

R

PERPUSTAKAAN UNIVERSITI MALAYA

ACS-7632
INVC...ms...5/3/02

PERSUASION IN THESIS WRITING

FARIDAH NOOR BT. MOHD. NOOR

Perpustakaan Universiti Malaya



A510575443

**A thesis submitted to the Faculty of Languages and Linguistics
in fulfilment of the requirement for the degree of
Doctor of Philosophy**

2000

ABSTRACT

This study is an attempt to suggest that persuasion is employed in thesis writing. Based on the Social Constructionist Approach, the present study proposes that persuasion in thesis writing is implicit. This is in line with the demands of the academic community that expects scientific language to be objective. Both qualitative and quantitative analyses were conducted in the study. The major study is a qualitative analysis based on a corpus made up of fourteen (14) Master's theses that were randomly selected from the Arts and Science fields. Two quantitative analyses were also conducted on the corpus. The first was to investigate the distribution of the persuasive strategies identified in the study and to compare the use of persuasive strategies between thesis writers from the Arts and Science fields. The second analysis, based on a corpus of six (6) Master's theses, was to investigate the amount and types of non-linear texts used. The types of persuasive strategies found in thesis writing are compiled and presented. The findings of the quantitative analyses are tabulated and discussed. The analysis seems to support the notion that persuasion is used in thesis writing and it forms the underlying basis of the strategies used in thesis writing.

ABSTRAK

Kajian ini ingin mencadangkan bahawa pemujukan atau "persuasion" digunakan dalam penulisan tesis. Berdasarkan pendekatan secara "Social Constructionist", kajian ini ingin mengajukan bahawa pemujukan yang digunakan dalam penulisan tesis adalah dibayangkan tetapi tidak secara terus terang. Ini adalah sejajar dengan kehendak masyarakat akademik yang menyarankan bahasa saintifik yang digunakan hendaklah objektif. Kedua-dua kaedah analisa kualitatif and kuantitatif digunakan dalam kajian ini. Kajian utama adalah merupakan satu analisa kualitatif ke atas korpus yang terdiri daripada empat belas (14) tesis Ijazah Sarjana yang dipilih secara rawak dari kedua-dua bidang Sastera dan Sains. Dua analisa kuantitatif juga dijalankan. Kajian pertama adalah untuk mengkaji sebaran strategi-strategi yang telah dikenalpasti dalam kajian, dan untuk membandingkan penggunaan strategi-strategi tersebut dikalangan penulis tesis dari bidang Sastera dan Sains. Analisa kedua, berdasarkan korpus yang terdiri dari enam (6) tesis Sarjana, adalah untuk mengkaji amaun dan jenis teks bukan-linear yang terdapat dalam tesis Ijazah Sarjana. Jenis-jenis strategi pemujukan yang terdapat dalam penulisan tesis dikategori dan seterusnya dibincangkan. Analisa yang telah dijalankan ini diperhatikan menyokong anggapan bahawa pemujukan memang digunakan dalam penulisan tesis and ianya menjadi asas bagi strategi-strategi yang digunakan dalam penulisan tersebut.

ACKNOWLEDGEMENTS

Praise to Allah for having given me the strength to persevere.

I am indebted to Dato' Professor Dr. Hajjah Asmah Haji Omar for all the guidance and encouragement she has given me.

It has been a great privilege indeed to have her as my main supervisor.

I would like to take this opportunity to thank Dr. Kamila Ghazali for acting as my second supervisor towards the end of my candidacy.

I owe this to my husband, Suhaimi, for being ever so patient and understanding. I couldn't have done it without you.

To my lovely children, Muhamad Haidar, Safia Najwa, and Kaiyisah Bushra,
No amount of Hugs and Kisses can ever thank you for not complaining,
especially when Mama couldn't be there for you.

You are very precious and special indeed.

It was a long and bumpy ride, and I am so thankful to Allah that it is over.

My Friends (I do not dare begin to list lest I miss a few good names) have been very supportive and kind with words of encouragement.

To ALL OF YOU, thank you for caring.

My appreciation goes out to all who have provided me with assistance at the Faculty of Languages and Linguistics, University of Malaya.

May Allah swt. Bless All of You.

DEDICATION

I dedicate this thesis to

My Dad

Allahyarham Tuan Haji Mohd. Noor bin Wahab

Who wanted the best for his children

My Mum

Who has always been there for me

TABLE OF CONTENTS

CONTENTS	PAGE
Abstract	i
Abstrak	ii
Acknowledgements	iii
Dedication	iv
Table of Contents	v
List of Figures	ix
List of Tables	x
1 CHAPTER ONE: INTRODUCTION	
1.1 Background of the Study	1
1.2 Objectives of the Present Study	4
1.3 Corpus	6
1.4 Methodology	9
1.5 Research Questions	13
1.6 Limitations of the Study	14
2 CHAPTER TWO: DISCOURSE AND THE SOCIAL CONSTRUCTIONIST APPROACH	
2.0 Introduction	16
2.1 Discourse and Its Features	17
2.2 Discourse Community and Genre Types	24
2.3 The Master's Thesis as a Genre	32
2.4 The Social Perspective in the Research of Writing	38
2.4.1 The Social Constructionist Approach	41
2.4.2 Discourse Analysis	44
2.4.3 Descriptive Discourse Analysis	45
2.4.4 Critical Discourse Analysis	46
2.5 Research on Discourse	48
2.5.1 Discourse and Power	49
2.5.2 Politeness: An Overview	52
2.6 Summary	61
3 CHAPTER THREE: PERSUASION AND ACADEMIC WRITING	
3.0 Introduction	63
3.1 Persuasion	64

	PAGE
3.2 Persuasive Writing	79
3.2.1 The Structure of Persuasive Arguments in Academic Writing	80
3.2.2 Claim, Warrant, Data and Qualifier	87
3.2.3 Supports Used in Arguments	92
3.3 The Writer-Reader Relationship in Academic Writing	99
3.4 Research on Academic Writing	103
3.5 Summary	116
 4 CHAPTER FOUR: THE THEORETICAL FRAMEWORK	
4.0 Introduction	118
4.1 The Academic Discourse Community: A Social Constructionist Approach	118
4.2 Persuasion as Perceived by the Academic Discourse Community	123
4.3 Thesis Writing and Persuasion	126
4.3.1 Persuasion in Thesis Writing	126
4.3.2 Thesis Writing Promotes Implicit Persuasion	130
4.3.3 Components of Persuasive Network in Thesis Writing	134
4.3.4 Players in the Persuasive Act of Thesis Writing	137
4.4 Figurative Representation of Persuasion in Thesis Writing	144
4.5 Summary	146
 5 CHAPTER FIVE: JUSTIFICATION STRATEGIES	
5.0 Introduction	147
5.1 To Justify the Need for the Proposed Study	150
5.2 To Justify the Set up of the Study	176
5.2.1 To Justify the Choice of Method Used	177
5.2.2 To Justify Changes or Adaptation Made to Method or Procedure	188
5.2.3 To Justify Choice of Materials Used in Study	193
5.2.4 To Justify the Limitations of the Study	200
5.2.5 To Justify the Hypothesis of Research	209
5.2.6 To Justify the Assumptions Made in the Study	210
5.2.7 To Justify the Way Findings Were Presented	212
5.3 Distribution of Justification Strategies	214
5.4 Summary	217

6 CHAPTER SIX: NEGOTIATION STRATEGIES

6.0	Introduction	221
6.1	To Negotiate Findings as New Knowledge Claims	225
6.2	To Negotiate that Examiners Accept the Suggestions on the Cause of Problems Encountered in the Study	232
6.3	To Negotiate the Probable Cause of an Observed Phenomenon in Study	236
6.4	To Negotiate Speculations Made	249
6.5	To Negotiate the Discrepancies in the Findings	257
6.6	To Negotiate Conclusions	258
6.7	To Negotiate the Significance of the Study's Findings	268
6.8	To Negotiate the Sharing of Mutual Feelings with Examiners	278
6.9	To Negotiate that Recommendations and Opinions be Accepted	288
6.10	To Negotiate for Some Time and Space	295
6.10.1	To Explain the Aim of the Study	296
6.10.2	To Explain the Set-up of Theses and Chapters	297
6.10.3	To Explain the Purpose of Chapters and Sections in Theses	298
6.11	Distribution of Negotiation Strategies	302
6.12	Summary	305

7 CHAPTER SEVEN : POLITENESS, DEFENSIVE AND SUPPORT STRATEGIES

7.0	Introduction	308
7.1	Politeness Strategies	308
7.1.1	To Report Observations Made in the Study	309
7.1.2	To Report Some Uncertain Phenomena	316
7.1.3	To Redress FTAs	318
7.1.4	To Make Bald-on-Record Statements	322
7.2	Defensive Strategies	330
7.2.1	To Defend Why Problems Occurred in Study	331
7.2.2	To Defend Findings	336
7.2.3	To Defend Decisions Made in the Study	338
7.3	Distribution of Defensive Strategies	342
7.4	Support Strategies	344
7.4.1	The Use of Non-Linear Texts	345
7.4.1.1	Amount of Non-Linear Texts Found in Masters Theses	346
7.4.1.2	Types of Non-Linear Form Used in Master's Theses in English, Economics and Biological Sciences	348
7.4.2	The Use of Citations as Support	350

	PAGE
7.4.3 The Use of Explanations as Support	352
7.4.4 By Providing Illustrations or Examples	353
7.5 Summary	354
 8 CHAPTER EIGHT: CONCLUSION	
8.0 Introduction	358
8.1 Research Questions Revisited	358
8.2 Overview of the Findings of the Present Study	368
8.3 Future Investigations	369
8.4 Summary	370
 BIBLIOGRAPHY	372
 APPENDICES	
Appendix A List of Theses Used as Corpus for Main Study	388
Appendix B List of Theses Used as Corpus for the Analysis of Non-Linear Texts in Master's Theses	390

LIST OF FIGURES

FIGURE	PAGE
2.1 The Communication Triangle	19
2.2 Symbiotic Relationship between Community and Discourse	27
2.3 The Three-Dimensional Connection of Discourse	47
2.4 Circumstances Determining the Choice of Strategy	55
3.1 Model of Persuasion	76
3.2 Toulmin's Model of Argument	82
3.3 Connection Between the Five Components of an Argument	86
3.4 Toulmin's New Model of Argument	86
4.1 The Sender and Receivers of a Master's Thesis	139
4.2 Basic Framework of Persuasion in Master's Thesis	145

LIST OF TABLES

TABLE		PAGE
2.1	The Main Concepts of the Social Constructionist Approach	42
3.1	Persuasion Grid	70
5.1	Distribution of Justification Strategies	216
6.1	Distribution of Negotiation Strategies	303
7.1	Distribution of Defensive Strategies	342
7.2	Percentage Distribution of Non-Linear Texts in Master's Theses	347
7.3	Types of Non-Linear Texts in Master's Theses	349