub>/electrolyte and ZnO/electrolyte interfaces may lack the photons for absorption (Hsin, et al., 2008). The advantage afforded by thick films was negated by further increasing the thickness. Also, thicker TiO<sub>2</sub> and ZnO films implies that electrons had to undergo a longer pathway before reaching the FTO glass, which increases the chances for recombination during the transport process (Zhu, et al., 2006). Finally, the thicker TiO<sub>2</sub> and ZnO films may hinder the electrolyte from penetrating completely to the bottom of TiO<sub>2</sub> and ZnO films and the transport of the I<sub>3</sub><sup>-</sup> in the electrolyte to the platinum coated counter electrode, thus impeding the recovery of photosensitizer molecules after the injection of the excited electrons to TiO<sub>2</sub> and ZnO. Taken together, the performance increased in tandem with the thickness of the thin films.

#### 4.4 Characterization of DSSCs based on 4-Layers TiO<sub>2</sub> photo-anode using GQDs as a photosensitizer and N-719 as a photosensitizer

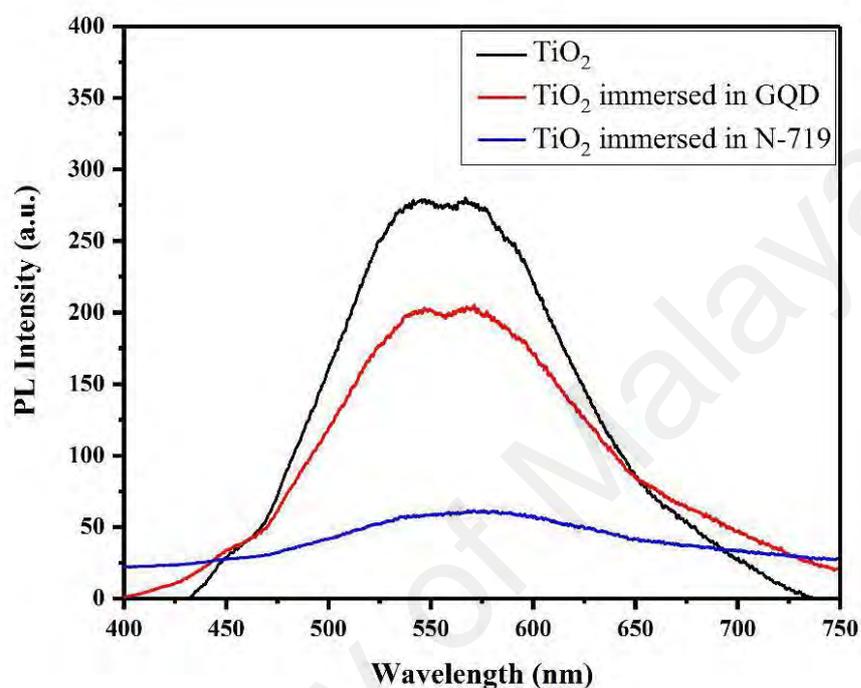
Figure 4.30 reveals the vacuum energy levels of TiO<sub>2</sub> nanoparticles, N-719, and GQDs. According to this Figure, the energy level of the TiO<sub>2</sub> conduction band is -4.26 eV, while the GQD is -3.82 eV, and N-719 is -3.01 eV.



**Figure 4.30: Vacuum energy level of TiO<sub>2</sub> nanoparticles, N-719 and GQDs**

Figure 4.31 indicates the PL spectra of photo-anodes based on TiO<sub>2</sub> nanoparticles immersed in N-719 and GQDs as photosensitizers under 325 nm laser excitation. PL is a suitable tool for determining the efficiency of charge carrier trapping, migration, and transfer, and to understand the fate of electron-hole pairs in semiconductor particles due to PL emissions from the recombination of free carriers (Lim, et al., 2015b). TiO<sub>2</sub> will absorb the incident photons with sufficient energy equal to or higher than the band-gap energy, which will produce photoinduced charge carriers (Du, et al., 2006). In addition, the recombination of photoinduced electrons and holes releases energy in the form of PL emission spectra. Hence, a lower PL intensity indicates lower charge recombination (Lim, et al., 2015b). Broad peaks with maximum emissions at around 549, 569, and 559 nm can be observed for TiO<sub>2</sub> coated on FTO glass, and TiO<sub>2</sub> photo-anodes immersed in

N-719 and GQDs, respectively. TiO<sub>2</sub> photo-anode immersed in GQDs showed a higher PL intensity due to the rapid recombination of photoinduced charge carriers (Lim, et al., 2014; Lim, et al., 2015a).

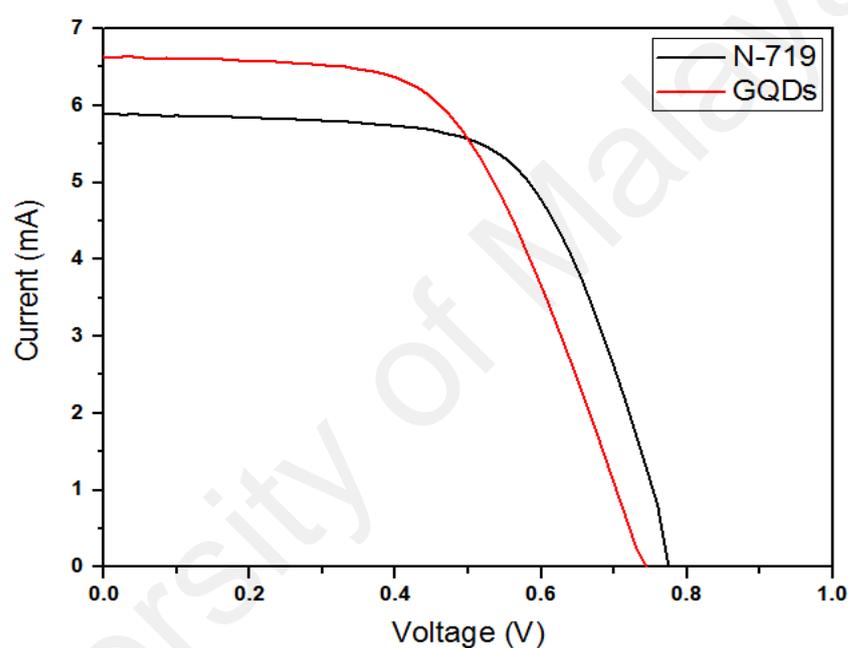


**Figure 4.31: PL spectra of photo-anodes based on TiO<sub>2</sub> photo-anode immersed in N-719 and GQDs as photosensitizers**

Figure 4.32 shows the typical current-voltage (I-V) curves for the two devices based on TiO<sub>2</sub> photo-anodes using N-719 as a photosensitizer and GQDs as a photosensitizer were measured under AM 1.5 G illuminations (data summarized in Table 4.12). DSSCs were fabricated using GQDs gave V<sub>OC</sub> (0.738 V) and I<sub>SC</sub> (6.618 mA), achieving an overall PCE of 2.76 %. The best photovoltaic characteristics were obtained for the device that using N-719 as a photosensitizer compared with using GQDs as a photosensitizer. DSSC fabricated using N-719 gave V<sub>OC</sub> (0.790 V) and I<sub>SC</sub> (5.89 mA) reports an overall PCE of 2.92 %.

**Table 4.13: Photovoltaic Characteristics of DSSCs devices based on TiO<sub>2</sub> nanoparticles using N-719 and GQDs as photosensitizer**

Photosensitizers	I <sub>sc</sub> (mA)	V <sub>oc</sub> (V)	FF	PCE (%)
N-719	5.89	0.79	0.627	2.92
GQDs	6.618	0.738	0.566	2.76

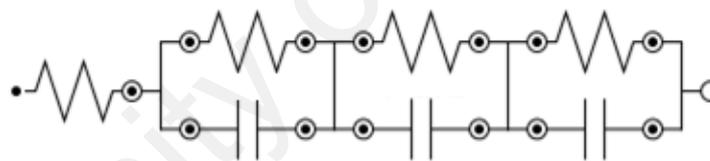


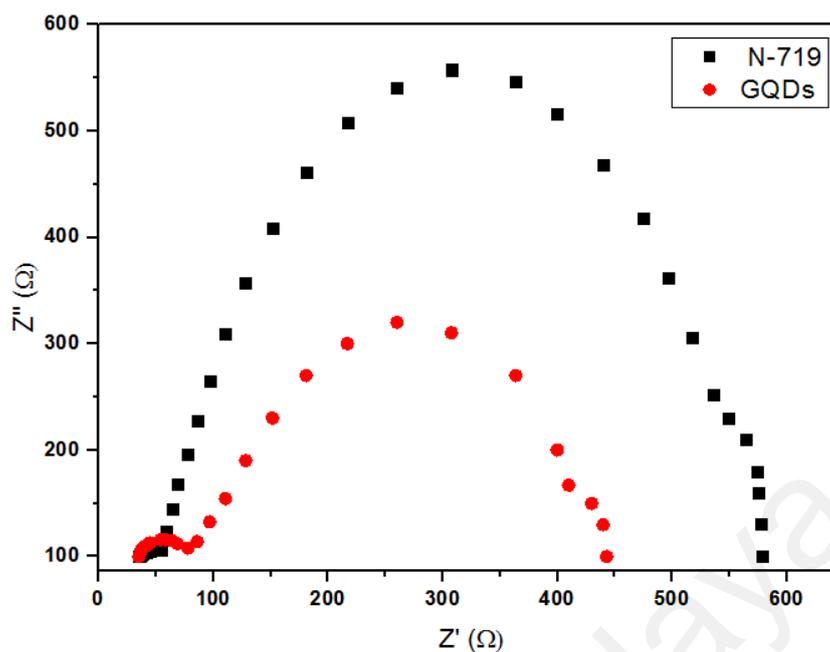
**Figure 4.32: Current-voltage (I-V) curves of DSSCs devices based on TiO<sub>2</sub> nanoparticles using N-719 as a photosensitizer and GQDs as a photosensitizer**

In order to gain deeper insight into the interfacial charge transfer process within the fabricated DSSC, the electrochemical impedance spectroscopy (EIS) were recorded in a frequency range between 0.01 Hz and 100 kHz in dark condition, as shown in Figure 4.33.

In dark conditions, three semicircles located in high, middle, and low frequency regions (left to right) of Nyquist plots are attributed to the redox reaction at the platinum counter electrode and the electron transfer at the TiO<sub>2</sub>/dye/electrolyte interface and

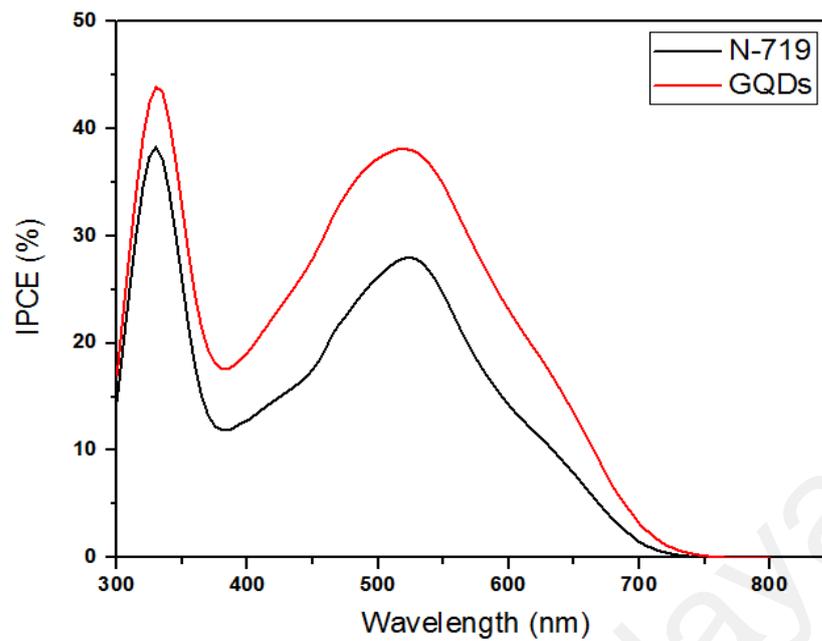
charge transfer in the electrolyte (Wei, et al., 2015). Therefore, the larger semicircle observed in the middle frequency region represents the resistances of the charge transfer from the  $\text{TiO}_2$  to the electrolyte. The radius of this semicircle decreases when GQDs was used as a photosensitizer, indicating a decrease of  $R_{\text{rec}}$ . A large  $R_{\text{rec}}$  means a small charge recombination rate, and *vice versa*. The radius of the semicircle observed in the middle frequency range lies in the order of N719/  $\text{TiO}_2$  > GQDs/  $\text{TiO}_2$ , indicating the sequence of  $R_{\text{rec}}$  at the  $\text{TiO}_2$ /dye/electrolyte interface (Sharma, et al., 2013). The increased value of  $R_{\text{rec}}$  for DSSCs implies the retardation of charge recombination between injected electron and  $\text{I}_3^-$  ions in the electrolyte, with a consequent increase of  $V_{\text{oc}}$ . This appears to be consistent with the larger  $V_{\text{oc}}$  values sequence. The  $R_{\text{ct}}$  of the DSSCs using N-719 and GQDs as photosensitizers is different, caused by the binding between the dye molecules and the  $\text{TiO}_2$  film.





**Figure 4.33: Nyquist plots of EIS for DSSCs based on TiO<sub>2</sub> nanoparticles using N-719 and GQDs as photosensitizer in dark conditions**

The IPCE curves in Figure 4.34 clearly shows that the active photon-to-current responses of TiO<sub>2</sub> photo-anode immersed in N-719 are more red-shifted compared to the TiO<sub>2</sub> photo-anode immersed in GQDs, which indicates that the spectral absorption range is effectively broadened. The IPCE value of TiO<sub>2</sub> photo-anode immersed in N-719 exceeds 28 %, while the quantum efficiency increased to 39 % for the DSSC device based on TiO<sub>2</sub> nanoparticles using GQDs as a photosensitizer (Lai, et al., 2015).

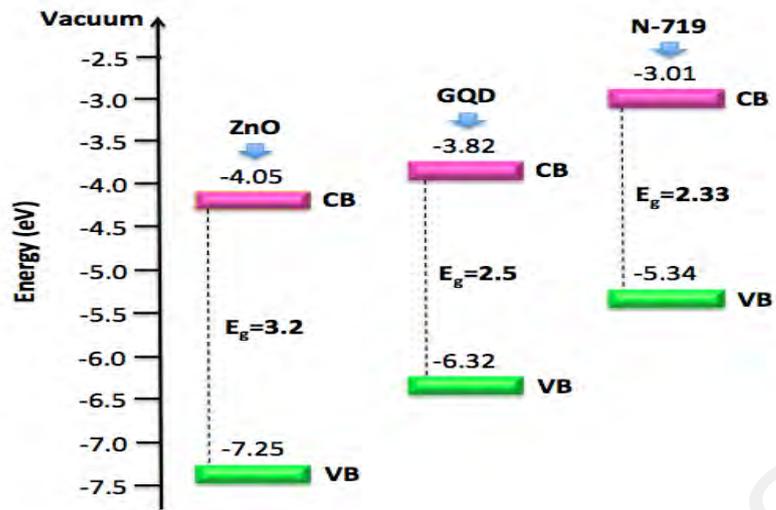


**Figure 4.34: IPCE Curves for DSSCs N-719 and GQDs using TiO<sub>2</sub> nanoparticles as a photo-anode**

However, the energy level results show that TiO<sub>2</sub> photo-anode immersed in GQD is closer to the TiO<sub>2</sub> photo-anode immersed in N-719. The I-V test results show that the efficiency of TiO<sub>2</sub> in GQD is lower than the N-719, which might be due to the high intensity of GQD in PL spectra showing rapid recombination alongside decreasing efficiency (Lim, et al., 2015).

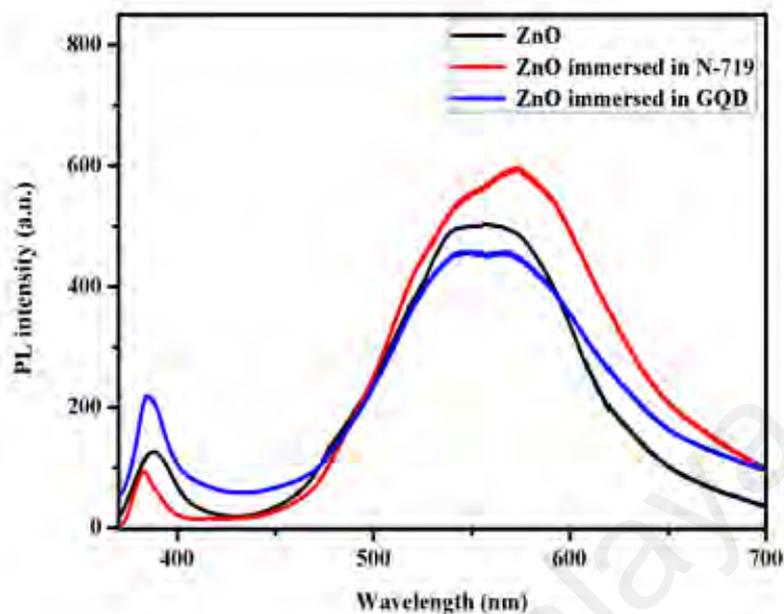
#### **4.5 Characterization of DSSCs based on 4-Layers ZnO photo-anode using GQDs as a photosensitizer and N-719 as a photosensitizer**

Figure 4.35 shows the vacuum energy level of ZnO nanoparticles, N-719, and GQDs. According to this Figure, the energy level of the ZnO conduction band is -4.05 eV, while the GQD represent -3.82 eV and N-719 is -3.01 eV.



**Figure 4.35: Vacuum energy level of ZnO nanoparticles, N-719 and GQDs**

Figure 4.36 indicates the PL spectra of photo-anodes based on ZnO nanoparticles immersed in N-719 and GQDs as photosensitizers under 325 nm laser excitation. ZnO will absorb the incident photons with sufficient energy equal to or higher than the band-gap energy, which will produce photoinduced charge carriers (Lim, et al., 2015b). Broad peaks with maximum emissions at around 563, 565, and 571 nm can be observed for ZnO coated on FTO glass, and ZnO photo-anodes immersed in N-719 and GQDs, respectively (Lim, et al., 2014; Lim, et al., 2015a).

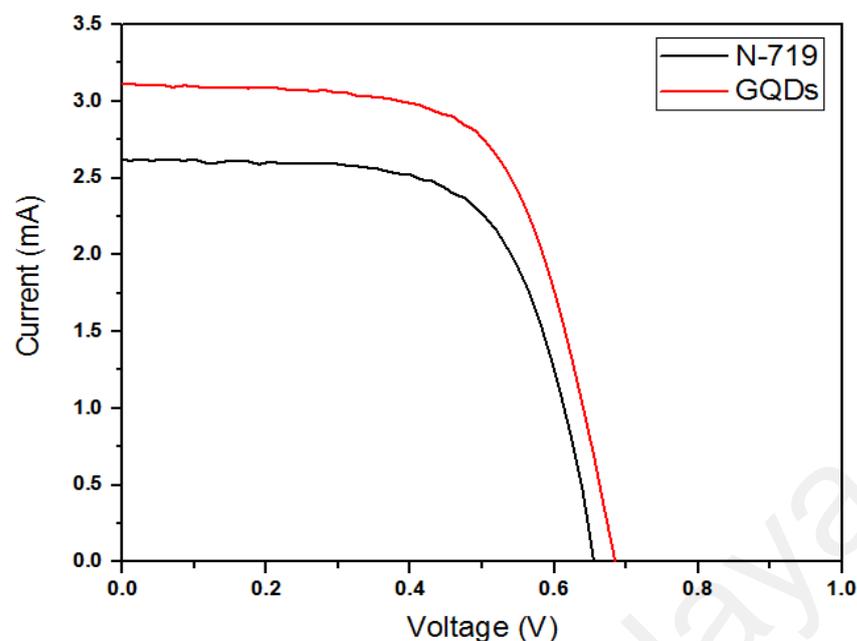


**Figure 4.36: PL spectra of photo-anodes based on ZnO nanoparticles immersed in N-719 and GQDs as photosensitizers**

Figure 4.37 shows the typical current-voltage (I-V) curves for the two devices based on ZnO nanoparticles using N-719 as a photosensitizer and GQDs as a photosensitizer were measured under AM 1.5 G illuminations (data summarized in Table 4.13). DSSCs fabricated using N-719 gave  $V_{oc}$  (0.661 V) and  $I_{sc}$  (2.657 mA), reports an overall PCE of 1.13%. DSSCs fabricated using GQDs reported a  $V_{oc}$  (0.640V) and  $I_{sc}$  (3.169mA), achieving an overall PCE of 1.26%. The best photovoltaic characteristics were obtained for device that using GQDs as a photosensitizer compared with using N-719 as a photosensitizer.

**Table 4.14: Photovoltaic Characteristics of DCCSs devices based on ZnO nanoparticles using N-719 and GQDs as photosensitizer**

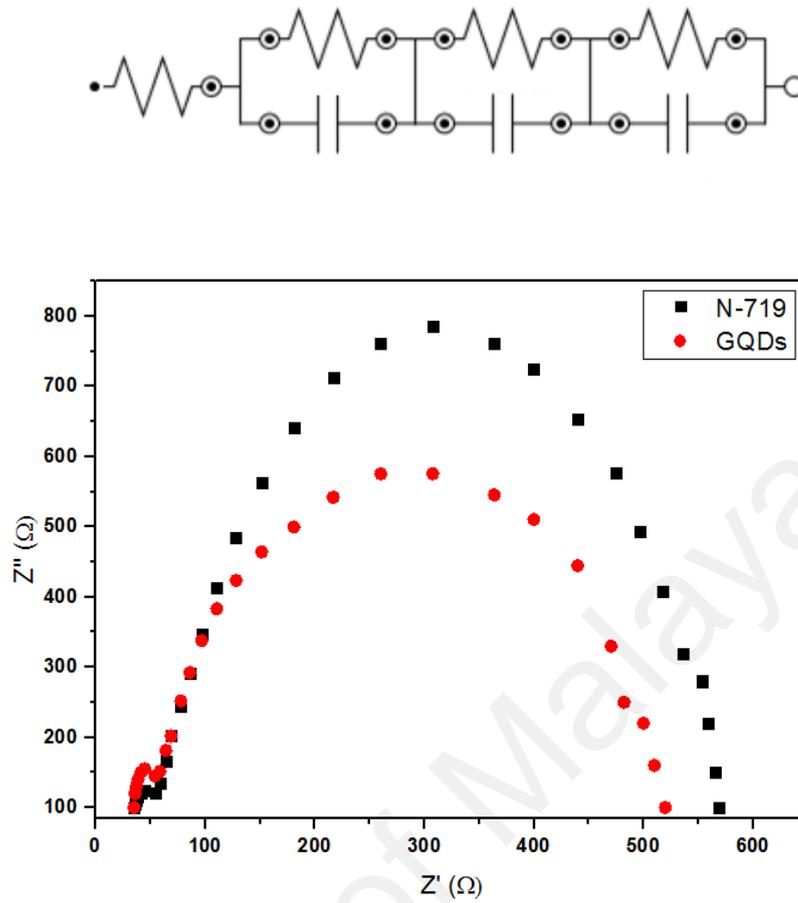
Photoenzitizers	$I_{sc}$ (mA)	$V_{oc}$ (V)	FF	PCE (%)
<b>N-719</b>	2.657	0.661	0.645	1.13
<b>GQDs</b>	3.169	0.64	0.623	1.26



**Figure 4.37: Current-voltage (I-V) curves of DSSCs devices based on ZnO nanoparticles using N-719 and GQDs as photosensitizer**

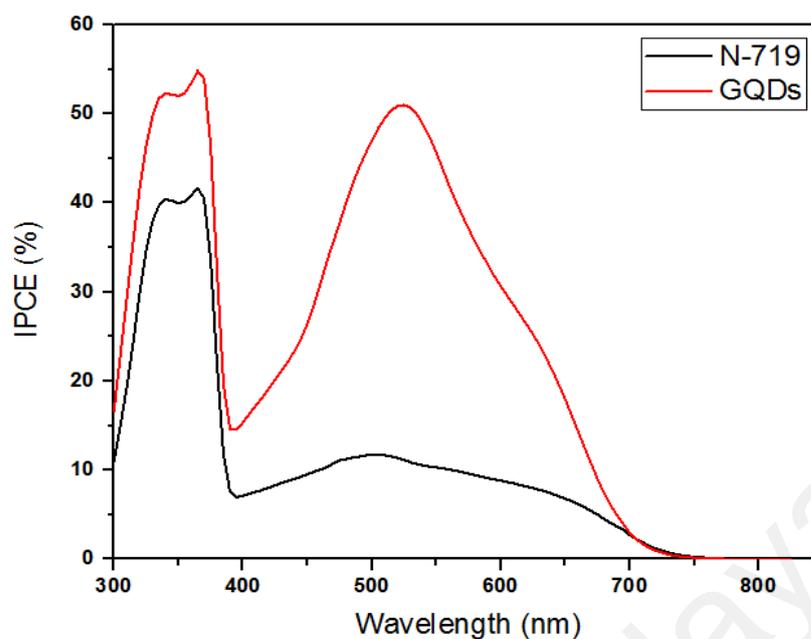
The EIS for DSSCs based on ZnO nanoparticles using N-719 and GQDs as photosensitizers measured in dark conditions were recorded in a frequency range between 0.01 Hz and 100 kHz and shown in Figure 4.38.

In dark condition, the three semicircles located in high, middle, and low frequency regions (left to right) of Nyquist plots are attributed to the redox reaction at the Pt counter electrode and the electron transfer at the ZnO/dye/electrolyte interface and charge transfer in the electrolyte (Wei, et al., 2015). They are, respectively, assigned to the electrochemical reaction at the Pt counter electrode ( $R_{ct1}$ ) and chemical capacitance (CPE1), the charge transfer at the ZnO/dye/electrolyte ( $R_{ct2}$ ) and chemical capacitance (CPE2) and the charge diffusion process of  $I^-/I_3^-$  ions ( $Z_w$ ). Compared with the ZnO immersed in N-719, ZnO photoanode immersed in GQDs shows significant reduction on  $R_{ct2}$  which means that using GQDs as a photosensitizer makes the electron transfer easier at the ZnO/dye/electrolyte (Tan, et al., 2014).



**Figure 4.38: Nyquist plots of EIS for DSSCs based on ZnO nanoparticles using N-719 and GQDs as photosensitizer in dark condition**

The IPCE plots in Figure 4.39 clearly shows that the active photon-to-current responses of ZnO photo-anode immersed in GQDs are more red-shifted compared to the ZnO photo-anode immersed in N-719, which indicates that the spectral absorption range is effectively broadened. However, the IPCE value of ZnO photo-anode immersed in GQDs exceeds 52 %, while the quantum efficiency is reduced to 11 % for the DSSC device based on ZnO nanoparticles using N-719 as a photosensitizer (Lai, et al., 2015).

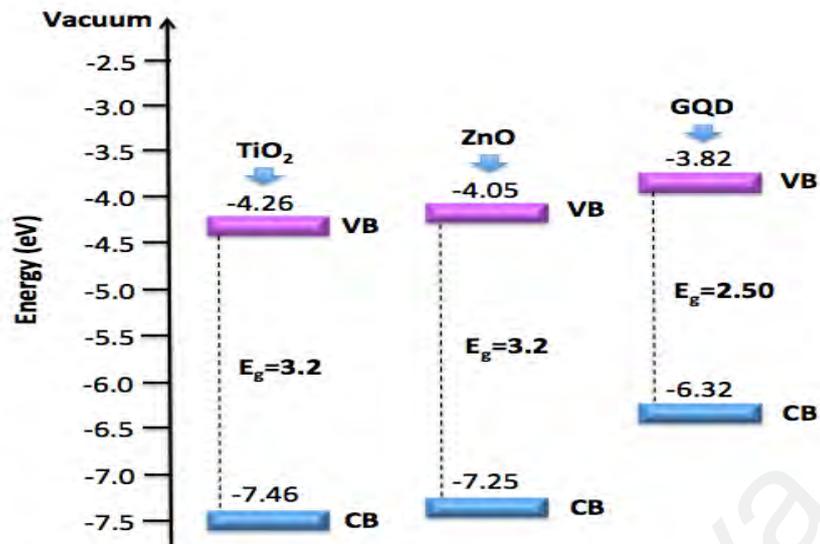


**Figure 4.39: IPCE Curves for DSSCs using N-719 and GQDs as photosensitizers and ZnO nanoparticles as a photo-anode**

Meanwhile, the I-V test shows that the efficiency of ZnO photo-anode immersed in GQDs is higher than the efficiency of ZnO photo-anode immersed in N-719, due to the conduction band of GQDs being closer to the conduction band of ZnO compared to N-719. The IPCE results confirm all these findings.

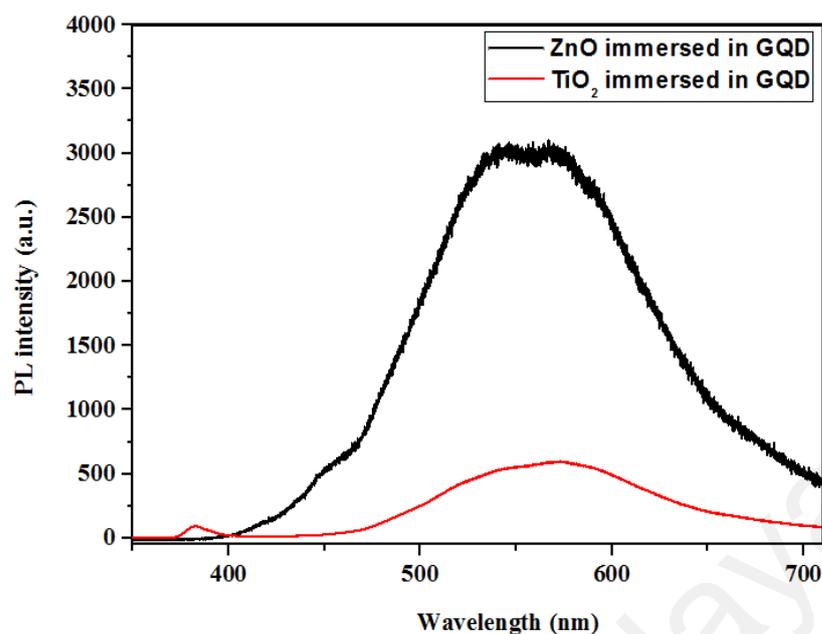
#### **4.6 Characterization of dye-sensitized solar cells based on GQDs as a photosensitizer using 4-Layers TiO<sub>2</sub> and ZnO photo-anodes**

Figure 4.40 shows the vacuum energy level of TiO<sub>2</sub> nanoparticles, ZnO nanoparticles, and GQDs. According to this Figure, the energy level of the ZnO conduction band is -4.05 eV, and -4.62 eV for TiO<sub>2</sub>, while the GQD is -3.82 eV.



**Figure 4.40: Vacuum energy level of GQDs, TiO<sub>2</sub> and ZnO nanoparticles**

Figure 4.41 shows the PL spectra of photo-anodes based on TiO<sub>2</sub> and ZnO nanoparticles immersed in GQDs as a photosensitizer under 325nm laser excitation. A lower PL intensity indicates lower charge recombination. Broad peaks with a maximum emission at around 556 and 571nm can be observed for TiO<sub>2</sub> and ZnO photo-anodes immersed in GQDs, respectively. The ZnO photo-anode immersed in GQDs showed a high PL intensity at around 556 nm due to the high photoinduced charge carrier recombination, whereas the PL intensity was minimized when TiO<sub>2</sub> photo-anode was immersed in GQDs (Lim, et al., 2014; Lim, et al., 2015a).

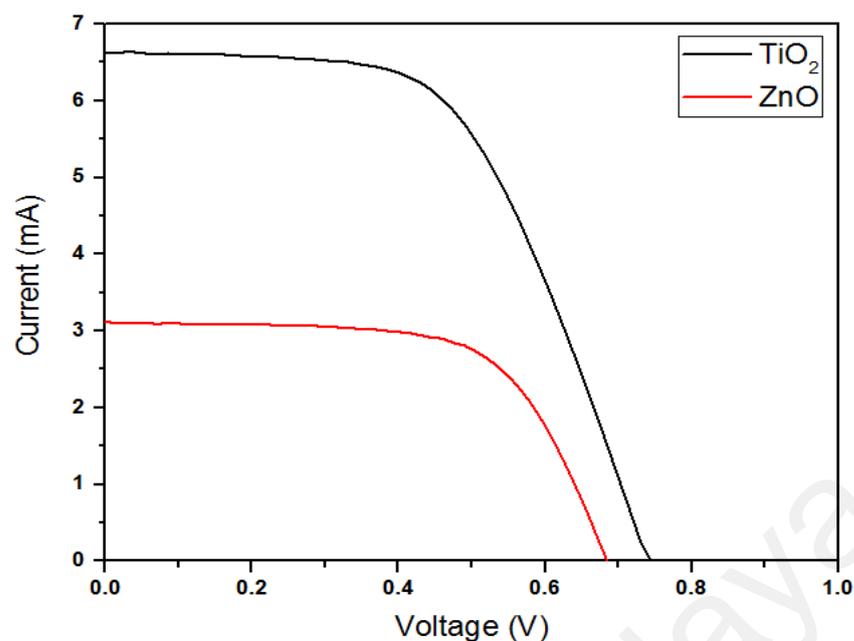


**Figure 4.41: PL spectra of photo-anodes based on TiO<sub>2</sub> and ZnO nanoparticles immersed in GQDs as a photosensitizer**

Figure 4.42 presents the typical current-voltage (I-V) curves for the two devices based on GQDs as photosensitizers using TiO<sub>2</sub> and ZnO nanoparticles as photoelectrodes were measured under AM 1.5 G illumination (data summarized in Table 4.15). DSSCs fabricated using TiO<sub>2</sub> reported a V<sub>OC</sub> (0.738 V) and I<sub>SC</sub> (6.618 mA), achieving an overall PCE of 2.76 %. The DSSCs fabricated using ZnO gave V<sub>OC</sub> (0.623 V) and I<sub>SC</sub> (3.169 mA), achieving an overall PCE of 1.26 %. The best photovoltaic characteristics using GQDs, as a photosensitizer, were obtained for device that using TiO<sub>2</sub> as photoelectrodes as opposed to ZnO.

**Table 4.15: Photovoltaic Characteristics of DSSCs devices based on GQDs as a photosensitizer using TiO<sub>2</sub> and ZnO as photo-anodes**

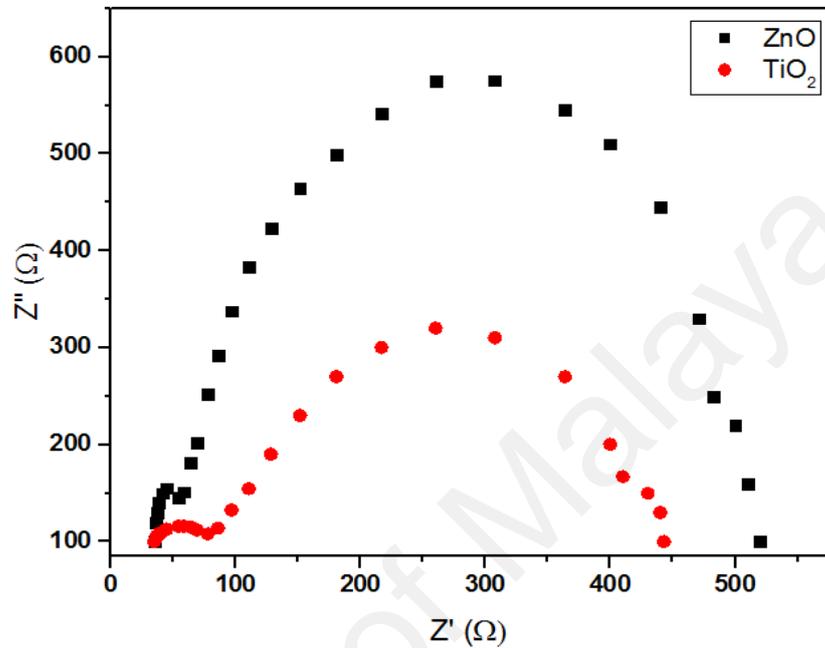
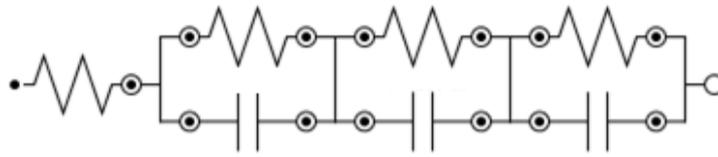
Photo-anodes	I <sub>sc</sub> (mA)	V <sub>oc</sub> (V)	FF	PCE (%)
TiO <sub>2</sub>	6.618	0.738	0.566	2.76
ZnO	3.169	0.64	0.623	1.26



**Figure 4.42: Current-voltage (I-V) curves of DSSCs devices based on GQDs as a photosensitizer using TiO<sub>2</sub> and ZnO as photo-anodes**

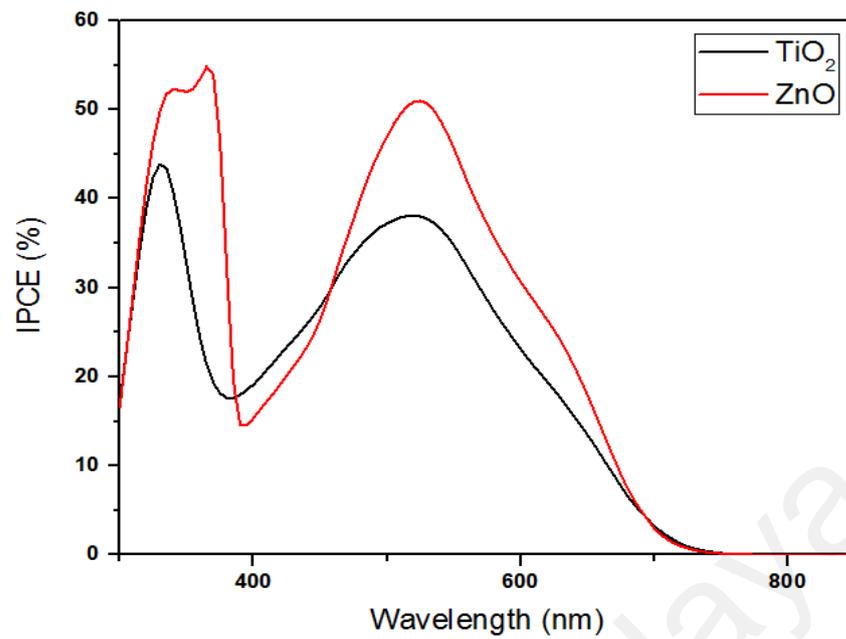
The EIS DSSCs based on GQDs as photosensitizers using TiO<sub>2</sub> and ZnO nanoparticles as photoelectrodes measured in dark condition were recorded in a frequency range between 0.01 Hz and 100 kHz and are shown in Figure 4.43.

Compared with the ZnO immersed in GQDs, TiO<sub>2</sub> photoanode immersed in GQDs shows significant reduction on  $R_{ct2}$  which means that the device using TiO<sub>2</sub> as a photoanode and GQDs as a photosensitizer makes the electron transfer easier at the photoanode/photosensitizer/electrolyte (Tsai and Lu, 2011).



**Figure 4.43: Nyquist plots of EIS for DSSCs based on GQDs as a photosensitizer using TiO<sub>2</sub> and ZnO as photo-anodes in dark condition**

The IPCE plots in Figure 4.44 demonstrate that the active photon-to-current responses of TiO<sub>2</sub> photo-anode immersed in GQDs are more red-shifted compared to the ZnO photo-anode immersed in GQDs, indicating that the spectral absorption range is effectively broadened. The IPCE value of ZnO photo-anode exceeds 51 %, while the quantum efficiency is reduced to 39 % for the DSSC device based on TiO<sub>2</sub> nanoparticles using GQDs as a photosensitizer (Lai, et al., 2015).



**Figure 4.44: IPCE Curves for DSSCs based on TiO<sub>2</sub> and ZnO as photo-anodes and GQDs as photosensitizer**

The I-V test results indicate that the efficiency of TiO<sub>2</sub> photo-anode immersed in GQD is higher than the efficiency of ZnO photo-anode immersed in GQD. This might be due to the high intensity of GQD in PL spectra, which shows the rapid recombination and decrease of efficiency (Yu, et al., 2011).

## CHAPTER 5: CONCLUSION

### 5.1 CONCLUSION

In this study, the performance of low cost DSSCs with improved PCE based on TiO<sub>2</sub> and ZnO nanoparticles as photo-anodes and GQDs as a photosensitizer were investigated experimentally. For this purpose, a series of experiments were performed and the results were recorded. As described in the previous chapters, different DSSC devices were fabricated based on different photo-anodes and different photosensitizers.

The effect of different immersion times on the performance of DSSCs was investigated by immersing TiO<sub>2</sub> and ZnO photo-anodes in different photosensitizer for different times. For both photosensitizers, the I-V tests and IPCE results confirm when the immersion time is 21 hours the efficiency was increased due to more photosensitizer molecules can be adsorbed when immersion time is increased, which also increase the light harvesting efficiency.

To investigate the effect of the thickness of TiO<sub>2</sub> and ZnO photo-anodes in DSSCs, multiple layers of TiO<sub>2</sub> and ZnO nanoparticles were coated onto the FTO glasses for the preparation of photo-anodes and then immersed in N-719 and GQDs as photosensitizers. With an increasing thickness, there was more photosensitizer molecules present in the semiconductor layer for absorbing sunlight, which result in the generation of current. However, increasing thickness requires a longer path for the photo generated electrons to reach the working electrode, which increases the rate of electron recombination. Therefore, the current started decreasing post-optimal thickness. For both photosensitizers, the I-V tests and IPCE results confirm that photo-anodes with 40 μm thicknesses show the highest efficiency.

To compare the efficiency of DSSCs using GQDs as a photosensitizer with DSSCs using N-719 as photosensitizer, TiO<sub>2</sub> and ZnO photo-anodes with 40 μm thicknesses

were immersed in both photosensitizers for 21 hours. The I-V test results show that the efficiency of TiO<sub>2</sub> photo-anodes immersed in GQDs are lower than the N-719, which might be due to the high intensity of GQDs in PL spectra showing rapid recombination alongside decreasing efficiency. Meanwhile, from I-V test results, the efficiency of ZnO photo-anode immersed in GQDs is higher than the efficiency of ZnO photo-anode immersed in N-719, due to the conduction band of GQDs being closer to the conduction band of ZnO compared to N-719. Although, the efficiency of ZnO photo-anode immersing in GQD is higher than ZnO photo-anode immersing in N-719, but the TiO<sub>2</sub> photo-anode immersing in GQD efficiency (2.76 %) is more than two times higher than the ZnO (1.26 %) photo-anode immersing in GQDs.

## **5.2 Recommendations for future work**

Though this study has provided good efficiencies of DSSCs using GQDs as photosensitizer, there is still a need for further study on these achievements. As it has been reported in the literature, the higher efficiency of DSSC employing N-719 is 11 %, while the one fabricated in this research is 2.76 %. This difference might be due to the method which has been used to fabricate the DSSC. As a result, future work can focus on the fabrication method such as sputtering, spin-coating and etcetera, which might increase the efficiency of DSSC utilizing GQD in its photo-anode.

Due to unique properties of GQDs which has been mentioned earlier, green quantum dots can be used as a green photosensitizer. In addition quantum dots (QDs) are superior to organic photosensitizers in terms of photostability and water dispersability. All these benefits of green quantum dots, put in the center of attention for future studies both in the case of green photosensitizer and also water treatment.

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## LIST OF PUBLICATIONS AND PAPERS PRESENTED

Golnoush Zamiri, Samira Bagheri, Sharifah Bee Abd Hamid Enhanced PCE of Green Dye-Sensitized Solar Cell: Graphene Quantum Dots as a Photo-Sensitizer (Submitted)

Golnoush Zamiri, Samira Bagheri, Sharifah Bee Abd Hamid Fabrication of Green Dye-Sensitized Solar Cell based on ZnO nanoparticles as a photoanode and Graphene Quantum Dots as a Photo-Sensitizer. Journal of Colloid and Interface Science/ YJCIS22896

Golnoush Zamiri, Samira Bagheri, Sheida Shahnazar, Sharifah Bee Abd Hamid, Progress on Synthesis, Functionalization and Application of Graphene Nanoplatelets. Material Research Innovations June 2015.