

CLINICAL AND GENETIC ASSOCIATIONS OF
DIPEPTIDYL PEPTIDASE-4 INHIBITOR TREATMENT
RESPONSE IN TYPE 2 DIABETES

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FACULTY OF MEDICINE
UNIVERSITY OF MALAYA
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ABSTRACT

Incretin-based therapies are a recent class of antidiabetic agents that modulate glucose homeostasis in type 2 diabetes (T2D) via the incretin pathway. Oral incretin-based therapy namely the dipeptidyl peptidase-4 (DPP-4) inhibitors, block the DPP-4 enzyme, thus preventing the degradation of active glucagon-like peptide-1 (GLP-1) and glucose-dependent insulintropic peptide (GIP), thereby activating the expression of multiple genes resulting in insulin secretion, β -cell proliferation, and survival. Despite the wide use of DPP-4 inhibitors, little is known of clinical and pharmacogenomic factors that specifically associated with DPP-4 inhibitor treatment response, especially in Malaysian subjects. **Aim:** The aim of this study is to determine the clinical and genetic associations of DPP-4 inhibitor treatment response in Malaysian with T2D. Based on disease pathogenesis and the incretin pathway, we hypothesized that three genes, *DPP4*, *WFS1*, and *KCNJ11*, play a role in the response to DPP-4 inhibitors. The genes include the drug target, pathway genes, and disease genes. We also hypothesized that two main clinical factors, dipeptidyl peptidase (sCD26) and homeostasis model assessment of insulin resistance (HOMAIR), would be associated with the response to DPP-4 inhibitors. The selection of these clinical factors was based on an understanding of the disease pathogenesis and the mechanism of drug action. **Patients and methods:** In this observational cross-sectional study, we recruited 331 patients with T2D who had been treated with DPP-4 inhibitors (sitagliptin, vildagliptin and linagliptin) for at least 3 months and 331 control subjects with T2D treated with oral non-DPP-4 inhibitor therapies. All participants were recruited from the Diabetes Clinic (tertiary care) at the University of Malaya Medical Centre (UMMC), Malaysia. All subjects were genotyped for *DPP4*, *WFS1* and *KCNJ11* gene polymorphisms. Genotyping was performed by Applied Biosystems TaqMan SNP genotyping assay. Fasting venous blood samples were taken from all subjects for the determination of A1c, fasting plasma glucose

(FPG), fasting insulin, fasting lipids, liver enzymes and sCD26. **Results:** 44.7% experienced good glycemic control with DPP-4 inhibitor therapy. Upon univariate analysis; triglycerides, waist circumference, LDL cholesterol, aspartate aminotransferase (AST), DPP-4 inhibitor regimens (with biguanide + sulphonylurea with/without thiazolidinedione), *WFS1* rs734312 and *KCNJ11* rs2285676 predicted response to DPP-4 inhibitor therapy (defined as A1c <7%). Upon multivariate analysis, patients with triglycerides levels less than 1.7 mmol/L (OR: 2.4; 95% CI: 1.152–5.097), and *KCNJ11* rs2285676 (genotype CC) (OR: 2.0; 95% CI: 1.065-3.856) were found to be more likely to respond to DPP-4 inhibitor treatment compared with other patients. Triglyceride and *KCNJ11* rs2285676 (genotype CC) gene polymorphism were not associate of good glycemic control (A1c <7%) in the control group. **Conclusions:** An overall model of DPP-4 inhibitor treatment response was developed using clinical and genetic variables to determine the optimal DPP-4 inhibitor treatment response in patients with T2D. From this model, patients with T2D most likely to benefit from DPP-4 inhibitor treatment are those with a *KCNJ11* rs2285676 (genotype CC) gene polymorphism and triglycerides values within normal range. These pharmacogenomic findings by identifying those most likely to respond to DPP-4 inhibitor treatment will enable cost-effective personalized treatment of patients with T2D.

ABSTRAK

Terapi berdasarkan inkretin adalah sebuah kelas agen antidiabetik terbaru yang memodulasi homeostasis glukosa dalam diabetes jenis 2 (T2D) melalui laluan inkretin. Terapi oral berdasarkan inkretin iaitu perencat dipeptidil peptidase-4 (DPP-4), menghalang enzim DPP-4 dan seterusnya menghalang degradasi iras-glukagon peptida-1 (GLP-1) dan glukosa-dependensi insulinotropik peptida (GIP) yang aktif, lalu mengaktifkan ekspresi pelbagai gen yang menghasilkan pengeluaran insulin, proliferasi dan survival sel- β . Disebalik penggunaan meluas perencat DPP-4, hanya sedikit diketahui mengenai faktor klinikal dan farmakogenomik yang secara khususnya dikaitkan respon kepada perencat DPP-4, terutamanya pada subjek-subjek Malaysia.

Tujuan: Tujuan penyelidikan ini adalah untuk menentukan kaitan-kaitan klinikal dan genetik kepada respon rawatan perencat DPP-4 dalam pesakit-pesakit Malaysia yang mempunyai T2D. Berdasarkan patogenesis penyakit dan laluan inkretin, kami menghipotesiskan bahawa ketiga-tiga gen, *DPP4*, *WFS1*, dan *KCNJ11*, memainkan peranan di dalam respon kepada perencat DPP-4. Gen-gen ini termasuklah gen yang terlibat dalam sasaran ubat, gen-gen laluan, dan gen-gen penyakit. Kami juga menghipotesiskan bahawa dua faktor utama klinikal, dipeptidil peptidase (sCD26) dan model homeostasis ujian kerintangan insulin (HOMAIR), berkemungkinan berkait dengan respon kepada perencat DPP-4. Pemilihan faktor-faktor klinikal ini adalah berdasarkan pemahaman patogenesis penyakit dan mekanisma tindakan ubat. **Pesakit dan kaedah penyelidikan:** Dalam kajian keratan rentas pemerhatian ini, kami merekrut seramai 331 pesakit T2D yang dirawat dengan perencat DPP-4 (sitagliptin, vildagliptin dan linagliptin) sekurang-kurangnya selama 3 bulan dan seramai 331 pesakit T2D yang dirawat dengan terapi oral antidiabetes selain perencat DPP-4. Semua peserta direkrut daripada Klinik Diabetes (penjagaan tertiar) di Pusat Perubatan Universiti Malaya (PPUM), Malaysia. Semua subjek digenotip untuk mengenalpasti polimorfisme gen

DPP4, *WFS1* dan *KCNJ11*. Proses genotip dijalankan dengan menggunakan asai genotip Applied Biosystems TaqMan SNP. Sampel-sampel darah vena berpuasa telah diambil dari semua subjek untuk penentuan A1c, glukosa plasma puasa (FPG), insulin puasa, lipid puasa, enzim-enzim hati dan sCD26. **Hasil kajian:** 44.7% subjek mendapat kawalan glisemik yang baik dengan rawatan perencat DPP-4. Analisis univariat menunjukkan trigliserida, ukur lilit pinggang, kolesterol LDL, AST, regimen perencat DPP-4 (bersama biguanide + sulphonylurea bersama/tidak bersama thiazolidinedione), *WFS1* rs734312 dan *KCNJ11* rs2285676 meramal respon kepada terapi perencat DPP-4 (ditakrifkan sebagai A1c <7%). Didapati bahawa etnik bukan peramal bagi perencat DPP-4. Analisis multivariat menunjukkan bahawa pesakit dengan paras trigliserida kurang daripada 1.7 mmol/L (OR: 2.4; 95% CI: 1.152–5.097) dan *KCNJ11* rs2285676 (genotype CC) (OR: 2.0; 95% CI: 1.065-3.856) adalah lebih responsif kepada rawatan perencat DPP-4 berbanding dengan pesakit-pesakit lain. Triglyserida dan polimorfisme gen *KCNJ11* rs2285676 (genotype CC) didapati bukan kaitan kepada kawalan glisemik baik (A1c <7%) dalam kumpulan kawalan. **Kesimpulan:** Sebuah model keseluruhan respon kepada rawatan perencat DPP-4 telah dibangunkan dengan menggunakan variabel-variabel klinikal dan genetik untuk menentukan respon optimal kepada rawatan perencat DPP-4 di dalam pesakit T2D. Daripada model ini, pesakit-pesakit T2D yang berkemungkinan besar bermanfaat daripada rawatan perencat DPP-4 adalah pesakit yang mempunyai polimorfisme gen *KCNJ11* rs2285676 (genotype CC) dan nilai trigliserida di dalam skala normal. Hasil farmakogenomik ini yang mengenalpasti pesakit paling responsif kepada rawatan perencat DPP-4 akan membolehkan rawatan peribadi pesakit T2D yang kos-efektif.

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LIST OF SYMBOLS AND ABBREVIATIONS

T2D	:	Type 2 diabetes
DM	:	Diabetes mellitus
IDF	:	International Diabetes Federation
WHO	:	World Health Organization
DPP-4	:	dipeptidyl peptidase-4 (refers to the drug & enzyme)
DPP4	:	dipeptidyl peptidase-4 (gene)
WFS1	:	Wolfram syndrome 1
KCNJ11	:	Potassium channel Kir6.2
GLP-1	:	glucagon-like peptide-1
GLP-1R	:	glucagon-like peptide-1 receptor
PI3K	:	phosphoinositide 3-kinase
ATP	:	adenosine triphosphate
CD26	:	dipeptidyl peptidase-4 (protein)
sCD26	:	soluble dipeptidyl peptidase-4 (protein)
NCBI	:	National Center for Biotechnology Information
CAD	:	coronary artery disease
MI	:	myocardial infarction
HOMAIR	:	Homeostatic Model Assessment of Insulin Resistance
FPG	:	fasting plasma glucose
A1c	:	glycated haemoglobin
HDL	:	high density lipoprotein
LDL	:	low density lipoprotein
SBP	:	systolic blood pressure

DBP	:	diastolic blood pressure
ALT	:	alanine transferase
AST	:	aspartate aminotransferase
WC	:	waist circumference
ADA	:	American Diabetes Association
AFR	:	Africa
EUR	:	Europe
MENA	:	Middle East and North Africa
NAC	:	North America and Caribbean
SACA	:	South and Central America
SEA	:	Southeast Asia
WP	:	Western Pacific
DOSM	:	Department of Statistics Malaysia
NHMS	:	National Health and Morbidity Survey
NPH	:	Neutral Protamin Hagedorn
MTF	:	metformin
SU	:	sulphonylurea
TZD	:	thiazolidinedione
DPP-4-i	:	DPP-4 inhibitor
SGLT2-i	:	SGLT2 inhibitor
GLP-1 RA	:	GLP-1 receptor agonist
SGLT2	:	sodium-glucose co-transporter 2
GIP	:	glucose-dependent insulinotropic polypeptide
GIPR	:	glucose-dependent insulinotropic polypeptide receptor
TMD	:	transmembrane domain
MMP	:	matrix metalloproteinase

M6P/IGFII	:	mannose-6 phosphate/insulin-like growth factor 2
FAP	:	fibroblast-activation protein
PAR2	:	protease-activated receptor 2
ERK	:	extracellular signal-regulated kinase
Gly	:	glycine
Trp	:	tryptophan
Ser	:	serine
Asp	:	aspartic acid
His	:	histidine
FDA	:	Food and Drug Administration
XR	:	extended release
ADR	:	adverse drug reactions
NEC	:	not elsewhere classified
CYP	:	cytochrome
QID	:	quater in die (four times a day)
UMMC	:	University Malaya Medical Centre
MOH	:	Ministry of Health
MSD	:	Merck Sharp & Dohme
C	:	carbon
H	:	hydrogen
O	:	oxygen
N	:	nitrogen
CTD™	:	Comparative Toxicogenomics Database™
OMIM®	:	Online Mendelian Inheritance in Man®
NHGRI	:	National Human Genome Research Institute
EBI	:	European Bioinformatics Institute

GWAS	:	Genome Wide Association Study
PAX4	:	Paired box gene 4
KCNQ1	:	Potassium voltage-gated channel KQT-like subfamily member 1
TCF7L2	:	Transcription factor 7-like-2
ABCC8	:	Sulphonylurea receptor SUR1
MTNR1B	:	Melatonin receptor 1B
EPAC ₂	:	exchange protein directly activated by cAMP 2
PKA	:	protein kinase A
Ca ²⁺	:	calcium ion
K ⁺	:	potassium ion
PIP ₂	:	phosphatidylinositol 4,5-biphosphate
IP ₃	:	inositol triphosphate
ER	:	endoplasmic reticulum
MLT	:	melatonin
VAT	:	visceral adipose tissue
DNA	:	deoxyribonucleic acid
RNA	:	ribonucleic acid
mRNA	:	messenger RNA
EpiDREAM	:	Epidemiologic study of the Screenes for DREAM
DREAM	:	Diabetes Reduction Assessment with Ramipril and Rosiglitazone Medication
INTERHEART	:	Effect of potentially modifiable risk factors associated with myocardial infarction in 52 countries
OGTT	:	Oral Glucose Tolerance Test
IVGTT	:	Intravenous Glucose Tolerance Test
DESIR	:	Data from Epidemiological Study on the Insulin Resistance

	Syndrome
AC	: adenylyl cyclase
KV	: voltage-gated potassium channel
SUR1	: sulphonylurea receptor
E23K	: rs5219
HapMap	: haplotype map
MAF	: Minor Allele Frequency
ApoB	: apolipoprotein B
G	: guanine
T	: thymine
C	: cytosine
A	: adenine
3'UTR	: three prime untranslated region
UK	: United Kingdom
EJC	: exon-junction complex
CTBP2	: C-Terminal Binding Protein 2
NADK	: nicotinamide adenine dinucleotide kinase
AZU1	: azurocidin 1
CTSH	: cathepsin H
FSTL1	: follistatin like 1
HDLBP	: high density lipoprotein binding protein
ER α	: estrogen receptor α
GJA1	: gap junction protein alpha 1
Cx43	: encoding connexin 43
TWIST1	: Twist Family BHLH (Basic helix-loop-helix) Transcription Factor 1

IL-6	:	Interleukin-6
TNF α	:	tumor necrosis factor- α
IL-12	:	Interleukin-12
FABP4	:	fatty acid binding protein 4
MCP-1	:	monocyte chemoattractant protein-1
PTP1B	:	protein-tyrosine phosphatase 1B
SOCS3	:	suppressor of cytokine signaling 3
CD45	:	lymphocyte common antigen
PKR	:	protein kinase R
PERK	:	PKR-like endoplasmic reticulum (ER) kinase
UPR	:	unfolded protein response
IGF1	:	insulin-like growth factor-1
HNF4a	:	hepatocyte nuclear factor 4 alpha
SDF-1	:	stromal-cell-derived factor-1
GPCR	:	G protein-coupled receptor
CXCR4	:	C-X-C chemokine receptor type 4
Th1	:	T-helper type 1
SLE	:	systemic lupus erythematosus
RCT	:	Randomized Controlled Trial
NGSP	:	National Glycohemoglobin Standardization Program
DCCT	:	Diabetes Control and Complications Trial
Hb	:	haemoglobin
HbS	:	sickle haemoglobin
HbC	:	haemoglobin C
HbE	:	haemoglobin E
HbF	:	fetal haemoglobin

CKD	:	chronic kidney disease
DECODE	:	Diabetes Epidemiology: Collaborative analysis of Diagnostic criteria in Europe
HHS	:	Health and Human Services
VE-cadherin	:	vascular endothelial-cadherin
CDC	:	Centers for Disease Control and Prevention
CARMELINA	:	Cardiovascular and Renal Microvascular Outcome Study With Linagliptin in Patients With Type 2 Diabetes Mellitus at High Vascular Risk Study
ESRD	:	end stage renal disease
CVD	:	cardiovascular disease
WMA	:	World Medical Association
USC	:	University of Southern California
HIV	:	human immunodeficiency virus
HIS	:	Hospital Information System
PIS	:	Pharmacy Information System
RN	:	Registration Number
BTC	:	Blood Taking Centre
ELISA	:	enzyme-linked immunosorbent assay
NJ	:	New Jersey
CA	:	California
MA	:	Massachusetts
NY	:	New York
IL	:	Illinois
DE	:	Delaware
GE	:	gene expression

HPLC	:	High Performance Liquid Chromatography
IRI	:	Immunoreactive Insulin
IRP	:	Implementation Research Platform
DTU	:	Diabetes Trial Unit
TMB	:	tetramethyl-benzidine
RT-PCR	:	Real-Time Polymerase Chain Reaction
NTC	:	Non Template Control
dATP	:	deoxyadenosine triphosphate
dCTP	:	deoxycytidine triphosphate
dGTP	:	deoxyguanosine triphosphate
dTTP	:	thymidine triphosphate
cDNA	:	complementary DNA
RT	:	reverse transcriptase
GAPDH	:	glyceraldehyde-3-phosphate dehydrogenase
SPSS	:	Statistical package for Social Science
IBM	:	International Business Machines Corporation
SD	:	standard deviation
HWE	:	Hardy-Weinburg Equilibrium
YRI	:	Yoruba from Ibadan, Nigeria
JPT	:	Japanese from Tokyo, Japan
CEU	:	Caucasian from Utah, USA populations with Northern and Western European ancestry
LD	:	linkage disequilibrium
SNP	:	single nucleotide polymorphisms
ICD-10	:	International Statistical Classification of Diseases Tenth Revision
X ²	:	Chi square test

OR	:	Odds Ratio
95% CI	:	95% Confidence Interval
CV	:	coefficient of variation
MET	:	metabolic equivalents
GLUT2	:	glucose transporter 2
ROS	:	reactive oxygen species
mTOR	:	mechanistic target of rapamycin
pdx1	:	pancreatic and duodenal homeobox 1
p16 ^{Ink4a}	:	cyclin-dependent kinase inhibitor 2A
PPG	:	postprandial glucose
VLDL	:	very low density lipoprotein
cGMP	:	cyclic guanosine monophosphate
NAFLD	:	non-alcoholic fatty liver disease
γ GT	:	gamma-glut amyl-transpeptidase
MRI-PDFF	:	MRI-derived proton density-fat fraction
MTT	:	Meal Tolerance Test
HAEC	:	human aortic endothelial cells
HMVEC	:	human microvascular dermal endothelial cells
IRE1	:	inositol requiring 1
GRP94	:	heat shock protein 90kDa beta
IGT	:	impaired glucose tolerance
P _O	:	Baseline risk specified
R _G	:	Range of odds ratio
k _P	:	Overall disease risk in general population
N	:	Number of sample
∞	:	infinity

Hs	:	Homo sapiens
_s	:	An assay whose primers and probes are designed within a single exon. Such assays, by definition, detect genomic DNA
_m1	:	An assay whose probe spans an exon junction, at a region within exon 1
ΔCt	:	relative expression
D'	:	linkage disequilibrium coefficient
r^2	:	correlation

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CHAPTER 1: INTRODUCTION

Diabetes is a chronic disease of epidemic proportions with a growing worldwide prevalence. It currently afflicts approximately 415 million people and this figure is targeted to increase to 642 million adults by 2040 (International Diabetes Federation ((IDF, 2015))). Type 2 diabetes (T2D) comprises up to 90% of the worldwide diabetes population (World Health Organization (WHO, 2016)). In Malaysia, T2D prevalence has increased from 11.6% in 2006 to 22.6% in 2013 amongst the population aged 18 years and above (Wan Nazaimoon et al., 2013).

Incretin-based therapy is the most recent class of anti-diabetic agent used to control blood glucose levels in T2D. Dipeptidyl peptidase-4 (DPP-4) inhibitors are a category of oral incretin-based therapy and sitagliptin, a widely used agent from this drug class was first introduced in 2006 (Dicker, 2011). Sitagliptin become an instant hit as DPP-4 inhibitors are safer than sulphonylureas in terms of causing hypoglycemia (Dicker, 2011), and do not cause weight gain (Barbara, 2009). However there have been few studies that examined predictors of DPP-4 inhibitor treatment response. With this in mind, we designed this study aiming to investigate the association of clinical and genetic variables in T2D patients with DPP-4 inhibitor treatment response. The final aim of this study is to produce a prediction model of DPP-4 inhibitor treatment response.

We hypothesized that 3 candidate genes ; DPP4, WFS1 and KCNJ11 would be of possible relevance to the response to DPP-4 inhibitors treatment according to the drug pathway and disease pathogenesis. The selection of these genes was based on the understanding of the disease pathogenesis and the mechanism of drug action. Therefore, the gene selected includes the drug target, pathway genes, and also the disease genes.

According to the incretin pathway, following meal ingestion; the intestinal L-cells mediate the release of glucagon-like peptide-1 (GLP-1) into the circulation (Mussig, Staiger, Machicao, Haring, & Fritsche, 2010). Dipeptidyl peptidase-4 is an enzyme that inactivates GLP-1. To prevent the GLP-1 from being inactivated, a DPP-4 inhibitor inhibits the DPP-4 enzyme (encoded by the DPP4 gene (Kameoka, Tanaka, Nojima, Schlossman, & Morimoto, 1993)) resulting in increased levels of active GLP-1 which bind with its receptor (glucagon-like peptide-1 receptor (GLP-1R)) on the pancreatic β -cell (Lacy, 2009), thus transmitting signals in the phosphoinositide 3-kinase (PI3K) pathway (Kaneko et al., 2010) activating a cascade of action that results in insulin secretion. One component of the cascade is the WFS1 gene at the pancreas endoplasmic reticulum which its expression plays a significant role in insulin secretion in the β -cell (S. G. Fonseca et al., 2005; Ishihara et al., 2004). Next, following the incretin pathway; the release of insulin (Masana & Dubocovich, 2001) is modulated by the pancreatic β -cell adenosine triphosphate (ATP)-sensitive potassium channel and mediated by the KCNJ11 gene (Gloyn et al., 2003; Miki & Seino, 2005). With the identification of these 3 genes involved in the incretin pathway, we are hoping that this may provide a set of pharmacogenomic markers in order to explore or determine the response to existing DPP-4 inhibitor therapies.

Dipeptidyl peptidase-4 gene, also known as CD26 is a protein coding gene and acts mainly by cleaving the X-proline dipeptides from the N-terminal dipeptides in sequence (National Center for Biotechnology Information (NCBI, 2016b)). DPP4 gene polymorphisms were found to be related with severe obesity (Turcot et al., 2011), dyslipidemia (Bailey et al., 2014; Turcot et al., 2011), and coronary artery disease (CAD) with myocardial infarction (MI) (Aghili et al., 2012), which are common features associations of T2D/Metabolic Syndrome. WFS1 was found to be associated with decreased insulin secretion and increased risk of T2D (Cheurfa et al., 2011) and

studies have shown that the loss of WFS1 gene results in impaired glucose-stimulated insulin secretion and a reduction of β -cells in pancreatic islets (Riggs et al., 2005). There is good evidence that KCNJ11 gene is associated with insulin secretion (Chistiakov et al., 2009; Florez et al., 2007) and since DPP-4 inhibitor therapy results in improved insulin secretion (Dicker, 2011; Lacy, 2009), we hypothesized that KCNJ11 gene may potentially be associated with DPP-4 inhibitor treatment response.

We hypothesized these 2 clinical factors; soluble dipeptidyl peptidase-4 (sCD26) and Homeostatic Model Assessment of Insulin Resistance (HOMAIR); would potentially be altered in response to treatment with DPP-4 inhibitors based on the drug pathway, metabolism and disease pathogenesis. The selection of these clinical factors are based on the understanding of the disease pathogenesis and the mechanism of drug action. Other general clinical outcome parameters reflecting treatment response investigated are fasting plasma glucose (FPG), fasting insulin, total cholesterol, triglycerides, high density lipoprotein (HDL) and low density lipoprotein (LDL) cholesterol, alanine transferase (ALT), aspartate aminotransferase (AST), diastolic blood pressure (DBP), systolic blood pressure (SBP), body mass index (BMI) and waist circumference (WC).

CD26 is a multifunctional type II transmembrane glycoprotein (DPP4) (EC 3.4.14.5) (De Meester, Korom, Van Damme, & Scharpe, 1999), and exerts its enzymatic activity by cleaving the N-terminal dipeptides from polypeptides with either X-Pro or X-Ala dipeptides in the penultimate position (Durinx et al., 2000; Iwaki-Egawa, Watanabe, Kikuya, & Fujimotot, 1998). Serum sCD26 concentration was found to be correlated strongly with circulating DPP-4 activity in humans (Kobayashi et al., 2002). Studies have found that DPP-4 inhibitors improved insulin resistance (M.-K. Kim et al., 2012; Kusunoki et al., 2015), hence the idea that insulin resistance may be associated with DPP-4 inhibitor treatment response. HOMAIR was used as the indicator of insulin

resistance (Matthews et al., 1985). DPP-4 inhibitors were found to improve A1c levels in T2D patients (T. Forst et al., 2011; Pattzi et al., 2010) and these findings suggest that A1c is a measure of DPP-4 inhibitor treatment response.

Demographics are the characteristics of a population. In our study, demographics were included as predictors because we wanted to establish the exact patient characteristics (phenotype) indicative of the greatest potential response to DPP-4 inhibitor therapy. Generally, our demographic parameters take the form of age, gender, ethnicity and duration of T2D.

Patients with diabetes will grow older and aging complications (Gregg, Engelgau, & Narayan, 2002) may introduce a great challenge in establishing desired drug treatment response. To the best of our knowledge, it is currently unknown if gender plays a role in response to DPP-4 inhibitor therapy. Therefore we decided to investigate the gender differences in DPP-4 inhibitor treatment response. Since the population is formed by a mixture of separate ancestral groups which can lead to unusually high levels of linkage disequilibrium (Nordborg, 1998), and random marital associations between ethnicities may affect allele frequencies in populations (Bamshad & Wooding, 2003), ethnicity is worth including in our study as it may potentially affect the DPP-4 inhibitor treatment response. Studies found that duration of T2D correlated positively with A1c (Arnetz, Kallner, & Theorell, 1982; Kilpatrick, Dominiczak, & Small, 1996), and increased levels of insulin (Mykkänen, Zaccaro, Hales, Festa, & Haffner, 1999). Therefore, duration of T2D may be associated with DPP-4 inhibitor treatment response.

Diabetes accelerates the risk of cardiovascular disease: 80% of patients with diabetes die of heart disease and 75% of these deaths are due to myocardial infarction (Dokken, 2008). Neuropathy in diabetes is categorized into peripheral neuropathy and autonomic neuropathy (American Diabetes Association (ADA, 2015)). Approximately 1% of

global blindness is attributable to diabetes as diabetic retinopathy results in microvascular long-term accumulated damage to the small blood vessels in the retina (WHO, 2016). Diabetes is one of the leading cause of kidney failure (WHO, 2016). Patients with diabetes have double the risk of death compared to non-diabetics (Roglic et al., 2005). In this study, we also investigated the associations of comorbidities and complications of diabetes with the DPP-4 inhibitor treatment response.

1.1 Problem Statement and Justification of Research

DPP-4 inhibitors form a new group of oral anti-diabetic agents introduced to treat T2D, and despite the rapid increase in prescribing of this class of drugs and utilization, less is known of factors that specifically associate the outcome of DPP-4 inhibitor treatment response, especially with regards to specific demographic profiles such as age (treatment outcomes for the elderly may differ from other patients), as well as other clinical characteristics that may modulate the degree of therapeutic impact. Given that the human genome contains between 30,000 and 40,000 genes and the genomes of two different individuals differ by approximately one nucleotide in every thousand, or a difference of approximately 3 million base pairs (Zdanowicz, 2010), therefore, the genetic variability in drug response differs from one individual to another. To the best of our knowledge, there have been no study on genetic determinants of treatment response to DPP-4 inhibitors, especially in Asian populations. There is also no study been done on the selected genes; DPP4, WFS1 and KCNJ11 to associate with DPP-4 inhibitor treatment response. Furthermore, there is no study that found that the lower triglyceride level to be associative of response to DPP-4 inhibitor therapy.

Our study specifically addressed the factors that may influence DPP-4 inhibitor treatment response in T2D. The factors that we were interested in were the clinical and genetic factors. In this study, we investigated the following:

- What clinical characteristics may be associated with DPP-4 inhibitor treatment response?
- What genetic polymorphisms may associated with DPP-4 inhibitor treatment response?
- Are gene expression is associated with gene polymorphisms that correlates DPP-4 inhibitor treatment response?
- How does the combination of clinical and genetic characteristics influence DPP-4 inhibitor treatment response?

By the end of our study, we hope to develop association models for determining DPP-4 inhibitor treatment response in T2D. We also hope that our study findings may provide new insights in exploring and updating DPP-4 inhibitor treatment strategies for the treatment of T2D.

Therefore with the aforementioned in mind, we recruited 331 subjects on DPP-4 inhibitor therapy for at least 3 months and evaluated demographic, clinical, and genetic characteristics that we hypothesized would associated with treatment response defined as $A1c < 7\%$. Our aims, objectives and hypotheses were as follows:

1.2 Aims and Objectives

1.2.1 Aims

- To investigate the clinical and genetic factors associated with DPP-4 inhibitor treatment response in Type 2 Diabetes patients.

1.2.2 Objectives

- To identify the clinical markers associated with DPP-4 inhibitor treatment response.
- To identify the genetic variants associated with DPP-4 inhibitor treatment response.
- To investigate the association of gene expression levels with DPP-4 inhibitor treatment response.
- To develop models incorporating clinical and genetic markers that associated with DPP-4 inhibitor treatment response.

1.2.3 Hypothesis

- **Null hypothesis** : DPP-4 inhibitor treatment response is not associated with clinical and genetic variables in T2D.
- **Study hypothesis** : DPP-4 inhibitors treatment response is associated with clinical and genetic variables in T2D.

CHAPTER 2: LITERATURE REVIEW

2.1 Diabetes and Impact of the Disease

Diabetes is a chronic metabolic disorder hyperglycemia and abnormalities in fat, protein and carbohydrate metabolism; that requires life-long continuous medical care, education on self-management and support to prevent the emergence of any acute and chronic complications (L. W. Barrett, Fletcher, & Wilton, 2013) (Inzucchi et al., 2015). World Health Organization (WHO) defines diabetes as a chronic disease occurring when insulin produced by the pancreas is insufficient, or when insulin produced cannot be efficiently consumed by the body (WHO, 2015). The chronic complications of diabetes impose a significant societal burden in terms of healthcare costs (Fu, Qiu, Radican, & Wells, 2009). Diabetes is the primary reason behind dialysis-requiring renal disease (Hahr & Molitch, 2015) and adult blindness (T. Khan et al., 2013). Diabetes is also the main cause of nontraumatic limb amputation (Morbach et al., 2012). Patients with diabetes, especially those developed into diabetes kidney disease have substantially elevated mortality rates (Fox et al., 2015) due to cardiovascular diseases such as cardiac fibrosis, myocardial hypertrophy, atherosclerosis, and medial artery calcification, leading to myocardial infarction, congestive heart failure, sudden cardiac arrest, stroke, and peripheral vascular disease (Tuttle et al., 2014).

2.1.1 World Diabetes Statistics

According to the global diabetes report by WHO, the number of people with diabetes has been estimated to be 171 million in the year 2000 and 366 million by 2030 (Wild, Roglic, Green, Sicree, & King, 2004), and the International Diabetes Federation (IDF) had estimated the global diabetes prevalence to be 151 million in 2000 (IDF, 2000), 194

million in 2003 (IDF, 2003), 246 million in 2006 (IDF, 2006), and 285 million in 2010 (IDF, 2009); where each subsequent estimation had been higher than the previous report. In 2011, IDF published a report on prevalence of diabetes (among adults of 20-79 years old) in specific IDF membership regions (Table 2.1), showing that the prevalence of diabetes throughout worldwide was estimated to increase from 2011 to 2030 (Whiting, Guariguata, Weil, & Shaw, 2011).

According to a global diabetes projection study by Mathers and Loncar (2006), it is estimated that over 80% of fatalities due to diabetes may occur in low and middle income countries and diabetes will be the 7th leading cause of death by 2030 (Mathers & Loncar, 2006).

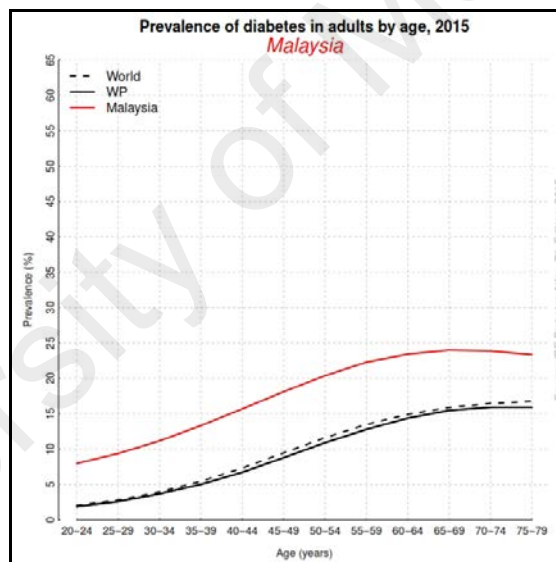
Table 2.1 : Prevalence of diabetes in 2011 and estimated prevalence of diabetes in 2030 by region among subjects aged 20-79 years old. Adapted from Whiting et al. (Whiting et al., 2011).

IDF Membership Regions	2011	2030
AFR	5	5.9
EUR	6	7.1
MENA	12.5	14.3
NAC	11.1	12.6
SACA	8.6	10.1
SEA	8.6	10.5
WP	10.1	11.6
World Bank	8.3	9.9

AFR: Africa; EUR: Europe; MENA: Middle East and North Africa; NAC: North America and Caribbean; SACA: South and Central America; SEA: Southeast Asia; WP: Western Pacific; World Bank: low-income, lower middle income, upper middle-income and high income.

2.1.2 Malaysian Diabetes Statistics

Malaysia is one of the rapidly developing Asian countries with current population of approximately 31 million (Department of Statistics Malaysia (DOSM, 2015)). In Malaysia, the prevalence of diabetes is increasing because of numerous contributing factors such as urbanization, population growth, obesity and physical inactivity. According to the International Diabetes Federation (IDF), there were 2.2 million cases of diabetes reported in Malaysia until the year to 2015 (IDF, 2015). In fact, mean prevalence of diabetes in Malaysia far exceeds the worldwide or even the Western Pacific rates (Figure 2.1), which is alarming and reflecting the unhealthy lifestyle of majority Malaysian.



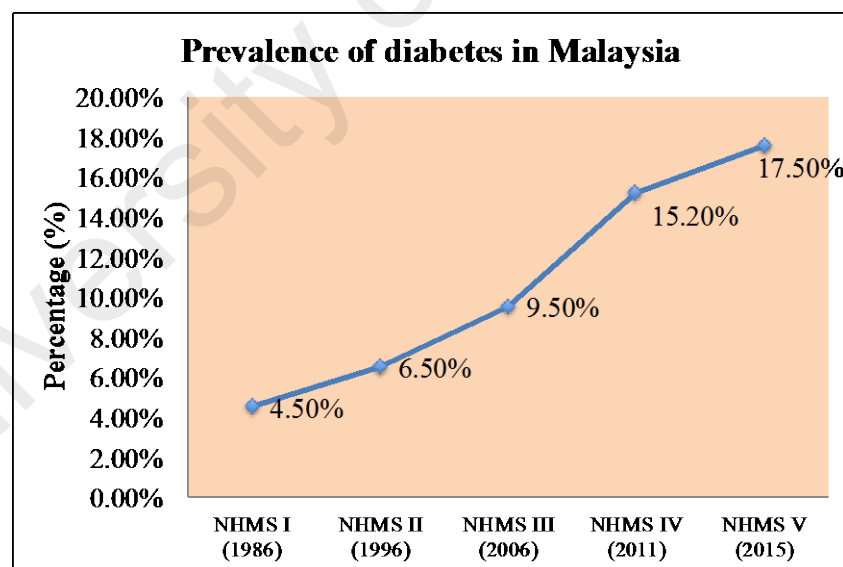
IDF: International Diabetes Federation; WP: Western Pacific (Appendix 1)

Figure 2.1: Prevalence of diabetes: Malaysia versus world, in 2015.

The Figure 2.1 shows the age groups in the population that have the highest proportion of diabetes. The black line represents the diabetes prevalence for the Western Pacific region, the dotted line represents the world diabetes prevalence, and the red line represents diabetes prevalence in Malaysia. Adapted from IDF Diabetes Atlas 7th Ed. (IDF, 2015).

The National Health and Morbidity Survey (NHMS) is a nationwide population survey of Malaysian adults aimed to provide a community-based data on the pattern of health issues, health service utilisation and health expenditure in Malaysian population (NHMS, 2015). NHMS I, II, III, and IV conducted in the years 1986, 1996, 2006 and 2011 respectively, found that prevalence of diabetes (in those over 30 years old) increased exponentially from 4.5% in 1986 to 15.2% in 2011 (NHMS, 2015) (Figure 2.2).

The latest NHMS V conducted in 2015, showed the prevalence of diabetes (in those over 18 years old) was 17.5% (Figure 2.2), with an increment of 5.5% in the 18 to 19 years age category and 39.1% in the 70 to 74 years age category (NHMS, 2015). The diabetes prevalence was higher in Indians at 22.1%, followed by Malay at 14.6%, and Chinese at 12.0% (NHMS, 2015).



NHMS: National Health and Morbidity Survey

Figure 2.2: The prevalence of diabetes in Malaysia (NHMS, 2015).

In Figure 2.2, samples were known diabetes with the age of 30 years old and above for NHMS I, II, III and IV, and for NHMS V; the samples were known and undiagnosed

diabetes among adults of 18 years old and above. Year of publications: NHMS I (1986) (NHMS, 1986), NHMS II (1996) (NHMS, 1996), NHMS III (2006) (NHMS, 2006), NHMS IV (2011) (NHMS, 2011) and NHMS V (2015) (NHMS, 2015).

2.2 Type 2 Diabetes

Type 2 diabetes (T2D) which was known as non-insulin-dependent diabetes or adult-onset diabetes, make up 90% of all diabetes (Saisho, 2015). T2D is due to a progressive defect in insulin secretory upon a background of insulin resistance (Inzucchi et al., 2015). There is no single cause for T2D, its aetiopathogenesis is multifactorial; however, most T2D patients are obese, thus obesity especially abdominal obesity, in particular, visceral adiposity may cause a substantial degree of insulin resistance (ADA, 2015). Insulin resistance is due to rise in lipolysis and free fatty acid production rise in hepatic glucose production and slowdown in skeletal muscle uptake of glucose (ADA, 2015).

Insulin secretion is defective in T2D and insufficient to compensate for insulin resistance. T2D is linked to a β -cell secretory defect. Progressive β -cell dysfunction worsens blood glucose control over time thus resulting in overt diabetes especially in individuals with susceptibility to diabetes (DeFronzo, Eldor, & Abdul-Ghani, 2013; Saisho, 2015). T2D commonly goes undetected for many years and patients are usually asymptomatic until the presence of diabetes complications which may reflect on the diabetes extent (Dall et al., 2014; Tankova, Chakarova, Dakovska, & Atanassova, 2012).

Common risk factors for developing T2D are increasing age (Araki et al., 2012), obesity (Nguyen, Nguyen, Lane, & Wang, 2011), (especially with central adiposity (Bray et al., 2008)), and lack of physical activity (Jeon, Lokken, Hu, & van Dam, 2007). Additionally, T2D frequently occurs in women, hypertensive or dyslipidemic patients, and in specific ethnicities such as African Americans, American Indians, Hispanics or Latinos, Asian Americans (Chow, Foster, Gonzalez, & McIver, 2012), Asian Indians (India, Pakistan and Bangladesh) (Abate & Chandalia, 2007; Retnakaran, Cull, Thorne, Adler, & Holman, 2006), Malaysian Indians (Rampal et al., 2009). Although the occurrence of T2D is associated with a susceptible genotype coupled with a diabetogenic lifestyle including the excessive daily intake of calories, lack of exercise and obesity (Nissen & Wolski 2007), the exact mechanisms related to the predisposing genetics of T2D are poorly understood (ADA, 2015).

2.3 Management of Diabetes

The primary aim when managing diabetes is to prevent the onset of acute hyperglycemic emergencies and chronic microvascular (nephropathy, retinopathy, and neuropathy) and macrovascular complications (coronary artery disease, cerebrovascular disease, peripheral arterial disease).

2.3.1 Goals of Diabetes Management in Adults

2.3.1.1 Glycemic therapy goals

In general, the American Diabetes Association recommends that the A1c is targeted at less than 7.0% for the non-pregnant diabetes adult (ADA, 2016). The ADA

recommends that A1c is checked every 6 months for patients at goal, whilst in patients with uncontrolled glycemia, A1c should be monitored every 4 months. However, for patients with advanced diabetes complications, uncontrolled long-standing diabetes, those who have shorter life expectancy, or extensive other comorbidities; less-stringent A1c targets can be applied. Optimal glycemia control is also characterized by a fasting glucose less than 7 mmol/L and a 2-hour glucose level of less than 10mmol/l (ADA, 2016).

2.3.1.2 Non-glycemic therapy goals

Blood pressure in patients with diabetes is targeted to be less than 140/90 mmHg (ADA, 2016). The lipid profile also has to be monitored in patients with T2D as this condition is often associated with dyslipidemia. Low-density lipoprotein (LDL) cholesterol for diabetes patients is targeted to be below 2.6 mmol/L according to ADA 2016 guidelines (ADA, 2016). However, initiating statins based on calculation of risk and using predefined doses of statins that aim to lower LDL levels by a certain minimum percentage from baseline is advocated in the ADA 2016 guidelines (ADA, 2016). Meanwhile, the triglyceride is targeted to be less than 1.7 mmol/L(ADA, 2016).

2.3.2 Antidiabetic Therapy

Based on general recommendations, the initial pharmacological diabetes treatment is metformin as monotherapy (Inzucchi et al., 2015). However, if the target A1c fails to be achieved after 3 months of treatment duration or when A1c is at 9% or more, dual therapy should be started (Inzucchi et al., 2015). For dual therapy, metformin can either

be combined with sulphonylurea, DPP-4 inhibitors, thiazolidinedione, SGLT2 inhibitors, GLP-1 receptor agonist or basal insulin (Inzucchi et al., 2015). If the target A1c fails to be achieved after 3 months of dual therapy, triple therapy will be initiated (Inzucchi et al., 2015). The triple therapy regimen may consist of metformin and an additional 2 more antidiabetic agents (Inzucchi et al., 2015) as shown in Figure 2.3. Patients may be started with combination insulin therapy (basal + mealtime) if the target A1c fails to be attained after quarter a year of triple therapy, or when the blood glucose is 16.7-19.4 mmol/L, or A1c is at 10% or more to 12%; specifically when symptomatic or catabolic features are displayed by patient (Inzucchi et al., 2015). Another alternative treatment regimen at this stage is a combination of basal insulin with the injectable GLP1 receptor agonist.



MTF: Metformin; SU: Sulphonylurea; TZD: Thiazolidinedione; DPP-4-i: DPP-4 inhibitor; SGLT2-i: SGLT2 inhibitor; GLP-1 RA: GLP-1 receptor agonist; DPP-4: dipeptidyl peptidase-4; SGLT2: sodium-glucose co-transporter 2; GLP-1: glucagon-like peptide-1.

Figure 2.3: The general recommendations for antidiabetic therapy in T2D (Inzucchi et al., 2015).

In Figure 2.3, the insulin in dual and triple therapy is referring to basal insulin. Basal insulin is a long-acting insulin (ie. NPH, glargine and detemir). Mealtime insulin is also known as fast-acting or bolus insulin (ie. Insulin Lispro, Insulin Aspart and Insulin Glulisin).

2.3.3 The Choice of Oral Antihyperglycemic Agents

The selection of a particular oral antihyperglycemic agent in managing the T2D is dependent upon several factors: efficacy, side effect profile, the risk of hypoglycaemia, chances of weight gain and patient phenotype. Sulphonylureas, for example, although very effective with A1c lowering capacity ranging from 1.0% to 1.5% (Sherifali, Nerenberg, Pullenayegum, Cheng, & Gerstein, 2010) and cheap (Gallwitz & Häring, 2010), often cause hypoglycemia especially in the elderly (Holstein, Hammer, Hahn, Kulamadayil, & Kovacs, 2010). Glibenclamide and gliclazide are the most commonly used sulphonylureas used to treat T2D (Rendell, 2004). Glibenclamide, in the elderly who have reduced renal function secondary to aging, poses a high risk of hypoglycaemia (ADA, 2016). Thiazolidinediones such as Rosiglitazone (Kendall & Wooltorton, 2006) and Pioglitazone (Shah & Mudaliar, 2010) are associated with edema, heart failure, and fractures as its side effects (Inzucchi et al., 2015; Nissen & Wolski 2007) thus limiting widespread use in treating T2D. SGLT2 inhibitors such as Dapagliflozin (Sabale, Ekman, Granstrom, Bergenheim, & McEwan, 2015), Canagliflozin (Elkinson & Keating, 2013) and Empagliflozin (Ndefo, Anidiobi, Basheer, & Eaton, 2015), are the newest oral antihyperglycemic agents introduced in the market and they function by reducing the amount of glucose being reabsorbed absorbed in the kidney tubules so that glucose is eliminated through urine the urine. The SGLT2 inhibitors as they have an insulin-independent mechanism of action do not result in hypoglycaemia. In addition, they result in weight loss. Therefore, this class of agents is useful in patients with T2D who have an obese phenotype. On the other hand, the SGLT2 inhibitors are costlier than the second-generation sulphonylureas and in comparison have a lesser A1c-lowering capacity. Incretin-based therapies such as the GLP-1 receptor agonist and DPP-4 inhibitors work via the incretin pathway and are

currently a popular choice in treating T2D because drug action is glucose dependent (meal activated), thus resulting in a lower risk of hypoglycemia than other older choices of oral antihyperglycemic agents. GLP-1 receptor agonists such as exenatide (Triplitt & Chiquette, 2003), liraglutide (S. H. Jackson, Martin, Jones, Seal, & Emanuel, 2010), lixisenatide (Elkinson & Keating, 2013), albiglutide (Poole & Nowlan, 2014) and dulaglutide (Sanford, 2014) have lower hypoglycemic risk than sulphonylureas (Gitt, Bramlage, Schneider, & Tschöpe, 2015). However, GLP-1 receptor agonist is only available in injectable form. Although GLP-1 receptor agonists are more potent than DPP-4 inhibitors, the DPP-4 inhibitors are a more favourable choice in treating T2D as the drug is available in oral dosing form (easier consumption, handling, and storage as compared to GLP-1 receptor agonist), neutral with regards to weight, has no hypoglycemia risk, and side effects are rare (Daniel J. Drucker et al., 2010) (Table 2.2). In comparison with other antihyperglycemic agents (Table 2.2); although metformin and sulphonylureas give the A1c reduction higher than DPP-4 inhibitors (1.5% over 0.6% – 0.8%, respectively), DPP-4 inhibitors does not cause hypoglycemia and weight gain as sulphonylureas, and DPP-4 inhibitors also have no cardiovascular risk factors and diabetes comorbidities contraindications as metformin (Daniel J. Drucker et al., 2010). Insulin therapy gives the highest A1c reduction (1.5% – 2.5%) among antihyperglycemic agents but it caused weight gain (Daniel J. Drucker et al., 2010).

Table 2.2: The differences between antihyperglycemic agents based on A1c reduction, hypoglycemia risk, effect on weight, cardiovascular risk factors, dosing (times/day) and diabetes comorbidity contraindications (Daniel J. Drucker et al., 2010).

	MTF	SU	TZD	DPP-4-i	Insulin (long-acting)	Insulin (rapid-acting)	GLP-1 RA
A1c reduction	1.5	1.5	0.5 – 1.4	0.6 – 0.8	1.5 – 2.5	1.5 – 2.5	0.5 – 1.0
Hypoglycemia risk	No	Yes	No	No	Yes	Yes	No
Effect on weight	Neutral	Gain weight	Gain weight	Neutral	Gain weight	Gain weight	Loss weight
CVD risk factors	Minimal	None	Variable	None	TG	TG	With weight loss
Dosing (times/day)	2	1	1	1	1	1 - 4	2
Diabetes comorbidities contraindications	Kidney, liver	None	None	None	None	None	Kidney

MTF: Metformin; SU: Sulphonylurea; TZD: Thiazolidinedione; DPP-4-i: DPP-4 inhibitor; GLP-1 RA: GLP-1 receptor agonist; DPP-4: dipeptidyl peptidase-4; SGLT2: sodium-glucose co-transporter 2; GLP-1: glucagon-like peptide-1; CVD: cardiovascular; TG: triglycerides.

2.4 The ‘Incretin Effect’

The ‘incretin effect’ phenomenon is attributed to the gastrointestinal peptides, i.e. incretin hormones, which are released as a response to the ingestion of nutrients and result in glucose-induced secretion of insulin from pancreatic β -cells (Henquin, Dufrane, Kerr-Conte, & Nenquin, 2015). In other words, Tolhurst et al (2009) describe the ‘incretin effect’ as the phenomenon of greater insulin release, triggered by oral ingestion of glucose as compared to the exact glucose amount administered intravenously (Tolhurst, Reimann, & Gribble, 2009). In humans, the incretin effect is brought about by two incretin hormones; glucagon-like peptide-1 (GLP-1) and glucose-dependent insulintropic polypeptide (GIP) (Mussig et al., 2010). GLP-1 and GIP mediate their effects via the glucagon-like peptide-1 receptor (GLP-1R) and glucose-

dependent insulinotropic polypeptide receptor (GIPR), respectively (Mussig et al., 2010). GLP-1R is located on pancreatic alpha (α -) and β -cells, and also in the heart, central nervous system, kidney, lung and gastrointestinal tract (Mussig et al., 2010). GIPR is especially located on pancreatic β -cells, the central nervous system, adipose tissue and osteoblasts (Mussig et al., 2010).

In T2D, the incretin response is expected to be defective due to the lack of β -cell responsiveness to exogenously administered GIP (Holst, Knop, Vilsbøll, Krarup, & Madsbad, 2011). However, the GLP-1 levels were maintained in the immediate postprandial period as the defect in GLP-1 secretion in patients with T2D were only observed within 2-3 hours after meal (Vollmer et al., 2009). This indicated that the defect in the GLP-1 release does not coexist with the alterations in insulin secretion by the β -cells indicating a partial incretin defect with GLP-1 (Juris J. Meier & Nauck, 2010). Therefore, as a result of these impacts on β -cell, GLP-1 became popular as the new pharmacological target as in developing new antidiabetic drugs such as DPP-4 inhibitors and GLP-1 receptor agonists (Stonehouse, Darsow, & Maggs, 2012).

2.5 GLP-1 and GIP

GLP-1 is produced primarily by enteroendocrine L-cells in the distal intestine. GLP-1 exists in two molecular forms, GLP-1 (7-37) and GLP-1 (7-36) amide. Although both forms are equipotent, only GLP-1 (7-36) amide circulates in high levels after food ingestion (D. J. Drucker & Nauck, 2006). GLP-1 potentiates secretion of glucose-dependent insulin from pancreatic β -cells in responding to ingestion of carbohydrates and lipid-rich meals. Furthermore, GLP-1 exerts other effects: glucagon secretion suppression, stimulation of β -cell neogenesis and insulin biosynthesis, acid secretion

and gastric emptying inhibition, food intake reduction via central nervous system (CNS) effects, and trophic effects on the pancreas (Gautier, Choukem, & Girard, 2008; Seino, Fukushima, & Yabe, 2010). In addition, this hormone contributes to the regulation of glucose homeostasis by GLP-1 receptor-dependent (GLP-1R-dependent) via the modulation of gastric emptying and involvement of neural circuits in the portal region and CNS (Lamont et al., 2012). Two SNPs in the GLP1R gene, rs6923761 and rs3765467 were found to be nominally associated with altered insulin secretory response to GLP-1 infusion, in the presence of hyperglycemia in nondiabetic subjects (Sathananthan et al., 2010). Up till the present-day, the GLP1R gene variations have not been associated with T2D (Stolerman & Florez, 2009).

GIP is a 42-amino acid peptide predominantly secreted by proximal small intestinal K-cells as a response to carbohydrates and lipids ingestion (D. J. Drucker & Nauck, 2006). GIP potentiates glucose-stimulated insulin release through G-protein coupled receptors, mainly placed on pancreatic β -cells (Foukas & Okkenhaug, 2003). GIPR mRNA expression has been found to be reduced in human islets in T2D, where the A allele of GIPR rs10423928 was associated with impaired glucose- and GIP-stimulated insulin secretion (Lyssenko et al., 2011).

2.6 Incretin-based Therapy

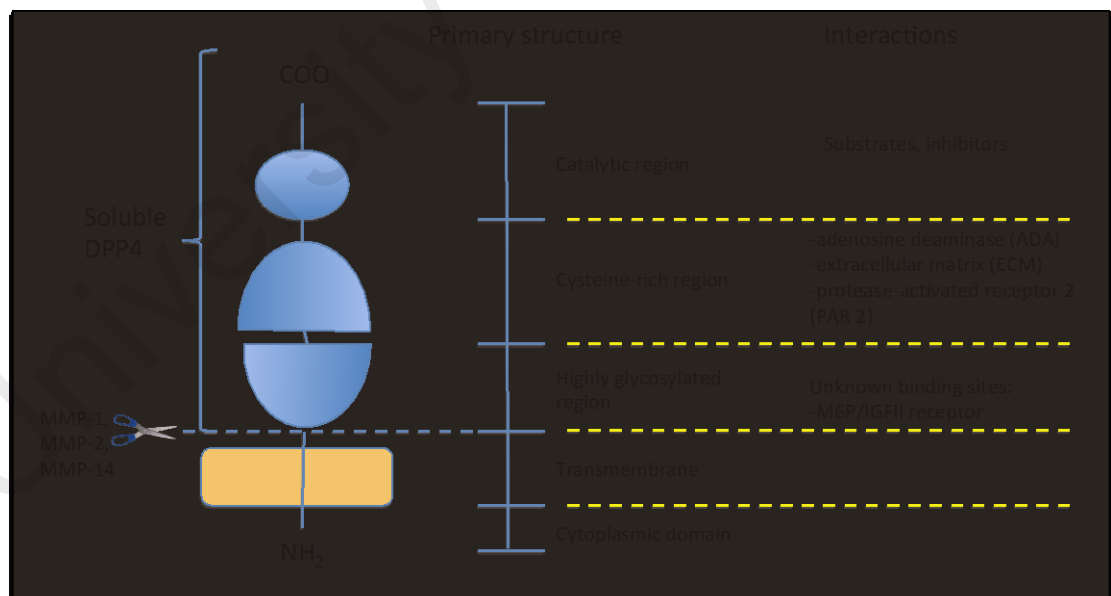
Incretin-based therapy is a latest class of anti-diabetic agent employed in controlling blood glucose levels. This control is achieved by modifying the patient's incretin pathway. Charbonnel and Cariou (2011) in a review summarized 23 randomized controlled trials of DPP-4 inhibitor therapies, finding that DPP-4 inhibitors as monotherapy or combination therapy with one oral antidiabetic produce moderate A1c

reductions, do not induce hypoglycemia and are neutral on weight (B. Charbonnel & Cariou, 2011). The incretin-based therapies can be divided into two groups, dipeptidyl peptidase-4 (DPP-4) inhibitors, and GLP-1R agonists. GLP-1R agonists are molecules that are encoded by a unique gene in a lizard (Gila monster (*Heloderma suspectum*)) venom, which is a potent degradation-resistant agonist at mammalian GLP-1R (D. J. Drucker & Nauck, 2006; Pabreja, Mohd, Koole, Wootten, & Furness, 2014). GLP-1R agonists that are commercially available include exenatide and liraglutide. Lixisenatide, tasoglutide, and albiglutide are in phase III of clinical trials at present (Addison & Aguilar, 2011). The second class of incretin-based therapies is based on a molecule that inhibits degradation of endogenous circulating GLP-1 and GIP. Endogenous GLP-1 (7-36) amide and GIP (1-42) amide are rapidly broken down into the inactive forms, GLP-1 (9-36) amide and GIP (3-42) amide, respectively (Duez, Cariou, & Staels, 2012). This inactivation shortens the duration of action of both circulating endogenously produced active compounds, and as result, the secretion of glucose-stimulated insulin is reduced (Baggio & Drucker, 2014; Mussig et al., 2010). This inactivation process is catalyzed by the DPP-4 enzyme, which rapidly degrades circulating GIP and GLP-1: resulting in a plasma half-life of five to seven minutes for GIP, and a plasma half-life of one to two minutes for GLP-1 (Addison & Aguilar, 2011; Gautier et al., 2008). The DPP-4 inhibitors prevent this inactivation process thus raising circulating concentrations of endogenously secreted GLP-1 and GIP.

2.7 Biology of Dipeptidyl Peptidase-4

DPP4 is an ubiquitously expressed glycoprotein of 110kDa, first distinguished by Hopsu-Havu and Glenner in 1966 (Röhrborn, Wronkowitz, & Eckel, 2015). The

functionality of DPP4 is described based on the respective relevance domains architecture of the DPP4 itself. The DPP4 protein has four domains, which are a short cytoplasmic domain (1-6), a transmembrane domain (TMD) (7-28), a flexible stalk segment (29-39), and the extracellular domain (40-766) (Figure 2.4) (Röhrborn et al., 2015). The extracellular domain is divided further into a highly glycosylated, the cysteine-rich and the catalytic area (Figure 2.4) (Röhrborn et al., 2015). According to a review, DPP4 was first found present in serum and saliva, followed by in the cerebrospinal fluid, seminal fluid and bile (Mulvihill & Drucker, 2014). Which later, Avogaro & Fadini (2014) and Mulvihill & Drucker (2014) had discovered that the protein was expressed in many other cell types and tissue, specifically in the brain, lungs, heart, gut, liver, pancreas, uterus, endothelial capillaries, thymus, spleen, lymph node, acinar cells of mucous and salivary glands, and highest levels detected in the kidney (Avogaro & Fadini, 2014; Mulvihill & Drucker, 2014).



MMP: matrix metalloproteinase; M6P/IGFII: mannose-6 phosphate/insulin-like growth factor 2

Figure 2.4: Membrane-bound DPP4 monomer.

Matrix metalloproteinases (MMPs) shedding DPP4 from membrane (Lambeir, Durinx, Scharpe, & De Meester, 2003). Adapted from Lambeir et al. (2003) (Lambeir et al., 2003).

DPP4 is a type two transmembrane protein, which has a typical signal peptide used to target the endoplasmic reticulum and initiate the translocation across cell membrane (Röhrborn et al., 2015). However, the signal is not split and it acts as a membrane anchor instead (Röhrborn et al., 2015). According to Röhrborn et al. in 2014, the circulating DPP4 (in the form of sDPP4 lacking in cytoplasmic domain and TMD) is split from the human adipocyte and smooth muscle cell membrane by a shedding process involving matrix metalloproteases (MMPs) (Röhrborn, Eckel, & Sell, 2014). The proline residues in the TMD region are involved in the translocation of DPP4 (Röhrborn et al., 2015). Chung et al. (2011) showed that the integration and translocation into the membrane are decided by the hydrophobicity, location and the conformation of proline in the TMD (K. M. Chung et al., 2011). Glycosylation of DPP4 is significant for the exact trafficking DPP4 (Röhrborn et al., 2015). The two glutamate residues (205 and 206) within proline in the TMD glycosylated region are crucial for DPP4 activity (Abbott, McCaughan, & Gorrell, 1999). These glycosylated sites (i.e. N-glycosylation at Asn319) are required for the stability, folding and intracellular trafficking of DPP4 protein (H. Fan, Meng, Kilian, Grams, & Reutter, 1997).

DPP4 exists as a monomer, homodimer or homotetramer, which can be found on cell surfaces (Röhrborn et al., 2015). The dimerized predominant form of DPP4 is important for enzymatic activity (Röhrborn et al., 2015). Dimerization may occur through interaction with the region that is cysteine-rich (Röhrborn et al., 2015) or through DPP4 itself, or via other binding partners such as fibroblast-activation protein α (FAP) (Gherzi et al., 2003; Scanlan et al., 1994). DPP4 is also connected to several mechanisms such as tumor invasion and immune response through the interaction in the cysteine-rich region (Röhrborn et al., 2015). Additionally, since the area of DPP-4 that is high in cysteine contains a sequence that is highly homologous to the auto-activating tethered

ligand of protease-activated receptor 2 (PAR2), Wronkowitz et al. (2014) suggested that sDPP4 might be the activator of PAR2 by substantiating that the upregulation of inflammatory cytokines, and s4PP4-mediated ERK activation and proliferation can be avoided when the PAR2 is silenced (Wronkowitz et al., 2014).

The catalytic region of DPP4 contains serine at DPP4's active site located in the sequence of Gly-Trp-Ser-Tyr-Gly, which is a portion of the catalytic triad (Ser 630, Asp 708, His 740) (Röhrborn et al., 2015). Since DPP4 is an exopeptidase, it actively splits dipeptides from its substrate's penultimate N-terminal position causing either inactivation of these peptides and/or generation of new bioactive compounds (Cordero, Salgado, & Nogueira, 2009).

2.8 Potential Receptors of Soluble Dipeptidyl Peptidase 4

DPP4 can exert its biological functions in an endocrine or paracrine manner due to its intact enzymatic and area rich in cysteine, which may too be involved in intracellular signaling events in targeted cells (Röhrborn et al., 2015). To the best of our knowledge, not much is made known about DPP4 receptors, thus minimizing information to exactly comprehend the role of sDPP4 in different cells and in different disease conditions that have serum DPP4 levels elevated (Röhrborn et al., 2015).

In order to exert its function as a T-cell activator, DPP4 needs to be internalized (Ikushima et al., 2000). Nevertheless, since DPP4 lacks an exocytosis signal, in order to exert its biological functions, DPP4 needs to be linked to mannose-6 phosphate/IGF-IIR, which takes place through M6P residues in the carbohydrate moiety of DPP4 causing an internalized complex (Ikushima et al., 2000).

2.9 Dipeptidyl Peptidase-4 Inhibitors

The DPP-4 inhibitor is a common 2nd line agent currently utilized in the therapy of T2D as it is as efficacious as other antidiabetics, safer than sulphonylureas in terms of hypoglycaemia risk (Dicker, 2011), and does not cause weight gain (Lacy, 2009). DPP-4 inhibitors are also the preferred prescription to treat T2D in the elderly due to its strictly glucose-dependent effect on lowering blood glucose (reducing the risk of hypoglycaemia) but have a neutral effect on calories consumed, thus preserving muscle and total body protein mass (Dicker, 2011). Sitagliptin was the pioneer DPP-4 inhibitor introduced in 2006 to treat diabetes (Godinho et al., 2015), followed by its combination with metformin in 2007 (Dicker, 2011; B. J. Goldstein, Feinglos, Luncford, Johnson, & Williams-Herman, 2007). Saxagliptin was the second DPP-4 inhibitor approved in the United States (US) in 2009 as monotherapy and by combining metformin, sulphonylureas or thiazolidinediones (Hollander & Kushner, 2010). Vildagliptin was later approved in 2008 in Europe (Dave, 2011), and Latin America (Dicker, 2011), as monotherapy and with the combination of metformin, thiazolidinediones or sulphonylureas (Vilar et al., 2011). The great success of DPP-4 inhibitors resulted in rapid development and emergence of linagliptin and alogliptin as the latest additions to this drug class (V. Gupta & Kalra, 2011). Although the different DPP-4 inhibitors have comparable efficiency in reducing A1c, safety profile, and patient tolerance; they differ in their metabolism, excretion and recommended effective daily dosage (Deacon, 2011; Dicker, 2011). A review by Davidson et al. (2009) on the effect of DPP-4 inhibitors on A1c as monotherapy or as combination therapy with other antidiabetics found that sitagliptin had on average decreased A1c by 0.65% after 12 treatment-week, 0.84% after 24 treatment-week, 1.0% after 30 weeks of treatment and 0.67% after 52 weeks of treatment (Davidson, 2009). Meanwhile, saxagliptin therapy resulted in an average A1c

reduction of 0.43-1.17% (Davidson, 2009). As for vildagliptin, the A1c decreased by an average of 1.4% after 12 weeks of monotherapy in newly treated diabetes patients (Davidson, 2009). A meta-analysis by Amori et al. (2007) on the treatment of T2D with vildagliptin and sitagliptin for 12 weeks or more as compared to placebo and other antidiabetics, found a reduction of A1c levels by 0.74% (Amori, Lau, & Pittas, 2007).

Sitagliptin, vildagliptin, and saxagliptin were the first three DPP-4 inhibitors approved by the US Food and Drug Administration (FDA) for their therapeutic use in T2D (Addison & Aguilar, 2011; Nathan et al., 2009) (Table 2.3). However, saxagliptin was only approved for use in Europe in 2011 to treat T2D with renal insufficiency of moderate to severe level and mild hepatic insufficiency (Duez et al., 2012). Alogliptin and linagliptin are the most recent DPP-4 inhibitors to be launched onto the market (Duez et al., 2012). Dutogliptin, teneligliptin, and SYR472 are currently under phase III (Duez et al., 2012), while other more recently developed DPP-4 inhibitors, such as KRP104, LC15-0444, and melogliptin are under phase II clinical trials (Duez et al., 2012). At present, four DPP-4 inhibitors are available for utilization in Malaysia: sitagliptin, vildagliptin, linagliptin and saxagliptin (Ministry of Health, Malaysia (MOH, 2017)). These agents are prescribed to patients in both government and private hospitals and clinics (MOH, 2017).

Table 2.3: Food and Drug Administration (FDA) approved DPP-4 inhibitors (FDA, 2016).

DPP-4 inhibitor	Brand name
Sitagliptin	Januvia (NCBI, 2016b; Yazbeck, Howarth, & Abbott, 2009)
Sitagliptin and Metformin	Janumet (Reynolds, Neumiller, & Campbell, 2008)

Table 2.3, continued

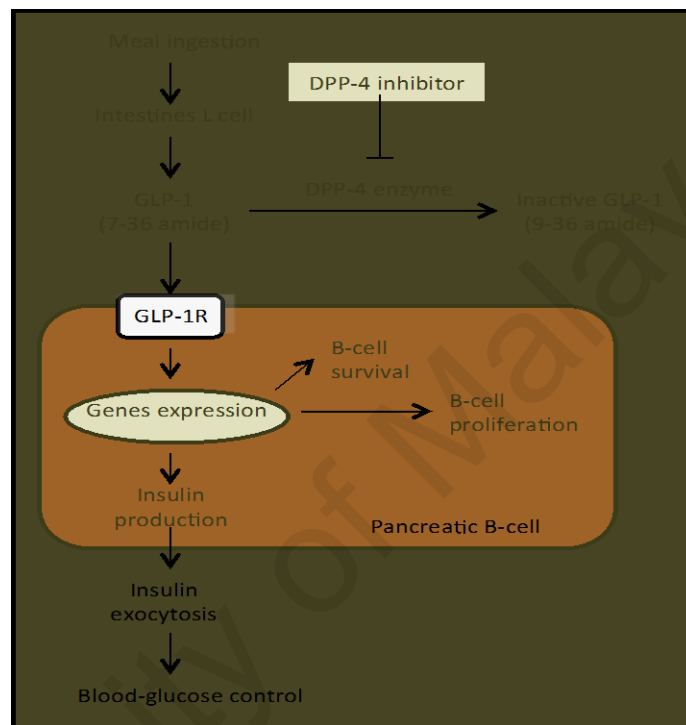
DPP-4 inhibitor	Brand name
Sitagliptin and Metformin XR	Janumet XR (Aschenbrenner, 2016)
Vildagliptin	Galvus (Braunstein & Zhong, 2015)
Vildagliptin and Metformin	Galvus Met (Mkele, 2015)
Saxagliptin	Onglyza (Karagiannis, Bekiari, Boura, & Tsapas, 2016)
Saxagliptin and Metformin XR	Kombiglyze XR (Karagiannis et al., 2016)
Linagliptin	Trajenta (Aschenbrenner, 2016)
Linagliptin and Empagliflozin	Glyxambi (Aschenbrenner, 2016)
Linagliptin and Metformin	Jentadueto (Aschenbrenner, 2016)
Alogliptin	Nesina (Tanz, 2013)
Alogliptin and Metformin	Kazano (Mullard, 2014)
Alogliptin and Pioglitazone	Oseni (Mullard, 2014)

XR: Extended Release

2.9.1 Mechanism of Action of DPP-4 Inhibitors

DPP-4 enzyme rapidly deactivates GIP (1-42) amide and GLP-1 (7-36) amide into the inactive forms, GIP (3-42) amide and GLP-1 (9-36) amide, respectively (Duez et al., 2012). This inactivation shortens the action period for both circulating active compounds, causing reduction in the secretion of the glucose-stimulated insulin and the proliferation and survival of pancreatic β -cells (Baggio & Drucker, 2014; Mussig et al., 2010). DPP-4 enzyme rapidly degrades circulating GIP resulting in a plasma half-life of 5-7 minutes and similarly results in a plasma half-life of circulating GLP-1 of 1-2 minutes (Addison & Aguilar, 2011; Gautier et al., 2008). The DPP-4 enzyme targets numerous substrates, which include neuropeptides, cytokines, and other gastrointestinal peptides (Gautier et al., 2008). Consequently, the enzyme is distributed in numerous tissues, which include endothelial cells, lymphocytes, the central nervous system,

kidney, lung and pancreas (Gautier et al., 2008). The distribution and rapid inactivating action of the DPP-4 enzyme on both incretin hormones ultimately determine the outcome of glucose-stimulated insulin secretion, hence glycemic control.



GLP-1: Glucagon-like peptide-1; GLP-1R, Glucagon-like peptide-1 receptor; DPP-4: Dipeptidyl peptidase-4.

Figure 2.5: Mechanism of action of DPP-4 inhibitors therapy of Type 2 diabetes. Adapted from (Duez et al., 2012).

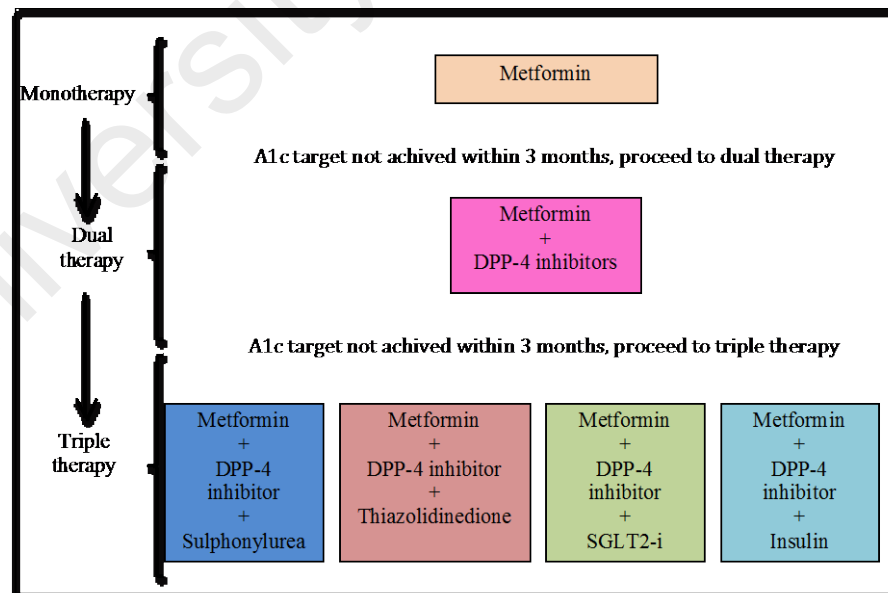
As shown in Figure 2.5, DPP-4 inhibitor blocks DPP-4 enzyme, and the degradation of active GLP-1 (7-36) amide into inactive GLP-1 (9-36) amide is prevented (Lacy, 2009). Active GLP-1 binds the GLP-1R on the pancreatic β -cell, thus activating multiple genes the expression resulting in insulin secretion, β -cell proliferation and survival (Jamaluddin, Huri, Vethakkan, & Mustafa, 2014). Insulin is secreted from the

β -cell into blood circulation in order to control blood-glucose levels (Ye et al., 2010). DPP-4 inhibitors increase circulating GLP-1 to physiological levels (Nauck, Vardarli, Deacon, Holst, & Meier, 2011). The full efficacy of any selected DPP-4 inhibitor should be achieved at a dose that inhibits more than 80% of the DPP-4 enzyme for 24 hours because elevating the dose above this threshold does not raise its efficacy (B. Charbonnel & Cariou, 2011). Since the DPP-4 inhibitors bind to the DPP-4 enzyme and hence enhance GLP-1 concentrations, the efficacy of the DPP-4 inhibitors could be affected by the expression of DPP4 gene variants (O. Kwon, et al., 2013; Lacy, 2009). A study (2013) was conducted to explore associations of DPP4 gene variants with vildagliptin efficacy using 12 SNPs: g-234A/C, rs13015258, IVS8-128A/G, rs17848920, IVS8+46C/T, rs10930040, IVS11-143A/G, rs2302873, G645G, rs17848910, IVS22+4C/T and rs2268891; however, the study could not find any significant association because of inadequate sample size (O. Kwon, et al., 2013).

DPP-4 inhibitors are further broken down into peptidomimetics and non-peptidomimetics (O. Kwon, et al., 2013). The peptidomimetics imitates the DPP-4 molecule, thus when the peptidomimetics append to the DPP-4 enzyme, dissociation will take place, leading to persistent DPP4 inhibition even after inactivation, though they are relatively quickly cleared from the plasma (O. Kwon, et al., 2013). Meanwhile, the non-peptidomimetics form non-covalent interactions with the residues in the catalytic site, which cause immediate and potent inhibition (O. Kwon, et al., 2013). Saxagliptin, vildagliptin, and linagliptin are examples of peptidomimetics, while sitagliptin, linagliptin and alogliptin are examples of non-peptidomimetics (O. Kwon, et al., 2013; Nabeno et al., 2013).

2.9.2 Recommendations for DPP-4 Inhibitor Usage in the Therapy of Type 2 Diabetes

Following the failure of Metformin to reach A1c target after 3 months of therapy, the American Diabetes Association (ADA) recommends DPP-4 inhibitors as: (1) an add-on 2nd line therapy, (2) a third-line triple oral therapy selection (if the A1c target was unachievable after 3 months), (3) an option if sulphonylurea therapy was contraindicated, or (4) an option when the risk of hypoglycemia is a major issue (Inzucchi et al., 2015). The general recommendations for DPP-4 inhibitor utilization are presented in Figure 2.6. According to ADA statements in 2009 by Nathan et al., DPP-4 inhibitor monotherapy will not cause hypoglycemia and is expected to reduce glycated hemoglobin (A1c) by 0.5-0.8% with no effect on weight (Nathan et al., 2009). Although DPP-4 inhibitors exhibit fewer side effects, their long-term safety is still not established (Nathan et al., 2009). Furthermore, their high cost may limit their usage to higher income patients (ADA, 2015).



DPP-4; dipeptidyl peptidase-4, SGLT2-1; sodium-glucose co-transporter 2 inhibitor

Figure 2.6: The general recommendations for DPP-4 inhibitor utilization.

Adapted from Inzucchi et al. (Inzucchi et al., 2012).

As shown in Figure 2.6, if target A1c is not achieved within 3 months of T2D monotherapy, the physician may proceed to dual therapy. Similarly, subsequently after 3 months, failure to attain target A1c should lead to utilization of the triple therapy. The choice of combination therapy is dependent on patient- and disease-specific factors.

2.9.3 DPP-4 Inhibitors: Usual Adult Dosing

The recommended daily dosage of the DPP-4 inhibitors for adults: vildagliptin, sitagliptin, saxagliptin, linagliptin, and alogliptin are presented in Table 2.4.

Table 2.4: The recommended adult daily dosage of the DPP-4 inhibitors.

DPP-4 inhibitor	Recommended adult dose
Sitagliptin	25-100 mg once daily, with or without food (Tatosian et al., 2013)
Sitagliptin and Metformin	Sitagliptin/metformin 50/500 mg twice daily, with meal or may be increased to the maximum of 50/1000 mg twice daily, with meal (Juang & Henry, 2013)
Sitagliptin and Metformin XR	Maximum of sitagliptin 100 mg and metformin 2000mg once daily, with food (Juang & Henry, 2013)
Vildagliptin	100 mg in two divided doses daily, with or without food (Marfella et al., 2010)
Vildagliptin and Metformin	Maximum of vildagliptin 100 mg and metformin 2000mg in two divided doses daily, with food (Tatosian et al., 2013)
Saxagliptin	2.5 or 5 mg once daily, with or without food (Tatosian et al., 2013)
Saxagliptin and Metformin XR	Maximum of saxagliptin 5 mg and metformin 2000mg once daily, with food (Juang & Henry, 2013)
Linagliptin	5 mg once daily, with or without food (Del Prato et al., 2011)
Linagliptin and Metformin	Maximum linagliptin 5 mg and metformin 2000 mg daily, with food (White, Buchanan, Li, & Frederich, 2014)
Alogliptin	25 mg once daily, with or without food (Dineen, Law, Scher, & Pyon, 2014)
Alogliptin and Metformin	Maximum alogliptin 25 mg and metformin 2000 mg daily, with food (Juang & Henry, 2013)
Alogliptin and Pioglitazone	Maximum linagliptin 25 mg and pioglitazone 45 mg daily, with or without food (Juang & Henry, 2013)

XR: extended released

2.10 DPP-4 Inhibitors Investigated in this Study

The DPP-4 inhibitors investigated in this study were sitagliptin, vildagliptin, and linagliptin; as these were the only DPP-4 inhibitors available in University Malaya Medical Centre (UMMC) during the data collection period. In Malaysia, DPP-4 inhibitors are listed as A* items in the Ministry of Health (MOH) Drug Formulary, as they can be prescribed by consultants or specialists for specific indications only (Ministry of Health, Malaysia (MOH, 2016)).



Figure 2.7: Packaging of sitagliptin. (2012).

(Picture illustration of sitagliptin product named Januvia™, 2012). Retrieved from (MIMS, 2014).



Figure 2.8: Tablet of Sitagliptin (2012).

(Picture illustration of sitagliptin product named Januvia™ by Merck Sharp & Dohme, 2012) (MSD, 2012b). Retrieved from (MSD, 2012b).



Figure 2.9: Tablet of sitagliptin plus metformin hydrochloride (2012).

(Picture illustration of sitagliptin product named Janumet™ by Merck Sharp & Dohme, 2012) (MSD, 2012a). Retrieved from (MSD, 2012a).



Figure 2.10: Vildagliptin. (2012).

(Picture illustration of vildagliptin product named Galvus™, 2012). Retrieved from (MIMS, 2012).



Figure 2.11: Tablet of vildagliptin (2012).

(Picture illustration of vildagliptin product named Galvus™ by Novartis, 2012) (Novartis, 2012b). Retrieved from (Novartis, 2012b).



Figure 2.12: Tablet of vildagliptin plus metformin hydrochloride (2012).

(Picture illustration of vildagliptin product named Galvus Met™ by Novartis, 2012)

(Novartis, 2012a). Retrieved from (Novartis, 2012a).



Figure 2.13: Linagliptin. (2011).

(Picture illustration of linagliptin product named Tradjenta™, 2011). Retrieved from

(Young, 2011).



Figure 2.14: Tablet of linagliptin (2011).

(Picture illustration of linagliptin product named Tradjenta™ by Boehringer

Ingelheim, 2011) (Ingelheim, 2011b). Retrieved from (Ingelheim, 2011b).



Figure 2.15: Tablet of linagliptin plus metformin hydrochloride (2011).

(Picture illustration of linagliptin product named Tradjenta Duo™ by Boehringer Ingelheim, 2011) (Ingelheim, 2011a). Retrieved from (Ingelheim, 2011a).

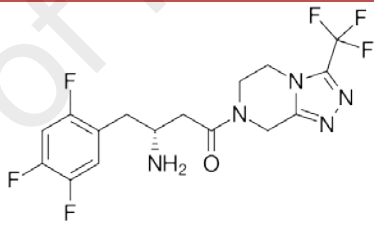
University of Malaya

2.10.1 Pharmaceutical Information : DPP-4 Inhibitors Drug Substance

The pharmaceutical information on sitagliptin is presented in Table 2.9, vildagliptin in Table 2.10, and linagliptin in Table 2.11.

Table 2.5: Pharmaceutical information of sitagliptin drug substance.

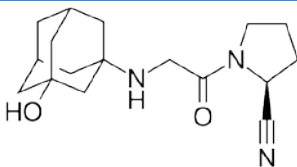
Adapted from ((MSD, 2012b).

Common name	Sitagliptin phosphate monohydrate
Chemical name	7-[(3R)-3-amino-1-oxo-4-(2,4,5-trifluorophenyl)butyl]-5,6,7,8-tetrahydro-3-(trifluoromethyl)-1,2,4-triazolo[4,3-a]pyrazine phosphate (1:1) monohydrate
Molecular formula	C ₁₆ H ₁₅ F ₆ N ₅ OH ₃ PO ₄ H ₂ O
Molecular mass	523.32
Structural formula	
Physicochemical properties	Sitagliptin phosphate monohydrate is a white to off-white, crystalline, non-hygroscopic powder, which is soluble in water and N, N-dimethyl formamide. It is also slightly soluble in methanol, and very slightly soluble in ethanol, acetone, and acetonitrile. However, sitagliptin is insoluble in isopropanol and isopropyl acetate.

C: carbon; H: hydrogen; F: fluorine; OH: hydroxide; PO₄: phosphate; O: oxygen; N: nitrogen

Table 2.6: Pharmaceutical information of vildagliptin drug substance.

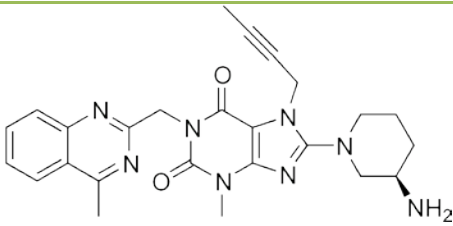
Adapted from (Novartis, 2012b).

Common name	Vildagliptin
Chemical name	1-[(3-Hydroxy-adamant-1-ylamino)acetyl]-pyrrolidine-2(S)-carbonitrile
Molecular formula	C ₁₇ H ₂₅ N ₃ O ₂
Molecular mass	303.40
Structural formula	
Physicochemical properties	Vildagliptin is a white to slightly yellowish or slightly greyish crystalline powder with a melting point of approximately 150 °C and it is soluble in water.

C: carbon; H: hydrogen; O: oxygen; N: nitrogen

Table 2.7: Pharmaceutical information of linagliptin drug substance.

Adapted from (Ingelheim, 2011b).

Common name	Linagliptin
Chemical name	8-[(3 <i>R</i>)-3-aminopiperidin-1-yl]-7-(but-2-yn-1-yl)-3-methyl-1-[(4-methylquinazolin-2-yl)methyl]-3,7-dihydro-1 <i>H</i> -purine-2,6-dione
Molecular formula	C ₂₅ H ₂₈ N ₈ O ₂
Molecular mass	472.54
Structural formula	
Physicochemical properties	Linagliptin is a white to yellowish and slightly hygroscopic solid substance, which is very slightly soluble in water, isopropanol and acetone. Linagliptin is soluble in methanol and sparingly soluble in ethanol.

C: carbon; H: hydrogen; O: oxygen; N: nitrogen

2.11 Factors Associated with DPP-4 Inhibitor Treatment Response

Provided that DPP-4 inhibitors act on pancreatic β -cells, that has a vital role in the T2D pathogenesis, we examined the association of genetic, clinical characteristics and demographic factors involved in the function of pancreatic β -cells as putative candidates for predicting DPP-4 inhibitor treatment response in T2D. Thus, this chapter aims to review (1) the genetic variants associated with DPP-4 inhibitor therapy, (2) the clinical characteristics associated with DPP-4 inhibitor therapy, and (3) the demographic factors associated with DPP-4 inhibitor therapy. In this review, we have determined DPP-4 inhibitor treatment responses based on the insulin secretion outcome, in which good treatment responses were signified by gene-activated pancreatic β -cell insulin secretion, and vice versa. We also explored several genes in the phosphoinositide 3-kinase (PI3K) and Wingless (Wnt) pathways, which are evident in playing vital roles in response to DPP-4 inhibitors. The genes investigated in this review include DPP4, WFS1, and KCNJ11. While the clinical characteristics investigated in this review include sCD26 and HOMAIR. Basic demographic anthropometric factors investigated in this study were gender, age, duration of T2D and ethnicity, while clinical characteristics investigated were waist circumference (WC) and body mass index (BMI). Other biochemical parameters investigated were lipid profiles, liver enzymes (AST and ALT) and blood pressure.

2.12 Genetics Factors Affecting DPP-4 Inhibitor Treatment Response

Candidate gene approach was used to select the genes potentially associated with the response to DPP-4 inhibitor treatment. This method is clinically significant as a valuable potential disease diagnostic tool in the personalised medicine era as the efforts

in upcoming treatments for many diseases (Peters, Rodin, De Boer, & Maitland-van der Zee, 2010). Candidate gene approach has been proven successful in the identification of genes for trait variation in human diseases (H. Ueda et al., 2003).

2.12.1.1 Candidate Gene Studies

The candidate gene studies in pharmacogenomics utilize existing drug metabolism knowledge, pathway or disease pathogenesis to identify genes that could be linked to drug response (J. J. McCarthy, 2003). Candidate gene studies are cheap, quick and easy to conduct. This approach focuses on the selection of genes that are related to a disease and/or gene function (Patnala, Clements, & Batra, 2013). The single nucleotide polymorphisms of these genes or associated with these genes are later assessed in a patient population exposed to the drug of interest and investigated for statistical link with the drug response (J. J. McCarthy, 2003). If the investigated SNPs are associated with the predicted outcomes, these susceptibility genes will be hypothesized to directly influence a patient's tendency to respond to the drug (J. J. McCarthy, 2003). Therefore, the crucial part of this approach is the ability in identifying the most suitable candidate genes which can be either drug target and pathway genes, drug metabolizing enzyme genes, or disease genes (J. J. McCarthy, 2003). The selection of a putative candidate gene must be based on detailed knowledge and comprehension of its relevance to the disease's mechanism (trait) being investigated (J. M. Kwon & Goate, 2000), and the mechanism of drug action (J. J. McCarthy, 2003).

2.12.1.2 Drug Target and Pathway

Currently, the development of new drug therapies revolves primarily around target cell membrane receptors and enzymes (J. J. McCarthy, 2003). Genomic approaches accelerate the emergence of new drugs with novel gene target discovery (J. J. McCarthy, 2003). Initially in 1997, Drews & Ryser estimated about 482 molecular target genes for all marketed drug substances, from 100,000 hypothesized protein-coding sequences (Drews & Ryser, 1997); however, in 2002, after human genome sequencing, only 5,000 protein-coding sequences could potentially be the target for drug substances, where nearly 2,400 were targets for antibodies and 800 targets for protein pharmaceuticals (Burgess, 2002). A target is selected as a suitable pharmacogenomic candidate if the targets interact and associate with the therapeutic compounds to affect the disease of interest (J. J. McCarthy, 2003). The genetic variation in the regulatory region of a target may affect transcription resulting in either increased or decreased the available amount of target to the drug, while the variance of genetic in the gene target's coding region that alters the resultant proteins may affect the efficiency of a compound binding to a protein target (J. J. McCarthy, 2003).

2.12.1.3 Disease Genes

Genomics technology has facilitated the classification of disease at a molecular level where the SNPs of the disease gene can be used to predict the response to drug therapy (J. J. McCarthy, 2003). There are many databases available online that provide the updated list of disease genes such as Comparative Toxicogenomics Database (CTD™) (Davis et al., 2013), OMIM® (Hamosh, Scott, Amberger, Bocchini, & McKusick, 2005), NHGRI-EBI GWAS catalog (Welter et al., 2014), and the latest; DisGeNET

database. The DisGeNET database includes human gene-disease associations from numerous expert databases and associations including Mendelian and many diseases (Piñero et al., 2015). This database is comprised of 17,381 genes of more than 15,000 diseases and phenotypes (DisGeNET, 2016). The carrier of a variant in a disease gene may respond differently to several drugs of the same drug group (J. J. McCarthy, 2003). Although many studies have been conducted and the results are not entirely validated, it is a valid hypothesis that a molecular classification of disease based on disease genes could affect the response to drug therapy (J. J. McCarthy, 2003).

2.12.2 Selection of Genes

There are 190 genes associated with T2D (Prasad & Groop, 2015) with 110 genes associated with T2D, 22 genes associated with pancreatic β -cell function and insulin secretion, 8 genes associated with insulin resistance, 2 genes associated with BMI and insulin resistance, 7 genes associated with BMI, 27 genes associated with glucose and 14 genes associated with insulin (Prasad & Groop, 2015), and from these amount of genes, it was further narrowed into the genes that potentially associated with DPP-4 inhibitors treatment response (Jamaluddin et al., 2014).

Eight genes were identified; Paired box gene 4 (PAX4), Potassium voltage-gated channel KQT-like subfamily member 1 (KCNQ1), Dipeptidyl peptidase-4 (DPP4), Transcription factor 7-like-2 (TCF7L2), Potassium channel Kir6.2 (KCNJ11), Sulphonylurea receptor SUR1 (ABCC8), Melatonin receptor 1B (MTNR1B) and Wolfram syndrome 1 (WFS1) as having the potential of possible relevance to be linked with DPP-4inhibitors response treatment according to the drug pathway, metabolism and disease pathogenesis (Jamaluddin, Huri, & Vethakkan, 2016; Jamaluddin et al.,

2014). The selection of these genes is contingent upon the comprehension of disease pathogenesis and mechanism of drug action. Therefore, the gene selected could be inclusive of the drug target, pathway genes, drug metabolizing enzymes, and also the disease genes.

According to the incretin pathway, following a meal ingestion; KCNQ1 in the intestinal L cells mediates the release of GLP-1 into the gastrointestinal circulation (Mussig et al., 2010). To prevent the GLP-1 from being inactivated, a DPP-4 inhibitor inhibits the DPP-4 enzyme resulting active GLP-1 to bind with its receptor (GLP-1R) on the pancreatic β -cell (Lacy, 2009; Nauck et al., 2011). Thus transmitting signal in the PI3K pathway (Kaneko et al., 2010) activating cascades of action in producing insulin including the WFS1 gene in the pancreas endoplasmic reticulum which its expression playing a significant function in insulin secretion in the β -cell (S. G. Fonseca et al., 2005; Ishihara et al., 2004). Following the incretin pathway; the expression of MTNR1B gene may trigger the insulin release into circulation (Masana & Dubocovich, 2001) through the pancreatic β -cell ATP-sensitive potassium channels mediated by 2 genes; KCNJ11 and ABCC8 (Gloyn et al., 2003; Miki & Seino, 2005). The PAX4 and TCF7L2 genes are required in maintaining the pancreatic β -cell proliferation and survival through PI3K and Wnt pathway respectively (Damcott et al., 2006; Nelson & Nusse, 2004; J. Wang et al., 2004). Altered expression of PAX4 and TCF7L2 genes may influence the insulin production and secretion (Chandak et al., 2007; Horikoshi et al., 2007; Lehman et al., 2007; Villareal et al., 2010; J. Wang et al., 2004), thus giving the potential of poor response to DPP-4 inhibitors via the loss of pancreatic β -cell differentiation function (Schepers & Clevers, 2012; J. Wang et al., 2004).

Although GLP-1 receptor agonists and DPP-4 inhibitors work in the same incretin pathway, in T2D; GLP-1 still requires the DPP-4 inhibitors to block the DPP-4 enzyme in order to stop the inactivation of GLP-1. In an oral glucose tolerance test in nondiabetic subjects, KCNQ1 was linked to lowered GLP-1 concentrations while not affecting the GLP-1 signalling (Mussig et al., 2010). This provides evidence that active GLP-1 concentration is crucial in incretin pathway for producing insulin secretion, which is maintained by the DPP-4 inhibitors.

Since the study focused on the pancreatic β -cell region, from the 8 genes, the selection of genes was further pinpointed into these 3 genes; DPP4, WFS1, and KCNJ11 would be of potential, possible relevance to the DPP-4 inhibitors response according to the drug pathway and disease pathogenesis. The selection of these genes is based on the comprehension of the disease pathogenesis and drug action mechanism. Therefore, the genes selected can be inclusive of the drug target genes, pathway genes, and also the disease genes.

According to the incretin pathway, following meal ingestion; the intestinal L cells mediate the release of glucagon-like peptide-1 (GLP-1) into the gastrointestinal circulation (Mussig et al., 2010). To prevent GLP-1 from being inactivated, DPP-4 inhibitor inhibits the DPP-4 enzyme (encoded by DPP4 gene (Kameoka et al., 1993)) resulting in higher concentrations of active GLP-1 which attach with its receptor (GLP-1R) on the pancreatic β -cell (Lacy, 2009). Thus, this results in transmitting signals in the PI3K pathway activating a cascade of action leading to the production of insulin, that involves the WFS1 gene expression of which plays a significant role in stimulus-secretion of insulin in the β -cell (S. G. Fonseca et al., 2005; Ishihara et al., 2004). Next, following the incretin pathway; the insulin release into the circulation

(Masana & Dubocovich, 2001) is mediated by the closing the pancreatic β -cell ATP-sensitive potassium channels and these potassium channels are a product of the KCNJ11 gene (Gloyn et al., 2003; Miki & Seino, 2005). With the identification of these 3 candidate genes according to the incretin pathway, we are hoping that these could provide a set of pharmacogenomic markers in order to explore or determine the response to existing DPP-4 inhibitor therapies.

2.12.2.1 Reasons for not selecting PAX4, KCNQ1, TCF7L2, ABCC8 and MTNR1B

PAX4 encodes a family of transcription factors crucial for pancreatic β -cell development and differentiation at the embryonic stage, thus promoting cellular proliferation, migration, and survival (Mellado-Gil et al., 2016; Shimajiri et al., 2001). PAX4 is located at the cytogenetic location of 7q32.1 (NCBI, 2016f). PAX4 is important for the generation of islet cell progenitors, the maturation of both α - and β -cells during embryogenesis stage, and the maturation of duodenal and jejunal endocrine cells (Greenwood, Li, Jones, & Melton, 2007). PAX4 function is necessary for the formation of insulin-producing cells. However, as the pancreas matures, PAX4 activity is only needed for the proliferation and survival of β -cells (J. Wang et al., 2004). Therefore, since the study is focusing on how the genes work in producing insulin, PAX4 was rejected from this study.

KCNQ1 is one of the risk-conferring genes susceptible to T2D in East Asian, Japanese and European populations (Kasuga, 2011; J. T. Tan et al., 2009; Yasuda, Zhang, & Huang, 2008). Its cytogenetic location is at 11p15.5-p15.4 (NCBI, 2016e). KCNQ1 is expressed in epithelial cells of the exocrine and endocrine glands of the

pancreas, where it mediates the GIP's release from the intestine K-cells and GLP-1 from the intestine L-cells in response to food (Mussig et al., 2010). Although the involvement of KCNQ1 in the incretin secretion has not been shown, the KCNQ1 is reported to be associated with hormones and electrolyte transport process in the gastrointestinal tract (Rieg & Dominguez, 2009). Therefore, due to KCNQ1's expression in the epithelial cells, the genetic variants of KCNQ1 could affect the efficacy of the incretin transport mechanism in the gastrointestinal tract (Mussig et al., 2010). The incretin hormones; GLP-1 and GIP binds to pancreatic β -cell GLP-1R and GIPR respectively, thereby activating a cascade of actions via cAMP-mediated induction of exchange protein directly activated by cAMP 2 (EPAC2) and protein kinase A (PKA), resulting in an increase of intracellular calcium. High concentration of calcium mediates the release of insulin into the circulation (Mussig et al., 2010). Since the involvement of KCNQ1 in insulin release started from the intestines cells which are not the focused cell (pancreatic β -cell) in this study. Thus, this gene was excluded from the study.

TCF7L2 gene (cytogenetic location 10q25.2-q25.3 (NCBI, 2016g) is a common gene associated with T2D (Chandak et al., 2007; Damcott et al., 2006; Florez et al., 2006; Horikoshi et al., 2007; Lehman et al., 2007; Villareal et al., 2010) but we did not choose this gene for our study because TCF7L2 promotes β -cells proliferation and survival in pancreas via the Wnt pathway (Damcott et al., 2006; Nelson & Nusse, 2004), thus the T2D risk by TFC7L2 variants were found to be linked to impaired β -cell function but not with insulin resistance (Florez et al., 2006). Since our study focusing on the insulin resistance (HOMAIR) as one of the DPP-4 inhibitors treatment response predictors, TCF7L2 is not suitable to be chosen for this study.

Pancreatic β -cell ATP-sensitive potassium (KATP) channels are made of two subunits, the potassium channel, Kir6.2, and the sulphonylurea receptor, SUR1. Both subunits have an important role in controlling the secretion of insulin (Gloyn et al., 2003; Miki & Seino, 2005). Genes KCNJ11 and ABCC8 (cytogenetic location of 11q15.1 for both subunits (NCBI, 2016a, 2016d) encode Kir6.2 and SUR1, respectively. Generation of ATP occurs via the influx of glucose (Miki & Seino, 2005). ATP efflux from pancreatic β -cells happens via the KATP channels, thereby activating KCNJ11 and ABCC8 resulting in membrane depolarization of potassium (K^+), leading to the influx of calcium (Ca^{2+}) and prompting insulin release from the β -cell into the circulation (Miki & Seino, 2005). Both KCNJ11 and ABCC8 mediate the insulin exocytosis from the pancreatic β -cell into circulation via the KATP channels (Gloyn et al., 2003; Miki & Seino, 2005) to complete the incretin signaling pathway for insulin secretion. Investigation of the association of common polymorphisms of KCNJ11 and ABCC8 with T2D revealed that KCNJ11 rs5219 polymorphism was linked to diabetes in a case-control group, despite no evidence of a familial association with diabetes in this group (Gloyn et al., 2003). However, the ABCC8 polymorphisms, exon 16-3t/c, and exon 18 T/C, were found to not be associated with diabetes (Gloyn et al., 2003). Since there was not enough evidence regarding the association of ABCC8 with T2D, this gene was excluded from the study.

Melatonin (MLT) is a hormone produced by the pineal gland and regulates cardiovascular, visual, circadian and neuroendocrine systems (Gerdin, Mseeh, & Dubocovich, 2003). The effects of melatonin are mediated by melatonin receptor 1B (MT2), which are encoded by MTNR1B gene. MTNR1B gene is expressed in pancreatic islets (Dubocovich, 2007) and located at the cytogenetic location 11q14.3 (NCBI, 2015c). Through the phosphatidylinositol 4,5-biphosphate (PIP_2) and inositol

triphosphate (IP₃) pathway, MLT binds with MT2 thus, activating the release of Ca²⁺ from the endoplasmic reticulum (ER) into the cytoplasm of the β-cell (Peschke, Bähr, & Mühlbauer, 2015). The accumulation of intracellular Ca²⁺ triggers the release of insulin into circulation (Masana & Dubocovich, 2001). Although the effect of 'insulin release due to high intracellular calcium' of MTNR1B (Masana & Dubocovich, 2001) is almost similar with KCNJ11 (Miki & Seino, 2005), this study decided to choose KCNJ11 as the pathway is activated by glucose presence (Miki & Seino, 2005) thus, in line with the action of DPP-4 inhibitors which is also activated by the presence of ingested glucose (Ahrén, 2012). The high intracellular calcium in the MTNR1B route was activated by MLT (Masana & Dubocovich, 2001), not glucose. Thus, MTNR1B gene was excluded from this study.

2.12.2.2 Dipeptidyl peptidase 4 gene (DPP4)

Dipeptidyl peptidase 4 gene, also known as CD26 is a protein coding gene and the protein encoded by this gene is a membrane-bound enzyme that is the same as the adenosine deaminase complexing protein-2 and T-cell activation antigen CD26 (NCBI, 2016b), which is mainly involved in the endocrine system, metabolism, immune system, cancer growth, cell adhesion, bone marrow mobilization, and nutrition (Klemann, Wagner, Stephan, & von Hörsten, 2016; D. M. Yu et al., 2010). Dipeptidyl peptidase-4 acts mainly by splitting the X-proline dipeptides from the N-terminal dipeptides in sequence. DPP4 gene cytogenetic location is at 2q24.3 (Chromosome 2) (Figure 2.16) (NCBI, 2016b). Dipeptidyl peptidase-4 gene has a crucial role in glucose metabolism where it is directly involved in degrading the incretin hormones especially GLP-1 (Barnett, 2006). As previously described in Figure 2.6, the DPP-4 enzyme as expressed

by the DPP4 gene, rapidly inactivates the active GLP-1 (7-36 amide) compound to inactive GLP-1 (9-36 amide) in order to avoid it from attaching to the GLP-1R receptor, that leads to poor glycemic control due to inadequate insulin production by the pancreatic β -cells (Duez et al., 2012). According to the China National Diabetes and Metabolic Disorders Study (2014) conducted on 2042 adult subjects, increased DPP4 activities from baseline (over 4 years) was found to be a predictor of the onset of insulin resistance in healthy Chinese (F. Yang et al., 2014).

In 2011, Turcot et al. suggested that higher expression of DPP4 gene in visceral adipose tissue (VAT) could function as a marker of VAT inflammation known to be linked with metabolic disturbances (Turcot et al., 2011). This study of severely obese women found that DPP4 polymorphisms rs13015258 ($p=0.001$), rs17848915 ($p=0.0004$), and c.1926 G>A ($p=0.001$) have differing mean methylation rates (%Meth (94-102)) between genotypes, and the %Meth (94-102) linked negatively with DPP4 mRNA abundance ($r=-0.25$, $p<0.05$) and positively with plasma high-density lipoprotein (HDL) cholesterol concentrations ($r=0.22$, $p<0.05$) (Turcot et al., 2011). On the other hand, DPP4 mRNA abundance correlated positively with plasma total-/HDL-cholesterol ratio ($r=0.25$, $p<0.05$), signifying that DPP4 gene expression could be linked to lipid profiles in extremely obese women (Turcot et al., 2011). Meanwhile, Bailey et al. (2014) found that the positive link between DPP4 rs4664443 and apolipoprotein B among all EpiDREAM individuals (in South Asians) with BMI <25 kg/m² was significant ($n=2,972$, $p<0.001$) compared with those with a BMI ≥ 25 kg/m² ($n=11,559$, $p=0.81$), and there was proof of linkage between all genotyped individuals with a BMI <25 kg/m², including the INTERHEART South Asians ($n=3,601$, $p<0.001$) (Bailey et al., 2014). Aghili et al. (2012) has found that DPP4 rs3788979 was significantly linked to angiographic coronary artery disease (CAD) with myocardial

infarction (MI) compared to without MI (OR=1.36, p=0.03), suggesting that DPP4 rs3788979 could elevate the risk of MI in patients with known CAD, as DPP4 has proinflammatory actions that may add to progression of atherosclerosis (Aghili et al., 2012). Based on these studies, the DPP4 gene was found to be related to severe obesity, dyslipidemia, and coronary artery disease (CAD) with myocardial infarction (MI), which are the common features of T2D and the metabolic syndrome. A recent study in 2016 found that DPP4 rs12617656 was associated with T2D in Malaysian subjects of the Indians ethnicity (OR=3.21, p=0.019), and the study also found that DPP4 rs4664443 and rs7633162 polymorphisms were both linked to T2D (OR=1.53, p=0.039; OR=1.42, p=0.020, respectively) (Ahmed et al., 2016). There was no other study investigating the associations of DPP4 gene polymorphisms with T2D conducted in Malaysia. Therefore, we hypothesized that the DPP4 gene may potentially be associated with DPP-4 inhibitors treatment response in T2D.

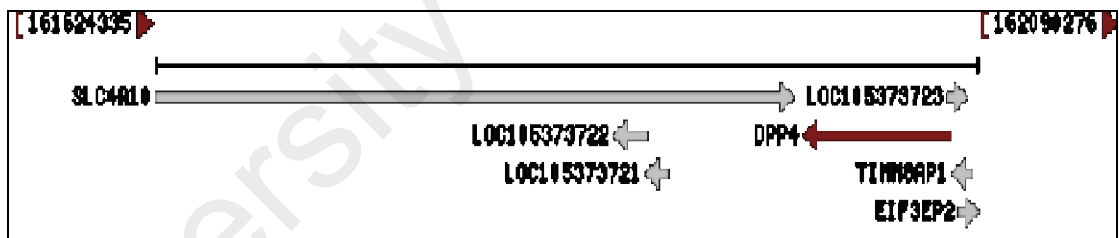


Figure 2.16: The location of DPP4 gene (2q24.3) at the chromosome 2.

Adapted from (NCBI, 2016b). The red line shows the location of DPP4 gene. The DPP4 gene is located from base pair 161,624,335 to base pair 162,090,276 on chromosome 2, and the DPP4 gene consists of 26 exons (NCBI, 2016b).

2.12.2.3 Wolfram syndrome 1 gene (WFS1)

The WFS1 gene with the cytogenetic location at 4p16.1 (chromosome 4) (Figure 2.17) (NCBI, 2016h) has a major role in maintaining homeostasis of the endoplasmic reticulum (ER) in pancreatic β -cells (S. G. Fonseca et al., 2005). ER homeostasis is significant for insulin secretion because this organelle is responsible for the prohormone precursor maturation, proinsulin to insulin, and for its release into the pancreatic β -cell matrix subsequently (S. G. Fonseca et al., 2005). The incretin hormones, GLP-1 and GIP, bind to the pancreatic β cell receptors, GLP-1R and GIPR, respectively, thereby activating a cascade of actions via cAMP-mediated induction of exchange protein directly activated by cAMP 2 (EPAC2) and PKA, resulting in an increase in intracellular calcium which results in the insulin release from the pancreatic β -cell into the circulation (Mussig et al., 2010) (Figure 2.18). Therefore, WFS1 gene expression plays a major role in the secretion of insulin by the human pancreas (Mussig et al., 2010). Deactivating the β -cell WFS1 disrupts ER homeostasis, leading to the β -cell dysfunction, and thus resulting to T2D (S. G. Fonseca et al., 2005).

Schäfer et al. (2009) found that WFS1 rs10010131 polymorphism specifically reduced GLP-1-induced insulin secretion in a total of 1,578 subjects with increased risk of T2D undergoing Oral Glucose Tolerance Test (OGTT) and (Intravenous Glucose Tolerance Test (IVGTT) during the first and second phase of insulin secretion (reduction of 36% and 26%, respectively; $p=0.007$ and $p=0.04$, respectively) (Schäfer et al., 2009). Data from Epidemiological Study on the Insulin Resistance Syndrome (DESIR) study (2011) on 5110 French men and women (T2D and non-diabetics) observed significant associations of WFS1 rs10010131, rs1801213/rs7672996, and rs734312 with FPG, A1c, and insulin secretion (Cheurfa et al., 2011). These WFS1 variants were linked to lowered insulin secretion and elevated risk of T2D (Cheurfa et

al., 2011). Riggs et al. (2005) who studied the role of WFS1 gene in β -cells have found that the loss of WFS1 gene leads to impaired glucose-stimulated insulin secretion and β -cells reduction in pancreatic islets (Riggs et al., 2005). A recent study in 2014 by Batool et al had found the association of WFS1 rs734312 with T2D ($p < 0.010$) in Pakistani population (Batool, Jahan, Sun, Hanif, & Xue, 2014). WFS1 rs734312 was also found to be linked with T2D ($p = 0.013$) in Japanese populations (T. Kawamoto et al., 2004). In a genetic risk assessment study (in year 2012) of T2D-associated polymorphisms in African Americans, WFS1 rs10010131 was found to have an elevated risk of T2D (OR: 1.13, $p = 0.029$) (Cooke et al., 2012). Based on these findings, we concluded that WFS1 gene could be linked with DPP-4 inhibitors treatment response.

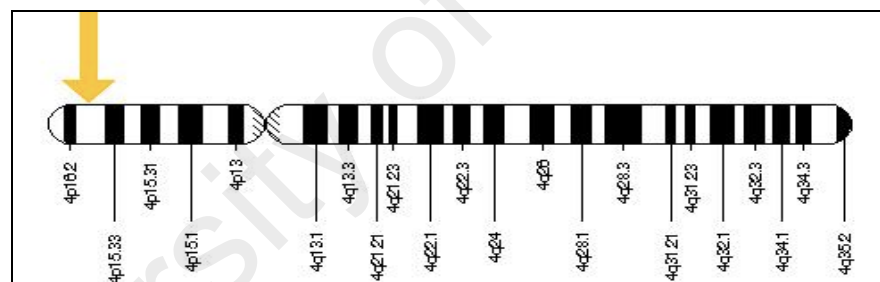


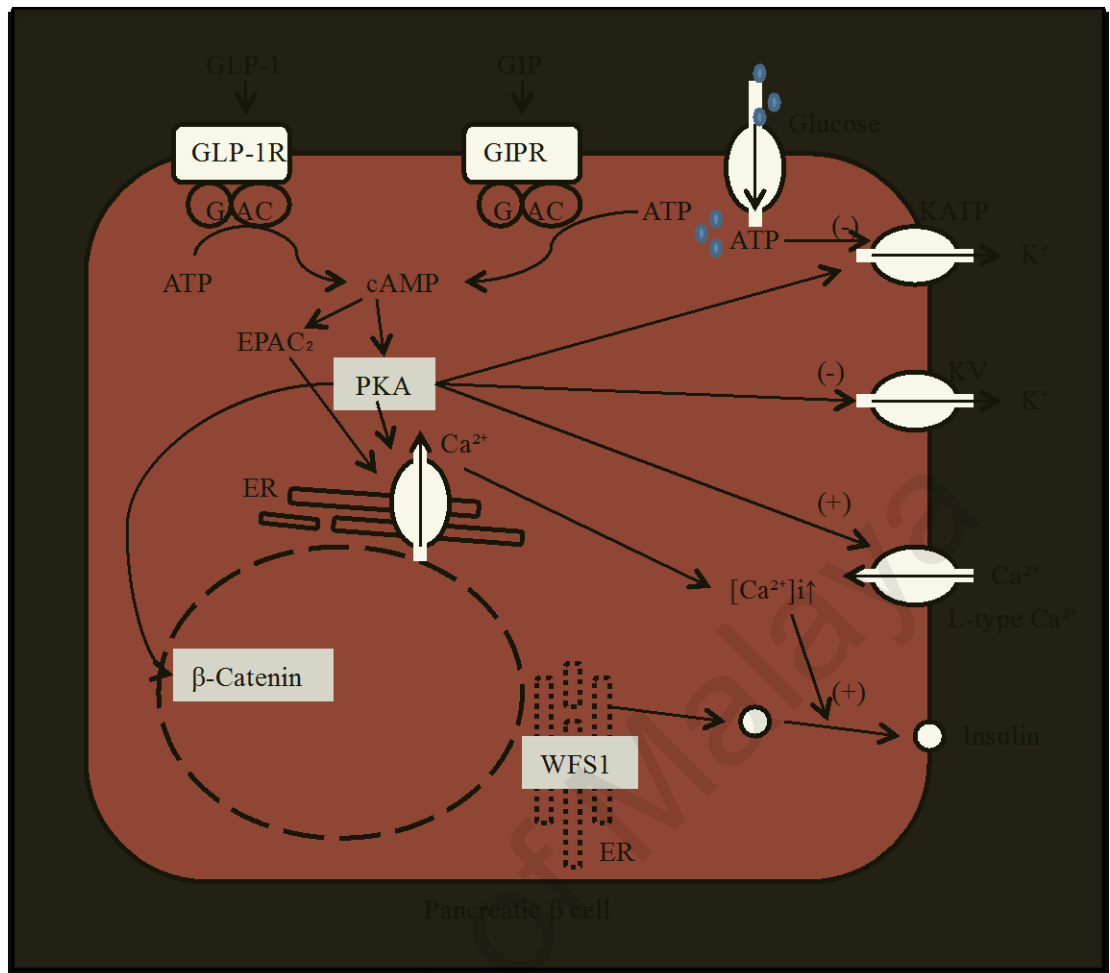
Figure 2.17: The location of WFS1 gene (4p16.1) at chromosome 4.

Adapted from (NCBI, 2016h). The WFS1 gene is located from the base pair 6,269,850 to base pair 6,303,265 on chromosome 4 (NCBI, 2016h), and the WFS1 gene consists of 8 exons (NCBI, 2016h).

WFS1 plays a key role in stimulus-secretion of insulin coupling (Ishihara et al., 2004). This role is present in subjects with both Wolfram syndrome and diabetes, whereby the progressive loss of β -cells impaired stimulus-secretion of insulin by these

cells (Ishihara et al., 2004). Wolfram syndrome is a rare autosomal recessive neurodegenerative disorder characterized by juvenile-onset diabetes, progressive optic atrophy, sensorineural deafness, and diabetes insipidus (T. G. Barrett & Bunday, 1997; Takeda et al., 2001). The findings were also supported by Fonseca et al (2005), who showed that WFS1 gene expression in mouse islets was increased with 16.7 mM glucose and 30 mM KCl, and thereby concluded that WFS1 up-regulation is crucial for insulin secretion (S. G. Fonseca et al., 2005). WFS1 rs10010131 was found to specifically impair incretin-induced insulin secretion independently of insulin sensitivity (Schäfer et al., 2009). A study by Heni et al. in 2010 also concluded that diabetes risk gene variants of WFS1 are associated with impaired incretin signaling (Heni et al., 2010). Since the results of both studies confirmed the association of WFS1 gene variants with incretin-induced insulin secretion, the response of oral incretin therapy as DPP-4 inhibitors may potentially be predicted by these gene variants based on knowledge of the incretin signaling pathway.

Studies of the SNPs of WFS1 genes associated with T2D revealed that among the 31 tagged SNPs, the strongest association was with rs1046320 (Fawcett et al., 2010). This strong association may thus lead to low levels of insulin secretion, despite treatment with anti-diabetic agents, including DPP-4 inhibitors.



GLP-1: Glucagon-like peptide-1; GLP-1R: Glucagon-like peptide-1 receptor; GIP: Glucose-dependent insulinotropic peptide; GIPR: Glucose-dependent insulinotropic peptide receptor; G: G-protein; AC: adenylyl cyclase; cAMP: cyclic adenosine monophosphate; EPAC₂: Exchange protein directly activated by cAMP 2; PKA: Protein kinase A; Ca²⁺: Calcium ion; ER: endoplasmic reticulum; WFS1: Wolfram syndrome 1; KATP: ATP-sensitive potassium channel; KV: voltage-gated potassium channel.

Figure 2.18: WFS1 gene pathway in insulin production by pancreatic β -cells.

Adapted from (Mussig et al., 2010).

WFS1 genes have been reproducibly shown to be associated with T2D (Florez et al., 2008; Franks et al., 2008; Sandhu et al., 2007; Wasson & Permutt, 2008), with rs734312 and rs10010131 being the most frequent WFS1 polymorphisms shown to be associated with T2D (Cheng, Wu, Wu, & Zhang, 2013; Franks et al., 2008; Sandhu et al., 2007), as the G allele of rs734312 polymorphism and the A allele of rs10010131 polymorphism had significant protective effects on risk of T2D (Cheng et al., 2013).

2.12.2.4 Potassium channel Kir6.2 gene (KCNJ11)

Pancreatic β -cell ATP-sensitive potassium (KATP) channels are made of two subunits, the potassium channel, Kir6.2, and the sulphonylurea receptor, SUR1 (Gloyn et al., 2003; Miki & Seino, 2005). Both subunits have an important role in controlling the secretion of insulin (Gloyn et al., 2003; Miki & Seino, 2005). Genes KCNJ11 and ABCC8 (cytogenetic location of 11q15.1 (chromosome 11) (Figure 2.19) for both subunits (NCBI, 2016d)) encode Kir6.2 and SUR1, respectively. Generation of ATP occurs via the influx of glucose (Miki & Seino, 2005) (Figure 2.20). Efflux of ATP from pancreatic β -cells occurs via the KATP channels, thus activating KCNJ11 and ABCC8 (Miki & Seino, 2005) (Figure 2.20). Activation of these genes causes membrane depolarization of potassium (K^+), triggering the influx of calcium (Ca^{2+}) and causing the release of insulin from the β -cell into the circulation (Miki & Seino, 2005) (Figure 2.20). Both KCNJ11 and ABCC8 mediate insulin exocytosis from the pancreatic β -cell into the circulation via the KATP channels (Gloyn et al., 2003; Miki & Seino, 2005) to fulfill the incretin signaling pathway for insulin secretion (Figure 2.20). KATP channel expression could be reduced by mutations in the KATP channel subunits, resulting in 'overactive' channels that may decrease the pancreatic β -cell membrane excitability and, thus, insulin secretion will be reduced (Cartier, Conti, Vandenberg, & Shyng, 2001).

Chistiakov et al. (2009) found that KCNJ11 E23K and ABCC8 exon 31 variants were linked to increasing risk of T2D (OR=1.53, $p=0.023$, and OR=2.41, $p=1.95 \times 10^{-5}$, respectively) and contributed to susceptibility to T2D, glucose intolerance and altered insulin secretion in a Russian population (Chistiakov et al., 2009). On the other hand, a study by Florez et al. (2007) investigating the link of KCNJ11 E23K with the progression from impaired glucose tolerance (IGT) to diabetes, has found that a lysine

variant in KCNJ11 E23K had low protection through the 1-year metformin treatment than E/E homozygotes ($p < 0.02$) which results in lowered insulin secretion in individuals with impaired glucose tolerance (IGT) (Florez et al., 2007). A more recent study by Sokolova et al. in 2015 found that the variation of KCNJ11 E23K and ABCC8 (p.S1369A) may cause T2D by 1.15 times in Caucasians and Asians (Sokolova, Bondar, Shabelnikova, Pyankova, & Filipenko, 2015). These findings resulted in the authors' conclusion that KCNJ11 gene is associated with insulin secretion (Chistiakov et al., 2009; Florez et al., 2007) and since DPP-4 inhibitor therapy outcome is insulin secretion (Dicker, 2011; Lacy, 2009), we hypothesized that KCNJ11 gene polymorphisms may potentially be linked to the response to the DPP-4 inhibitor treatment. Contrary to ABCC8, no study currently available that proved the association of this gene with T2D. Therefore, KCNJ11 was chosen for this study.

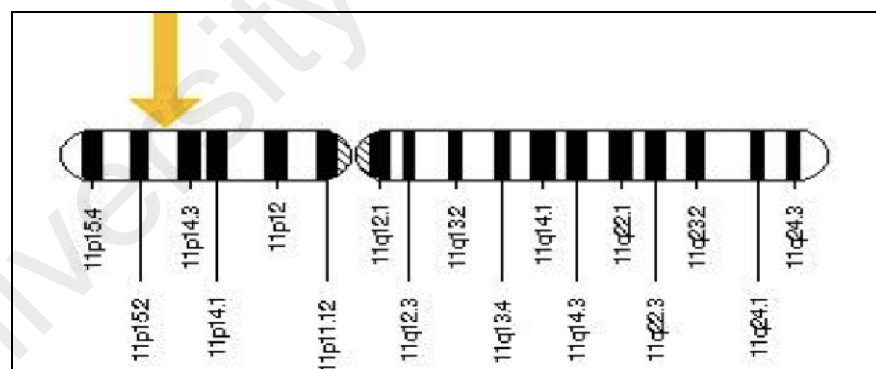
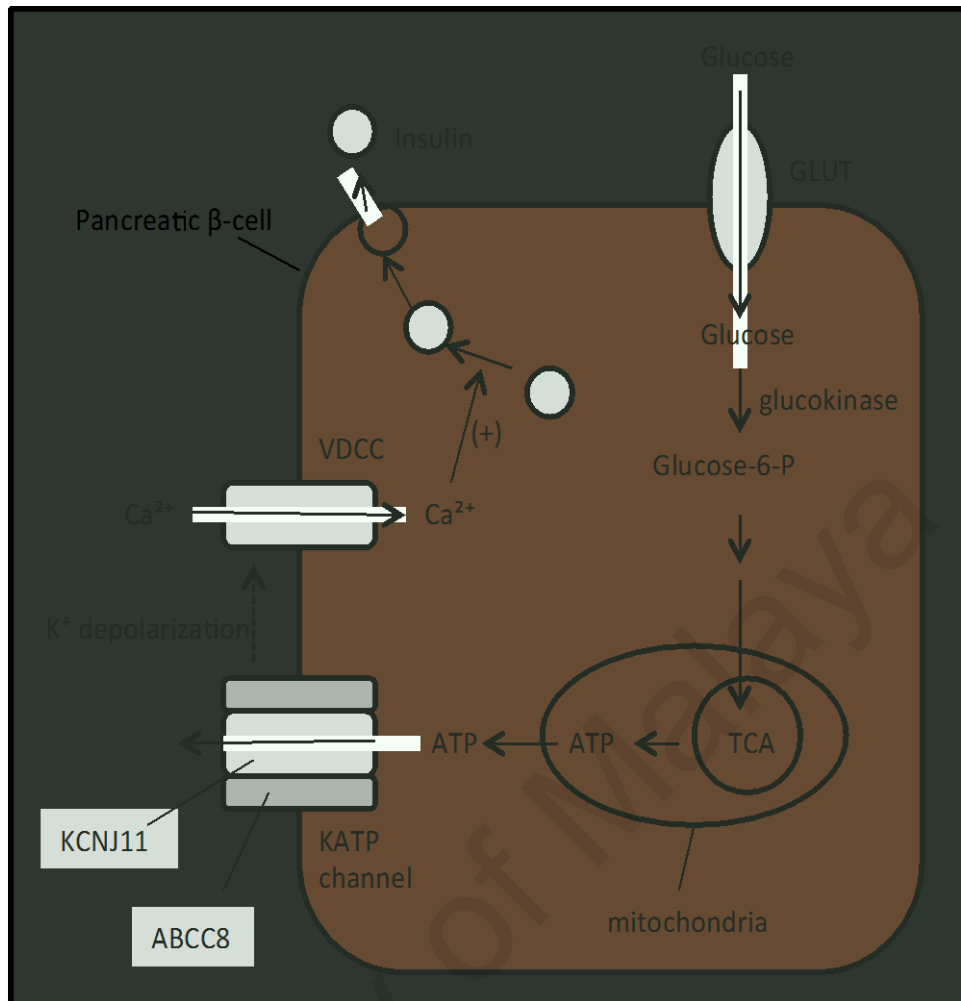


Figure 2.19: The location of KCNJ11 gene (11p15.1) at the chromosome 11.

Adapted from (NCBI, 2016d). In precision, the KCNJ11 gene is located from the base pair 17,364,824 to base pair 17,389,331 on chromosome 11 (NCBI, 2016d), and KCNJ11 gene consists of 4 exons (NCBI, 2016d).



GLUT: glucose transporter; TCA, tricarboxylic acid cycle; ATP: adenosine triphosphate; ABCC8: Sulphonylurea receptor SUR1; KCNJ11: Potassium channel Kir6.2; VDCC: voltage-dependent calcium channel

Figure 2.20: Expression of KCNJ11 leads to insulin release from pancreatic β -cell.

Adapted from Miki & Seino. (Miki & Seino, 2005).

Investigation of the association of common polymorphisms of KCNJ11 and ABCC8 with T2D revealed that KCNJ11 rs5219 polymorphism was linked to diabetes in a case-control group, despite no evidence of a familial association with diabetes in this group (Gloyn et al., 2003). However, the ABCC8 polymorphisms, exon 16-3t/c, and exon 18 T/C were found to not be associated with diabetes (Gloyn et al., 2003). In the

association study of the ABCC8 gene variants with T2D in south Indians (in year 2014) had found that the Thr759Thr polymorphisms of the ABCC8 gene was not associated with T2D (Venkatesan, Bodhini, Narayani, & Mohan, 2014). Meanwhile, ABCC8 rs757110 polymorphism was found not to be associated with T2D in Siberian population (Sokolova et al., 2015). For this reasons, the ABCC8 gene was not included in this study.

KCNJ11 has approximately 219 single nucleotide polymorphisms (SNPs), where six of the SNPs are highly noticeable due to their association with diabetes (Haghvirdizadeh et al., 2015). These six SNPs are rs5215, rs5218 and rs5219, which are located in the coding region, and rs2285676, rs5210 and rs886288, which are located in the non-coding region (Haghvirdizadeh et al., 2015).

2.13 Single Nucleotide Polymorphisms (SNPs) Selection

The selection of single nucleotide polymorphisms (SNPs) for WFS1, KCNJ11 and DPP4 genes was contingent upon HapMap phase III studies of Asian populations (NCBI, 2016c, 2016d, 2016h) with minor allele frequency (MAF) of more than 5% (Table 2.12). To the best of our knowledge, till date, no studies have been conducted on the DPP4 gene polymorphisms rs2970932 and rs2268889 association with T2D; we chose both polymorphisms for evaluation as DPP4 is linked to T2D due to its major DPP4 substrates; the incretin hormones which are the key regulators of post-prandial insulin release (Röhrborn et al., 2015), and also due to the associations of DPP4 gene polymorphisms with ApoB level which was mentioned earlier in Bailey et al study in 2014, where ApoB is the quantifiable measurement of dyslipidemia; which is the common feature of T2D (Bailey et al., 2014), and these polymorphisms were mainly

genotyped in 12 populations including an Asian (Japanese) population (NCBI, 2015a, 2015b) and therefore regarded significant to our subject population (Table 2.12). Schosser et al. (2011) studied on DPP4 gene polymorphism rs1861975 as the diabetes risk factor linked to depression due to possible role of DPP4 in depression through its incretin effects and found that DPP4 is known to modulate neurotransmission by degradation of neuromodulatory peptides (Schosser et al., 2011), whereby inactivation of DPP4 brings about anxiolytic-like profile (Karl, Hoffmann, Pabst, & von Hörsten, 2003); a phenomenon that is currently not aimed by DPP-4 inhibitors (Schosser et al., 2011). Therefore, we selected the following polymorphisms of the DPP4 gene (rs2268889, rs2970932 and rs1861975) based on the work of Schosser et al. in 2011 (Table 2.12). Cheng et al. (2013) found that WFS1 gene polymorphisms rs10010131 and rs734312 had profound protective effects on the risk of developing T2D (Cheng et al., 2013). Whereas, Fawcett et al. (2010) found a high correlation between rs10010131 and rs1046320 polymorphisms ($r^2=0.92$) that showed strong and comparable association with risk of T2D (Fawcett et al., 2010). Cheurfa et al. (2011) reported that rs10010131 and rs734312 were associated with decreased insulin secretion and increased risk of T2D in French populations (Cheurfa et al., 2011) (Table 2.12). From these results, we chose rs10010131, rs734312, and rs1046320 as the WFS1 gene polymorphisms to be studied (Table 2.12). For KCNJ11 gene; rs5218, rs2285676, and rs5210 were chosen as these were previously found to be common KCNJ11 polymorphisms associated with diabetes (Haghvirdizadeh et al., 2015) (Table 2.12). KCNJ11 rs2285676 was reported to be linked with T2D in the Chinese Han population (Liu et al., 2006), while rs5218 was reported to be linked with T2D in the Korean population (Koo et al., 2007). Additionally, KCNJ11 rs5210 was found to be linked with T2D in both the Korean and Chinese Han populations (Koo et al., 2007; Liu et al., 2006). In 2015, KCNJ11 rs5210

was found to have an elevated risk of T2D and post-transplant diabetes in the Asian Indian populations (I. A. Khan et al., 2015). These findings strengthen our decision of selecting the KCNJ11; rs5218, rs2285676, and rs5210 gene polymorphisms to be studied.

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Table 2.8: The polymorphisms selected for each DPP4, WFS1 and KCNJ11 genes.

Genes	SNPs	Variant allele	RefSeq	Molecular consequence	Study populations	References
DPP4	rs2970320	G	NM_001935.3	Intron variant	T2D in Japanese population.	Röhrborn et al., 2015
	rs2268889	T	NM_001935.3	Intron variant	T2D in Japanese population.	Röhrborn et al., 2015
	rs1861975	C	NM_001935.3	Intron variant	T2D in Caucasian population, Germany.	Schosser et al., 2011
WFS1	rs1046320	A	NM_001145853.1	3' UTR variant	T2D in UK population.	Fawcett et al., 2010
	rs734312	A	NM_001145853.1	3' UTR variant	T2D in French population.	Cheurfa et al., 2011
					T2D in Pakistani population.	Batool et al., 2014
					T2D in Japanese population.	Kawamoto et al., 2004
	rs10010131	G	NM_001145853.1	Intron variant	T2D in French population.	Cheurfa et al., 2011
				T2D in African American population.	Cooke et al., 2012	
KCNJ11	rs2285676	G	NM_000525.3	3' UTR variant	T2D in Chinese Han population.	Liu et al., 2006
	rs5218	A	NM_000525.3	Synonymous variant	T2D in the Korean population.	Koo et al., 2007
	rs5210	A	NM_000525.3	3' UTR variant	T2D in Korean & Chinese Han populations.	Koo et al., 2007 & Liu et al., 2006
					T2D in Asian Indian population.	Khan et al., 2015

DPP4: dipeptidyl peptidase-4; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2; G: guanine; T: thymine; C: cytosine; A: adenine; 3'UTR: three prime untranslated region; T2D: type 2 diabetes; UK: United Kingdom.

The DPP4 polymorphisms (rs2268889, rs2970932 and rs1861975) and WFS1 rs10010131 polymorphisms chosen are intron variants. Although intron variants are from the non-coding region of a gene strand, they have several important intronic functions based on its phases; (1) the first phase is the genomic intron (the DNA sequence of the intron), (2) the second phase is the transcribed intron (the phase where the intron is under active transcription at the moment), (3) the third phase is the spliced intron (whereby the spliceosome is assembled on the intron and is actively excised), (4) the fourth phase is the excised intron (intronic RNA sequence is released upon the completion of the splicing reaction), and finally the (5) exon-junction complex (EJC)-harbouring transcript whereby the mature mRNA in the location of the exon-exon junctions is marked by the EJC (Chorev & Carmel, 2012) (Table 2.13). To the best of our knowledge, there are still no researchers that have identified the exact intronic functions of each DPP4 rs2970932, rs2268889 and rs1861975 polymorphism. However, we believe that the association of these polymorphisms with T2D are based on the intronic properties (Table 2.13).

WFS1 rs734312 and rs1046320 polymorphisms, and KCNJ11 rs5210 and rs2285676 polymorphisms are 3' UTR variants. The 3' UTR variants have an important role in post-transcriptional gene expression (L. W. Barrett et al., 2013), including mRNAs modulation of transportation exiting the nucleus and of translation efficiency (van der Velden & Thomas, 1999), subcellular localization (Jansen, 2001), and stability (Bashirullah, Cooperstock, & Lipshitz, 2001). Also, the 3' UTR region plays a role in the particular incorporation of the modified amino acid selenocysteine at mRNAs' UGA codons encoding selenoproteins in a process interceded by a conserved stem-loop structure (Walczak, Westhof, Carbon, & Krol, 1996).

KCNJ11 rs5218 is the only synonymous variant chosen in this study. Synonymous variant often called silent mutation occurs with a substitution of a single base for another on the third position of the codon (Langae, 2010). This usually does not result in a new amino acid (Langae, 2010). Synonymous variants that have been found to affect protein function splicing, expression, and some may contribute to disease (Cartegni, Chew, & Krainer, 2002; Chamary, Parmley, & Hurst, 2006; Chew, Mastura, Cheong, & Syed Alwi, 2010; Sauna & Kimchi-Sarfaty, 2011). A synonymous variant may contribute to a phenotype by altering the splicing pattern, the folding energy, or the pre-mRNA structure (Cartegni et al., 2002). According to Drögemüller et al., (2011), the initiation or modification of an acceptor site or a splice donor, or a splicing enhancer, silencer or regulator binding site may result in intron inclusion or alternative splicing of the exon, thus resulting in a different protein product as the outcome (Drögemüller et al., 2011).

Table 2.9: Summary of the intronic functions.

Introns are able to alter the expression level of a gene in many different ways (Chorev & Carmel, 2012). Adapted from Chorev, 2012 (Chorev & Carmel, 2012).

Phase	Function	Intronic property
Genomic intron	Transcription initiation	Sequence, position
	Transcription termination	Sequence, position
	Genomic organization	Sequence, position, length
Transcribed intron	Time delays	Length
Spliced intron	Transcription regulation	Splicing
	Alternative splicing	Splicing, sequence
Excised intron	Expressing non-coding RNAs	Splicing, sequence
EJC-harboring transcript	Nonsense-mediated decay	Splicing
	Nuclear export	Splicing
	Cytoplasmic localization	Splicing, sequence
	Translation yield	Splicing

EJC: exon-junction complex

2.14 Gene Expression

Gene expression is a process of generating a functional gene product (such as a protein) from the information encoded by a gene (nucleotide sequence) via transcription and translation process (Nature, 2016). Transcription process involved the production of messenger RNA (mRNA) via the usage of enzyme RNA polymerase (Collins & Tansey, 2006). Then, the resultant mRNA molecule will undergo translation process to direct protein synthesis where it serves as a template to join a series of amino acids in order to produce a polypeptide with a specific amino acid sequence (Kornienko, Guenzl, Barlow, & Pauler, 2013). Gene expression is regulated at both mRNA and protein level (Silva & Vogel, 2016). According to Csardi et al. (2015) based on yeast samples, mRNA concentration can be used to predict the concentration of protein (Csárdi, Franks, Choi, Airoidi, & Drummond, 2015), which differs in human where the correlation between mRNA/protein concentration may depend on cell type and state (Silva & Vogel, 2016).

Gene expression had long been associated with treatment responses. Cha, Li & Yi (2016) identified C-Terminal Binding Protein 2 (CTBP2), NAD Kinase (NADK), Azurocidin 1 (AZU1), Cathepsin H (CTSH), Follistatin-like 1 (FSTL1) and High Density Lipoprotein Binding Protein (HDLBP) genes as markers of response to tyrosine kinase inhibitor treatment in chronic myeloid leukemia based on the high expression of these genes (Cha, Li, & Yi, 2016). A study conducted to identify a gene expression profile linked with anti-estrogen treatment in estrogen receptor α (ER α)-positive breast cancer in old patients, had found that among all of the genes tested, only 53 genes were profoundly linked to treatment response, where the genes involved in cell cycle and proliferation were upregulated more often in treatment responders as compared to non-responders (Cappelletti et al., 2008). Li et al. (2006) had found that Gap Junction

Protein Alpha 1 (GJA1) (encoding connexin 43 (Cx43)) and Twist Family BHLH (Basic helix-loop-helix) Transcription Factor 1 (TWIST1) genes which were highly upregulated in cisplatin-resistant cells, which is very useful information to target for therapy focusing at reversing drug resistance (J. Li, Wood, Becker, Weeraratna, & Morin, 2006).

2.14.1 DPP-4 Inhibitors and Gene Expression

Sitagliptin was found to reduce the mRNA expression of inflammatory genes, like Interleukin-6 (IL-6), Tumor Necrosis Factor- α (TNF α), Interleukin-12 (IL-12)(p35) and IL-12(p40) from 2.5 to 5-fold and 12-lipoxygenase protein expression in a diet-induced obesity model (Dobrian et al., 2011). Sitagliptin was also found to reduce the fatty acid binding protein 4 (FABP4) expression in patients with T2D (Furuhashi et al., 2015). A study by Han et al. in 2011 found that the therapy of combining sitagliptin and metformin had increased the expression of genes involved in cell survival and growth, and also downregulated the apoptosis-associated genes of the pancreatic β -cell, as compared to monotherapy (Han et al., 2011).

Vildagliptin was found to reduce the vascular endothelial growth factor's gene expression, intercellular adhesion molecule-1, pigment epithelium-derived factor and plasminogen activator inhibitor-1 which leads to the inhibition of inflammatory and thrombogenic reactions in the retina of T2D rats that were obese concluded the beneficial effects of vildagliptin in diabetic retinopathy (S. Maeda et al., 2013). In 2016, Jojima et al. found that the combination of linagliptin and empagliflozin reduced the hepatic expression of mRNA for inflammatory genes; TNF- α , IL-6, and monocyte

chemoattractant protein-1 (MCP-1) in subjects with diabetes and non-alcoholic steatohepatitis (Jojima et al., 2016).

Linagliptin was found to reduce the mRNA expression of reduced liver mRNA expression of Protein-tyrosine phosphatase 1B (PTP1B) and Suppressor of cytokine signaling 3 (SOCS3) in diet-induced obese mice (Kern et al., 2012).

2.14.2 DPP4 Expression in Relation to DPP-4 Inhibitor Treatment Response

The expression of DPP4 gene is dysregulated diversely following the types of disease states including diabetes, obesity, inflammation and cancer (Röhrborn et al., 2015). DPP4 gene expression was upregulated in proinflammatory states including obesity and T2D (Zhong, Maisyeu, Davis, & Rajagopalan, 2015). Insulin resistance and T2D are largely contributed by inflammation mediated by the binding of DPP4 gene on the surface of T-cells to matrix proteins such as adenosine deaminase and the co-association with CD45 leads to co-stimulatory signals in immunology synapse (R. Pacheco et al., 2005; Zhong et al., 2015). Factors that influenced the regulation of DPP4 leading to different DPP-4 activity at many cell levels may include the control of gene and protein expression, modulation of the sCD26 activity, and also the interactions with DPP-4 binding partners (Shi, Koya, & Kanasaki, 2016). DPP-4 inhibitors inhibit the DPP-4 enzyme (encoded by the DPP4 gene (Kameoka et al., 1993)) (Lacy, 2009). Therefore, the presence of DPP-4 treatment may lead to the suppression of the DPP4 expression; leading to the idea that patients with good response to the DPP-4 inhibitor treatment may be presented with downregulated expression of DPP4 gene and vice versa. However, there was currently no evidence or literature to support the idea thus, more studies are needed in future to confirm the theory.

2.14.3 WFS1 Expression in Relation to DPP-4 Inhibitor Treatment Response

To the best of our knowledge, there were no studies that investigated the association of gene expression to DPP-4 inhibitors treatment response. The expression of WFS1 is monitored by inositol requiring 1 and PKR-like endoplasmic reticulum (ER) kinase, both are the unfolded protein response (UPR)'s central regulators (S. G. Fonseca et al., 2005). UPR at the ER is an important aspect of specialized secretory cells and also involved in the pathogenesis of human diseases (Hetz, 2012). During insulin secretion, WFS1 is normally upregulated and the inactivation of WFS1 in pancreatic β -cell resulted to β -cell dysfunction and ER stress (S. G. Fonseca et al., 2005). Based on these facts, the WFS1 expression should be upregulated in the presence of DPP-4 inhibitor, since the objective of the treatment is to reduce blood glucose levels via insulin secretion. In other words, upregulated WFS1 expression leads to good response to the DPP-4 inhibitor treatment and vice versa.

The expression of WFS1 gene had sparked interests as it was reported that WFS1 may be accounted for insulin resistance and weight gain development (Chateauvieux, Morceau, Dicato, & Diederich, 2010) due to the usage of valproic acid as anticonvulsant and mood stabilizer, as WFS1 induced impaired ER stress response in bipolar disorder (Kato, 2008). WFS1 expression was showed to be elevated by valproic acid treatment but no interaction was found between the valproic acid treatment with WFS1 genotype (Punapart et al., 2014). ER stress involved in the pancreatic β -cell apoptotic death and reduced β -cell proliferation resulting in decreasing β -cell mass in patients with T2D (K. Ueda et al., 2005). Yamaguchi et al. (2004) reported that WFS1 expression increased in isolated mouse pancreatic islets treated with ER stress inducers (Yamaguchi et al., 2004). DPP-4 inhibitors are not an ER stress inducer (Shimizu et al., 2012; Shirakawa et al., 2011) thus, WFS1 expression expected not to be increased during the treatment.

Shimizu et al. (2012) showed that vildagliptin conserved pancreatic β -cell via the improvement of ER stress, as this drug increased β -cell mass, improved aggravated ER stress and restored attenuated insulin/Insulin-Like Growth Factor-1 (IGF1) signaling in studied diabetic transgenic mice (Shimizu et al., 2012). Shirakawa et al. (2011) showed that desfluorositagliptin protected β -cells against apoptosis restored β -cell mass and normalized pancreatic islet morphology in subject mice fed with sucrose and linoleic acid diet (Shirakawa et al., 2011). These findings suggested that DPP-4 inhibitors treatment may lead to beneficial effects through pancreatic β -cell preservation via the possibility of downregulation of WFS1 expression.

2.14.4 KCNJ11 Expression in Relation to DPP-4 Inhibitor Treatment Response

Ideally, for the DPP-4 inhibitor to give a good response, high blood glucose levels must be reduced by insulin, and insulin is released from the pancreatic β -cell through the expression of KCNJ11 at the KATP channel subunit. Therefore, expression of KCNJ11 will lead to good DPP-4 inhibitor treatment response and no expression of KCNJ11 will result to poor response to the DPP-4 inhibitor treatment. However, this idea may have its limitation where there was currently no literature to support the theory.

There were few studies investigating the effect of KCNJ11 expression in pancreatic β -cells but not in relation to DPP-4 inhibitors treatment response. In a comparison of gene expression in both pancreatic α - and β -cells, Kirkpatrick et al. (2010) found a significant enrichment of KCNJ11 expression in pancreatic β -cells as compared to α -cells (Kirkpatrick et al., 2010). Another study (in year 2005) reported the reduced KCNJ11's expression encoding the KATP channel subunit Kir6.2 resulted to mildly

reduced blood glucose levels and increased insulin levels in β -cell Hepatocyte Nuclear Factor 4 Alpha (HNF4a)-deficient mice (R. K. Gupta et al., 2005). A study by Pearson et al. (2007) showed no abnormality in KCNJ11 expression following insulin levels suggesting that the hyperinsulinaemic phenotype in HNF4a deficiency is not linked to KATP channel expression (Pearson et al., 2007).

2.15 Clinical Measures of DPP4 Inhibitor Treatment Response

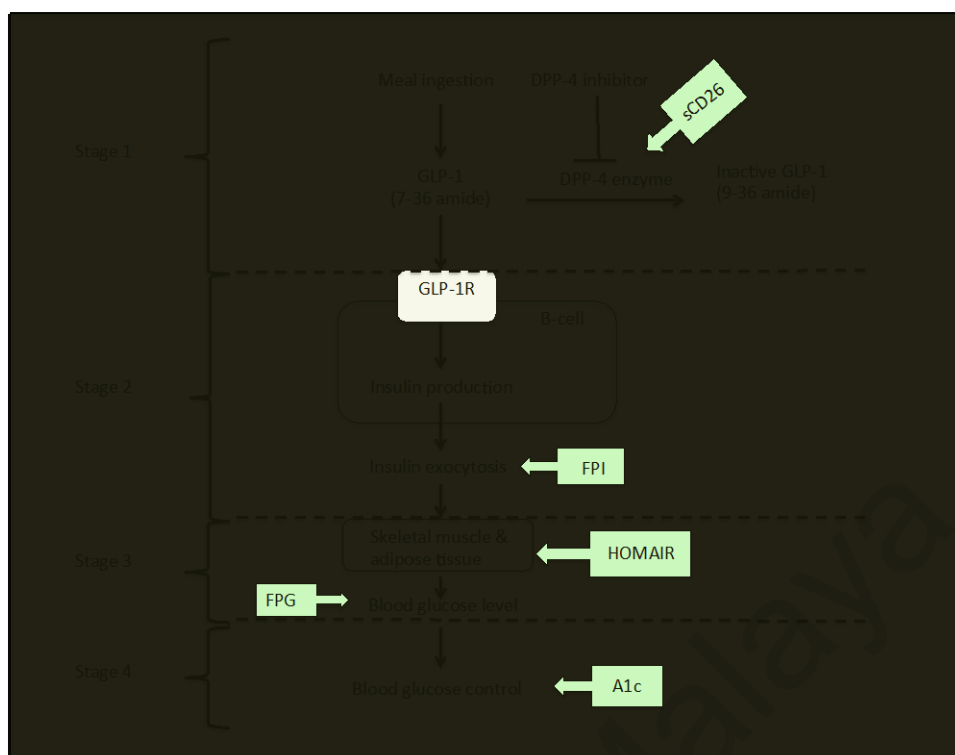
We identified these 2 clinical factors; HOMAIR and sCD26 as having the possible relevance to the treatment response to the DPP-4 inhibitors according to the drug pathway, metabolism and disease pathogenesis. The selection of these clinical factors was contingent upon comprehension of the mechanism of drug action and the disease pathogenesis (Figure 2.21).

According to the incretin pathway, as illustrated in Figure 2.21, following meal ingestion; active GLP-1 (7-36 amide) is released by the intestinal L cells into the gastrointestinal circulation (Müssig, Staiger, Machicao, Häring, & Fritsche, 2010). Ideally, active GLP-1 binds to the GLP-1R receptor to activate the insulin secretion cascade. However, the DPP-4 enzyme may convert the active GLP-1 (7-36 amide) into inactive GLP-1 (9-36 amide) (Duez et al., 2012) thus reducing the efficacy of insulin production by pancreatic β -cells (Figure 2.21) (Baggio & Drucker, 2014; Müssig et al., 2010). Therefore, the presence of DPP-4 inhibitors inhibits the DPP-4 enzyme thus permitting the active GLP-1 to bind with the GLP-1R receptor in order to initiate the glycemic control process through insulin production (Lacy, 2009). At this point, sCD26 also known as dipeptidyl peptidase-4 may be used to measure the level of the DPP-4

enzyme in the circulation to find out the exact degree of inhibition by the DPP-4 inhibitors that may produce significant response to the DPP-4 inhibitor treatment.

When GLP-1 joins to the GLP-1R receptor, the insulin production process in the pancreatic β -cell is activated (Lacy, 2009) and matured insulin is released into blood circulation in a process called insulin exocytosis (Figure 2.21) (Ye et al., 2010). Peripheral insulin reuptake by skeletal muscle and adipose tissue will take place and at this point; HOMAIR may be used to determine the level of insulin resistance of the adipose tissue and skeletal muscle, also in order to produce required DPP-4 inhibitor treatment response (Figure 2.21). Fasting plasma insulin and glucose are required for the determination of HOMAIR. Lastly, the fasting plasma glucose and A1c may be measured as the final outcome of the response to the DPP-4 inhibitor treatment (Figure 2.21).

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sCD26: soluble CD26; GLP-1: Glucagon-like peptide-1; GLP-1R: Glucagon-like peptide-1 receptor; FPI: Fasting Plasma Insulin; HOMAIR: Homeostatic model of insulin resistance; FPG: fasting Plasma Glucose; A1c: Glycated hemoglobin

Figure 2.21: Detailed mechanism of action of DPP-4 inhibitors and clinical markers of treatment response.

Adapted from Duez et al. (Duez et al., 2012).

2.15.1 Soluble CD26 (sCD26)

CD26 is a multifunctional type II transmembrane glycoprotein and it is also known as dipeptidyl peptidase-4 (DPP-4) (EC 3.4.14.5) (De Meester et al., 1999). CD26 is expressed in epithelial cells, few kinds of endothelial cells and fibroblasts, and leukocyte subsets such as T and B lymphocytes and macrophages (Busso et al., 2005). A soluble form of CD26 (sCD26) exerts its enzymatic activity by cleaving the N-terminal dipeptides from polypeptides with either X-Pro or X-Ala dipeptides in the penultimate position (Durinx et al., 2000; Iwaki-Egawa et al., 1998). The soluble CD26 has low cytoplasmic tail and transmembrane area and can be found in plasma and other

biological fluids (Busso et al., 2005). CD26/DPP-4-mediated changes to substrates have serious impacts on the biological activity of function of affected systems. For example, chemokine stromal-cell-derived factor-1 (SDF-1) is a proinflammatory chemokine that binds to the CXCR4 receptor (a 352 amino acid rhodopsin-like transmembrane-specific G protein-coupled receptor (GPCR)) in order to stimulate chemotaxis in leukocytes (Ho, Shiwen, Abraham, Tsui, & Baker, 2012). However, the N-terminal processing of SDF-1 by CD26 results in reduced lymphocyte chemotaxis and CXCR4-signalling properties (Devine et al., 2008). Since CD26 directly regulates activated T-helper type 1 (Th1) lymphocytes, it also may potentially induce a cellular type immune responses (Delezuch et al., 2012). Additionally, CD26 exhibits a co-stimulatory function on human T cells (Hatano, Ohnuma, Yamamoto, Dang, & Morimoto, 2013), thus exerting a crucial role in the immune system through its ability to bind with adenosine deaminase (Martinez-Navio, Climent, Gallart, Lluís, & Franco, 2012), and mediate the signals by directly interacting with the cytoplasmic domain of CD45 (Prabhash et al., 2010). Apart from that, CD26 is also involved in interactions with extracellular matrix proteins, fibronectin and collagen (Prabhash et al., 2010).

A study by Kobayashi et al. in 2002 investigated CD26's role in the pathophysiology of systemic lupus erythematosus (SLE) by measuring the level of sCD26 and DPP-4 activity in serum (Kobayashi et al., 2002). Kobayashi et al. (2002) found that serum sCD26 levels and DPP-4 activity were largely reduced in SLE and were inversely correlated with the SLE disease activity index score (Kobayashi et al., 2002). The study also showed that the serum sCD26 levels linked strongly with circulating DPP-4 activity in humans (Kobayashi et al., 2002). In 2005, Busso et al. investigated the link of CD26 levels with the severity of inflammation in rheumatoid arthritis; finding that serum sCD26 levels linked strongly with circulating DPP-4 activity (Busso et al., 2005).

The findings in both studies (Busso et al., 2005; Kobayashi et al., 2002), led to the idea that human serum sCD26 may mirror DPP-4 activity. In 2012, Aso et al. researching the link of serum sCD26 levels with sitagliptin treatment response in T2D; found that a high serum level of sCD26 could be linked to reduced efficacy of sitagliptin, thus the serum sCD26 level might predict the sitagliptin treatment response in T2D controlled inadequately by metformin or/and sulphonylurea (Aso et al., 2012). Since there is an association of sCD26 with DPP-4 activity (Aso et al., 2012), we used the sCD26 levels as one of our markers in determining the response to DPP-4 inhibitors treatment in our study.

2.15.2 Insulin Resistance

In a study to compare the efficacy of alogliptin coupled with pioglitazone in metformin treated patients with T2D, HOMAIR was found to be reduced in test subjects but not significantly greater than in group treated with pioglitazone alone (DeFronzo et al., 2012). Ahrén (2008) investigated the combination treatment of DPP-4 inhibitors (vildagliptin and sitagliptin) with metformin and found a betterment in insulin sensitivity where the addition of DPP-4 inhibitors into T2D therapy able to reduce HOMAIR to 41% (2.7 from a baseline of 6.2) (Ahrén, 2008). A study by Aschner et al. (2006) investigated the effect of sitagliptin as monotherapy on glycemic control in patients with T2D had found that sitagliptin had no effect on the insulin resistance (HOMAIR) (Aschner et al., 2006). These studies showed the possibility of an association between lower levels of HOMAIR and good response to DPP-4 inhibitors.

As for our study, we predict that insulin resistance is one of the important factors determining DPP-4 inhibitors treatment response in T2D patients. The inhibition of the

DPP-4 enzyme results in increased active GLP-1 which binds to the GLP1R (Lacy, 2009), thus sparking the incretin signaling pathway in the pancreatic β -cells to produce and release insulin into blood circulation as the response to elevated blood glucose post-ingested meal (Ye et al., 2010). Insulin will regulate the assimilation and distribution of many nutrients via processes such as amino acid synthesis, protein synthesis, fatty acid uptake, fatty acid synthesis, cholesterol synthesis and most importantly glucose uptake (Matthews et al., 1985; Olson, 2012). However, if there is insulin resistance at the cellular level, the homeostasis process will be disrupted and blood glucose will remain elevated (Schinner, Scherbaum, Bornstein, & Barthel, 2005). Although the objective of inhibiting the DPP-4 enzyme is achievable with DPP-4 inhibitors, but the therapy will fail eventually if cells are insulin resistant. In conclusion, insulin resistance serves as the last clinical factor or marker in determining DPP-4 inhibitors treatment response. In other words, T2D patients with insulin resistance will not benefit from DPP-4 inhibitor therapy.

2.15.3 Fasting Plasma Glucose

Fasting plasma glucose (FPG) is one of the criteria used in diagnosing diabetes, apart from A1c and the 2-hour plasma glucose value after a 75g oral glucose tolerance test (OGTT) (ADA, 2015). In our study, FPG is used to determine the effectiveness of the DPP-4 inhibitor treatment as FPG is the final outcome of DPP-4 inhibitor usage, as resulting increases in insulin secretion will stabilise blood glucose concurrently (Lacy, 2009; MSD, 2012b). Ideally, we predict that low FPG will reflect good DPP-4 inhibitor treatment response and high FPG will determine otherwise. FPG can serve as the final indicator or marker for DPP-4 inhibitor therapy response as many studies found that FPG was strongly correlated with diabetes and served as a risk factor that is independent

in nature for T2D (Bogardus, Lillioja, Howard, Reaven, & Mott, 1984; Muggeo et al., 2000; Tirosch et al., 2005).

Kawamoto et al. (2016) found that alogliptin significantly reduced FPG in patients with T2D undergoing hemodialysis after 2 weeks of treatment (S. Kawamoto, Koda, Imanishi, Yoshino, & Takeda, 2016). A study by Solis-Herrera et al. (2013) investigated the glucose-lowering effects of sitagliptin and metformin therapy had found that the combination therapy of both agents had significantly lowered FPG compared to sitagliptin or metformin alone (Solis-Herrera et al., 2013). Aschner et al. (2006) found that sitagliptin reduced FPG dose-dependently as -1.0 mmol/L and -1.2 mmol/L (1mg and 2mg, respectively) after 24 weeks of therapy (Aschner et al., 2006). Vildagliptin was also found to exhibit the FPG lowering effect after 24 weeks of treatment which was accompanied by the improvements in A1c (Pi-Sunyer, Schweizer, Mills, & Dejager, 2007). Earlier in 2006, vildagliptin was found to reduced FPG effectively from baseline within 12 weeks of treatment (Pratley, Jauffret-Kamel, Galbreath, & Holmes, 2006). All of these studies support the possibility that FPG may be linked to the response to DPP-4 inhibitor treatment.

2.15.4 A1c

A meta-analysis of random controlled trials of selected DPP-4 inhibitors (sitagliptin, vildagliptin, linagliptin, saxagliptin, and alogliptin) had found that the mean reduction of A1c from a mean baseline of 8.05% was -0.77% within 1 year of therapy (Katherine Esposito et al., 2015). Previously, many other studies showed that sitagliptin and vildagliptin reduced A1c levels by 0.65-1.1% after 3-12 months from baseline levels of 7.2%-8.7% (Ahrén, 2007; Aschner et al., 2006; Pratley et al., 2006; Raz et al., 2006;

Ristic, Byiers, Foley, & Holmes, 2005; Rosenstock, Baron, Dejager, Mills, & Schweizer, 2007; Schweizer & Dejager, 2013; Scott, Wu, Sanchez, & Stein, 2007). A report by Monami et al. (2011) concluded that A1c was significantly reduced by DPP-4 inhibitors at 24 weeks by 0.6 (0.5-0.7%), as compared to placebo (Monami, Cremasco, Lamanna, Marchionni, & Mannucci, 2011). The study also identified no difference in A1c in comparison with thiazolidinediones and α -glucosidase inhibitors (Monami et al., 2011). On the other hand, sulfonylureas and metformin generated greater A1c lowering effects as compared to DPP-4 inhibitors (Monami et al., 2011). Monami et al. (2011) made a conclusion that DPP-4 inhibitors are more efficient in patients who are older with mild to moderate fasting hyperglycemia (Monami et al., 2011). In 2015, Esposito et al. detected a decreased A1c from baseline (by -0.77% (95% CI -0.82 to -0.72%) from 98 RCTs with 100 arms composed of 26 arms with 37 with sitagliptin, vildagliptin, 13 with linagliptin, 13 with saxagliptin, and 11 with alogliptin (Katherine Esposito et al., 2015). Duration of treatment, age, and previous diabetes drugs provided low predictive power (less than 1%) to the response of the DPP-4 inhibitor treatment (Katherine Esposito et al., 2015). A retrospective study was done to clarify the patient's characteristics in whom vildagliptin exerts A1c lowering effect fully; had found that age, gender, and BMI had no profound variances among categories, and also no profound variances in the A1c lowering effect of concomitant oral antidiabetics with vildagliptin, nor antidiabetics replacing vildagliptin (Masami Tanaka et al., 2015). The usage of A1c as the reflection of the effect of DPP-4 inhibitors are common. Yagi et al. used A1c as the measurement of DPP-4 inhibitors efficacy in his study and found that the predictors of DPP-4 inhibitors A1c lowering efficacy after 12 months of therapy were, reduced A1c levels after 3 months of treatment, high A1c baseline level, low baseline BMI and the coronary artery disease absence (Yagi et al., 2015).

A1c is defined as glycated haemoglobin and it is used as a measure of mean glycemic control over a period of 3 months (ADA, 2015). The method for measuring A1c must have certification by the National Glycohemoglobin Standardization Program (NGSP) and standardized to the Diabetes Control and Complications Trial (DCCT) reference assay (ADA, 2015). Compared with FPG, A1c provides greater preanalytical stability, is more convenient to patients (no fasting required), and is less perturbed during stress and illness (ADA, 2015). However, the A1c test is done at a greater cost than FPG and standardized methods of measurement are only available in certain regions around the world (ADA, 2015). Since most epidemiology studies recommend an A1c cut-off point for diabetes diagnosis were done in the adult population, it is controversial whether the same A1c cut-off point should also be employed in children and adolescents (Cowie et al., 2010; Garcia de Gadiana Romualdo et al., 2012; Nowicka et al., 2011).

2.15.4.1 Factors that limit the accuracy of A1c

(a) Factors that interfere with A1c measurement

Genetic variants of haemoglobin (Hb) has shown to give different readings on the A1c test results. There are more than 700 Hb variants reported with the majority with point mutations at the α , β , γ , or δ Hb chains (Bry, Chen, & Sacks, 2001). Sickle trait (HbS ($\beta 6\text{Glu}\rightarrow\text{Val}$)) and HbC ($\beta 6\text{Glu}\rightarrow\text{Lys}$) variant are the most commonly encountered among 16 million diabetes patients in the United States (Franks et al., 2008; Reid, Famodu, Photiades, & Osamo, 1992), and HbS was also reported to be found in diabetes patients in other parts of the world (Reid et al., 1992). There are many types of commercially methods to determine the A1c level available worldwide in the laboratories field. These laboratory methods use either the Boronate affinity or affinity-

binding chromatography, immunoassays form, or cation-exchange chromatography, to measure the A1c levels accurately (CAP, 1999). However, inaccurate A1c reading may occur if the Hb variant or its glycated derivative unable to be separated from A1c. Fascinatingly, the same variant may yield either falsely decreased or increased A1c levels, according to the method used (Bry et al., 2001).

Uremic patients may have high carbamyl-Hb concentrations (3% of total haemoglobin) which may shorten red cell life span in hemodialysis patients thus affecting the accuracy of A1c results (Bry et al., 2001). HbE ($\beta 26\text{Glu}\rightarrow\text{Lys}$) are commonly found in the Southeast Asia region (Beutler, 1996), and it can either increased or decreased the resultant A1c value, depending on the methods used (Roberts et al., 2000). The elevated fetal haemoglobin (HbF) encompasses 70% of total Hb at birth, and the value will be reduced to less than 5% upon 6 months of age (Ohls, 2000). HbF concentrations less than 5% of total Hb have no effects on the majority of Hb methods (Bry et al., 2001). However, persons with hereditary persistent HbF may have high concentrations of HbF (up to 30% of total Hb) (Cox, Hess, Thompson, & Levinson, 1993), thus since the immunoassay result is computed relative to the total Hb in the sample, the result may produce false decreased A1c values (Bry et al., 2001).

(b) ***Factors that affect the interpretation of A1c test results***

According to Goldstein et al. (2004), regardless of the assay method used, false A1c will occur if blood samples were taken from people with haemolytic anemia, or undergoing recovery from acute blood loss (D. E. Goldstein et al., 2004). Iron deficiency anemia is linked to higher A1c level (Sundaram et al., 2007), as iron replacement therapy lowers A1c level (Coban, Ozdogan, & Timuragaoglu, 2004; Sundaram et al., 2007). The iron deficiency anemia is linked with reduced erythrocyte and erthropoiesis turnover rate which elevate the A1c levels (Coban et al., 2004). The

fluctuations of the A1c levels in these conditions is due to the increased malondialdehyde levels in individuals with iron deficiency anemia (Sundaram et al., 2007). Malondialdehyde is the end product of lipid peroxidation in cells 286 and has been used as an oxidative stress marker in patients with diabetes complications such as diabetic retinopathy (Kundu et al., 2014), due to its rapid reaction with thiobarbituric acid to produce the marker test result (Esterbauer & Cheeseman, 1990; Giera, Lingeman, & Niessen, 2012). Other types of anemia such as acute haemorrhage, haemolytic anemia, and hemoglobinopathies are associated with elevated erythropoiesis and reduced erythrocyte life span, which lead to decreased A1c levels (Gallagher, Le Roith, & Bloomgarden, 2009).

In chronic kidney disease (CKD), the glycemic control is made complex by modified glucose and insulin homeostasis (Vos, Schollum, & Walker, 2011). Moreover, the reduction in renal metabolism and clearance in patients with CKD lengthen the duration of insulin action (Mak, 2000). Malnutrition and reduced renal gluconeogenesis in progressive nephropathy may cause the blood glucose concentrations to deteriorate (Gerich, Meyer, Woerle, & Stumvoll, 2001). Additionally, hemodialysis procedure may directly affect the glucose and insulin levels by the usage of high-glucose concentrate dialysate (Marshall, Jennings, Scott, Fluck, & McIntyre, 2003) and by the increase of renal clearance of both substance (M. Abe, Kikuchi, Kaizu, & Matsumoto, 2008). For chronic renal failure patient undergoing dialysis, glycated albumin is a more preferable method as it is more robust glycemic control indicator than A1c (Freedman et al., 2010).

A1c levels may also not accurate in patients with thalassemia as transfusion and hemoglobinopathies may interfere with the A1c analysis (Choudhary, Giardina, Antal, & Vogiatzi, 2013), resulting in either falsely increased or decreased of A1c levels depending on the proximity to transfusion, the assay used and shortened erythrocyte

lifespan (Sacks, 2003; Spencer, Grossman, & Scott, 2011). Oral glucose tolerance test (OGTT) is preferable than A1c for thalassemia patients in the method of screening glycemic control (Choudhary et al., 2013).

It is also reported that rheumatoid arthritis can decrease the A1c levels due to the reduced erythrocyte life span (Gallagher et al., 2009).

2.15.4.2 A1c and DPP-4 inhibitors

A report by Monami et al. (2011) found that A1c was significantly reduced by DPP-4 inhibitors at 24 weeks by 0.6 (0.5-0.7%), as compared to placebo (Monami et al., 2011). The study also identified no difference in A1c in comparison with thiazolidinediones and α -glucosidase inhibitors, whereas sulfonylureas and metformin generated greater A1c lowering effects as compared to DPP-4 inhibitors (Monami et al., 2011). Monami et al. (2011) concluded that DPP-4 inhibitors are more effective in older patients with mild to moderate fasting hyperglycemia (Monami et al., 2011). In 2015, Esposito et al. detected a decreased of A1c from baseline (by -0.77% (95% CI -0.82 to -0.72%) from 98 RCTs with 100 arms consisting of 37 arms with sitagliptin, 26 with vildagliptin, 13 with linagliptin, 13 with saxagliptin, and 11 with alogliptin (Katherine Esposito et al., 2015). Duration of treatment, age, and previous diabetes drugs provided low predictive power (less than 1%) to the response of the DPP-4 inhibitor treatment (Katherine Esposito et al., 2015). A retrospective study was done to clarify the characteristics of patients in whom vildagliptin exerts A1c lowering effect fully; had found that age, gender, and BMI showed no significant differences among categories, and also no significant difference in A1c lowering effect of concomitant oral

antidiabetics with vildagliptin, nor antidiabetics replaced to vildagliptin (Masami Tanaka et al., 2015).

2.16 Demographic, Anthropometric and Biochemical Characteristics Affecting DPP-4 Inhibitors Treatment Response

Demographics are the characteristics of a population. In our study, demographics were included as predictors because we wanted to determine the patient characteristics that would render them suitable for and probably to benefit from DPP-4 inhibitor therapy. Our demographic parameters included duration of T2D, age, gender and ethnicity. Meanwhile, the anthropometric parameters were waist circumference (WC) and body mass index (BMI). Other biochemical parameters investigated were blood pressure, lipid profiles, and liver profiles.

2.16.1 Demographic Characteristics Affecting DPP-4 Inhibitors Treatment Response

2.16.1.1 Age

Age may have an impact on treatment response to DPP4 inhibitors because of reduced β -cell function and increased insulin resistance with age (De Tata, 2014), that may potentially lead to poor treatment response. There were studies that tried to incorporate age as a predictor of DPP-4 inhibitors therapy. A systematic review and meta-analysis by Esposito et al. (2015) to predict the A1c response to different types of DPP-4 inhibitors indicated that age had no influence in A1c response to vildagliptin (Katherine Esposito et al., 2015). Yagi et al. (2015) included age as one of the predictors for the efficacy of DPP-4 inhibitors in patients with T2D, which was

excluded in the final predictor model because of lack of statistical significance (Yagi et al., 2015). In response to Yagi et al. (2015), Kim (2015) commented that since the studied patients were relatively old (aged 68.3 ± 35.8 years old) and had mildly elevated A1c level (baseline A1c were $7.5\% \pm 1.3\%$), Yagi et al. (2015) need to justify the reasons for adding DPP-4 inhibitor therapy into existing T2D therapies so that it will aid in better understanding and validate the efficiency of DPP-4 inhibitors (Y. A. Kim, 2015). Although these studies ended up with negative results regarding age, age had increasingly become a common predictor variable to be included into the DPP-4 inhibitors treatment response predictor model.

Diabetes has increasingly become a disease of the elderly and some of its underrated elderly complications should be taken into consideration such as physical disability, cognitive disorders, falls, fractures and other geriatric syndromes (Gregg, Engelgau, et al., 2002). The results of these complications may result in a poor quality of life, loss of independence and ultimate dependence on caregivers. These complications pose a great challenge to drug therapy compliance thus affecting treatment response. The association between physical disability and diabetes are found to be mediated by several prospective modifiable factors such as stroke, visual impairment, coronary heart disease, obesity, physical inactivity and depression (Gregg et al., 2000; Gregg, Mangione, et al., 2002), suggesting that avoiding secondary cardiovascular disease, weight loss, exercise schedules, depression screening and its treatment may be able to prevent disability, however no data on the outcome of such interventions were found or recorded (Gregg, Engelgau, et al., 2002). The management of diabetes in older patients is complex especially since the presence of other concomitant diseases also require numerous polypharmacy/medications, added to the fact that at least half of the older adults with diabetes may have a major cognitive or physical disability (Gregg,

Engelgau, et al., 2002). While the IDF has specific guidelines on how to manage the elderly with diabetes (Dunning, 2014), treating these patients does remain a clinical challenge (Gregg, Engelgau, et al., 2002). Thus, assessing the impact of age on treatment response to DPP4 inhibitors may at least provide some perspective in treating and managing diabetes in the elderly.

Elderly is long associated with the decrease in glucose tolerance as initial investigations found modest increases in plasma glucose levels after an oral glucose challenge (Stevic et al., 2007). Carbohydrate intolerance occurs as part of the aging process, which is explained by a post-receptor defect in target tissue insulin action/dose-response curve (Fink, Kolterman, Griffin, & Olefsky, 1983). In the elderly population, the severity level of carbohydrate intolerance is directly correlated to the degree of peripheral insulin resistance (Fink et al., 1983). Elderly with chronic diseases may also experience multiple organ failures (Xiao et al., 2014) and this may further complicate therapy as the presence of concomitant renal impairment may modulate treatment response to DPP4 inhibitors given that some of the drugs are excreted by the kidney.

2.16.1.2 Duration of T2D

We included duration of T2D in our investigation as many studies recently had found the relevance of duration of T2D with DPP-4 inhibitors response. In 2012, Nomiya et al. found that shorter duration of diabetes may be a predictor of greater improvements in A1c prior DPP-4 inhibitor treatment in Japanese populations (Nomiya et al., 2012). Additionally, Fonseca (2009) had categorized duration of T2D as a predictor in her study of defining and characterizing the progression of T2D, as the disease may worsen

over time (V. A. Fonseca, 2009). Moreover, declining pancreatic β -cell function with time along with insulin resistance is the sign of T2D progression (V. A. Fonseca, 2009). An earlier study in 2006, showed that A1c levels increased with the increased duration of T2D (Verma, Paneri, Badi, & Raman, 2006), which showed the probability that the duration of T2D may influence the outcome of T2D treatment. Duration of T2D may, therefore, have the possibility to be a predictor of DPP-4 inhibitor treatment response.

2.16.1.3 Gender

Zhang et al. (2014) studied the efficacy of adding DPP-4 inhibitors in patients with T2D inadequately controlled by sulphonylurea and metformin therapy, and found that gender did not influence the outcome of the treatment (X. Zhang et al., 2014). A study by Brath et al. in 2016 found that women aged more or at 45 years old were less likely to achieve the glycemic target (A1c less than 7%) without significant weight gain as compared to women aged less than 45 years old (Brath, Paldánus, Bader, Kolaczynski, & Nilsson, 2016). There were no other studies that investigate the influence of gender in DPP-4 inhibitor treatment response. This study included gender as the potential predictor of DPP-4 inhibitor treatment response since many studies have investigated the influence of gender on diabetes and other diabetes treatment but not exclusively on DPP-4 inhibitors in the Malaysian population. Diabetes and impaired fasting hyperglycemia were prevalent in men than in women aged 30 to 69 years old, whereas the prevalence of impaired glucose tolerance was higher in women than in men, especially in individuals of age more than 70 years old (DECODE, 2003).

In high-income regions, Western Europe had the lowest rise in FPG of 0.07 mmol/L per decade for men and 0.03 mmol/L per decade for women; while North America had

the largest rise in FPG of 0.18 mmol/L per decade for men and 0.14 mmol/L per decade for women (Danaei et al., 2011). These findings suggest that women had better glycemic control than men thus leading up to the idea that response to T2D therapies may vary between gender.

Although women may have better T2D treatment outcomes than men, but as they age; non-compliance to medications should be suspected as the aging process may affect their normal daily activities. One fourth of women with diabetes of 60 years old of age and older are reported to be unable to walk 400 metres, as compared to less than 16.67% of women without diabetes of the same age (Gregg, Mangione, et al., 2002). Additionally, women with diabetes have approximately twice the rate of becoming disabled compared to women without diabetes, and also have an increased risk of falls and hip fractures (Gregg, Mangione, et al., 2002; Schwartz et al., 2001). In our study, we are investigating whether gender has a significant impact on the DPP-4 inhibitors treatment response in T2D.

2.16.1.4 Ethnicity

Most of the studies of DPP-4 inhibitors lowering effects on A1c were from Western and less were from Asian populations (Wong et al., 2014). Asians had a higher risk of T2D than Westerns at the same BMI where Asians were more likely to have abdominal obesity and increased insulin resistance (Chan, Malik, Jia, & et al., 2009). In fact, Asian population presented with diversity in ethnicity along with unique demographic, cultural and socioeconomic characteristics (P. Singh & vom Hau, 2016), which resulted in different presentations of T2D in each Asian regions. For example, patients with T2D in South Asian were characterized by lower BMI but with a higher amount of abdominal

fat at a given BMI or waist circumference, while patients with T2D in East-Asians have stronger insulin secretory defect as compared to their Westerns counterparts (Chan et al., 2009; Ramachandran, Wan Ma, & Snehalatha, 2010). A study by Kim et al. in 2013 found that DPP-4 inhibitors were more effective in Asians as compared to other ethnicity, specifically in patients with lower BMI of less than 30 kg/m² (Y. G. Kim et al., 2013). A systematic review by Singh et al. found that Indians and Koreans showed better A1c lowering effect of DPP-4 inhibitors (-1.4% each) as compared to Chinese (-0.7%), against the placebo group (A. K. Singh, 2015). Contrary, in 2014, Zhang et al. found that ethnicity was not a significant determinant of A1c response in patients treated with DPP-4 inhibitor (X. Zhang et al., 2014). This study was interested in investigating the influence of Malaysian ethnicity on DPP-4 inhibitor treatment response.

Malaysia is located in Southeast Asia and its population is comprised of multiple ethnic groups. The 3 major ethnic groups in Peninsular Malaysia where our study was conducted are the Chinese (24.6%), Malays (67.4%), and Indians (7.3%). The capital of Malaysia, Kuala Lumpur has a population of 1,627,172 people within a 243 km² area (DOSM, 2010). According to the National Census 2010, the estimated breakdown of the main races were 45.9%, 43.2% and 10.3% for Bumiputera (including Malay), Chinese and Indians, respectively (DOSM, 2010).

The effect of ethnicity on DPP-4 inhibitor treatment response is not very clear, especially less studied groups such as the Malays and Indians. Asian Indians have an escalated risk of developing T2D, along with the characteristics of higher body fat percentage but a lower lean mass for a given BMI, and central obesity leading to high insulin resistance; making them more susceptible to the disease (V. Mohan, Sandeep, Deepa, Shah, & Varghese, 2007). Others have shown that interleukin-6 gene

polymorphism rs1800796 and rs2097677 may reduce the risk of being a non-responder to DPP-4 inhibitors in Japanese patients (Matsui et al., 2015). In another study conducted in Japanese population found that higher baseline A1c level, shorter duration of diabetes and greater C-peptide immunoreactivity (CPR) index (plasma CPR (ng/ml)/glucose (mg/dL) x 100) are associated to Sitagliptin treatment responders (change of A1c from baseline by $\leq -0.4\%$ at 2 years) compared to non-responders (change of A1c from baseline by $> -0.4\%$ at 2 years) (Nishimura et al., 2015). In Korean subjects, the responders (change in A1c from baseline by $> 10\%$, or change in FPG levels from baseline by $> 20\%$ at 24 weeks) to DPP-4 inhibitor (sitagliptin) had a lower mean body mass index (23.70 ± 2.40 vs. 26.00 ± 2.26 , $p \leq 0.01$) and were younger (58.83 ± 11.57 years vs. 62.87 ± 12.09 , $p = 0.03$) compared to the non-responders (change in A1c from baseline by $< 10\%$, or change in FPG levels from baseline by $< 20\%$ at 24 weeks) group (S. A. Kim et al., 2011). A recent study in United Kingdom population (data from 25,386 patients with T2D treated with DPP-4 inhibitors from 2007 to 2013) found that responses to DPP-4 inhibitor are significantly lower with increased diabetes duration (per every year increase) (OR: 0.85; 95% CI: 0.83-0.88) and patients with high A1c levels at baseline (OR: 0.64, 95% CI: 0.61-0.68) (Jil, Rajnikant, Richard, & Iskandar, 2016). A pool analysis of 5 clinical trials to assess the treatment response to saxagliptin at 24 weeks from baseline at 12 weeks (among patients with T2D aged 18 to 77 years old); had found that the baseline characteristics that were associated with saxagliptin treatment response (responders: A1c decrease $\geq 0.5\%$; intermediate responders: A1c decrease $\geq 0.2\%$ and $< 0.5\%$; non-responders: A1c decrease $< 0.2\%$) are lower fasting insulin ($p = 0.0006$), shorter duration of T2D ($p = 0.033$), higher baseline A1c ($p < 0.0001$), higher HOMA-2% β ($p < 0.0001$), and male sex ($p = 0.031$) (Sjöstrand, Iqbal, Lu, & Hirshberg, 2016). A study to evaluate the efficacy of sitagliptin monotherapy for 18 weeks in Chinese, Indian and Korean patients with T2D had found

that sitagliptin significantly reduced mean A1c (-1.0%, $p < 0.001$), fasting plasma glucose (-1.7 mmol/L), and 2-hour postprandial glucose (-3.1 mmol/L), and a significantly greater proportion of better glycemic response (A1c < 7.0%) found in sitagliptin group ($p < 0.001$) compared to placebo group (Viswanathan Mohan et al., 2009). All of these researches showed the response to DPP-4 inhibitors treatment in various ethnicities, thus, it is fascinating to know the impact of Malaysian ethnicities on the DPP-4 inhibitors treatment response.

2.16.2 Anthropometric Characteristics Affecting DPP-4 Inhibitors Treatment Response

2.16.2.1 Body mass index (BMI)

A review by Kim et al., in 2013 showed that DPP-4 inhibitors were more effective in Asians than other ethnic group specifically those with BMI < 30 kg/m² (Y. G. Kim et al., 2013). Meanwhile, Nomiya et al. (2012) and Maeda et al. (2012) found that patients with lower BMI, higher A1c baseline and shorter duration of T2D were significantly related to a higher A1c reduction in Japanese patients with T2D treated with sitagliptin (H. Maeda, Kubota, Tanaka, Terauchi, & Matsuba, 2012; Nomiya et al.). Low BMI was found as the predictor of the response to DPP-4 inhibitor treatment, where DPP-4 inhibitors were more effective in patients with low BMI as the drug group also improve insulin secretion and insulin resistance (Yagi et al., 2015). Based on these recent findings, we investigated BMI as the predictor of the response to DPP-4 inhibitor treatment.

World Health Organization (WHO) estimated over 1.9 billion adults were overweight/obesity worldwide in 2014 (WHO, 2014). The worldwide prevalence of

overweight and obesity had risen greatly from 1980 to 2013; from 8.1% to 12.9% in male and 8.4% to 13.4% in female (Ng et al., 2014). According to the Malaysian Adults Nutrition Survey (MANS) in 2009, the overall BMI for Malaysian is 24.37 kg/m², where more women were obese (14.66%) compared to men whose were more on the overweight (28.55%) category (Azmi et al., 2009). The prevalence of obesity was greatest in Malays (15.28%), while the prevalence of overweight category was highest in Indians (31.01%) (Azmi et al., 2009). As compared to Caucasians, Asians (Indonesian of Malays and Chinese ancestry, Singaporean Chinese, Malays, Indians and Hong Kong Chinese) had a higher body fat percentage (3% to 5% more) at a lower BMI; which may be explained by differences in body build such as differences in trunk-to-leg-length ratio and in muscularity (Deurenberg, Deurenberg-Yap, & Guricci, 2002).

BMI can be associated as one of the factors in determining the response to DPP-4 inhibitor treatment, based on the effect of associated insulin resistance on β -cell function (J. O. Chung, Cho, Chung, & Chung, 2012). BMI was considered to be positively associated with the reduced insulin sensitivity in patients with T2D, as BMI is directly linked to insulin resistance (E. C. Chang, Yu, & Kahle, 2014).

A study was done to define the relationship between BMI and insulin resistance in a group of healthy, non-diabetic individuals had found that the greater the BMI, the more insulin-resistance the individual, and insulin resistance may accentuate the risk of T2D (Abbasi, Brown Jr, Lamendola, McLaughlin, & Reaven, 2002). A study to investigate the associations among BMI, insulin resistance and β -cell function in Korean patients with T2D had found that BMI had positive associations with insulin resistance and an inverse association with β -cell function (J. O. Chung et al., 2012). In an investigation of an independent long-term predictors of insulin sensitivity in a large population-based sample (the Uppsala longitudinal Study of Adult Men cohort) of 50 years old men

(n=770) who went an euglycemic clamp 20 years later, had found that BMI remained as the strongest predictor ($\beta = - 0.67$ (95% CI -0.83 to -0.51), $p < 0.001$) of insulin resistance, followed by physical activity, HDL cholesterol, saturated fat and socioeconomic status (all $p < 0.05$) (Risérus, Ärnlov, & Berglund, 2007). After the adjustment for baseline insulin concentrations, BMI still remained as the strongest predictor ($p < 0.001$) of insulin resistance (Risérus et al., 2007). A pooled analysis of 24-week efficacy data of vildagliptin 50mg twice daily as add-on to metformin (n=2478) study was performed and had found that the reduction from baseline in A1c with vildagliptin were very similar across HOMAIR (mean 2.8 and 8.6), BMI (mean 24.9, 28.5 and 35.3 kg/m^2), T2D duration (mean 0.6, 2.9 and 9.7 years), duration of metformin use (mean 0.6, 2.6 and 7.9 years) categories, showing significant decreased in A1c of -0.7% (baseline 7.7%); indicating that vildagliptin as the add-on therapy to metformin was efficacious independent of insulin resistance, BMI, T2D duration, and duration of prior metformin use (Schweizer, Dejager, & Foley, 2012). The study showed that vildagliptin is still efficacious in obese patients and also in patients with long-standing T2D (Schweizer et al., 2012).

2.16.2.2 Waist circumference

Waist circumference (WC) is a common clinical characteristic included in many diabetes and obesity studies. To the best of our knowledge, this is the first study incorporating waist circumference as a predictor of DPP-4 inhibitor treatment response. Waist circumference is the best anthropometric indicator of central obesity (Taylor, Jones, Williams, & Goulding, 2000), which provides an inexpensive, rapid and non-invasive way of detecting the presence of insulin resistance (Rodríguez-Rodríguez, Palmeros-Exsome, López-Sobaler, & Ortega, 2011). According to the United States

Department of Health and Human Services (HHS), women with a waist circumference of more than 89 cm and men with a waist circumference of more than 102 cm are at increased risk of developing chronic diseases (Dalvand et al., 2015). For Asian populations, women with a waist circumference of more than 79 cm and men with a waist circumference of more than 89 cm are at increased risk of chronic diseases (Dalvand et al., 2015).

According to the International Diabetes Federation (IDF), waist is a gender and ethnicity-specific indicator, where different cut-offs were adopted for waist circumference in different ethnicities (Alberti et al., 2009). The cut-offs points for Europeans are 94 cm in men and 80 cm in women, while the cut-offs points for Chinese and South Asians are 90 cm in men and 80 cm in women (Alberti et al., 2009). For Iranians, the cut-offs point for waist circumference is 95 cm for both men and women, in order to diagnose metabolic syndrome (Azizi et al., 2010; Delavari, Forouzanfar, Alikhani, Sharifian, & Kelishadi, 2009).

Abdominal adiposity was found to remain as a significant predictor of insulin resistance ($r=-0.52$, $p<0.0001$) in older people aged 50 to 95 years old (Racette, Evans, Weiss, Hagberg, & Holloszy, 2006), as aging generally related to decreased aerobic capacity (Fleg et al., 2005), which caused to age-associated reductions in cardiac and skeletal muscle cell mass and function, as well as the decline in vital capacity (Racette et al., 2006).

BMI and WC are both recommended measurements for assessing weight-related health risk (Racette et al., 2006). BMI was chosen because of its associations with adiposity, disease risk (Must et al., 1999) and mortality (Calle , Thun , Petrelli , Rodriguez , & Heath 1999). Meanwhile, waist circumference was chosen because of its

associations with visceral adipose tissue (Janssen, Heymsfield, Allison, Kotler, & Ross, 2002), metabolic syndrome (914), insulin resistance (Karter et al., 1996) and T2D (Edelstein et al., 1997). Comparing BMI and waist circumference, BMI may not accurately reflect health risk in older adults with healthy BMI value range despite muscle loss and excess abdominal fat (Racette et al., 2006).

2.16.3 Biochemical Characteristics Affecting DPP-4 Inhibitors Treatment Response

2.16.3.1 Lipid profiles

We included lipid profiles; triglycerides, LDL cholesterol, HDL cholesterol and total cholesterol in our investigation as many studies recently had found the relevance of these lipid profiles with DPP-4 inhibitors response. Matikainen et al. (2006) found that DPP-4 inhibitors had an effect on the postprandial lipid levels, where patients with T2D treated with vildagliptin treatment for 4 weeks showed improved postprandial plasma triglycerides and apolipoprotein B-48-containing triglyceride-rich lipoprotein particle metabolism following consumption of a lipid-rich meal (Matikainen et al., 2006). DPP4 gene itself is highly expressed in human adipocytes, and further increased in subcutaneous and visceral adipose tissue of obese persons (Lamers et al., 2011; Sell et al., 2013). Studies found that elevated DPP4 gene expression in the adipose tissue of obese patients correlates with numerous metabolic syndrome markers such as plasma triglycerides, waist circumference, BMI, fat cell volume, HOMAIR, and adipokine leptin (Lamers et al., 2011; Sell et al., 2013). DPP-4 release also increased significantly during *in vitro* adipocyte differentiation (Lamers et al., 2011; Sell et al., 2013), a finding reinforced by Rosmaninho-Salgado et al. (2012) showed that DPP-4 stimulates PPAR- γ expression, lipid accumulation, and neuropeptide Y cleavage, suggesting that it might

stimulate adipocyte differentiation (Rosmaninho-Salgado et al., 2012). Turcot et al. (2011) suggested that increased DPP4 gene expression in visceral adipose tissue may serve as a marker of visceral adipose tissue inflammation, identified to be associated with metabolic disturbance (Turcot et al., 2011). The study also found that DPP-4 mRNA abundance correlated positively with the plasma total-/HDL-cholesterol ratio, suggesting that DPP-4 gene expression is potentially associated with lipid profiles in severely obese persons (Turcot et al., 2011). Shirakawa et al. (2011) examined the effect of DPP-4 inhibition on adipose tissue and concluded that the DPP-4 inhibitor des-fluoro-sitagliptin improved linoleic acid-induced adipose tissue hypertrophy in β -cell-specific glucokinase haploinsufficient mice, a non-obese model of T2D (Shirakawa et al., 2011). Shimasaki et al. (2013) stated that des-fluoro-sitagliptin reduced body adiposity without affecting food intake in C57BL/6 mice with diet-induced obesity (Shimasaki et al., 2013). Similar findings were found by Fukuda-Tsuru et al. (2014) using teneligliptin as the DPP-4 inhibitor (Fukuda-Tsuru, Kakimoto, Utsumi, Kiuchi, & Ishii, 2014). Based on these findings, we concluded that DPP-4 inhibitors improve lipid profiles, therefore given the possibility that lipid profiles may have an effect on the response to the DPP-4 inhibitors treatment. Possible mechanisms supporting our theory are the DPP-4 inhibitors suppressed postprandial elevation of triglycerides as a result of the largely mediation by the inhibition of intestinal lipid absorption (Monami, Vitale, & Ambrosio, 2012) and partly by the delayed gastric emptying (Stevens et al., 2012). Lipid profiles may, therefore, have the possibility to be a predictor of response to DPP-4 inhibitor treatment.

2.16.3.2 Blood pressure

We included blood pressure; systolic blood pressure (SBP) and diastolic blood pressure (DBP) in our investigation as many previous studies had found the relevance of blood pressure with DPP-4 inhibitors response. In 2015, Sufiun et al. observed that vildagliptin significantly increased urine sodium excretion and normalized blood pressure in Dahl salt-sensitive rats, indicating that vildagliptin may have antihypertensive effects (Sufiun et al., 2015). Meanwhile, Mason et al. (2012) found that saxagliptin treatment (10 mg/kg/day) for 8 weeks reduced mean arterial pressure by 12 mmHg ($p < 0.001$) in hypertensive rats, indicating that DPP-4 inhibition reduces blood pressure (Mason et al., 2012). Mistry et al. (2008) disclosed that sitagliptin significantly reduced DBP and SBP (1.6–1.8 mmHg and 2–3 mmHg, respectively) in a 24-h period in non-diabetic individuals with mild to moderate hypertension (Mistry et al., 2008). Conversely, Jackson et al. (2008) observed that the inhibition of DPP-4 increased arterial blood pressure through Y(1) receptors when elevated blood pressure was reduced with antihypertensive drugs, given that the sympathetic nervous system was functional (E. K. Jackson, Dubinion, & Mi, 2008). Marney et al. (2010) indicated that sitagliptin reduced blood pressure during low-dose angiotensin-converting enzyme (ACE) inhibition (enalapril, 5 mg) however the reverse was observed during high-dose ACE inhibition (enalapril, 10 mg), indicating that the combination of a DPP-4 inhibitor with a high-dose ACE inhibitor can trigger the activation of sympathetic tone, hence impairing blood pressure reduction (Marney, Kunchakarra, Byrne, & Brown, 2010). Pacheco et al. (2011) argued that the possible mechanism of blood pressure reduction by DPP-4 inhibitor was because of the decreasing expression of hydrogen/sodium exchanger isoform 3 in the microvilli membranes of the proximal renal tubule (B. P. Pacheco et al., 2011). This may increase the urinary sodium excretion as well as the urinary volume, leading to blood pressure reduction (B. P. Pacheco et al., 2011).

Nonetheless, to the best of our knowledge, there is no exact mechanism explaining how DPP-4 inhibitor reduces diastolic blood pressure alone. Our literature findings concluded that the possibility that blood pressure may affect the response to the DPP-4 inhibitor treatment in patients with T2D, thus suitable to be considered as a predictive candidate in our investigation.

2.16.3.3 Liver profiles

We included liver profiles; aspartate transaminase (AST) and alanine aminotransferase (ALT) in our study since there is an increasing trend in investigating liver function in patients with T2D treated with DPP-4 inhibitors. This is because of the DPP-4 inhibitors were suspected to have pleiotropic effects independent of incretin activity (Kanazawa, Tanaka, & Sugimoto, 2014). Pleiotropic effects refer to a single gene affecting multiple systems or influencing more than one phenotype traits (Kavalipati, Shah, Ramakrishan, & Vasnawala, 2015). Studies had found that sitagliptin was safe to be used in patients with liver diseases or injury, and significantly reduced A1c levels, along with AST and ALT levels (Asakawa et al., 2015; Iwasaki et al., 2011; Shirakawa et al., 2011). In 2014, Kanazawa et al. established that DPP-4 inhibitors significantly reduced both ALT and AST levels in Japanese patients irrespective of the A1c levels (Kanazawa et al., 2014). To the best of our knowledge, there was no exact mechanism on how DPP-4 inhibitors improved liver function. However, there was the possibility that DPP-4 inhibitors improved liver function by stimulating GLP-1 activity and by inhibiting local DPP4 activity in liver (N. A. Gupta et al., 2010; Kanazawa et al., 2014). Thus, this served as the reasons for liver profiles as to be investigated as the predictor for DPP-4 inhibitors treatment response in our study.

2.17 Other Comorbidities and Complications of Diabetes That May Affect DPP-4 Inhibitors Treatment Response

This study also investigated the relevance of comorbidities and complications of diabetes to DPP-4 inhibitor treatment response. We studied the effects of the traditionally recognized microvascular (nephropathy, retinopathy, and neuropathy) and macrovascular (stroke, coronary heart disease, and peripheral arterial disease) complications of diabetes, along with other comorbidities of diabetes found in our subjects. It is possible that the presence of complications of diabetes denotes longer duration of disease and greater beta cell dysfunction which may mitigate the impact of therapy with DPP-4 inhibitors. In addition, the presence of nephropathy and diminished eGFR may alter the pharmacokinetics of DPP-4 inhibitors and increase exposure hence enhancing treatment response.

2.17.1 Retinopathy

A study by Chung, Park, Kim, Kim & Lee in 2016 had found that DPP-4 inhibitors had the protective effect against the progression of diabetic retinopathy in patients with T2D ($p=0.009$) (Y. R. Chung, Park, Kim, Kim, & Lee, 2016). However, DPP-4 inhibitor was known to accumulate stromal cell-derived factor-1 α (SDF-1 α) which is an inducer of vascular leakage and angiogenesis that caused diabetic retinopathy (C.-S. Lee et al., 2016). A study by Lee et al in 2016, found that DPP-4 inhibitor-induced vascular leakage in the retina in the diabetic retinopathy model by augmenting the SDF-1 α /CXCR4 (receptor of SDF-1 α)/Scr/VE-cadherin (vascular endothelial-cadherin) signaling pathway (C.-S. Lee et al., 2016). The contradicting findings in both studies may suggest that retinopathy may potentially be associated with the DPP-4 inhibitor

response. Thus, this may give rise to a possibility that retinopathy may become a factor in predicting DPP-4 inhibitor treatment response.

Diabetic retinopathy is accountable for 12,000 to 24,000 of new vision loss cases in the US each year (Centers for Disease Control and Prevention) (CDC, 2007). The presence of hypertensive retinopathy along with diabetic retinopathy may accelerate the risk of vision loss (Congdon, Friedman, & Lietman, 2003). According to the Wisconsin Epidemiologic report of Diabetic Retinopathy, T2D patients had developed diabetic retinopathy within 5 years of the diagnosis of diabetes (Varma, 2008). Diabetic retinopathy is classified into nonproliferative and proliferative (Long & Dagogo-Jack, 2011). Nonproliferative diabetic retinopathy is characterized by the pathologies of increased capillary permeability, macular edema and hemorrhage, and may lead to proliferative retinopathy (Long & Dagogo-Jack, 2011). The progression into proliferative retinopathy are triggered by the neovascularization on the vitreous surface of the retina, vitreous cavity and the iris (Long & Dagogo-Jack, 2011). Eventually, over time, scarring and fibrosis develop and may cause traction of the retina which may lead to retinal detachment and vision loss (Long & Dagogo-Jack, 2011).

2.17.2 Nephropathy

This is the first study that investigated nephropathy as the predictor of response to DPP-4 inhibitor treatment. Since DPP-4 inhibitors had demonstrated antifibrotic effect in major organs such as heart and liver (Kaji et al., 2014; Shi et al., 2016), we consider the possibility of nephropathy in affecting the response to DPP-4 inhibitors. Additionally, Cardiovascular and Renal Microvascular outcome study with Linagliptin in patients with type 2 diabetes mellitus at high vascular risk (CARMELINA) found that

more than 8000 patients with T2D, are currently going on and this study may include the investigation of the antifibrotic effect of DPP-4 inhibitor on kidney, where the renal outcome will be measured as composite renal death, sustained end-stage renal disease and sustained decrease of more than 50% of estimated glomerular filtration rate (Panchapakesan & Pollock, 2014). The outcome of the CARMELINA study whether or not DPP-4 inhibitors are potentially antifibrotic may be useful if our study manages to get nephropathy as the predictor of DPP-4 inhibitor treatment response.

Diabetic nephropathy is characterized by hypertension, continuous albuminuria, glomerulosclerosis, and decline in glomerular filtration rate resulting in end-stage renal disease (ESRD) (Van Buren & Toto, 2011). Specifically, diabetic nephropathy is distinguished by urine albumin excretion greater than 300mg/24hrs and is associated with a 1ml/min/1.73m² decline in glomerular filtration rate (GFR) per month (Long & Dagogo-Jack, 2011). Diabetic nephropathy is the main cause of ESRD in the US with an incidence rate of 158 per million population (Excerpts from the United States Renal Data System-2009 Annual Data Report (EXCERPTS, 2010b)). In the US, diabetes is reported to be associated with a prevalence of CKD of 8.9% (stage I), 12.8% (stage II), 19.4% (stage III), and 2.7% (stage IV and V combined); with an overall odds ratio of having CKD for a patient with diabetes of 2.51 (CI 2.07-3.05) (EXCERPTS, 2010a). Diabetic nephropathy occurs in 40% of patients with diabetes and hypertension and this magnifies the risk of this complication (Sowers & Epstein, 1995). In T2D, hypertension commonly exists prior to the kidney disease (Van Buren & Toto, 2011). Hypertension may lead to the progression of kidney disease and contributes to accelerating the incidence of cardiovascular disease in populations with diabetes (Van Buren & Toto, 2011). The major cause of hypertension in T2D is volume expansion due to increased peripheral vasoconstriction and renal sodium reabsorption due to the dysregulation of factors that regulate peripheral vascular resistance (Van Buren & Toto, 2011). The

activation of the renin-angiotensin-aldosterone system, upregulation of endothelin1, upregulation of reactive oxygen species, and downregulation of nitric oxide all contribute to produce hypertension in this setting, thus potentially accelerating kidney disease among patients with diabetes (Van Buren & Toto, 2011). Diabetic nephropathy differs from other aetiologies of kidney disease at the histo-pathological level (Long & Dagogo-Jack, 2011). Initially, when the glomerular basement membrane thickens, the amount of the mesangial matrix increases which leads to the progressive increase in more severe diffuse or nodular glomerulosclerosis (Sowers & Epstein, 1995). The basement membrane is gradually lost in people with diabetes leading to the loss of its perm-selectivity and thus resulting in progressive proteinuria (Myers, 1990). Microalbuminuria is the earliest indicator of diabetic nephropathy and it is also associated with an increased risk of cardiovascular disease (CVD) (Adler et al., 2003).

2.17.3 Neuropathy

To the best of our knowledge, there was no study conducted to investigate neuropathy as the predictor of the response to DPP-4 inhibitor treatment. However, there was a review in 2014 that found the possibility of DPP-4 inhibitors to interfere with the inception and progression of diabetic microangiopathy based on experimental findings and preliminary clinical data published in between 1st January 1980 to 1st March 2014; through the improvement of GLP-1 bioavailability, glucose control, and by modifying non-incretin substrates (Avogaro & Fadini, 2014). We included neuropathy in our study to investigate the possibility of becoming a predictor of the response to DPP-4 inhibitor treatment.

Peripheral neuropathy is a common diabetes complication which affects approximately 70% of patients with diabetes and it is the major cause of foot

amputation (Long & Dagogo-Jack, 2011). Although the pathogenesis of peripheral neuropathy is poorly understood, it is most likely related to demyelination of nerves, impaired blood flow, and inflammation (Long & Dagogo-Jack, 2011). Specifically, it develops with long-standing hyperglycemia and its associated metabolic derangements such as accumulation of advanced glycosylation end products, increased polyol flux, lipid derangements, and oxidative stress (Tesfaye et al., 2010). Hyperglycemic exposure is the most significant factor causing diabetic neuropathy and complete tight glycemic control is highly recommended to stabilize and improve symptoms of neuropathy (Tesfaye et al., 2010). Therefore, if neuropathy was found as the predictor for the response to DPP-4 inhibitor treatment, we at least may know if patients with neuropathy may benefit from the DPP-4 inhibitor therapy.

2.17.4 Cardiovascular Disease

A study by Yagi et al. in 2015 had found that that absence of coronary artery disease may be a predictor of the efficacy of DPP-4 inhibitor (Yagi et al., 2015). DPP-4 inhibitors were more operational in patients without coronary artery disease than in patients with coronary artery disease (Yagi et al., 2015). DPP-4 inhibitors were reported to have a cardioprotective impacts including reduction of blood pressure, improvement of lipid profile and endothelial dysfunction, reduction of the macrophage-mediated inflammatory response and prevention of myocardial injury (Yousefzadeh & Wang, 2013). In 2010, Read et al. identified that sitagliptin improved myocardial response to coronary artery perfusion and stress in patients with T2D suffering with coronary artery disease (Read, Khan, Heck, Hoole, & Dutka, 2010). Meanwhile, Koska, Sands, Burciu & Reaven (2015) had found that saxagliptin and alogliptin had neither increased or decreased major adverse cardiovascular events in patients with T2D of at high risk of

adverse cardiovascular events (Koska, Sands, Burciu, & Reaven, 2015). However, in 2016, a study in China had found that DPP-4 inhibitors may potentially increase the risk of heart failure in patients with existing cardiovascular diseases or multiple risk factors for vascular diseases (L. Li et al., 2016). These findings had sparked the interest in us of finding the relevance of cardiovascular disease as the predictor of response to DPP-4 inhibitors.

Cardiovascular disease (CVD) is the main cause of mortality for patients with diabetes (ADA, 2015). The exact mechanism through which diabetes increase cardiovascular mortality and morbidity is not entirely clear (Dokken, 2008). Studies suggest that although hyperglycemia is the hallmark of diabetes, thus contributing to myocardial damage after ischemic events, it is not the only factor since both subjects with pre-diabetes and metabolic syndrome, even in normoglycemic subjects, have increased risk of CVD (Muhlestein et al., 2003; Nielson & Lange, 2005; Thrainsdottir et al., 2005). CVD is listed as the source of death in approximately 65% of people with diabetes in the US (Geiss, Wang, Cheng, & et al., 2014). Since hypertension and dyslipidemia are risk factors for CVD, as they are the common conditions co-existing with T2D as part of the metabolic syndrome (ADA, 2015), the investigations toward finding the associations of these CVD complications with the response to DPP-4 inhibitors treatment remains relevant.

CHAPTER 3: METHODOLOGY

3.1 Study Design and Setting

This was a cross-sectional observational study following a group of patients with T2D receiving treatment at diabetes clinics in the University of Malaya Medical Centre (UMMC), Malaysia. The study protocol was reviewed and approved by the Medical Ethics Committee of University Malaya Medical Centre (UMMC) (Institutional Review Board Reference Number: 944.59) (Appendix 2) and each subject provided written informed consent (Appendix 3) after a full explanation of the research purpose. The study was compliant with the Declaration of Helsinki (World Medical Association (WMA, 2008)).

Patients were recruited at the diabetes clinics in UMMC as per the inclusion criteria and exclusion criteria detailed in section 3.4. The population of this study consisted of patients with T2D at UMMC currently receiving and having been on DPP-4 inhibitor therapy for at least 3 months. Patients on other drugs that influence glucose levels and patients with anemia and hemoglobinopathies that make A1c evaluation inaccurate were excluded. Good treatment response was defined as an A1c < 7% (ADA, 2012). A standardized data collection form was used to record patient data (Appendix 4). Different types of DPP-4 inhibitor therapies available in Malaysia were also included in this study. Patient's demographic and anthropometric information such as age, gender, ethnicity, height, weight, BMI and WC were collected. Height and WC were measured using Seca 200 Girth Measuring Tape (Seca Deutschland, Seca GMBH & Co, Hamburg, Germany), while weight was measured using a weight scale (Oserio BLG-261A, Taichung, Taiwan). BMI was calculated using the formula: weight in kilograms divided by height in meters squared (Lopez, 2004). Blood pressure (DBP and SBP) was measured using Welch Allyn Spot LXi Vital Signs Monitor (Welch Allyn, Skaneateles

Falls, New York, USA). Other data such as duration of diabetes, complications of diabetes and comorbidities were also collected and reviewed.

A venous blood sample was drawn for the determination of A1c, FPG, lipids, liver enzymes, sCD26 levels, genotyping and gene expression studies. Genotyping, gene expression and sCD26 laboratory work were done in the Pharmacy Department's laboratory and Medical Biotechnology Laboratory (Faculty of Medicine) by the researcher whilst the lipid profile, insulin levels & liver profiles were performed by the UMMC via routine laboratory tests.

3.2 Sampling Frame and Sampling Size

The sampling frame for this study was 18 months, from 1st June 2012 to 31st December 2013.

3.2.1 Sample Size Calculation

Sample size was determined for genotyping and gene expression studies.

3.2.1.1 Sample size calculation for genotyping study

Quanto version 1.2.4 software (University of Southern California (USC, 2014)) was used to calculate the sample size required (Gauderman, 2002) for this study. In this calculation, we aimed for a sample size with the effect size (or odds ratio) in the range of 1.5 to 2.0 with at least 80% power in a dominance model. Therefore, minor allele frequency of 10% for each gene was chosen, and a type 1 error level of 0.05. Calculations are as shown in Table 3.1. The resulting sample size was 262 samples each for case and control group, to obtain the effect size of 1.5 (moderate) to 2.0 (strong) (USC, 2014).

Outcome : Disease
 Design : Unmatched case-control(1:1)
 Hypothesis : Gene only
 Desired power : 0.8
 Significance : 0.05, 2-sided

Gene

Model of inheritance : Dominant
 Allele frequency : 0.1

Disease model

P_O : 0.1
 R_G : 1.3
 *k_P : 0.104981

Table 3.1: Quanto calculation of sample size for genes with minor allele frequency (MAF) of 10%.

N		k _P
R _G	Minimum sample size	
1.3	1396	0.104981
1.8	262	0.112667
2.3	126	0.119673
2.8	81	0.126085

P_O : Baseline risk specified
 R_G : Range of odds ratio
 k_P : Overall disease risk in general population
 N : Number of sample
 (*) : Indicates calculated value

The minimum sample size for MAF 10% is 262. However, according to Gauderman, 2002; number (N) required for 80% power to detect a gene-gene interaction with magnitude $R_{gh} = 3.0$, assuming $R_g = 1$, $R_h = 1$, and various genetic-susceptibility prevalences and dominance models; is 312 (R_g is the relative risk of locus g, R_h is the relative risk of locus h, and R_{gh} is the relative-risk ratio of both locus g and h; with the assumption made that the dominance models are dominant for locus g and recessive for locus h) (Gauderman, 2002). Therefore, we can conclude that sample size should be maximized to more than 312 samples for case and controls respectively. By the end of the sampling; this study managed to secure approximately 331 samples for the case and control groups each.

3.2.1.2 Sample size calculation for gene expression study

The minimum sample size for the gene expression study was calculated using a microarray sample size calculator developed by Lee & Whitmore at the Department of Epidemiology and Biostatistics, of the University of Maryland (Lee & Whitmore, 2012), and was set at the specified power level for an individual gene (Power) at 90%, hence resulting in a minimum sample size calculated at 3 for each gene in the case and control group.

Under the same conditions, a microarray sample size calculator developed by Bioinformatics Unit, of the University of Texas MD Anderson Cancer Center (Bioinformatics, 2010), computed the a minimal sample size of 5 for each gene in the case and control groups each. Nevertheless, we ensured sample size was maximized to 13 for each gene in both groups.

3.3 Definition of Subjects Groups Used in the Study

3.3.1 Case

Patients with T2D who include DPP-4 inhibitors in their oral diabetes therapy (n=331).

3.3.2 Control

Patients with T2D who use oral antidiabetics except DPP-4 inhibitors in their diabetes therapy (n=331).

3.3.3 Study Population

Patients with T2D who use oral antidiabetics including DPP-4 inhibitors in their diabetes therapy (n=662).

3.3.4 Patients with Good Response

Patients in cases group that had good DPP-4 inhibitors treatment response ($A1c < 7\%$)

3.3.5 Patients with Poor Response

Patients in cases group that had poor DPP-4 inhibitors treatment response ($A1c \geq 7\%$)

3.3.6 Patients with Good Glycemic Control

Patients in controls group that had good glycemic control with oral antidiabetics except DPP-4 inhibitors ($A1c < 7\%$)

3.3.7 Patients with Suboptimal Glycemic Control

Patients in controls group that had poor glycemic control with oral antidiabetics except DPP-4 inhibitors ($A1c \geq 7\%$)

3.4 The Reasons of Having a Control Group

Initially, cases group was already sufficient to attained the aims of this pharmacogenomics study. However, we decided to add a control group because we need to see the differences between patients that received DPP-4 inhibitor versus those who did not, given that both groups had the same characteristics and came from the same population, thus, enable this study to detect the pharmacogenomic exclusivity of those using DPP-4 inhibitor therapies. Therefore, the model that predicts DPP-4 inhibitor treatment response was the MODEL 1, while the other models were used as the comparisons to MODEL 1 (Figure 3.1).

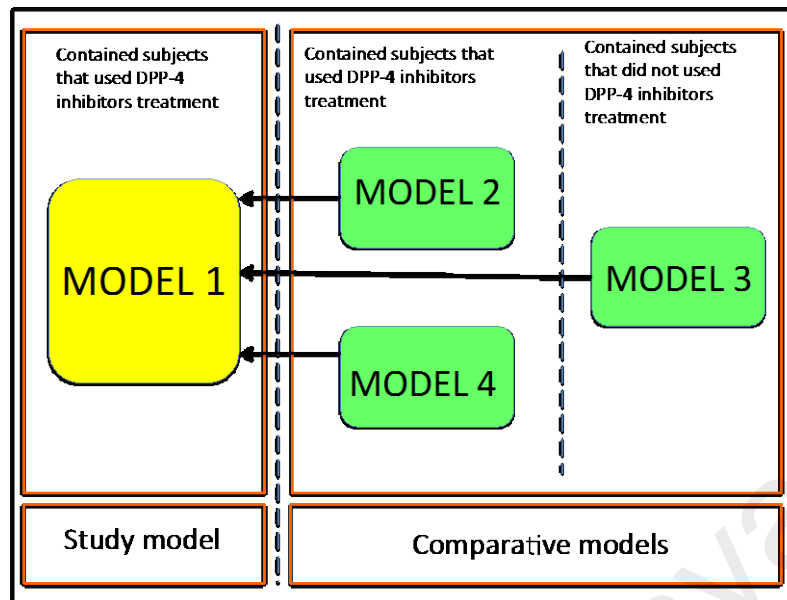


Figure 3.1: Model 1 was the aim of the study, while the other models were used as comparative models to Model 1.

The list of Models generated from this study:

- **MODEL 1** : model that predicts DPP-4 inhibitor treatment response (cases group only, n=331)
- **MODEL 2** : model that predicts oral antidiabetics (including DPP-4 inhibitors) treatment response (study population, n=662)
- **MODEL 3** : model that predicts oral antidiabetics (excluding DPP-4 inhibitors) treatment response (control group only, n=331)
- **MODEL 4** : model that predicts DPP-4 inhibitor treatment response (using the significance variables of obtained from overall study population; cases group only, n=331)

3.5 Inclusion and Exclusion Criteria

Table 3.2: Inclusion and exclusion criteria for case selection.

Inclusion criteria	
1	Patients diagnosed with T2D (Nathan et al., 2009)
2	Age 18 – 75 years old (Viljoen et al., 2013)
3	On DPP-4 inhibitor (sitagliptin, vildagliptin or linagliptin) therapy for at least 3 months (Nathan et al., 2009); <ul style="list-style-type: none"> • sitagliptin dose of 100mg daily (Bernard Charbonnel, Karasik, Liu, Wu, & Meininger, 2006) • vildagliptin dose of 100mg daily (Marfella et al., 2010) • linagliptin dose of 5mg daily (Del Prato et al., 2011)
4	Enrolled for T2D management in the UMMC (UMMC, 2012a, 2012b)
Exclusion criteria	
1	Patients with Type 1 diabetes (Nathan et al., 2009)
2	Not on GLP-1 receptor agonist therapy (Rhinehart, 2015)
3	Patients with anemia (Sundaram et al., 2007), and/or hemoglobinopathy (Gallagher et al., 2009)
4	Patients with insulin therapy (Nathan et al., 2009)
5	Patients not on DPP-4 inhibitor treatment (Nathan et al., 2009)
6	Non compliance to medication (Morisky, Ang, Krousel-Wood, & Ward, 2008)
7	Patients with a history of pancreas injury (Ilahi, Bochicchio, & Scalea, 2002; Raraty, Connor, Criddle, Sutton, & Neoptolemos, 2004)
8	Immunocompromised patients such as HIV positive patients (Ohtsuki, Tsuda, & Morimoto, 2000) or patients with cancer (Hübel et al., 1999)
9	On drugs that can result in hyper- and/or hypo-glycemia (other than antidiabetic agents) such as quinolones, atypical antipsychotics, β -blockers, corticosteroids, calcineurin inhibitors, protease inhibitors, thiazide and thiazide-like diuretics (Rehman, Setter, & Vue, 2011), clofibrate, disopyramide, pentamidine, and salicylates (Vue & Setter, 2011).

Table 3.3: Inclusion and exclusion criteria for control selection.

Inclusion criteria	
1	Patients diagnosed with T2D (Nathan et al., 2009)
2	Age 18 – 75 years old (Viljoen et al., 2013)
3	Patients on any antidiabetic agents but not DPP-4 inhibitors for at least 3 months (Nathan et al., 2009)
4	Enrolled for T2D management in the UMMC (UMMC, 2012a, 2012b)
Exclusion criteria	
1	Patients with Type 1 diabetes (Nathan et al., 2009)
2	Not on GLP-1 receptor agonist therapy (Rhinehart, 2015)
3	Patients not on pharmacological therapy for diabetes (Tuomilehto et al., 2009)
4	Patients with anemia (Sundaram et al., 2007) and/or hemoglobinopathy (Gallagher et al., 2009)
5	Patients with insulin therapy (Nathan et al., 2009)
6	Non compliance to medication (Morisky et al., 2008)
7	Patients with history of pancreas injury (Ilahi et al., 2002; Raraty et al., 2004)
8	Immunocompromised patients such as HIV positive patients (Ohtsuki et al., 2000) or patients with cancer (Hübel et al., 1999)
9	On drugs that can result in hyper- and/or hypo-glycemia (other than antidiabetic agents) such as quinolones, atypical antipsychotics, β -blockers, corticosteroids, calcineurin inhibitors, protease inhibitors, thiazide and thiazide-like diuretics (Rehman et al., 2011), clofibrate, disopyramide, pentamidine, and salicylates (Vue & Setter, 2011).

3.6 Selection of A1c Cut-off Point for Definition of Antidiabetic Treatment

Response

The study started in 2012, therefore, we used ADA 2012 as the initial guideline for the selection of A1c cut-off point. ADA 2012 standards of care advocates patient centered care and individualized targets for A1c; i.e. less than or 6.5%, less than or 7%, or less than or 8% respectively (Table 3.4) (ADA, 2012).

Table 3.4: Target A1c level recommendation.

Adapted from (ADA, 2012).

A1c (%)	Recommendations (ADA, 2012)
< 6.5	For patients that can achieve this target without significant hypoglycemia or other adverse effects of treatment (ADA, 2012). Usually implemented in patients with T2D with short duration of diabetes, long life expectancy, and no significant cardiovascular disease (ADA, 2012).
< 7	Immediate implementation after the diagnosis of T2D, shown to be associated with long-term reduction of macrovascular disease, meanwhile tailoring A1c to below or around 7% or less shown to decrease microvascular complications of diabetes (ADA, 2012).
< 8	Implemented in T2D with a history of severe hypoglycemia, limited life expectancy, advanced microvascular or macrovascular complications, extensive comorbid conditions, or long-standing diabetes; where the general goal of A1c is difficult to achieve in spite of appropriate glucose monitoring, diabetes self-management education, and effective doses of multiple glucose-lowering agents including insulin (ADA, 2012).

A1c target of < 7% was chosen as the A1c cut-off point in our study as we excluded critically ill patients or those in an immunocompromised condition as HIV positivity or cancer. Achieving an A1c less or around 7% has been shown to reduce the microvascular complications of diabetes and is also associated with long-term reduction of macrovascular disease if implemented soon after the diagnosis of diabetes (ADA, 2012). Hence A1c less than 7% was recommended by the ADA in 2012 as the A1c goal for many nonpregnant adults (ADA, 2012). Meanwhile, according to Esposito et al. (2011), A1c 7% is the starting point of the emergence of microvascular complications of diabetes (K. Esposito et al., 2011). Thus, this was our justification for selecting A1c <

7% as cut-off to define our outcome of good treatment response to pharmacological anti-diabetic therapy for this study (Table 3.5).

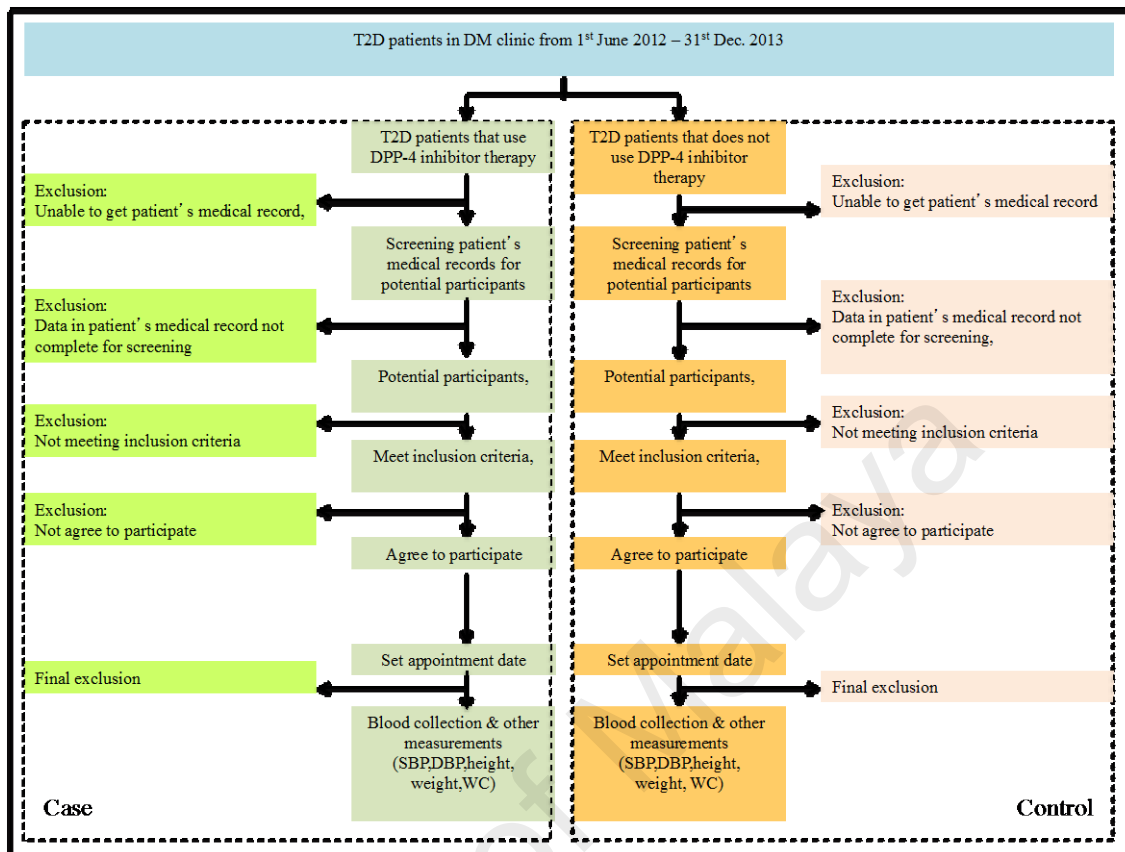
Table 3.5: Criteria used in the study.

Good antidiabetic treatment response	Targeted glycated haemoglobin (A1c) levels below 7% within 3 months (ADA, 2012)
Poor antidiabetic treatment response	Undesirable glycated haemoglobin (A1c) levels at 7% and above within 3 months (ADA, 2012)

Antidiabetic : DPP-4 inhibitors, biguanide, sulphonylureas and thiazolidinedione.

University of Malaya

3.7 Patient Recruitment



T2D : Type 2 diabetes ; DM : diabetes mellitus; DPP-4 : dipeptidyl peptidase-4

Figure 3.2: Flow-chart of patient recruitment process for case and control group.

3.7.1 Patient Recruitment Process

To recruit patients, this study targeted patients with T2D that were managed by Diabetes Mellitus (DM) clinic in UMMC from 1st June 2012 to 31st December 2013 (Figure 3.2). Subjects were targeted within that period of time in-line with the starting time of the study which was on 4th September 2012. Therefore, study had to consider 3 months prior to the study starting date to make sure that subjects of interest already taken oral antidiabetics and had a stable A1c readings after 3 months of treatment.

Hospital Information System (HIS) was used to procure the registration numbers (RN) of diabetes patients in DM clinic, UMMC. It was done by procuring patient's appointment date from 1st June 2012 until 31st December 2013. Next, the Pharmacy Information System (PIS) in the Drug Information Pharmacy Unit in the UMMC was used to trace the DPP-4 inhibitors users, as the keywords were 'sitagliptin', 'vildagliptin' and 'linagliptin'. We matched the RN of the DPP-4 inhibitors users from the PIS with the ones that we procured earlier from HIS, where the matched RNs were considered potential patients for cases group and the unmatched RN were considered potential patients for controls group.

3.7.1.1 Patient recruitment process for cases group

Towards procuring candidates for cases group, this study traced the patient's medical records using their RNs obtained as described in section 3.7.1. Study only included patients with available medical records and screening process further eliminating patients with incomplete data/information in their medical records (Figure 3.2). For the resulting potential patients, only those who met the inclusion criteria were approached to get their agreement for participation (Figure 3.2). Patient information sheet to explain the purpose and methodology of the study was given to the patients. Patients who agreed to participate signed a consent form to signify their agreement. Upon agreement, an appointment date was set for blood collection process and other measurements (such as blood pressure, height, weight and WC) held in UMMC's Blood Taking Centre (BTC), DM and Cardiology clinics (Figure 3.2). Patients would have to fast for at least 8 hours before the blood withdrawal for the purpose to obtain the HOMAIR value (Matthews et al., 1985). Final exclusion of patients may occurred in case of defaulting appointment, refused blood collection, etc (Figure 3.2).

3.7.1.2 Patient recruitment process for controls group

The procurement of patients for controls group were the same as cases except that the patients selected did not used DPP-4 inhibitor therapy in their diabetes treatment. The study used the RNs that were unmatched with those in PIS system as described in section 3.7.1. to get the patient's medical records. Only those with available medical records were chosen for screening. Upon medical records screening, patients with incomplete data were excluded from the study (Figure 3.2). As for the resulting potential patients, study only included patients that met the inclusion criteria which were approached for agreement on participation. Patients were explained on the purpose and methodology of the study, and Patient Information Sheet (Appendix 5) was given to the patients. Patient's signature on the Consent Form was a requirement upon participation in this study. Upon agreement, an appointment date was set for blood collection process and other measurements (such as blood pressure, height, weight and WC) held in UMMC's Blood Taking Centre (BTC), DM and Cardiology clinics (Figure 3.2). This group of patients would also have to fast for at least 8 hours before the blood withdrawal in order to obtain the HOMAIR value (Matthews et al., 1985). Final exclusion of patients may included defaulting appointment, refused blood collection, etc.

3.8 Blood Collection and Storage Procedures

Fasting venous blood samples were taken from all subjects for the determination of A1c, FPG, lipids, and liver enzymes; and all biochemical analysis was performed at the diagnostic laboratory of UMMC using standard clinical laboratory protocols (Department of Pathology, UMMC). Blood was also collected for genotyping and gene expression studies. All of the blood taking procedures were illustrated in Figure 3.3.

Full assay and instruments used were discussed in section 3.10 for genotyping and in section 3.11 for gene expression.

3.8.1 Blood Collection for Clinical Markers

For clinical markers, blood samples were collected separately in four standard BD Vacutainer[®] SST[™] II Advance tubes (Becton Dickinson[®] Systems SST[™] II, Plymouth, UK) for the enzyme-linked immunosorbent assay (ELISA) study, insulin, lipids (total cholesterol, HDL, and LDL), and liver enzymes (AST and ALT), respectively. Blood sample for FPG was collected by using BD Vacutainer[®] Fluoride/Oxalate tube (BD Vacutainer[®], Plymouth, UK). Meanwhile, one standard BD Vacutainer[®] EDTA tube (BD Vacutainer[®], BD Biosciences, Oxford, UK) was used to collect the blood sample for A1c assessment. Each tube was filled up with approximately 2.5ml blood. BD Vacutainer[®] One Use Holder with a luer adapter (BD Vacutainer[®], Franklin Lakes, NJ, USA) with attached 20 gauge (G) needle (BD Vacutainer[®] Eclipse Blood Collection Needle, Number 381702, NJ, USA) was used for easy blood withdrawal. 20G needle (BD Vacutainer[®] Eclipse Blood Collection Needle, Number 381702, NJ, USA) have the length of 1 inch (1.1mm x 25mm) that gave the blood flow rate of 65ml per minute (Techert et al., 2007), therefore suitable for this study that required rapid blood collection in multiple tubes.

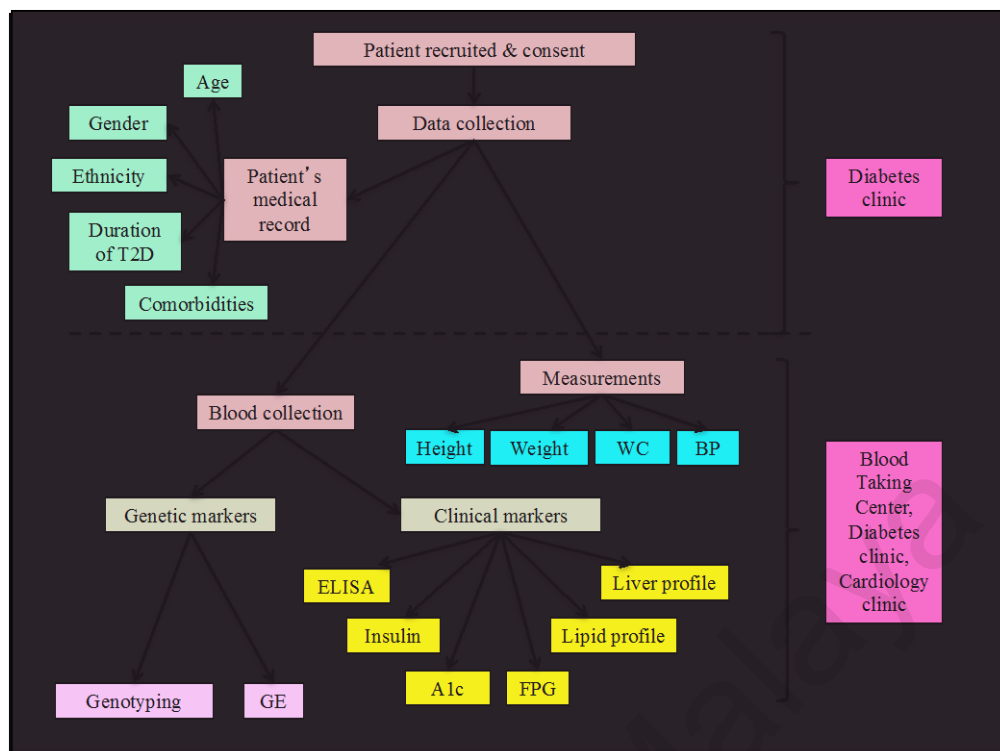
3.8.2 Blood Collection for Genetic Markers

For genetic markers, blood samples were collected separately for genotyping and gene expression protocol. Standard BD Vacutainer[®] EDTA tube (BD Vacutainer[®], BD Biosciences, Oxford, UK) was used to collect DNA blood sample for genotyping, while

PAXgene Blood RNA tube (PreAnalytiX, Qiagen, Valencia, CA, USA) was used to collect RNA blood sample for gene expression protocol. Each tube was filled up with approximately 2.5ml blood. BD Vacutainer[®] One Use Holder with a luer adapter (BD Vacutainer[®], Franklin Lakes, NJ, USA) with attached 20G needle was used for easy blood withdrawal.

3.8.3 Blood Sample Processing and Storage

Blood samples for A1c, AST, ALT, HDL cholesterol, LDL cholesterol, total cholesterol, triglyceride, insulin, FPG, sCD26 and genotyping were centrifuged at 5000 x g for 10 minutes using Sorvall Legend XTR Centrifuge (Thermo Scientific, Waltham, MA, USA) and the resultant serums were extracted into individuals microcentrifuge tubes and stored at -20°C. Meanwhile, the blood samples for gene expression were incubated in the PAXgene Blood RNA tubes for a minimum of 2 hours at room temperature (15° to 25°C) prior to RNA extraction process and the resultant extracted RNA was stored at -20°C.



T2D: type 2 diabetes; WC: waist circumference; BP: blood pressure; GE: gene expression; ELISA: enzyme-linked immunosorbent assay; A1c: glycated hemoglobin; FPG: fasting plasma glucose

Figure 3.3: Data collection process after patient recruitment and consent.

The data collection process is comprised of retrieving data from patient's medical records, blood samples, and standard biochemical and clinical measurements.

3.9 Experimental Methods for Clinical Markers

3.9.1 A1c Experimental Method

A1c was measured using a Bio-Rad VARIANT™ Hemoglobin Testing System (Bio-Rad Laboratories, Inc., Hercules, CA, USA); the system of National Glycohemoglobin Standardization Program (NGSP)-certified (Roberts et al., 2005) and traceable to the Diabetes Control and Complications Trial (DCCT) reference assay (Craig et al., 2002), which have the ability to report A1c in the presence of HbC (Rohlfing et al., 2016), HbD (Little et al., 2008), HbE (Little et al., 2008; Rohlfing et al., 2016) and HbS

(Rohlfing et al., 2016) traits, and also in the presence of carbamylated hemoglobin (Little et al., 2013) (Table 3.6).

The assay used was VARIANT™ II Hemoglobin A1c Program Reorder Pack (Bio-Rad Laboratories, Inc., Richmond, CA, USA, normal range: 4.8-6.0% (De Block et al., 2004)) which is an automated assay using a High Performance Liquid Chromatography (HPLC) technology to process and report accurate A1c results (De Block et al., 2004).

Table 3.6: The comparison of A1c determination methods, based on the interference with hemoglobin traits.

Method	Interference with A1c result (yes/no)					
	HbC trait	HbS trait	HbE trait	HbD trait	Elevated HbF	Carbamylated Hb
Bio-Rad VARIANT™ Hemoglobin Testing System	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	No (Little et al., 2008; Rohlfing et al., 2016)	No (Little et al., 2008)	α	No (Little et al., 2013)
Bio-Rad D-100	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	α	α
Bio-Rad VARIANT™ II Turbo	No (Mongia et al., 2008)	No (Mongia et al., 2008)	Yes (Little et al., 2008)	Yes (Little et al., 2008)	No (Little et al., 2012)	No (Little et al., 2013)
Siemens ADVIA A1c	No (Little, Rohlfing, Hanson, & Roberts, 2010)	No (Little et al., 2010)	β	β	β	α
Abbott Architect c Enzymatic	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	No (Rohlfing et al., 2016)	α	α

α : no information

β : conflicting data in literature, assumptions made that the immunoassay methods do not have any clinically significant interference from HbE and HbD due to the E and D substitution that are distant from the N-terminus of the hemoglobin beta chain (Little et al., 2008)

3.9.2 Serum ALT, AST, HDL Cholesterol, LDL Cholesterol, Total Cholesterol and Triglyceride Experimental Method

For serum ALT, serum AST, serum HDL cholesterol, serum LDL cholesterol, serum total cholesterol and serum triglycerides; ADVIA[®] Chemistry XPT System (Global Siemens Headquarters, Munich, Germany) was used. All samples from every subject were analyzed in the same assay run (Pena-Bello et al., 2016).

3.9.3 Determination of Insulin Concentration and HOMAIR

3.9.3.1 Insulin assay and materials

For the determination of insulin concentration in each sample, Insulin (Immunoreactive Insulin (IRI)) assay (ADVIA Centaur[®], SIEMENS, IL, USA) was used. The assay is standardized by World Health Organization (WHO) 1st IRP 66/304. The assay requires serum sample type with a volume of 25 µl, with IRI as the calibrator, the range sensitivity of the assay will be from 0.5 to 300 mU/L. The instrument required was the ADVIA Centaur[®] CP Immunoassay system (Siemens Healthcare Diagnostics Inc. Tarrytown, NY, USA).

The ADVIA Centaur IRI Ready Pack primary reagent pack consists of 2 reagents which is the Lite Reagent and Solid Phase reagent. The Lite Reagent contains a monoclonal mouse anti-insulin antibody at approximately 0.24 µg/ml concentration, and is labeled with acridinium ester in buffered saline with bovine serum albumin, sodium azide (less than 0.1% concentration), and preservatives. Meanwhile, the Solid Phase reagent consists of a monoclonal mouse anti-insulin antibody at approximately 6.0 µg/ml concentration covalently coupled to paramagnetic particles in a buffered saline

with bovine serum albumin, sodium azide less than 0.1% concentration, and preservatives. Both of the reagents were stored at 2° to 8° C.

3.9.3.2 Insulin assay method

The ADVIA Centaur Insulin assay is a two-site sandwich immunoassay using direct chemiluminescent technology which utilizes two antibodies which are in the Lite Reagent and the Solid Phase (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA). The antibody in the Lite Reagent is a monoclonal mouse anti-insulin antibody labeled with acridinium ester, while the antibody in Solid Phase is a monoclonal mouse anti-insulin antibody that is covalently coupled to paramagnetic particles (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA).

The ADVIA Centaur system automatically dispenses 25 uL of sample into a cuvette, followed by 50uL of Lite Reagent which is incubated for 5 minutes at 37°C (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA). Next, approximately 50 uL of Solid Phase is added into the mix, followed by 2.5 minutes of incubation at 37°C (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA). Then, the system automatically separates, aspirates and washes the cuvettes with reagent water (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA). Finally, 300 uL of Acid Reagent and Base Reagent each is added into the mix to initiate the chemiluminescent reaction (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA). Insulin levels are determined by the amount of insulin present in the sample and the amount of relative light units detected by the ADVIA Centaur system (Insulin (IRI) assay, ADVIA Centaur[®], SIEMENS, IL, USA).

3.9.4 Insulin Resistance (HOMAIR) Procurement Method

Insulin resistance (HOMAIR) was calculated using the online HOMA calculator developed by the Diabetes Trial Unit (DTU) of the University of Oxford, United Kingdom (DTU, 2004); based on the original homeostatic model assessment (HOMA2) manual calculation as {[fasting serum insulin ($\mu\text{U/ml}$)] x [fasting plasma glucose (mmol/L)] / 22.5} (Matthews et al., 1985).

3.9.5 Determination of sCD26 concentration

All sCD26 concentrations were assayed using the enzyme-linked immunosorbent assay (ELISA) kits (Human sCD26 Platinum ELISA, Bender MedSystems GmbH, Vienna, Austria), and measured using a spectrophotometer (model 550, Bio-Rad, USA) with the primary wavelength of 450 nm (620 nm as the reference wavelength).

3.9.5.1 sCD26 assay and materials

sCD26 concentrations were measured using the enzyme-linked immunosorbent assay kits (Human sCD26 Platinum ELISA; Bender MedSystems GmbH, Vienna, Austria). The Human sCD26 ELISA BMS235 kit is comprised of 100 μl Biotin-Conjugate anti-human sCD26 monoclonal antibody, 150 μl Streptavidin-HRP, 50 μl human sCD26 Standard (500 ng/ml upon dilution), 12 ml Sample Diluent, 5 ml Assay Buffer Concentrate 20x (PBS with 1% Tween and 10% BSA) 20, 50 ml Wash Buffer Concentrate 20x, 15 ml Substrate Solution (tetramethyl-benzidine (TMB)), 15 ml Stop Solution (1M Phosphoric acid), 0.4 ml Blue-Dye, 0.4 ml Green-Dye, and 0.4 ml Red-Dye.

3.9.5.2 sCD26 assay methods

In order to determine the number of microwell strips required to test the desired number of samples with additional appropriate number of wells needed for running blanks and standards, each of the samples, standard, blank and optional control samples were assayed in duplicate. Extra microwell strips were removed from a holder and stored in a foil bag with the desiccant provided with the kit, at 2° - 8°C and sealed tightly.

Then, the microwell strips were washed twice with approximately 400 µl Wash Buffer per well with thorough aspiration of microwell contents between washes. The Wash Buffer was allowed to sit in the wells for about 10-15 seconds before aspiration. Careful efforts were made to ensure the surface of the microwells was not scratched. After the last wash step, the wells were emptied and the microwell strips tapped on an absorbent pad or paper towel in order to remove excess Wash Buffer. The microwell strips were used immediately after washing. As an alternative, the microwell strips were placed upside down on a wet absorbent paper for not more than 15 minutes. Precautionary measures were taken to avoid drying of the wells.

For the preparation of standard dilution on the microwell plate, 100 µl of Sample Diluent was added in duplicate to standard wells (Table 3.7) B1 and B2 until F1 and F2, leaving A1 and A2 empty. Next, 200 µl of prepared standard was pipetted in duplicate into well A1 and A2. Then, 100 µl of solution in A1 and A2 wells were transferred into B1 and B2 wells, respectively. The contents of B1 and B2 were mixed thoroughly by repeated aspiration and ejection. The process was repeated by transferring 100 µl contents of B1 and B2 wells into C1 and C2 wells, respectively. The procedure was repeated 3 times thus producing two rows of human sCD26 standard dilutions ranging

from 500.0 ng/ml to 15.6 ng/ml. Finally, 100 µl of the used contents from the last microwells (F1 and F2) was discarded.

Table 3.7: The arrangement of blanks, standards and samples in the microwell strips.

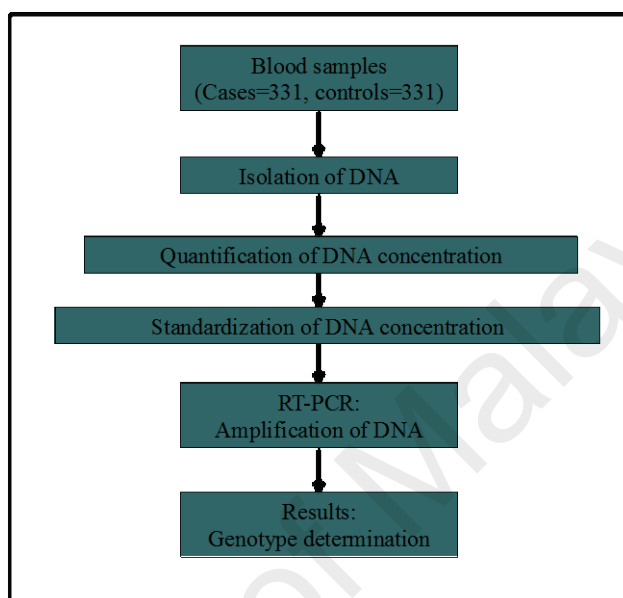
	1	2	3	4
A	Standard 1 (500.0 ng/ml)	Standard 1 (500.0 ng/ml)	Sample 2	Sample 2
B	Standard 2 (250.0 ng/ml)	Standard 2 (250.0 ng/ml)	Sample 3	Sample 3
C	Standard 3 (125.0 ng/ml)	Standard 3 (125.0 ng/ml)	Sample 4	Sample 4
D	Standard 4 (62.5 ng/ml)	Standard 4 (62.5 ng/ml)	Sample 5	Sample 5
E	Standard 5 (31.3 ng/ml)	Standard 5 (31.3 ng/ml)	Sample 6	Sample 6
F	Standard 6 (15.6 ng/ml)	Standard 6 (15.6 ng/ml)	Sample 7	Sample 7
G	Blank	Blank	Sample 8	Sample 8
H	Sample 1	Sample 1	Sample 9	Sample 9

Approximately 100 µl of Sample Diluent was added in duplicate into the blank wells and 80 µl of the Sample Diluent into the sample wells. Next, 20 µl of each sample was added in duplicate into the sample wells. The next step was to prepare Biotin-Conjugate solution. Approximately 0.06 ml of Biotin-Conjugate was added into 5.94 ml Assay Buffer in a clean plastic tube in order to make a 1:100 dilution. Then, 50 µl of the diluted Biotin-Conjugate was added into all wells. The wells were covered with adhesive film and incubated at room temperature (18° to 25°C) for 3 hours, on a microplate shaker set at 100 rpm. Next, the 1:200 dilution of Streptavidin-HRP was prepared by adding 0.06 ml of concentrated Streptavidin-HRP into 11.94 ml Assay

Buffer. The diluted Streptavidin-HRP was to be used within 30 minutes after dilution. After the 3 hours of incubation, the adhesive film was removed and the wells were emptied. Next, the microwell strips were washed 4 times as previously described above. Immediately after, 100 μ l of diluted Streptavidin-HRP was added into all wells, including the blank wells. Then, the microwell plate was covered with an adhesive film and incubated at room temperature (18° to 25°C) for 1 hour, on a microplate shaker set at 100 rpm. After that, the adhesive film was removed and the wells were emptied. The microwell strips were washed 4 times as previously described. Next, 100 μ l of TMB Substrate Solution was pipetted into all wells. Then, the microwell strips were incubated at room temperature (18° to 25°C) for 10 minutes. Direct exposure to intense light was avoided. When the highest standard had developed a dark blue colour, 100 μ l of Stop Solution was added into each well in order to stop the enzyme reaction. Results was read immediately on a spectrophotometer (model 550, Bio-Rad, USA) using 450 nm as the primary wavelength (620 nm as the reference wavelength).

3.10 Experimental Methods for Evaluation of Genetic Markers

In this study, genotyping was used to evaluate the genetic markers and the process was illustrated in Figure 3.4. Full description of the genotyping process were presented in this section 3.10.



DNA: Deoxyribonucleic acid; RT PCR: Real-Time Polymerase Chain Reaction

Figure 3.4: The genotyping process.

3.10.1 Genotyping Experimental Method

The Applied Biosystems (ABI) Step-One Plus RT-PCR instrument (Applied Biosystems™, Foster City, CA) was used for TaqMan® genotyping. TaqMan® is an automated medium-to-high throughput genotyping system that relies on allele-specific hybridization as the allele discrimination method, and fluorescence as the allele detection method, capable of determining approximately 1,000 to 10,000 genotypes per day (X. Chen & Sullivan, 2003; Jenkins & Gibson, 2002; S. Kim & Misra, 2007; Livak, 2003). The principle of TaqMan® is the use of fluorescence-labeled probes to drive the allele-specific hybridization reaction (Kofiadi & Rebrikov, 2006). The fluorescence-

labeled probes are short length nucleotides that are designed to bind complementarily to the template sequence of interest. In the case of biallelic polymorphisms, one probe is designed to bind complementarily to the polymorphic allele and another probe is designed to bind with the wild-type allele. Each probe is labeled with a quencher dye on the 3' end and a reporter dye on the 5' end. The reporter dye will cause the release of a fluorescence signal while the quencher dye will then neutralize the fluorescence signal (Schmittgen & Livak, 2008). When the probes are intact, the quencher dye close to the reporter dye prevents fluorescence but when the probe is disrupted or cleaved, both of the dyes become separated and fluorescence is emitted (Livak, Flood, Marmaro, Giusti, & Deetz, 1995).

The advantages of TaqMan[®] over PCR-Restriction Fragment Length Polymorphism (PCR-RFLP) and pyrosequencing are that both the PCR amplification step and the allele discrimination step are conducted in the same reaction (Livak, 2003). Nonetheless, the TaqMan[®] reaction mixture consists of both PCR primers and two allele specific fluorescence-labeled probes. During the annealing process in the amplification cycles, both of the fluorescence-labeled probes and PCR primers bind complementarily to the DNA target sequence. According to the TaqMan[®] concept, the probe that binds specifically to the target sequence will form a stable complex, while the probe that contains a mismatch will not form a stable duplex (X. Chen & Sullivan, 2003; Livak, 2003). DNA polymerase extends the complementary DNA strand from the 3' end of the PCR primer during the extension step of the amplification process but when the DNA polymerase finds the probe that is bound tightly to the strand, it will cleaves the probe at the 5' end, resulting the separation of the 5' reporter dye from the 3' quencher dye on the probe. As a result, fluorescence is emitted and measured subsequently. Analytical software is used to process the fluorescence data and assign a genotype.

TaqMan[®] system requires a real-time PCR thermal cycler, which is more expensive than an ordinary traditional thermal cycler. However, since it provides lower sample processing times and reduced labor costs, TaqMan[®] is currently a favoured method in genomic laboratories (S. Kim & Misra, 2007).

3.10.2 Isolation of DNA

The reagents required for the DNA isolation are Buffer AL, Buffer AW1, Buffer AW2, Buffer AE, QIAGEN Protease, Protease solvent and ethanol (96 to 100%). The apparatus required for the DNA isolation protocol are QIAamp Mini spin columns, 2 ml collection tubes, 1.5 ml microcentrifuge tubes and pipet tips. The instruments required for the DNA isolation protocol are the microcentrifuge (Thermo Sorvall[™] Legend[™] Micro 17R, Thermo Fisher Scientific[™] LLC, Asheville, NC, USA), vortexer (Thermo Scientific[™] LP Vortex Mixer, US), water bath (Mettler WB14, Schwabach, Germany) at 56°C, pipettes and Nanodrop 2000c spectrometer (Thermo Fisher Scientific[™], Wilmington, DE, USA).

Genomic DNA was extracted from peripheral blood lymphocytes using the QIAamp DNA Blood Mini Kit (QIAGEN, Valencia, CA) according to the manufacture protocol. Before starting the DNA isolation procedure, the samples and Buffer AE were equilibrated to room temperature (15° to 25°C), and the water bath (Mettler WB14, Schwabach, Germany) was heated to 56°C. Isolation procedure started by pipetting 20 µl QIAGEN Protease into the bottom of a 1.5 ml microcentrifuge tube. Then approximately 200 µl sample was added into the microcentrifuge tube. Next, 200 µl of Buffer AL was added to the sample, mixed by pulse-vortexing for 15 seconds, and sent for incubation at 56°C for 10 minutes. Then, the 1.5 ml microcentrifuge tube was briefly

centrifuged to remove drops from the inside of the lid. Next, 200 μ l ethanol (96 to 100%) was added to the sample, and mixed again by pulse-vortexing for 15 seconds. After mixing, the 1.5 ml microcentrifuge tube was briefly centrifuged again to remove drops from inside the lid. Approximately 200 μ l of the mix (as described above) was carefully added into the QIAamp Mini spin column (in a 2 ml collection tube) without wetting the rim. The cap was closed and centrifuged at 6000 x g (8000 rpm) for 1 minute. The QIAamp Mini spin column was placed in a clean 2 ml collection tube, and the tube containing the filtrate was discarded. Next, the QIAamp Mini spin column was opened and approximately 500 μ l Buffer AW1 was added without wetting the rim. The cap was closed and centrifuged at 6000 x g (8000 rpm) for 1 minute. Then the QIAamp Mini spin column was placed in a clean 2 ml collection tube, and the collection tube containing the filtrate was discarded. After that, the QIAamp Mini spin column was carefully opened and 500 μ l Buffer AW2 was added without wetting the rim. The cap was closed and centrifuged at 20,000 x g (14,000 rpm) for 3 minutes. As final spin step, the QIAamp Mini spin column was placed in a clean 1.5 ml microcentrifuge tube, with the previous collection tube containing filtrate discarded. The cap was opened carefully and 200 μ l Buffer AE was added. Then it was sent for incubation at room temperature (15° to 25°C) for 1 minute, then centrifuged at 6000 x g (8000 rpm) for 1 minute. The concentration of the isolated DNA was determined using NanoDrop 2000c spectrophotometer (Thermo Scientific™, Wilmington, DE, USA) in the wavelength values of 260 nm and 280 nm. Additionally, the isolated DNA was eluted in Buffer AE and stored at -20°C for long term storage.

3.10.3 Real-Time PCR and Selection of TaqMan® SNP Genotyping Assay

Real-Time PCR was done using the ABI StepOnePlus™ instrument (Applied Biosystems™, Foster City, CA). Each of the DPP4, WFS1 and KCNJ11 SNPs were genotyped using a predesigned TaqMan® SNP genotyping assay each (Applied Biosystems™, Foster City, CA, USA) as described in the user protocol. The selection of TaqMan® SNP genotyping assay (Table 3.8), for the Real-Time PCR protocol was based on the gene variants which were previously mentioned. For Real-Time PCR genotyping, we standardised all samples to 5 ng/μl. Buffer AE from the QIAamp DNA Blood Mini Kit (QIAGEN, Valencia, CA) was used to dilute the samples. Dilution calculation was used to achieve accurate 5 ng/μl concentration (Figure 3.5).

$$M_1V_1 = M_2V_2$$

M: concentration; V: volume

Figure 3.5: The dilution formula for genotyping sample preparation.

Table 3.8: The TaqMan® SNP genotyping assay used for each of the gene polymorphisms.

Gene	Polymorphisms	TaqMan® SNP genotyping assay
DPP4	rs2970932	C__2789677_10
	rs2268889	C__15875589_10
	rs1861975	C__2789730_10
WFS1	rs1046320	C__2873369_10
	rs734312	C__2401729_1_
	rs10010131	C__30473796_10
KCNJ11	rs2285676	C__16177637_20
	rs5218	C__2991149_20
	rs5210	C__2991147_10

For genotyping plate preparation, MicroAmp® Fast 96-Wells Reaction Plate was used. The master mix cocktail was prepared for 96 reactions according to the manufacturer's guidelines (TaqMan®, Applied Biosystems™) (Table 3.9).

Table 3.9: The Master Mix cocktail preparation.

Ingredients for Master Mix cocktail	1 reaction / well (µl)	96 reactions plus 10% extra (µl)
GTXpress Master Mix	5.0	525
TaqMan® genotyping assay mix	0.5	52.5
Dnase-free water	2.5	262.5
Total	8.0	840.0

Each well of the MicroAmp® Fast 96-Wells Reaction Plate is able to contain 10 µl to 20 µl solution for PCR reaction, therefore we had chosen the minimum amount of 10 µl contents for each well to prevent any source wastage. Since the minimum amount of DNA detected by the PCR Step-One Plus instrument (Applied Biosystems™, Foster City, CA) is 2 µl, each well will received approximately 8 µl of Master mix cocktail, except for 1 control well (Non Template Control) that received 10 µl of Master Mix cocktail. After all of the wells were filled with their specific contents, the plate was sealed with a MicroAMP® Optical adhesive film and briefly centrifuged for 10 seconds using a bucket centrifuge (Thermo Sorvall™ ST 16R, MA, USA). Finally, the plate was loaded into a Step-One plus PCR machine (Applied Biosystems™, Foster City, CA) and set to run on the selected Fast Advance (Applied Biosystems™, Foster City, CA) protocol for 40 minutes. The genotyping protocol was done for all genes; DPP4, WFS1 and KCNJ11, for both case and control groups. The results were collected for analysis.

3.10.4 Amplification of DNA via Polymerase Chain Reaction

Polymerase chain reaction (PCR) is a method used to target and amplify a specific DNA sequence within a genome (Metzker & Caskey, 2001). The method was developed based on the inherent physiochemical properties of DNA (Zdanowicz, 2010). The DNA consists of two strands bound together in antiparallel form, 5' to 3' and 3' to 5' (Strachan & Read, 2010). There are four nucleotide bases in the two strands namely adenine, thymine, cytosine and guanine, that are bound complementarily to each other by hydrogen bonds (Strachan & Read, 2010). Adenine binds exclusively to thymine and cytosine to guanine (Strachan & Read, 2010). There are three major steps involved in the PCR reaction which are denaturation, primer annealing and strand extension; all cycled in different temperatures, in the presence of key reaction components, to target and exponentially amplify a specific DNA target sequence, hence the hallmark of PCR (Zdanowicz, 2010).

Basically, a PCR mixture may contain genomic DNA, deoxynucleoside triphosphates (dATP, dCTP, dGTP, and dTTP), buffer, cations (either magnesium or potassium), primers, and DNA polymerase (Baumforth, Nelson, Digby, O'Neil, & Murray, 1999). During denaturation process at approximately 95°C, the hydrogen bonds of the double-stranded DNA molecule are broken and the DNA molecule is separated into two single-stranded molecules (Zdanowicz, 2010). Following the denaturation, two single-stranded oligonucleotides, also known as primers, will anneal to the single-stranded DNA molecules (Zdanowicz, 2010). A primer, generally consisting of 17 to 30 base pairs in length, will bind complementarily to the nucleotides in the single-stranded DNA molecule (Markham, 1993). Ideally, one primer will bind complementarily to a single-stranded DNA molecule in a forward direction (5' to 3'), and another one primer will bind complementarily to the other DNA molecule strand in a reverse direction (3' to 5')

(Markham, 1993). The annealing temperature is highly dependent on the sequence of nucleotides in the template DNA (Zdanowicz, 2010).

Generally, the annealing temperature is between 40°C to 70°C (Zdanowicz, 2010). The extension process starts once the two primers are annealed to the single-stranded DNA molecules (Zdanowicz, 2010). The extension step needs to be carried out at 72°C and is catalyzed by DNA polymerase (Zdanowicz, 2010). The DNA polymerase promotes the synthesis of a complementary DNA strand in 5' to 3' direction by adding deoxynucleoside triphosphates (dATP, dCTP, dGTP, and dTTP) to the 3' end of each primer annealed to the single-stranded DNA molecule (Baumforth et al., 1999). This is to ensure that each single-stranded DNA template strand is constructed into a double-stranded DNA replicate (Zdanowicz, 2010). The most common DNA polymerases used in PCR reaction are Taq polymerase from the bacterium *Thermus aquaticus* (Ishino & Ishino, 2014).

The complete cycle of PCR involving denaturation, annealing and extension will be repeated 30 to 40 times resulting in an exponentially high number of DNA replicates (Zdanowicz, 2010). The millions of DNA target sequence copies will be present in the reaction mixture at the end of the PCR process (Zdanowicz, 2010).

3.10.5 Genotype Determination Principles

Once the target DNA sequence is amplified, a sample's genotype can be determined at a particular site within the DNA sequence by genotyping. Newly advanced genotyping methods and technologies are readily available to be used for the purpose.

There are two basic principles used to differentiate the genotyping methods, either the methods involved allele discrimination or allele detection (S. Kim & Misra, 2007; Kwok, 2001). Allele discrimination refers to the chemistry used to differentiate between polymorphic (for example variant) and nonpolymorphic (for example wild-type) alleles that are present at a particular locus in a DNA sample (Zdanowicz, 2010). Meanwhile, allele detection refers to the chemistry used to detect the obtained information from the allele discrimination reaction (Zdanowicz, 2010). However, the selection of genotyping methods is usually influenced by crucial factors such as the number of samples, the throughput, type of polymorphism that can be genotyped using the method, equipment acquisition, genotyping costs (including labour, consumables), technical expertise requirement, time consumed, and the ability of the selected method to do multiplex genotyping in the case of more than one polymorphism at a time requiring genotyping (Aquilante, Zineh, Beitelshes, & Langae, 2006; X. Chen & Sullivan, 2003; S. Kim & Misra, 2007; Kwok, 2001).

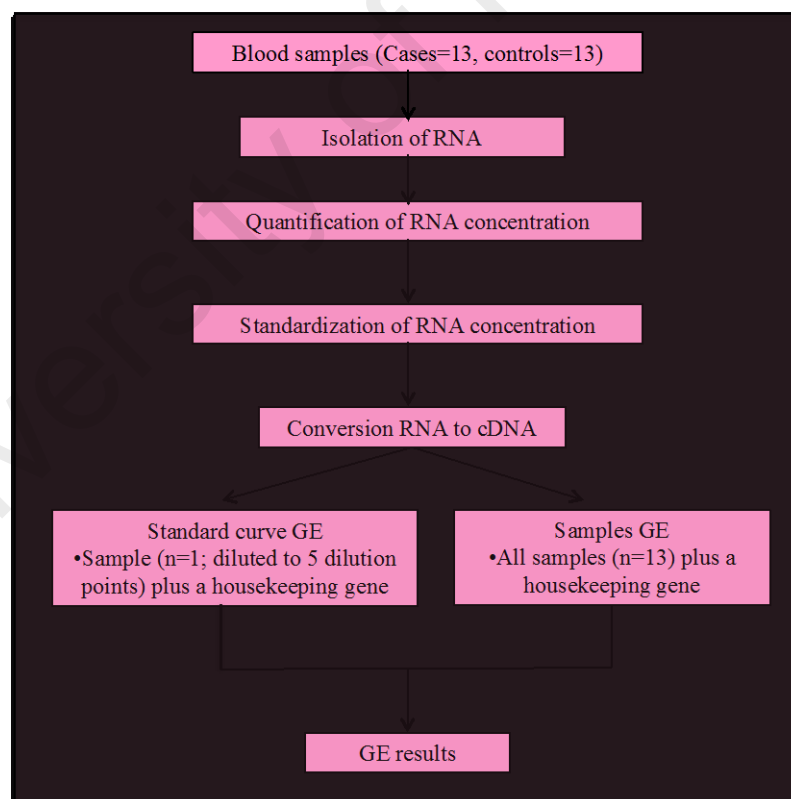
An ideal genotyping method should be able to determine a genotype in one attempt, should allow fast and easy assay development and validation, and should provide the genotyping results interpreted in an analytical software (Aquilante et al., 2006). A good genotyping analytical software may reduce the possibility of human interpretation errors and ambiguous genotyping determinations (Isler, Vesterqvist, & Burczynski, 2007). As pharmacogenomic tests begin to be applied into the clinical environment, new additional analytical parameters were also considered in the genotyping methods such as specificity, sensitivity, reproducibility, and accuracy (Flockhart et al., 2008; Isler et al., 2007).

For genetic testing, analytical sensitivity refers to the probability that a test will be positive when a particular DNA sequence is present, meanwhile the analytical

specificity refers to the probability that a test will be negative when a particular DNA sequence is absent (Burke, 2014; Kalle, Kubista, & Rensing, 2014). Reproducibility refers to the probability of a test repeatedly producing the same results for the same subjects (Weiss et al., 2008). Meanwhile, accuracy in genetic testing refers to the degree to which the observed genotype matches the true genotype (Isler et al., 2007).

3.11 Gene Expression Study Experimental Method

Gene expression studies were done for DPP4, WFS1 and KCNJ11 genes. Gene expression for all 3 genes were compared between cases and controls. The gene expression experimental process was illustrated in Figure 3.6.



RNA: ribonucleic acid; cDNA: complementary DNA; GE: gene expression

Figure 3.6: The experimental method for gene expression studies.

3.11.1 Isolation of RNA

This study used a PAXgene Blood RNA assay kit (PreAnalytiX, Hombrechtikon, Switzerland) in preparing RNA samples for gene expression.

3.11.2 RNA Isolation Materials and Procedure

The chemicals and reagents supplied per assay kit includes 20 ml of Resuspension Buffer, 18 ml of Binding Buffer, 45 ml of Wash Buffer 1, 11 ml of concentrated Wash Buffer 2, 6 ml of Elution Buffer, 2 vials of 125 ml RNase-Free Water, 2 vials of 1.4 ml Proteinase K, 1500 Kunitz of Rnase-Free Dnase I, 2 vials of 2 ml DNA Digestion Buffer, and 2 ml of Dnase Resuspension Buffer. Other reagent required but not supplied by the assay kit was ethanol 96%. As for apparatus, the kit was supplied with 50 units of PAXgene RNA Spin Columns, 300 units of 2 ml Processing Tubes, 50 units of Secondary BD Hemogard™ Closures, 160 units of 1.5 ml microcentrifuge tubes, and 50 units of PAXgene Shredder Spin Columns. Other apparatus required to perform the assay were 10 µl to 4 ml pipets, Rnase-free pipet tips with aerosol barrier, a graduated cylinder, crushed ice, and a permanent pen for labeling. The equipments required for the assay were a variable-speed microcentrifuge (Thermo Sorvall™ Legend™ Micro 17R, Thermo Fisher Scientific™ LLC, Ashville, NC, US), a vortexer (Thermo Scientific™ LP Vortex Mixer, US), and a shaker-incubator (Thermo Fisher Scientific™, Model 4450, Waltham, MA).

The procedure started by centrifuging the PAXgene Blood RNA tube containing sample for 10 minutes at 3000 to 5000 x g using a swing-out rotor (Thermo Sorvall™ Legend™ Micro 17R, Thermo Fisher Scientific™ LLC, Ashville, NC, US). The blood sample was ensured to be incubated in the PAXgene Blood RNA tubes for a minimum

of 2 hours at room temperature (15° to 25°C) in order to achieve complete lysis of blood cells. Next, the resulting supernatant was removed by decanting or pipetting, and approximately 4 ml of RNase-free water was added into the pellet. Then, the tube was closed using a new secondary BD Hemogard closure. The tube was vortexed until the pellet is visibly dissolved, and centrifuged for 10 minutes at 3000 to 5000 x g using a swing-out rotor. After that, the entire resultant supernatant was removed and discarded. Next, approximately 350 µl resuspension buffer was added and the mix was vortexed until the pellet was visibly dissolved.

As for the next step, the sample was pipetted into a 1.5 ml microcentrifuge tube, and 300 µl of binding buffer and 40 µl of proteinase K was added. The mixture was mixed thoroughly by 5 seconds of vortexing and sent to incubation for 10 minutes at 55°C using a shaker-incubator at 400 to 1400 rpm. After incubation, the temperature of the shaker-incubator was set to 65°C for later. Next, the lysate was pipetted directly into a PAXgene Shredder spin column placed in a 2 ml processing tube, and centrifuged for 3 minutes at maximum speed (not exceeding 20,000 x g). Then, the entire supernatant of the flow-through fraction was carefully transferred to a fresh 1.5 ml microcentrifuge tube without disturbing the pellet in the process tube. After that, approximately 350 µl of ethanol (96 to 100%) was added and the mix was mixed thoroughly by vortexing, and centrifuged briefly for 1 to 2 seconds at 500 to 1000 x g to remove any drops from the inside of the tube lid. Then, 700 µl of sample was pipetted into the PAXgene RNA spin column placed in a 2 ml processing tube, and centrifuged for 1 minute at 8000 to 20,000 x g. The spin column was placed in a new 2 ml processing tube, and the old processing tube containing the flow-through was discarded. The remaining sample was pipetted into the PAXgene spin column and centrifuged for 1 minute at 8000 to 20,000 x g. The spin column was placed in a new 2 ml processing tube, and the old processing tube

containing the flow-through was discarded. Next, approximately 350 μ l of wash buffer was pipetted into the PAXgene RNA spin column and centrifuged for 1 minute at 8000 to 20,000 \times g. The spin column was placed in a new 2 ml processing tube, and the old processing tube containing the flow-through was discarded. Next, approximately 10 μ l of Dnase I stock solution was added to 70 μ l DNA digestion buffer in a 1.5 ml microcentrifuge tube, and gently mixed by flicking the tube to avoid any physical denaturation. Then, 80 μ l of the Dnase I incubation mix was pipetted directly onto the PAXgene RNA spin column membrane, and placed on the bench top at 20°C to 30°C for 15 minutes. After that, approximately 350 μ l of wash buffer was pipetted into the PAXgene spin column and centrifuged for 1 minute at 8000 to 20,000 \times g. The spin column was placed in a new 2 ml processing tube and the old processing tube containing flow-through was discarded. Next, 500 μ l of wash buffer 2 was pipetted into the PAXgene RNA spin column and centrifuged for 1 minute at 8000 to 20,000 \times g. The spin column was placed in a new 2 ml processing tube and the old processing tube containing the flow-through was discarded. Then, another 500 μ l of wash buffer 2 was added to the PAXgene RNA spin column and centrifuged for 3 minutes at 8000 to 20,000 \times g. The processing tube containing the flow-through was discarded and the PAXgene RNA spin column was placed in a 1.5 ml microcentrifuge tube.

Approximately 40 μ l of elution buffer was directly pipetted onto the PAXgene RNA spin column membrane, and centrifuged for 1 minute at 8000 to 20,000 \times g to elute the RNA. The elution step was repeated as described above, using 40 μ l elution buffer and the same microcentrifuge tube. The eluate was incubated for 5 minutes at 65°C in the shaker-incubator without shaking. After incubation, the isolated RNA was chilled immediately on ice, and stored at -20°C. The concentration of the isolated RNA was determined using Nanodrop 2000c (Thermo Scientific™, Wilmington, DE, USA)

spectrophotometer at wavelength 260 nm and 280 nm. The resulted RNA samples were stored in -20°C.

3.11.3 Standardization of RNA Samples Concentration

As for ABI Step-One Plus RT-PCR (Applied Biosystems™, Foster City, CA) gene expression protocol, we standardized all samples to 50 ng/μl. RNase free-water was used to dilute the samples. Dilution calculation was used to achieve accurate 50 ng/μl concentration (Figure 3.5).

3.11.4 Conversion of RNA to cDNA

Conversion of RNA to cDNA is required for gene expression protocol. cDNA or complementary DNA is a double-stranded DNA synthesized from a specific single stranded RNA template in a reaction catalysed by reverse transcriptase (Krug & Berger, 1987). High Capacity RNA-to-cDNA kit (Applied Biosystems™, Foster City, CA) was used for this purpose. The kit can be used either with reverse transcriptase (RT) or without RT. For this study, conversion of RNA to cDNA with RT was chosen. The kit consists of 2x RT Buffer Mix and 20x RT Enzyme Mix. The protocol also required a MicroAmp® Fast 96-Wells Reaction Plate and an adhesive film. The conversion of RNA to cDNA protocol required a Real-Time PCR instrument (Applied Biosystems™, Foster City, CA), a swinging-bucket centrifuge (Thermo Sorvall™ ST 16R, MA, USA), a microcentrifuge (Thermo Sorvall™ Legend™ Micro 17R, Thermo Fisher Scientific™ LLC, Ashville, NC), and a vortexer (Thermo Scientific™ LP Vortex Mixer, US).

For 1 well reaction, 1 μ l of 20x RT Enzyme Buffer was added to 10 μ l of 2x RT Buffer, both mixed gently and placed on ice. Since 1 well required approximately 9 μ l sample and will result in 20 μ l cDNA, we had calculated that up to 76 wells RT reaction mix needed to be prepared.

For reverse transcriptase plate preparation, approximately 11 μ l RT reaction mix was pipetted into all designated wells in a MicroAmp™ Fast 96-Wells Reaction Plate. Next, 9 μ l of sample was added into each designated well. The plate was sealed with an MicroAMP® Optical adhesive film and centrifuged briefly with a bucket centrifuge (Thermo Sorvall™ ST 16R, MA, USA) for 1 minute. To perform the RT process, the Real-Time PCR instrument (Applied Biosystems™, Foster City, CA) was programmed according to the parameter settings. Next, the plate was loaded into the instrument and the RT run proceeded with. The resulting cDNA samples were stored at -20°C.

3.11.5 Selection of Housekeeping Gene

The ideal housekeeping gene used to normalize mRNA quantitation should be stable, expressed in cells or tissues of interest and does not influenced by the experimental conditions or disease state (Rebouças et al., 2013). Glyceraldehyde-3-phosphate dehydrogenase (GAPDH) and β -actin are the most common housekeeping genes used in gene expression to normalize mRNA quantitation in study samples. In this study, β -actin was selected as the housekeeping gene because it exhibits moderately abundant expression in most cell types and the expression level of this gene does not vary severely due to subject treatment (Weber et al., 2014). GAPDH was rejected because the expression levels of this gene is stimulated by insulin levels and since the study

treatment aim is to raised insulin levels, GAPDH expression levels may be influenced by study samples (Caradec, Sirab, Revaud, Keumeugni, & Loric, 2010).

3.11.6 Standard Curve Dilution Protocol

For the development of a standard curve for each gene, 1 sample each from case and control groups were diluted to the dilution factor of 1:5, resulting in concentrations of 50, 10, 2, 0.4 and 0.08 ng/ μ l.

3.11.7 Standard Curve Plate Preparation

TaqMan[®] Fast Advance Master Mix cocktail was prepared for 4 genes; β -actin (housekeeping gene), DPP4, WFS1 and KCNJ11 separately according to the protocol. The TaqMan[®] gene expression assays chosen for the 4 genes are shown in Table 3.10. For gene expression, all tests were done in triplicate. Then, the Fast Advance Master Mix cocktail was pipetted: approximately 19 μ l into each well according to each gene column from row A until E, and 20 μ l into row F. Next, 1 μ l of the diluted sample was pipetted into each designated well. Rows G and F were left empty. After that, the plate was sealed with a MicroAMP[®] Optical adhesive film and sent for a brief centrifuge (Thermo Sorvall[™] ST 16R, MA, USA) for 1 minute. Finally, the plate was inserted into the ABI Step-One Plus RT-PCR instrument (Applied Biosystems[™], Foster City, CA) and set to run on a standard curve protocol. Results were collected and reviewed. The protocol was repeated for a complete set of standard curves for both case and control groups.

Table 3.10: The TaqMan[®] gene expression assay used for each of the genes.

Genes	TaqMan [®] gene expression assay
β-actin	Hs01101944_s1
DPP4	Hs00175210_m1
WFS1	Hs00903605_m1
KCNJ11	Hs00265026_s1

Hs: Homo sapiens; _s: an assay whose primers and probes are designed within a single exon. Such assays, by definition, detect genomic DNA; _m: an assay whose probe spans an exon junction; 1: a region within exon 1 (Applied Biosystems™ (ABI, 2010)).

3.11.8 Gene Expression Plate Preparation

Similar to the preparation for standard curve, the TaqMan[®] Fast Advance Master Mix cocktail was prepared separately for 4 genes; β-actin (housekeeping gene), DPP4, WFS1 and KCNJ11 separately according to the protocol shown in Table 3.11. The TaqMan[®] gene expression assays chosen for the 4 genes are shown previously in Table 3.10. For gene expression, all tests will be done in triplicates. Then, the TaqMan[®] Fast Advance Master Mix cocktail was pipetted- approximately 19 µl into each well according to each gene columns from row A until G, and 20 µl into row H. Row H served as control. Next, 1 µl of the diluted samples was pipetted into each designated well. After that step, the plate was sealed with a MicroAMP[®] Optical adhesive film and sent for a brief centrifuge (Thermo Sorvall™ ST 16R, MA, USA) for 1 minute. Finally, the plate was inserted into the Real-Time PCR instrument (Applied Biosystems™, Foster City, CA) and set to run on a gene expression protocol. Results were collected and reviewed. The protocol was repeated for a complete set of 13 subjects for both case and control groups.

Table 3.11: The TaqMan[®] Fast Advance Master Mix cocktail was prepared for 4 genes; β -actin (housekeeping gene), DPP4, WFS1 and KCNJ11 separately.

Components for Fast Advance Master Mix cocktail	1 reaction / well (μ l)	26 reactions (μ l) for 1 gene
Fast Advance Master Mix	10	260
TaqMan [®] gene expression assay	1	26
RNase free water	8	208
Total	19	494

3.12 Statistical Techniques

All statistical analyses were performed by the researcher and verified by an experienced statistician.

3.12.1 Preliminary Statistics

All statistical analyses were performed using statistical software: Statistical package for Social Science (SPSS) (Version 20.0, International Business Machines Corporation (IBM, 2011). Descriptive statistics such as frequencies, percentages, means, ranges and standard deviation (SD) were used to describe the data. All categorical data were expressed as absolute number and percentage whereas continuous data were expressed as mean \pm standard deviation if normally distributed or median (interquartile range) if skewed. Kolmogorov-Smirnov test or Shapiro-Wilk test was used to test normality of a continuous variable (IBM, 2011). Pearson Chi-square test with continuity correction was used to examine the association between categorical variables, and Independent t-test was used to examine the association between continuous variables (IBM, 2011). When the expected cell count was more than 20% or less than 5, Fisher Exact Test was used (IBM, 2011). In univariate analysis, all variables with p value of < 0.250 (Hosmer

& Lemeshow, 2004) were considered in multivariate analysis. In multivariate analysis, all variables with the p value of < 0.05 were considered as statistically significant.

3.12.2 Statistics Used for Genetic Markers

All genetic analyses were performed by using a genomic analysis software, Haploview 4.2 (J. C. Barrett, Fry, Maller, & Daly, 2005). Hardy-Weinburg Equilibrium (HWE) was applied using a goodness-of fit χ^2 test with one degree of freedom for all groups (J. C. Barrett et al., 2005). Bonferroni adjustment was used to obtain the predefined p value for genetic associations (Lunetta, 2008). A resulting p value threshold of more than 0.05 was considered to be significant in agreement with HWE (J. C. Barrett et al., 2005).

3.12.3 Genotypes Association Study

The laboratory methods for the genotypes association study were as described in the genotyping method. The analyses between the genes were done using Haploview 4.2 (J. C. Barrett et al., 2005) and SPSS software (IBM, 2011). Genotypes association study included the linkage disequilibrium measurements and the estimation of haplotype effects of the DPP4, WFS1 and KCNJ11 polymorphisms (Figure 3.7).

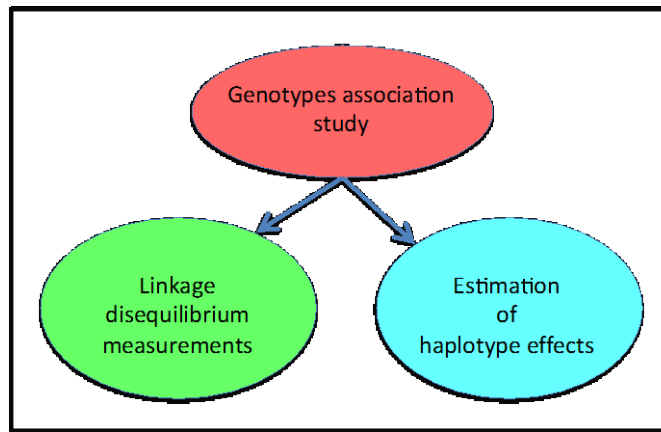


Figure 3.7: Genotypes association study diagram.

3.12.4 Linkage Disequilibrium Measurements of the DPP4, WFS1 and KCNJ11 Genes Polymorphisms

The Haplotype Map (HapMap) is a list of common DNA variations across the whole human genome (Gibbs et al., 2003). The HapMap comprises of four populations which are Yoruba from Ibadan, Nigeria (YRI), Japanese from Tokyo, Japan (JPT), Han Chinese from Beijing, China (CHB) and Caucasians from Utah, USA populations with Northern and Western European ancestry (CEU) (Gibbs et al., 2003). This resource has provided great advantages for many studies to utilize linkage disequilibrium for finding genetic variations related to drug treatment responses (Gibbs et al., 2003).

The Haploview 4.2 software was used to compute the allele and haplotype analyses (J. C. Barrett et al., 2005). For this study, HWE test was used to analyse the probable haplotype frequencies based on linkage disequilibrium (LD). The parameters used to measure linkage disequilibrium in this study were D' and r^2 (J. C. Barrett et al., 2005). D' is the amount an observed frequency of a haplotype differs from its expected frequency, where the value is also adjusted for allele frequency (Licinio & Wong, 2003). r^2 is the measure of the correlation strength of two variables (Licinio & Wong,

2003). The LD was used to measure the effect of interaction between polymorphisms of DPP4, WFS1 and KCNJ11 genes.

3.12.5 Estimations of Haplotype Effects of DPP4, WFS1 and KCNJ11 Gene Polymorphisms

Logistic regression (IBM, 2011) was used to estimate the haplotype effects of the DPP4, WFS1 and KCNJ11 gene polymorphisms. The estimation of haplotype effects was expressed as the odds ratio (OR) and compared to the reference haplotype with 95% confidence intervals (95% CI). A p value of less than 0.05 was considered significant.

3.12.6 Gene Expression Analyses

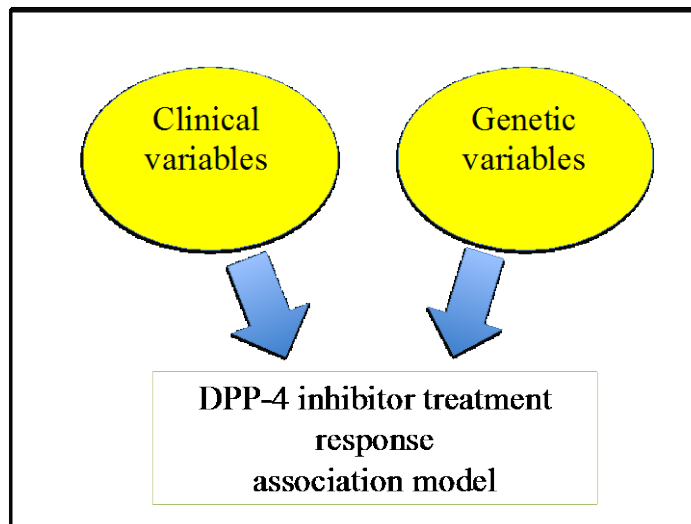
For gene expression analyses, relative quantification and absolute quantification were done for all genes in cases and controls. Relative quantification was used to determine the fold changes in expression between two samples, while absolute quantification was used to determine the gene expression levels in absolute number of copies by using standard curves (QIAGEN, 2013). In relative quantification, the gene of interest is normalized to that of a housekeeping gene in the same sample, and then the normalized samples were compared between samples to obtain the fold change (QIAGEN, 2013).

Real-time PCR data were expressed as fold-change of target gene expression relative to the geometric mean. The fold change in gene expression was computed by StepOne Plus™ software (Applied Biosystems™, Foster City, CA). Descriptive statistics such as

frequencies, percentages, means, ranges and standard deviation (SD) were used to describe the data. All categorical data were expressed as absolute number and percentage whereas continuous data were expressed as mean \pm standard deviation if normally distributed or median (interquartile range) if skewed. Kolmogorov-Smirnov test or Shapiro-Wilk test was used to test normality of continuous data. Pearson Chi-square test with continuity correction was used to examine the association between categorical variables. Significance level was accepted at $p < 0.05$.

3.12.7 Development of Association Models for DPP-4 Inhibitor Treatment Response in T2D

Association models for DPP-4 inhibitor treatment response were developed based on two main elements: (1) clinical factors and (2) genetic factors (Figure 3.8). Binary logistic regressions were used to produce association models of treatment response. The predictor variables were selected based on significant outcomes during preliminary regression analysis.



DPP-4 : dipeptidyl peptidase-4

Figure 3.8: Association models for DPP-4 inhibitor treatment response were constructed from clinical and genetic factors.

3.12.7.1 Assumptions in selection of SNPs for association modeling

For association modeling, the selection of SNPs were based on the assumptions that there is Hardy-Weinburg equilibrium in the population and the disease risks are multiplicative (Clarke et al., 2011). As null hypothesis is of no association between SNP and disease, the first condition to be assumed was that there is HWE in both cases and controls (Clarke et al., 2011). As for the alternative hypothesis, the second condition was to assume that controls will be in HWE, as well as the final condition i.e. to assume that cases will also be in HWE (Clarke et al., 2011). Based on these assumptions, the allelic frequencies in unaffected and affected subjects may be estimated through case-control studies (Clarke et al., 2011).

3.12.7.2 Association model testing

To test the association models; Hosmer and Lemeshow method was used and value at $p > 0.05$ indicates that chosen data are suitable for a test model (IBM, 2011). Meanwhile, Omnibus test value at $p < 0.05$ (significant) was taken to represent model efficiency (IBM, 2011). The Nagelkerke R square is the estimation of R^2 value in logistic regression model (IBM, 2011), where it shows the amount of variance in the dependent variable that can be explained by the independent variable (Nagelkerke, 1991), The ranking of associations of a model is determined by the OR and significance ($p < 0.05$) value (IBM, 2011).

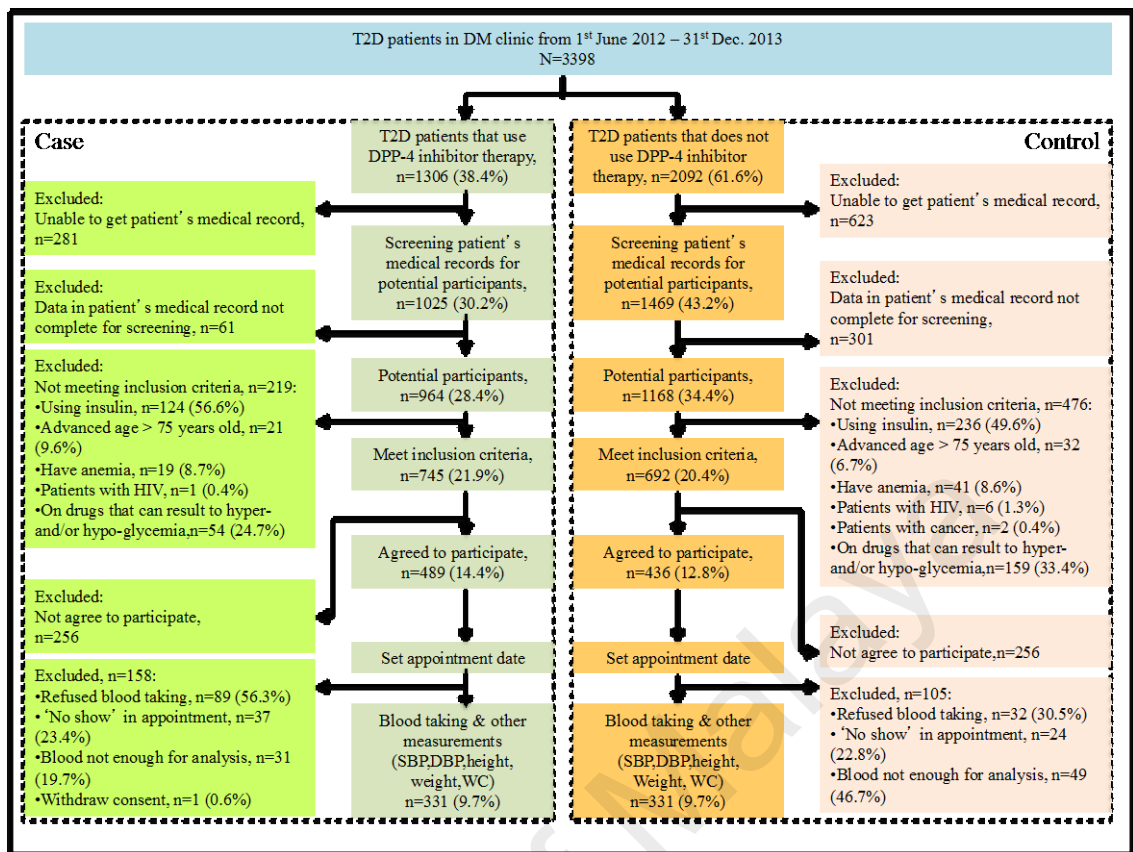
University of Malaya

CHAPTER 4: RESULTS

RESULTS PART 1: SUBJECTS CHARACTERISTICS

4.1 Patient Recruitment and Disposition

A total of 3398 patients with T2D (ICD-10 code E 11.0-E 11.8) were identified using a computerized database i.e. the UMMC Hospital Information System (HIS), which is based on International Statistical Classification of Diseases Tenth Revision (ICD-10) (Figure 4.1). Their hospital registration numbers were identified and keyed into the Pharmacy Information System (PIS) database and it was then determined if they were on DPP-4 inhibitors. Of the 3398 patients with T2D, identified, 1306 were on DPP-4 inhibitor therapy and the rest (2092 patients) on other antidiabetics therapy (Figure 4.1).



T2D : Type 2 diabetes; DM : Diabetes Mellitus; DPP-4 : dipeptidyl peptidase-4; HIV : Human immunodeficiency virus; SBP : systolic blood pressure; DBP : diastolic blood pressure; WC : waist circumference

Figure 4.1: CONSORT diagram: Patient recruitment & disposition

Exactly 21.5% of the 1306 cases (on DPP-4 inhibitor therapy) (n=281) were excluded due to the unavailability of patient medical records resulting in 1025 potential participants in the case group (Figure 4.1). A further 280 more of these cases were excluded due to either incomplete data in patient medical records (6.0%) or not meeting inclusion criteria (22.7%) (insulin-use (56.6%), advanced age (>75 years old) (9.6%), anemia (8.7%), HIV-infection (0.4%), and use of other medications that can affect glycemic status (24.7%)). Next, among the 745 eligible patients on DPP-4 inhibitor therapy with complete medical records that fulfilled the inclusion criteria (57%), 34.4% refused to participate. Of the remaining 489, 158 were excluded including 56.3% who

refused blood-taking, 23.4% who failed to show up for blood-taking, 19.7% who had insufficient blood for analysis and one patient (0.6%) who withdrew consent; resulting in a final participation of 331 patients in the case group (Figure 4.1).

The initial number of controls (patients on other agents besides DPP-4 inhibitors) identified from HIS was 2092 but 29.8% of these were excluded because we were unable to retrieve medical records and 20.5% were excluded because data in the medical records were incomplete (Figure 4.1). From the resultant 1168 patients, we further excluded 40.8% of patients due to failure to satisfy study inclusion criteria: insulin-use (49.6%), advanced age (>75 years old) (6.7%), anemia (8.6%), HIV infection (1.3%), cancer (0.4%), and other medications that affect glycemia (33.4%). Among all of the patients in the control group that met the inclusion criteria (20.4%), 361/692 were excluded due to refusal to participate, refusing blood sampling, 'no show' in appointment and insufficient blood withdrawn for analysis (46.7%). The final number of participants in the control group reached up to 331 patients (Figure 4.1).

4.2 Characteristics of DPP-4 Inhibitor Users and Non-users

Overall, 44.7% of patients who were DPP-4 inhibitor users exhibited good treatment response (A1c <7%) in comparison with only 28.7% of non-DPP-4 inhibitor users.

4.2.1 Comparison of Demographic and Anthropometric Characteristics of DPP-4 Inhibitor Users and Non-users (Table 4.1)

An equal number of (331) T2D DPP-4 inhibitor users and (331) T2D non-DPP-4 inhibitor users were recruited into the case and control group respectively. There were

an equal proportion of male and female gender in both the case and control group. There were no significant differences in ethnic composition between the 2 groups with Malay-descent patients making up the majority of patients (45.3% of case group, 51.1% of control group) followed by those of Chinese (29.9% of case group, 26.9% of control group), and Indian (19% of case group, 17.2% of control group) ethnicity.

Subjects in the case group were younger (case group (56.3 years old) versus control group (58 years old), $p < 0.05$) and had a longer duration of diabetes (case group (9.1 years) versus control group (8.8 years), $p < 0.05$). Mean BMI and mean WC were similar in both groups. The majority in both groups were either overweight or obese. Both groups were also abdominally obese with mean WC of 103 cm and 106.4 cm in case and control groups respectively.

The mean A1c in case group was significantly lower by 1.0% compared to control group (7.4% (± 1.4) and 8.4% (± 2.3), respectively). Additionally, the case group had lower mean FPG than the control group, with a difference of 0.7 mmol/L (Table 4.1). Mean fasting triglycerides, LDL cholesterol, fasting insulin and HOMAIR were significantly higher in the control group (Table 4.1). T2D patients who were DPP-4 inhibitors users were found to have better glycemic control (A1c $< 7.0\%$, 44.7%) compared to the T2D patients who were not on DPP-4 inhibitors (A1c $< 7.0\%$, 28.7%) (Table 4.1).

Table 4.1: Comparison of demographic, anthropometric, clinical, biochemical and genetic characteristics in DPP-4 inhibitor users (cases) and non-users (controls).

Variables	N=662	Case (n=331)	Control (n=331)	p-value
Good glycemic control (A1c <7.0%)	243 (36.7%)	148 (44.7%)	95 (28.7%)	<0.001 ^δ
Suboptimal glycemic control (A1c ≥7.0%)	419 (63.3%)	183 (55.3%)	236 (71.3%)	
Gender				
Male	331 (50.0%)	168 (50.8%)	163 (49.2%)	0.687 ^δ
Female	331 (50.0%)	163 (49.2%)	168 (50.8%)	
Ethnicity				
Malay	319 (48.2%)	150 (45.3%)	169 (51.1%)	0.462 ^δ
Chinese	188 (28.4%)	99 (29.9%)	89 (26.9%)	
Indian	120 (18.1%)	63 (19.0%)	57 (17.2%)	
Others	35 (5.3%)	19 (5.7%)	16 (4.8%)	
Age (year)	57.5 (±7.3)	56.3 (±6.8)	58.0 (±7.3)	0.036 ^{γ,a}
Duration of T2D (year)	8.9 (±4.3)	9.1 (±4.5)	8.8 (±4.2)	0.043 ^{γ,a}
BMI (kg/m²)				
Underweight (<18.50)	19 (2.9%)	16 (4.8%)	3 (0.9%)	0.449 ^δ
Normal weight (18.50 – 24.99)	213 (32.2%)	112 (33.8%)	94 (28.4%)	
Overweight (25.00 – 29.99)	362 (54.7%)	165 (49.8%)	174 (52.6%)	
Obesity (≥30.00)	68 (10.3%)	38 (11.6%)	60 (18.1%)	

Table 4.1, continued

Variables	N=662	Case (n=331)	Control (n=331)	p-value
WC (cm)	104.7 (±13.0)	103.0 (±12.4)	106.4 (±13.3)	0.284 ^γ
Male (cm)	103.7 (±12.8)	106.5 (±13.8)	103.9 (±12.3)	-
Female (cm)	102.4 (±12.0)	105.1 (±14.5)	104.3 (±12.0)	-
Laboratory values				
FPG (mmol/L)	9.1 (±2.1)	8.8 (±1.6)	9.5 (±2.4)	0.008 ^{γ,a}
A1c (%)	7.9 (±2.0)	7.4 (±1.4)	8.4 (±2.3)	<0.001 ^δ
Fasting Insulin (pmol/L)	132.8 (±78.8)	123.7 (±77.7)	141.8 (±79.0)	0.047 ^{γ,a}
Total cholesterol (mmol/L)	5.0 (±1.3)	4.9 (±1.1)	5.0 (±1.2)	0.654 ^γ
Triglyceride (mmol/L)	1.6 (±0.7)	2.0 (±1.0)	2.2 (±0.9)	0.029 ^{γ,a}
HDL cholesterol (mmol/L)	1.2 (±0.4)	1.1 (±0.3)	1.4 (±0.5)	0.392 ^γ
LDL cholesterol (mmol/L)	2.5 (±0.8)	2.5 (±0.7)	2.6 (±0.9)	0.016 ^{γ,a}
DBP (mmHg)	79.6 (±9.2)	77.4 (±9.1)	81.2 (±8.8)	0.009 ^{γ,a}
SBP (mmHg)	131.4 (±14.3)	129.5 (±12.7)	133.4 (±15.6)	0.317 ^γ
ALT (IU/L)	26.8 (±6.9)	25.8 (±7.0)	27.5 (±6.7)	0.559 ^γ
AST (IU/L)	20.2 (±5.5)	20.9 (±5.6)	19.4 (±5.3)	0.038 ^{γ,a}
HOMA1R (%)	2.8 (±1.6)	2.5 (±1.6)	3.1 (±1.7)	0.013 ^{γ,a}
sCD26 level (ng/ml)	547.0 (±107.6)	485.3 (±77.8)	608.6 (±97.3)	0.441 ^γ

Table 4.1, continued

Variables	N=662	Case (n=331)	Control (n=331)	p-value
Comorbidities				
Hypertension	Yes 626 (94.6%) No 36 (5.4%)	Yes 308 (93.1%) No 23 (6.9%)	Yes 318 (96.1%) No 13 (3.9%)	0.666 ^δ
Peripheral Neuropathy	Yes 96 (14.5%) No 566 (85.5%)	Yes 55 (16.6%) No 276 (83.4%)	Yes 41 (12.4%) No 290 (87.6%)	0.687 ^δ
Dyslipidemia	Yes 506 (76.4%) No 156 (23.6%)	Yes 236 (71.3%) No 95 (28.7%)	Yes 270 (81.6%) No 61 (18.4%)	0.276 ^δ
Treatment regimen				0.059 ^{δ,a}
Monotherapy	87 (13.1%)	-	87 (26.3%)	0.018 ^{δ,a}
Dual therapy	514(77.6%)	278(84.0%)	236(71.3%)	0.107 ^{δ,a}
Triple therapy	61 (9.3%)	53 (16.0%)	8 (2.4%)	0.654 ^δ
Antidiabetic drug				
Biguanide	662 (100%)	331 (100%)	331 (100%)	-
Sulphonylureas	257(38.8%)	43 (13.0%)	214(64.7%)	0.027 ^{δ,a}
Thiazolidinedione	48 (7.3%)	10 (3.0%)	38 (11.5%)	0.615 ^δ

Data are expressed n (%) or mean values (SD). In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. No p value generated for 'Biguanide' because Biguanide is a constant.

T2D: Type 2 diabetes; FPG: Fasting Plasma Glucose; A1c: glycated haemoglobin; HDL: High Density Lipoprotein; LDL: Low Density Lipoprotein; SBP: Systolic Blood Pressure; DBP: Diastolic Blood Pressure; ALT: Alanine Transferase; AST: Aspartate Aminotransferase.

^δ Pearson Chi-Square

^γ Independent t-test

^a considered in multivariate analysis

The variables in Table 4.1, which attained clinical significance of $p < 0.25$ such as age, duration of T2D, FPG, fasting insulin, triglyceride, LDL cholesterol, DBP, AST, HOMAIR, dual therapy and sulphonylurea use were hypothesized to predict glycemetic control in T2D on oral therapy (excluding SGLT2 inhibitors), and thus subjected to further analyses in predictive models. 'Monotherapy' was excluded from this table and regression model because only control group used monotherapy; cases group did not used monotherapy.

4.2.2 Determination of Categorizations of Significant Variables Obtained From Table 4.1 for Further Analyses in Predictive Models

The American Diabetes Association has defined older adults as those aged 65 years old and above in a Consensus Development Conference on Diabetes and Older Adults in February 2012 (Kirkman et al., 2012). Since then, many studies of diabetes in older adults have used age 65 years as the cut-off point for defining older age in diabetes (Caspersen, Thomas, Boseman, Beckles, & Albright, 2012; Hammami et al., 2012; Kirkman et al., 2012; Suzuki, Mistuma, Sato, & Hatta, 2015). Therefore, based on these studies, we categorized subjects into two age categories; (1) less than 65 years (younger adults with diabetes), and (2) 65 years or above (older adults with diabetes). As for the duration of T2D, we categorized patients into duration (1) less than 10 years and (2) 10 or more years, as according to Fox et al. (2004); the risk of cardiovascular diseases or all-cause mortality raises per 10-year duration of diabetes (Fox et al., 2004) (Table 4.2).

According to the American Diabetes Association, the target FPG level for glycemetic control in patients with diabetes is less than 7 mmol/L (ADA, 2015). Therefore, FPG results were categorized into two categories; (1) greater than or equal to 7 mmol/L, and

(2) less than 7 mmol/L. FPI levels less than 174 pmol/L are recommended (Wallach et al., 1996), therefore in this study, the FPI levels were categorized into two categories; (1) less than 174 pmol/L, and (2) equal or greater than 174 pmol/L (Table 4.2). Normal triglycerides levels are less than 1.7 mmol/L (ADA, 2015), therefore triglycerides data were categorized into (1) greater than or equal to 1.7 mmol/L, and (2) less than 1.7 mmol/L (Table 4.2). The target LDL cholesterol for patients with diabetes is less than 2.6 mmol/L (ADA, 2015), so LDL cholesterol levels were categorized into (1) less than 2.6 mmol/L, and (2) greater than or equal to 2.6 mmol/L (Table 4.2). The DBP target in diabetes is less than 90 mmHg (ADA, 2015), therefore the DBP data were categorized into (1) less than 90 mmHg, and (2) greater than or equal to 90 mmHg (Table 4.2). The normal AST range is 1–31 U/L (Harris, 2005), therefore the AST data were categorized into (1) 1 to 31 U/L, and (2) greater than or equal to 31 U/L (Table 4.2). The HOMAIR values for patients with normal glucose tolerance are within 1.7 and 2.5 (Bonora et al., 1998; Tripathy et al., 2000). However, insulin resistance is found to be higher in diabetes patients (Esteghamati et al., 2010). In 2010, Esteghamati et al. conducted a study in 3,071 Iranian subjects to evaluate HOMAIR values in populations with or without diabetes and determined that 3.875 was the optimal cut-off point in T2D patients (Esteghamati et al., 2010). We therefore categorized the HOMAIR results into (1) less than 3.875 (insulin sensitive), and (2) greater than or equal to 3.875 (insulin resistance) (Table 4.2).

Table 4.2: Dichotomization of significant associations in the case group (obtained from Table 4.1) (n=331).

Significant variables	Case (n=331)	A1c < 7% (n=148)	A1c ≥ 7% (n=183)	p value
Age				
< 65 years old	272 (82.2%)*	131 (88.5%)	141 (77.0%)	0.036 ^{δ,a}
≥ 65 years old	59 (17.8%)	17 (11.5%)	42 (23.0%)	
Duration of T2D				
< 10 years	237 (71.6%)*	115 (77.7%)	122 (66.7%)	0.043 ^{δ,a}
≥ 10 years	94 (28.4%)	33 (22.3%)	61 (33.3%)	
FPG		148	183	
< 7 mmol/L	34 (10.3%)	19 (12.8%)	15 (8.2%)	0.167 ^{δ,a}
≥ 7 mmol/L	297 (89.7%)*	129 (87.2%)	168 (91.8%)	
Insulin				
< 174 pmol/L	239 (72.2%)*	107 (72.3%)	132 (72.1%)	0.973 ^δ
≥ 174 pmol/L	92 (27.8%)	41 (27.7%)	51 (27.9%)	
Triglycerides				
< 1.7 mmol/L	290 (87.6%)*	137 (92.6%)	153 (83.6%)	0.014 ^{δ,a}
≥ 1.7 mmol/L	41 (12.4%)	11 (7.4%)	30 (16.4%)	
LDL cholesterol				
< 2.6 mmol/L	208 (62.8%)*	87 (58.8%)	121 (66.1%)	0.017 ^{δ,a}
≥ 2.6 mmol/L	123 (37.2%)	61 (41.2%)	62 (33.9%)	
DBP				
< 90 mmHg	237 (71.6%)*	115 (77.7%)	122 (66.7%)	0.027 ^{δ,a}
≥ 90 mmHg	94 (28.4%)	33 (22.3%)	61 (33.3%)	
AST				
≤ 31 U/L	327 (98.8%)*	145 (98.0%)	182 (99.5%)	0.220 ^{δ,a}
> 31 U/L	4 (1.2%)	3 (2.0%)	1 (0.5%)	
HOMAIR				
< 3.875	256 (77.3%)*	116 (78.4%)	140 (76.5%)	0.685 ^δ
≥ 3.875	75 (22.7%)	32 (21.6%)	43 (23.5%)	
Dual therapy				
Yes	278 (84.0%)*	121 (81.8%)	157 (85.8%)	0.157 ^{δ,a}
No	53 (16.0%)	27 (18.2%)	26 (14.2%)	

Table 4.2, continued

Significant variables	Case (n=331)	A1c < 7% (n=148)	A1c ≥ 7% (n=183)	p value
Sulphonylurea				
Yes	43 (13.0%)	23 (15.5%)	20 (89.1%)	0.464 ^δ
No	288 (87.0%)*	125 (84.5%)	163 (10.9%)	

* Chosen as reference category. Data are expressed n (%) or mean values (SD). In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis.

T2D: Type 2 diabetes; FPG: Fasting plasma glucose; LDL: Low-density lipoprotein; DBP: Diastolic blood pressure; AST: aspartate aminotransferase; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.

^δ Pearson Chi-Square

^a considered in multivariate analysis

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4.3 Comparison of Demographic, Anthropometric, Clinical, and Biochemical Characteristics in Good and Poor Responders to DPP-4 Inhibitor Therapy (Table 4.3)

Overall, 44.7% of patients who were DPP-4 inhibitor users exhibited good treatment response.

Table 4.3: Comparison of demographic, anthropometric, clinical and biochemical characteristics between good and poor responders to DPP-4 inhibitor therapy.

Variables	Good response (A1c <7%) (n=148)	Poor response (A1c ≥7%) (n=183)	p-value
Gender			
Male	76 (51.4%)	92 (50.3%)	0.845 ^δ
Female	72 (48.6%)	91 (49.7%)	
Ethnicity			
Malay	70 (47.3%)	80 (43.7%)	0.700 ^δ
Chinese	45 (30.4%)	54 (29.5%)	
Indian	24 (16.2%)	39 (21.3%)	
Others	9 (6.1%)	10 (5.5%)	
Age (year)	56.6 (±7.0)	56.0 (±6.6)	0.415 ^γ
Duration of T2D (year)	8.8 (±4.2)	9.2 (±4.6)	0.329 ^γ
BMI (kg/m²)			
Underweight (<18.50)	9 (6.1%)	7 (3.8%)	0.726 ^δ
Normal weight (18.50 – 24.99)	47 (31.8%)	65 (35.5%)	
Overweight (25.00 – 29.99)	81 (54.7%)	84 (45.9%)	
Obesity (≥30.00)	11 (7.4%)	27 (14.8%)	
WC (cm)	104.2 (±13.4)	102.1 (±11.6)	0.135 ^{γ,a}
Male (cm)	106.1 (±13.9)	101.7 (±11.6)	-
Female (cm)	102.2 (±12.6)	102.5 (±11.6)	-

Table 4.3, continued

Variables	Good response (A1c <7%) (n=148)	Poor response (A1c ≥7%) (n=183)	p-value
Laboratory values			
FPG (mmol/L)	8.7 (±1.7)	8.9 (±1.6)	0.300 ^γ
A1c (%)	6.3 (±0.5)	8.3 (±1.3)	<0.001 ^δ
Fasting Insulin (pmol/L)	120.5 (±75.2)	126.4 (±79.8)	0.498 ^γ
Total cholesterol (mmol/L)	4.9 (±1.2)	4.9 (±1.1)	0.675 ^γ
Triglyceride (mmol/L)	1.8 (±0.9)	2.0 (±1.0)	0.025 ^{γ,a}
HDL cholesterol (mmol/L)	1.1 (±0.4)	1.1 (±0.3)	0.267 ^γ
LDL cholesterol (mmol/L)	2.6 (±0.7)	2.4 (±0.7)	0.044 ^{γ,a}
DBP (mmHg)	77.5 (±8.9)	77.4 (±9.3)	0.917 ^γ
SBP (mmHg)	130.0 (±12.9)	129.0 (±12.5)	0.480 ^γ
ALT (IU/L)	26.2 (±7.3)	25.5 (±6.8)	0.332 ^γ
AST (IU/L)	21.4 (±5.5)	20.6 (±5.7)	0.197 ^γ
HOMAIR (%)	2.5 (±1.5)	2.6 (±1.6)	0.444 ^γ
sCD26 level (ng/ml)	486.4 (±81.6)	484.4 (±74.9)	0.823 ^γ
Comorbidities			
Hypertension	Yes 140 (94.6%) No 8 (5.4%)	Yes 168 (91.8%) No 15 (8.2%)	0.321 ^δ
Peripheral Neuropathy	Yes 22 (14.9%) No 126 (85.1%)	Yes 33 (18.0%) No 150 (82.0%)	0.441 ^δ
Dyslipidemia	Yes 103 (69.6%) No 45 (30.4%)	Yes 133 (72.7%) No 50 (27.3%)	0.538 ^δ

Table 4.3, continued

Variables	Good response (A1c <7%) (n=148)	Poor response (A1c ≥7%) (n=183)	p-value
DPP-4 inhibitor regimens			0.196 ^{δ,a}
DPP-4 inhibitor + biguanide	121 (81.8%)	157 (85.8%)	0.157 ^{δ,a}
DPP-4 inhibitor + biguanide + sulphonylurea	23 (15.5%)	20 (10.9%)	0.464 ^δ
DPP-4 inhibitor + biguanide + thiazolidinedione	4 (2.7%)	6 (3.3%)	0.195 ^{δ,a}
Treatment regimen			
Dual therapy	121 (81.8%)	157 (85.8%)	0.157 ^{δ,a}
Triple therapy	27 (18.2%)	26 (14.2%)	0.687 ^δ
Antidiabetic drug	n=169	n=215	
Sitagliptin	108 (63.9%)	127 (59.1%)	0.476 ^δ
Vildagliptin	33 (19.5%)	43 (20.0%)	0.796 ^δ
Linagliptin	7 (4.1%)	13 (6.0%)	0.367 ^δ
Sulphonylurea	17 (10.1%)	26 (12.1%)	0.464 ^δ
Thiazolidinedione	4 (2.4%)	6 (2.8%)	0.195 ^{Ω,a}
Biguanide	148 (100%)	183 (100%)	-

Results expressed a n (%) or mean values (SD). Chi-square test or t-test was used for p value where appropriate. In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. No p value generated for 'Biguanide' because Biguanide is a constant.

T2D: Type 2 diabetes; FPG: Fasting Plasma Glucose; A1c: glycated haemoglobin; WC: waist circumference; HDL: High Density Lipoprotein; LDL: Low Density Lipoprotein; SBP: Systolic Blood Pressure; DBP: Diastolic Blood Pressure; ALT: Alanine Transferase; AST: Aspartate Aminotransferase.

^δ Pearson Chi-Square

^Ω Fisher's exact test

^γ Independent t-test

^a considered in multivariate analysis

Fasting triglyceride and LDL cholesterol were statistically different between responders and non-responders (p<0.05). Use of sulphonylureas, biguanides and thiazolidinediones however were not statistically significantly different (p<0.05) between those with optimal and suboptimal glycemic control on DPP-4 inhibitor therapy. Only WC, fasting triglyceride, LDL cholesterol levels, AST and treatment

regimes reached the threshold of clinical significance ($p < 0.25$) for a difference between responders and non-responders to DPP-4 inhibitor therapy that allowed inclusion in the final predictor model. Although thiazolidinedione also reached the threshold of clinical significance ($p < 0.25$) for a difference between responders and non-responders to DPP-4 inhibitor therapy, we excluded this variable because of low sample size (subjects using thiazolidinedione were less than 3% in each groups; responders and non-responders). Use of sulphonyureas, biguanides and thiazolidinediones were not statistically significantly different ($p < 0.05$) between those with optimal and suboptimal glycemic control on DPP-4 inhibitor therapy (Table 4.3).

4.3.1 Dichotomization of Significant Variables Obtained From Table 4.3 for Further Analyses in Association Models

Variables were dichotomized into categories based on the rationale presented in Section 4.2.2.

Table 4.4: Categorical comparison of dichotomized significant variables derived from Table 4.3 between responders and non-responders.

Significant variables	Case (n=331)	A1c < 7% (n=148)	A1c ≥ 7% (n=183)	p value
WC				
WC male < 90 cm	8 (2.5%)	2 (1.4%)	6 (3.3%)	0.489 ^δ
WC male ≥ 90 cm	160 (48.3%)	74 (50.0%)	86 (47.0%)	
WC female < 80 cm	-	-	-	
WC female ≥ 80 cm	163 (49.2%)*	72 (48.6%)	91 (49.7%)	
Triglycerides				
< 1.7 mmol/L	290 (87.6%)*	137 (92.6%)	153 (83.6%)	0.014 ^{δ,a}
≥ 1.7 mmol/L	41 (12.4%)	11 (7.4%)	30 (16.4%)	
LDL cholesterol				
< 2.6 mmol/L	208 (62.8%)*	87 (58.8%)	121 (66.1%)	0.017 ^{δ,a}
≥ 2.6 mmol/L	123 (37.2%)	61 (41.2%)	62 (33.9%)	

Table 4.4, continued

Significant variables	Case (n=331)	A1c < 7% (n=148)	A1c ≥ 7% (n=183)	p value
AST				
≤31 U/L	327 (98.8%)*	145 (98.0%)	182 (99.5%)	0.328 ^p
> 31 U/L	4 (1.2%)	3 (2.0%)	1 (0.5%)	
DPP-4 inhibitor + biguanide				
Yes	278 (84.0%)*	121 (81.8%)	157 (85.8%)	0.157 ^{δ,a}
No	53 (16.0%)	27 (18.2%)	26 (14.2%)	
DPP-4 inhibitor + biguanide + sulphonylurea				
Yes	43 (12.3%)	23 (15.5%)	20 (10.9%)	0.464 ^δ
No	288 (87.7%)	125 (84.5%)	163 (89.1%)	
DPP-4 inhibitor + biguanide + thiazolidinedione				
Yes	10 (3.0%)	4 (2.7%)	6 (3.3%)	0.195 ^{δ,a}
No	321 (97.0%)*	144 (97.3%)	177 (96.7%)	
Thiazolidinedione				
Yes	10 (3.0%)	4 (2.7%)	6 (3.3%)	0.195 ^{δ,a}
No	321 (97.0%)*	144 (97.3%)	177 (96.7%)	

* Chosen as reference category. In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. Although 'Dual therapy' was significant (p<0.25), we did not included it into this dichotomization section for multivariate analysis because it was the same as "DPP-4 inhibitor + biguanide".

WC: Waist circumference; LDL: Low-density lipoprotein; AST: aspartate aminotransferase; DPP-4: Dipeptidyl peptidase.

^δ Pearson Chi-Square

^p Fisher's Exact test

^a considered in multivariate analysis

4.4 Comparison of Demographic, Anthropometric, Clinical and Biochemical Characteristics in Controls with Optimal and Suboptimal Glycemic Control (Table 4.5)

Overall, only 28.7% of patients in the control group were found to have good glycemic control on their oral anti-diabetic regimen. Patients in the control group with good glycemic control were significantly younger with shorter duration of diabetes and lower FPG ($p < 0.05$). Ethnicity and BMI each showed a significant difference between controls with good and suboptimal glycemic control. Those with good glycemic control had a significantly higher LDL and lower DBP. Those with good glycemic control also had significantly higher sCD26 levels. A significantly higher proportion of subjects with good glycemic control were on triple therapy with biguanide + sulphonylurea + thiazolidinedione. In addition, ethnicity, BMI, fasting insulin, fasting triglycerides, HOMAIR, SBP, peripheral neuropathy and thiazolidinedione use reached the threshold of clinical significance ($p < 0.25$) and were incorporated into the final predictor model.

Table 4.5: Comparison of demographic, anthropometric, clinical, laboratory and genetic characteristics of T2D patients in control group with good and suboptimal glycemic control.

Variables	Good glycemic control (A1c <7%) (n=95)	Suboptimal glycemic control (A1c ≥7%) (n=236)	p-value
Gender			
Male	48 (50.5%)	115 (48.7%)	0.767 ^δ
Female	47 (49.5%)	121 (51.3%)	
Ethnicity			
Malay	57 (60.0%)	112 (47.5%)	0.111 ^δ
Chinese	18 (18.9%)	71 (30.1%)	
Indian	17 (17.9%)	40 (16.9%)	
Others	3 (3.2%)	13 (5.5%)	
Age (year)	56.0 (±7.8)	58.8 (±6.9)	0.002 ^{γ,a}
Duration of T2D (year)	8.0 (±3.3)	9.1 (±4.5)	0.028 ^{γ,a}
BMI (kg/m²)			
Underweight (<18.50)	-	3 (1.3%)	0.210 ^δ
Normal weight (18.50 – 24.99)	34 (35.8%)	67 (28.4%)	
Overweight (25.00 – 29.99)	52 (54.7%)	128 (54.2%)	
Obesity (≥30.00)	9 (9.5%)	38 (16.1%)	
WC (cm)	107.4 (±15.0)	106.0 (±12.6)	0.393 ^γ
Male (cm)	106.8 (±13.6)	106.0 (±13.0)	-
Female (cm)	108.0 (±16.4)	106.0 (±12.3)	-
Laboratory values			
FPG (mmol/L)	8.7 (±1.7)	9.6 (±2.6)	0.065 ^{γ,a}
A1c (%)	6.2 (±0.4)	9.2 (±2.2)	<0.001 ^δ
Fasting Insulin (pmol/L)	131.4 (±70.9)	146.0 (±81.9)	0.130 ^{γ,a}
Total cholesterol (mmol/L)	5.3 (±1.5)	5.2 (±1.3)	0.612 ^γ
Triglyceride (mmol/L)	2.1 (±0.9)	2.3 (±1.0)	0.113 ^{γ,a}

Table 4.5, continued

Variables	Good glycemic control (A1c <7%) (n=95)	Suboptimal glycemic control (A1c ≥7%) (n=236)	p-value
Laboratory values			
HDL cholesterol (mmol/L)	1.4 (±0.5)	1.4 (±0.4)	0.960 ^γ
LDL cholesterol (mmol/L)	2.7 (±0.8)	2.5 (±0.9)	0.015 ^{γ,a}
DBP (mmHg)	79.1 (±9.1)	82.1 (±8.5)	0.005 ^{γ,a}
SBP (mmHg)	131.8 (±15.4)	134.1 (±15.6)	0.227 ^{γ,a}
ALT (IU/L)	27.2 (±6.9)	28.1 (±6.4)	0.279 ^γ
AST (IU/L)	19.7 (±5.3)	19.2 (±5.3)	0.429 ^γ
HOMAIR (%)	2.8 (±1.4)	3.2 (±1.8)	0.057 ^{γ,a}
sCD26 level (ng/ml)	630.6 (±99.0)	484.4 (±74.9)	0.009 ^{γ,a}
Comorbidities			
Hypertension	Yes 91 (95.8%) No 4 (4.2%)	Yes 227 (96.2%) No 9 (3.8%)	0.866 ^δ
Peripheral Neuropathy	Yes 15 (15.8%) No 80 (84.2%)	Yes 26 (11.0%) No 210 (89.0%)	0.233 ^δ
Dyslipidemia	Yes 77 (81.1%) No 18 (18.9%)	Yes 193 (81.8%) No 43 (18.2%)	0.877 ^δ

Table 4.5, continued

Variables	Good glycemic control (A1c <7%) (n=95)	Suboptimal glycemic control (A1c ≥7%) (n=236)	p-value
Antidiabetic regimens			0.173 ^{δ,a}
Biguanide	21 (22.1%)	66 (28.0%)	0.412 ^δ
Biguanide + Sulphonylurea	58 (61.1%)	148 (62.7%)	0.975 ^δ
Biguanide + Thiazolidinedione	13 (13.7%)	17 (7.2%)	0.869 ^δ
Biguanide + Sulphonylurea + Thiazolidinedione	3 (3.2%)	5 (2.1%)	0.046 ^{δ,a}
Treatment regimen			
Monotherapy	21 (22.1%)	66 (28.0%)	0.412 ^δ
Dual therapy	71 (74.7%)	165 (69.9%)	0.789 ^δ
Triple therapy	3 (3.2%)	5 (2.1%)	0.046 ^{δ,a}
Antidiabetic class	n=77	n=175	
Sulphonylurea	61 (79.2%)	153 (87.4%)	0.512 ^δ
Thiazolidinedione	16 (20.8%)	22 (12.6%)	0.238 ^{δ,a}
Biguanide	95 (100%)	236 (100%)	-

Results expressed as n (%) or mean values (SD). Chi-square test or t-test was used for P value where appropriate.). In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. No p value generated for 'Biguanide' in the 'Antidiabetic class' because that 'Biguanide' is a constant.

T2D: Type 2 diabetes; FPG: Fasting Plasma Glucose; A1c: glycated haemoglobin; WC: waist circumference; HDL: High Density Lipoprotein; LDL: Low Density Lipoprotein; SBP: Systolic Blood Pressure; DBP: Diastolic Blood Pressure; ALT: Alanine Transferase; AST: Aspartate Aminotransferase.

^δ Pearson Chi-Square

^γ Independent t-test

^a considered in multivariate analysis

4.4.1 Determination of Categorizations of Significant Variables Obtained From

Table 4.5 for Further Analyses in Association Models

Variables were dichotomized into categories based on the rationale presented in Section 4.2.2.

In addition, BMI was categorized into underweight (<18.50 kg/m²), normal weight (18.50 – 24.99 kg/m²), overweight (25.00 – 29.99 kg/m²) and obesity (≥30.00 kg/m²) as per WHO recommendations (Nuttall, 2015). The SBP target in diabetes is less than 130 mmHg (ADA, 2015), so SBP data were categorized into (1) less than 130 mmHg, and (2) greater than or equal to 130 mmHg (Table 4.6).

We used ROC curve to determine the cut-off point for sCD26 levels. However, since the AUC was not significant (p=0.117 (95%CI 0.488-0.623), area=0.56), this variable was excluded from the predictor model.

Table 4.6: Comparison of dichotomized variables from Table 4.5 with p value <0.25 between controls with optimal and suboptimal glycaemic control.

Significant variables	Control (n=331)	A1c < 7%	A1c ≥ 7%	p value
Ethnicity				
Malay	169 (51.1%)*	57 (60.0%)	112 (47.5%)	0.111 ^{δ,a}
Chinese	89 (26.9%)	18 (18.9%)	71 (30.1%)	
Indian	57 (17.2%)	17 (17.9%)	40 (16.9%)	
Others	16 (4.8%)	3 (3.2%)	13 (5.5%)	
Age				
< 65 years old	267 (80.7%)*	82 (86.3%)	185 (78.4%)	0.099 ^{δ,a}
≥ 65 years old	64 (19.3%)	13 (13.7%)	51 (21.6%)	
Duration of T2D				
< 10 years	248 (74.9%)*	77 (81.1%)	171 (72.5%)	0.103 ^{δ,a}
≥ 10 years	83 (25.1%)	18 (18.9%)	65 (27.5%)	
BMI				
Underweight (<18.50)	3 (0.9%)	-	3 (1.3%)	0.233 ^{ρ,a}
Normal weight (18.50 – 24.99)	101 (30.5%)	34 (35.8%)	67 (28.4%)	
Overweight (25.00 – 29.99)	180 (54.4%)*	52 (54.7%)	128 (54.2%)	
Obesity (≥30.00)	47 (14.2%)	9 (9.5%)	38 (16.1%)	

Table 4.6, continued

Significant variables	Control (n=331)	A1c < 7%	A1c ≥ 7%	p value
FPG				
< 7 mmol/L	26 (7.9%)	8 (8.4%)	18 (7.6%)	0.808 ^δ
≥ 7 mmol/L	305 (92.1%)*	87 (91.6%)	218 (92.4%)	
Insulin				
< 174 pmol/L	216 (65.3%)*	66 (69.5%)	150 (63.6%)	0.307 ^δ
≥ 174 pmol/L	115 (34.7%)	29 (30.5%)	86 (36.4%)	
Triglycerides				
< 1.7 mmol/L	87 (26.3%)	26 (27.4%)	61 (25.8%)	0.776 ^δ
≥ 1.7 mmol/L	244 (73.7%)*	69 (72.6%)	175 (74.2%)	
LDL cholesterol				
< 2.6 mmol/L	179 (54.1%)*	44 (46.3%)	135 (57.2%)	0.072 ^{δ,a}
≥ 2.6 mmol/L	152 (45.9%)	51 (53.7%)	101 (42.8%)	
DBP				
< 90 mmHg	228 (68.9%)*	70 (73.7%)	158 (66.9%)	0.231 ^{δ,a}
≥ 90 mmHg	103 (31.1%)	25 (26.3%)	78 (33.1%)	
SBP				
< 130 mmHg	106 (32.0%)	35 (36.8%)	71 (30.1%)	0.233 ^{δ,a}
≥ 130 mmHg	225 (68.0%)*	60 (63.2%)	165 (69.9.3%)	
HOMAIR				
< 3.875	220 (66.5%)*	70 (73.7%)	150 (63.6%)	0.078 ^{δ,a}
≥ 3.875	111 (33.5%)	25 (26.3%)	86 (36.4%)	
Peripheral Neuropathy				
Yes	41 (12.4%)	15 (15.8%)	26 (11.0%)	0.233 ^{δ,a}
No	290 (87.6%)*	80 (84.2%)	210 (89.0%)	
Biguanide + Sulphonylurea + Thiazolidinedione				
Yes	8 (2.4%)	3 (37.5%)	5 (62.5%)	0.032 ^{δ,a}
No	323 (97.6%)*	92 (28.5%)	231 (71.5%)	

Table 4.6, continued

Significant variables	Control (n=331)	A1c < 7%	A1c ≥ 7%	p value
Thiazolidinedione				
Yes	38 (11.5%)	16 (42.1%)	22 (57.9%)	0.238 ^{δ,a}
No	293 (88.5%)*	79 (27.0%)	214 (73.0%)	

* Chosen as reference category. In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. Although Triple therapy was significant (Table 4.5), we did not include it in this dichotomization table because it is the same with 'Biguanide + SU + TZD'.

T2D: Type 2 diabetes; FPG: Fasting plasma glucose; LDL: Low-density lipoprotein; DBP: Diastolic blood pressure; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.

^δ Pearson Chi-Square

^ρ Fisher's Exact test

^a considered in multivariate analysis

4.5 Comparison of Demographic, Anthropometric, Clinical and Biochemical Characteristics in Participants with Good and Suboptimal Glycemic Control on Oral Antidiabetics (with or without DPP-4 Inhibitor Therapy) in Overall Study Population (Table 4.7)

Overall, 36.7% of patients who were oral antidiabetics users exhibited optimal glycemic control of A1c<7%. Age, duration of T2D, FPG, fasting insulin levels, triglycerides, LDL cholesterol, DBP, AST and HOMAIR were found to be statistically significantly different (p<0.05) between patients with good and suboptimal glycemic control on any oral antidiabetic therapy (except for SGLT2 inhibitors) (Table 4.7). DPP-4 inhibitor therapy use was significantly higher in those with optimal glycemic control and use of sulphonylureas significantly higher in those with suboptimal glycemic control (p<0.05). Use of monotherapy and dual therapy reached the threshold of clinical significant difference (p<0.25) between those with optimal and suboptimal glycemic control on oral antidiabetic therapy. All significant variables with p<0.25 were subjected for further analysis in association model.

Table 4.7: Comparison of demographic, anthropometric, clinical and laboratory characteristics of T2D patients (n=662) with good and suboptimal glycemic control.

Variables	Good glycemic control (A1c <7%) (n=243)	Suboptimal glycemic control (A1c ≥7%) (n=419)	p-value
Gender			
Male	124 (51.0%)	207 (49.4%)	0.687 ^δ
Female	119 (49.0%)	212 (50.6%)	
Ethnicity			
Malay	127 (52.3%)	192 (45.8%)	0.462 ^δ
Chinese	63 (25.9%)	125 (29.8%)	
Indian	41 (16.9%)	79 (18.9%)	
Others	12 (4.9%)	23 (5.5%)	
Age (year)	56.8 (±7.8)	58.0 (±6.9)	0.036 ^{γ,a}
Duration of T2D (year)	8.4 (±3.8)	9.2 (±4.5)	0.043 ^{γ,a}
BMI (kg/m²)			
Underweight (<18.50)	9 (3.7%)	10 (2.4%)	0.449 ^δ
Normal weight (18.50 – 24.99)	81 (33.3%)	132 (31.5%)	
Overweight (25.00 – 29.99)	133 (54.7%)	229 (54.7%)	
Obesity (≥30.00)	20 (8.2%)	48 (11.5%)	
WC (cm)	105.5 (±14.1)	104.3 (±12.3)	0.284 ^γ
Male (cm)	106.3 (±13.7)	104.1 (±12.5)	-
Female (cm)	104.5 (±14.4)	104.5 (±12.1)	-
Laboratory values			
FPG (mmol/L)	8.9 (±1.7)	9.3 (±2.3)	0.008 ^{γ,a}
A1c (%)	6.3 (±0.4)	8.9 (±1.9)	<0.001 ^δ
Fasting Insulin (pmol/L)	124.8 (±73.6)	137.4 (±81.4)	0.047 ^{γ,a}
Total cholesterol (mmol/L)	5.0 (±1.3)	5.1 (±1.2)	0.654 ^γ
Triglyceride (mmol/L)	1.5 (±0.6)	1.6 (±0.8)	0.029 ^{γ,a}

Table 4.7, continued

Variables	Good glycemic control (A1c <7%) (n=243)	Suboptimal glycemic control (A1c ≥7%) (n=419)	p-value
HDL cholesterol (mmol/L)	1.2 (±0.4)	1.3 (±0.4)	0.392 ^γ
LDL cholesterol (mmol/L)	2.6 (±0.8)	2.5 (±0.8)	0.016 ^{γ,a}
DBP (mmHg)	78.1 (±9.0)	80.4 (±9.3)	0.009 ^{γ,a}
SBP (mmHg)	130.7 (±14.0)	131.9 (±14.6)	0.317 ^γ
ALT (IU/L)	26.6 (±7.1)	26.9 (±6.7)	0.559 ^γ
AST (IU/L)	20.7 (±5.5)	19.8 (±5.5)	0.038 ^{γ,a}
HOMAIR (%)	2.6 (±1.5)	2.9 (±1.7)	0.013 ^{γ,a}
sCD26 level (ng/ml)	542.7 (±113.2)	549.4 (±104.1)	0.441 ^γ
Comorbidities			
Hypertension	Yes 231 (95.1%) No 12 (4.9%)	Yes 395 (94.3%) No 24 (5.7%)	0.666 ^δ
Peripheral Neuropathy	Yes 37 (15.2%) No 206 (84.8%)	Yes 59 (14.1%) No 360 (85.9%)	0.687 ^δ
Dyslipidemia	Yes 180 (76.1%) No 63 (25.9%)	Yes 326 (77.8%) No 93 (22.2%)	0.276 ^δ
Antidiabetic regimens			<0.001 ^{δ,a}
DPP-4 inhibitor + biguanide	121 (49.8%)	157 (37.5%)	<0.001 ^{δ,a}
DPP-4 inhibitor + biguanide + SU	23 (9.5%)	20 (4.8%)	0.691 ^δ
DPP-4 inhibitor + biguanide + TZD	4 (1.6%)	6 (1.4%)	0.339 ^ρ
Biguanide	21 (8.6%)	66 (15.8%)	0.018 ^{δ,a}
Biguanide + SU	58 (23.9%)	148 (35.3%)	0.004 ^{δ,a}

Table 4.7, continued

Variables	Good glycemic control (A1c <7%) (n=243)	Suboptimal glycemic control (A1c ≥7%) (n=419)	p-value
Antidiabetic regimens			
Biguanide + TZD	13 (5.3%)	17 (4.1%)	0.435 ^δ
Biguanide + SU + TZD	3 (1.3%)	5 (1.1%)	0.151 ^{ρ,a}
Treatment regimen			
Monotherapy	21 (8.6%)	66 (15.8%)	0.018 ^{δ,a}
Dual therapy	197 (81.1%)	317 (75.7%)	0.107 ^{δ,a}
Triple therapy	24 (9.9%)	37 (8.8%)	0.654 ^δ
Antidiabetic class	n=252	n=384	
DPP-4 inhibitors	148 (58.7%)	183 (47.7%)	<0.001 ^{δ,a}
Sulphonylureas	84 (33.3%)	173 (45.1%)	0.027 ^{δ,a}
Thiazolidinedione	20 (8.0%)	28 (7.2%)	0.615 ^δ
Biguanide	243 (100%)	419 (100%)	-

Results expressed as n (%) or mean values (SD). Chi-square test or t-test was used for P value where appropriate. In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004) were considered in multivariate analysis. No p value generated for 'Biguanide' in the 'Antidiabetic class' because that 'Biguanide' is a constant.

T2D: Type 2 diabetes; FPG: Fasting Plasma Glucose; A1c: glycated haemoglobin; WC: waist circumference; HDL: High Density Lipoprotein; LDL: Low Density Lipoprotein; SBP: Systolic Blood Pressure; DBP: Diastolic Blood Pressure; ALT: Alanine Transferase; AST: Aspartate Aminotransferase; SU: sulphonylurea; TZD: thiazolidinedione.

^δ Pearson Chi-Square

^ρ Fisher's Exact test

^γ Independent t-test

^a considered in multivariate analysis

4.5.1 Dichotomization of Significant Variables Obtained From Table 4.7 for Further Analyses in Association Models

Variables were dichotomized into categories based on the rationale presented in Section 4.2.2.

Table 4.8: Comparison of dichotomized variables from Table 4.7 with p value <0.25 between participants with optimal and suboptimal glycemic control on any oral antidiabetic agent (except SGLT2 inhibitors) in overall study population (n=662).

All significant variables from Table 4.7 were each divided into categories before they were entered into the regression model.

Significant variables	n=662	A1c < 7% (n=243)	A1c ≥ 7% (n=419)	p value
Age				
< 65 years old	539 (81.4%)*	213 (87.7%)	326 (77.8%)	0.607 ^δ
≥ 65 years old	123 (18.6%)	30 (12.3%)	93 (22.2%)	
Duration of T2D				
< 10 years	485 (73.3%)*	192 (79.0%)	293 (69.9%)	0.011 ^{δ,a}
≥ 10 years	177 (26.7%)	51 (21.0%)	126 (30.1%)	
FPG				
< 7 mmol/L	323 (48.8%)	137 (56.4%)	186 (44.4%)	0.003 ^{δ,a}
≥ 7 mmol/L	339 (51.2%)*	106 (43.6%)	233 (55.6%)	
Insulin				
< 174 pmol/L	455 (68.7%)*	173 (71.2%)	282 (67.3%)	0.298 ^δ
≥ 174 pmol/L	207 (31.3%)	70 (28.8%)	137 (32.7%)	
Triglycerides				
< 1.7 mmol/L	377 (56.9%)*	163 (67.1%)	214 (51.1%)	<0.001 ^{δ,a}
≥ 1.7 mmol/L	285 (43.1%)	80 (32.9%)	205 (48.9%)	
LDL cholesterol				
< 2.6 mmol/L	387 (58.5%)*	131 (53.9%)	256 (61.1%)	0.070 ^δ
≥ 2.6 mmol/L	275 (41.5%)	112 (46.1%)	163 (38.9%)	

Table 4.8, continued

Significant variables	n=662	A1c < 7% (n=243)	A1c ≥ 7% (n=419)	p value
DBP				
< 90 mmHg	465 (70.2%)*	185 (76.1%)	280 (66.8%)	0.012 ^{δ,a}
≥ 90 mmHg	197 (29.8%)	58 (23.9%)	139 (33.2%)	
AST				
≤31 U/L	651 (98.3%)*	238 (97.9%)	413 (98.6%)	0.544 ^δ
> 31 U/L	11 (1.7%)	5 (2.1%)	6 (1.4%)	
HOMA1R				
< 3.875	476 (71.9%)*	186 (76.5%)	290 (69.2%)	0.043 ^{δ,a}
≥ 3.875	186 (28.1%)	57 (23.5%)	129 (30.8%)	
DPP-4 inhibitor + biguanide				
Yes	278 (42.0%)	121 (49.8%)	157 (37.5%)	<0.001 ^{δ,a}
No	384 (58.0%)*	122 (50.2%)	262 (62.5%)	
DPP-4 inhibitor + biguanide + SU				
Yes	43 (6.5%)	23 (9.5%)	20 (4.8%)	0.691 ^δ
No	619 (93.5%)*	220 (90.5%)	399 (95.2%)	
DPP-4 inhibitor + biguanide + TZD				
Yes	10 (1.5%)	4 (1.6%)	6 (1.4%)	0.339 ^ρ
No	652 (98.5%)*	239 (98.4%)	413 (98.6%)	
Biguanide				
Yes	87 (13.1%)	21 (8.6%)	66 (15.8%)	0.018 ^{δ,a}
No	575 (86.9%)*	222 (91.4%)	353 (84.2%)	
Biguanide + SU				
Yes	206 (31.1%)	58 (23.9%)	148 (35.3%)	0.004 ^{δ,a}
No	456 (68.9%)*	185 (76.1%)	271 (64.7%)	
Biguanide + TZD				
Yes	30 (4.5%)	13 (5.3%)	17 (4.1%)	0.435 ^δ
No	632 (95.5%)*	230 (94.7%)	402 (95.9%)	

Table 4.8, continued

Significant variables	n=662	A1c < 7% (n=243)	A1c ≥ 7% (n=419)	p value
Biguanide + SU + TZD				
Yes	8 (1.2%)	3 (1.3%)	5 (1.1%)	0.151 ^{ρ,a}
No	654 (98.8%)*	240 (98.7%)	414 (98.9%)	
Monotherapy				
Yes	87 (13.1%)	22 (9.1%)	65 (15.5%)	0.018 ^{δ,a}
No	575 (86.9%)*	221 (90.9%)	354 (84.5%)	
Dual therapy				
Yes	514 (77.6%)*	278 (54.1%)	236 (45.9%)	0.107 ^{δ,a}
No	148 (22.4%)	53 (35.8%)	95 (64.2%)	

* Designated the reference category. In univariate analysis, all variables with p value of < 0.250 (Hosmer & Lemeshow, 2004, p95) were considered in multivariate analysis.

T2D: Type 2 diabetes; FPG: Fasting plasma glucose; LDL: Low-density lipoprotein; DBP: Diastolic blood pressure; AST: aspartate aminotransferase; HOMAIR: Homeostasis Model Assessment of Insulin Resistance; SU: sulphonylurea; TZD: thiazolidinedione

^δ Pearson Chi-Square

^ρ Fisher's Exact test

^a considered in multivariate analysis

RESULTS PART 2 : GENETICS STUDY

4.6 Genetic Polymorphisms Study

Genotyping of 9 single nucleotide polymorphisms (SNPs) were done for the 3 candidate genes: DPP4, WFS1 and KCNJ11 genes. The DPP4 SNPs genotyped were rs2970932, rs2268889 and rs1861975. Meanwhile, the WFS1 SNPs genotyped were rs1046320, rs734312 and rs10010131 and the KCNJ11 SNPs genotyped were rs2285676, rs5218 and rs5210 (Table 4.9-4.17).

4.6.1 Genotyping Results for Case Group on DPP-4 Inhibitor Therapy

For DPP4 gene polymorphisms, none of the genotypes were found to be significantly associated with DPP-4 inhibitor treatment response (i.e. $A1c < 7\%$). However, all of the DPP4 gene polymorphisms were in HWE in the case population (Table 4.9).

Among the WFS1 gene polymorphisms studied, only WFS1 rs734312 polymorphism was found to be associated with DPP-4 inhibitor treatment response (OR= 1.7 (1.086-2.650), $p=0.019$) (Table 4.10). Patients with WFS1 rs734312 (allele A) were 1.7 times more likely to respond to DPP-4 inhibitor therapy compared to other patients. WFS1 rs734312 and rs10010131 in the case population were in HWE. However, WFS1 rs1046320 polymorphism in the case population was not in HWE (Table 4.10).

As for KCNJ11 gene polymorphisms, analyses found that the KCNJ11 rs2285676 polymorphism was associated with DPP-4 inhibitor treatment response (OR= 1.5 (1.083-2.019), $p=0.033$) (Table 4.11). Patients with KCNJ11 rs2285676 (allele C) were

1.5 times more likely to respond to DPP-4 inhibitor therapy compared to other patients. All of the KCNJ11 gene polymorphisms studied in the case population were in HWE (Table 4.11).

4.6.2 Genotyping Results for Control Group Not on DPP-4 Inhibitor Therapy

For DPP4 gene polymorphisms, although all of the genotypes were not found to be associated with non DPP-4 inhibitor therapy oral antidiabetic treatment response (A1c <7%), DPP4 rs2970932 polymorphism and DPP4 rs1861975 polymorphism in the control population are in HWE (Table 4.12).

Additionally, no significant associations were found between non DPP-4 inhibitor therapy oral antidiabetic treatment response (A1c <7%) and each of the WFS1 polymorphisms (rs1046320, rs734312 and rs10010131) (Table 4.13). However, all of these polymorphisms were found to be in HWE in the population (Table 4.13).

Similar findings were also observed with KCNJ11 gene polymorphisms, wherein non DPP-4 inhibitor oral antidiabetic therapy showed no significant associations with these genotypes in terms of optimal glycemic control (A1c<7%). All of the KCNJ11 gene polymorphisms in the population were in HWE (Table 4.14).

4.6.3 Genotyping Results for Whole Study Population Group on Any Oral Antidiabetic Therapy (n=662) Excluding SGLT2 Inhibitors

In the whole population on any oral antidiabetic therapy (excluding SGLT2 inhibitors) none of the genotypes of the DPP4 gene polymorphisms were significantly associated with treatment response (i.e. optimal glycemia of A1c<7%). DPP4 rs2970932 and rs1861975 polymorphisms were in HWE in the study population.

However, the DPP4 rs2268889 polymorphism was not in HWE in study population (Table 4.15).

All of the WFS1 gene polymorphisms studied were not found to be associated with oral antidiabetic treatment response ($A1c < 7\%$) in the study population (Table 4.16). WFS1 rs734312 and rs10010131 in the study population were in HWE. However, WFS1 rs1046320 polymorphism in the study population was not in HWE (Table 4.16).

As for KCNJ11 gene polymorphisms, analyses found that the KCNJ11 rs2285676 polymorphism was found to be associated with oral antidiabetic treatment response ($A1c < 7\%$) (OR= 1.2 (0.934-1.467), $p=0.037$) (Table 4.17). All of the KCNJ11 gene polymorphisms studied in the whole population were in HWE (Table 4.17).

4.6.4 Genotype Selection for Association Model

The genotypes of WFS1 rs734312 ($X^2=5.479$, $p=0.019$, HWE=0.7759) and KCNJ11 rs2285676 ($X^2=4.559$, $p=0.033$, HWE=0.9596) were subjected to further analysis and incorporated into the association model in case group. Additionally, only KCNJ11 rs2285676 ($X^2=4.387$, $p=0.037$, HWE=0.5997) was included in the association model for the whole study population group. None of the gene polymorphisms were included in the association model for the control group due to lack of significance (Table 4.9, Table 4.10 and Table 4.11). Although the p-values did not achieve significant levels after the Bonferroni adjustment ($p < 0.0056$) for both polymorphisms, both WFS1 rs734312 and KCNJ11 rs2285676 polymorphisms were nominally significant ($p < 0.05$) in case and whole study population groups and commonly associated with T2D (Cheng et al., 2013; Haghvirdizadeh et al., 2015).

Table 4.9: The DPP4 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in case group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		C/C	C/T	T/T	C	T					
DPP4	rs2970932	C/C	C/T	T/T	C	T	0.127	0.8735	1.088	0.8 (0.526-1.293)	0.297
	A1c <7%	108 (73.0)	37 (25.0)	3 (2.0)	253 (85.5)	43 (14.5)					
	A1c ≥7%	141 (77.0)	39 (21.3)	3 (1.6)	321 (87.7)	45 (12.3)					
	Total	249 (75.2)	76 (23.0)	6 (1.8)	574 (86.7)	88 (13.3)					
	rs2268889	A/A	A/G	G/G	A	G	0.400	0.5537	0.158	0.9 (0.686-1.283)	0.691
	A1c <7%	22 (14.9)	72 (48.9)	54 (36.5)	116 (39.2)	180 (60.8)					
	A1c ≥7%	34 (18.6)	81 (44.3)	68 (37.2)	149 (40.7)	217 (59.3)					
	Total	56(16.9)	153(46.2)	122(36.9)	265 (40.0)	397 (60.0)					
	rs1861975	A/A	A/C	C/C	A	C	0.366	0.2985	0.379	1.1 (0.804-1.518)	0.538
A1c <7%	25 (16.9)	62 (41.9)	61 (41.2)	112 (37.8)	184 (62.2)						
A1c ≥7%	24 (13.1)	82 (44.8)	77 (42.1)	130 (35.5)	236 (64.5)						
Total	49(14.8)	144(43.5)	138(41.7)	242 (36.6)	420 (63.4)						

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test

Table 4.10: The WFS1 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in case group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		A/A	A/G	G/G	A	G					
WFS1	rs1046320	A/A	A/G	G/G	A	G	0.252	4.3859 x 10 ⁻¹¹	0.004	1.0 (0.695-1.408)	0.953
	A1c <7%	96 (64.9)	29 (19.6)	23 (15.5)	221 (74.7)	75 (25.3)					
	A1c ≥7%	113 (61.7)	48 (26.2)	22 (12.0)	274 (74.9)	92 (25.1)					
	Total	209(63.1)	77(23.3)	45(13.6)	495 (74.8)	167 (25.2)					
	rs734312	A/A	A/G	G/G	A	G	0.137	0.7759	5.479	1.7 (1.086-2.650)	0.019*
	A1c <7%	2 (1.4)	47 (31.8)	99 (66.9)	51 (17.2)	245 (82.8)					
	A1c ≥7%	3 (1.6)	34 (18.6)	146 (79.8)	40 (10.9)	326 (89.1)					
	Total	5(1.5)	81(24.5)	245(74.0)	91 (13.7)	571 (86.3)					
	rs10010131	A/A	A/G	G/G	A	G	0.292	0.8938	0.321	1.1 (0.786-1.546)	0.571
A1c <7%	78 (52.7)	57 (38.5)	13 (8.8)	213 (72.0)	83 (28.0)						
A1c ≥7%	87 (47.5)	82 (44.8)	14 (7.7)	256 (69.9)	110 (30.1)						
Total	165(49.8)	139(42.0)	27(8.2)	469 (70.8)	193 (29.2)						

* statistically significant (p<0.05)

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95% CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.11: The KCNJ11 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in case group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		C/C	C/T	T/T	C	T					
KCNJ11	rs2285676	C/C	C/T	T/T	C	T	0.441	0.9596	4.559	1.5 (1.083-2.019)	0.033*
	A1c<7%	60 (40.5)	62 (41.9)	26 (17.6)	182 (59.1)	114 (40.9)					
	A1c ≥7%	46 (25.1)	98 (53.6)	39 (21.3)	190 (51.9)	176 (48.1)					
	Total	106(32.0)	160(48.3)	65(19.6)	372 (56.2)	290 (43.8)					
	rs5218	A/A	A/G	G/G	A	G	0.366	1.0000	0.379	1.1 (0.804-1.518)	0.538
	A1c<7%	17 (11.5)	78 (52.7)	53 (35.8)	112 (37.8)	184 (62.2)					
	A1c ≥7%	27 (14.8)	76 (41.5)	80 (43.7)	130 (35.5)	236 (64.5)					
	Total	44(13.3)	154(46.5)	133(40.2)	242 (36.6)	420 (63.4)					
	rs5210	A/A	A/G	G/G	A	G	0.458	0.1363	0.298	0.9 (0.674-1.249)	0.585
A1c<7%	24 (16.2)	84 (56.8)	40 (27.0)	132 (44.6)	164 (55.4)						
A1c ≥7%	38 (20.8)	95 (51.9)	50 (27.3)	171 (46.7)	195 (53.3)						
Total	62(18.7)	179(54.1)	90(27.2)	303 (45.8)	359 (54.2)						

* statistically significant (p<0.05)

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95% CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.12: The DPP4 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in control group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		C/C	C/T	T/T	C	T					
DPP4	rs2970932	C/C	C/T	T/T	C	T	0.177	0.0545	0.102	0.9 (0.564-1.374)	0.749
	A1c<7%	65 (68.4)	26 (27.4)	4 (4.2)	156 (82.1)	34 (17.9)					
	A1c ≥7%	172 (72.9)	52 (22.0)	12 (5.1)	396 (83.9)	76 (16.1)					
	Total	237 (71.6)	78 (23.6)	16 (4.8)	552 (83.4)	110 (16.6)					
	rs2268889	A/A	A/G	G/G	A	G	0.417	0.0031	1.173	0.8 (0.586-1.169)	0.279
	A1c<7%	17 (17.9)	39 (41.1)	39 (41.1)	73 (38.4)	117 (61.6)					
	A1c ≥7%	54 (22.9)	95 (40.3)	87 (36.9)	203 (43.0)	269 (57.0)					
	Total	71(21.5)	134(40.5)	126(38.1)	276 (41.7)	386 (58.3)					
	rs1861975	A/A	A/C	C/C	A	C	0.411	0.7759	0.287	0.9 (0.646-1.284)	0.592
A1c<7%	17 (17.9)	41 (43.2)	37 (38.9)	75 (39.5)	115 (60.5)						
A1c ≥7%	37 (15.7)	123(52.1)	76 (32.2)	197 (41.7)	275 (58.3)						
Total	54(16.3)	164(49.5)	113(34.1)	272 (41.1)	390 (58.9)						

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.13: The WFS1 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in control group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
WFS1	rs1046320	A/A	A/G	G/G	A	G	0.177	0.0545	0.593	0.8 (0.548-1.300)	0.441
	A1c<7%	63 (66.3)	27 (28.4)	5 (5.3)	153 (80.5)	37 (19.5)					
	A1c≥7%	167 (70.8)	58 (24.6)	11 (4.7)	392 (83.1)	80 (16.9)					
	Total	230(69.5)	85(25.7)	16(4.8)	545 (82.3)	117 (17.7)					
	rs734312	A/A	A/G	G/G	A	G	0.177	0.1162	0.338	0.9 (0.554-1.418)	0.561
	A1c<7%	4 (4.2)	20 (21.1)	71 (74.7)	28 (14.7)	162 (85.3)					
	A1c≥7%	11 (4.7)	55 (23.3)	170 (72.0)	77 (16.3)	395 (83.7)					
	Total	15(4.5)	75(22.7)	241(72.8)	105 (15.9)	557 (84.1)					
	rs10010131	A/A	A/G	G/G	A	G	0.313	0.5629	1.412	1.2 (0.843-1.778)	0.235
	A1c<7%	49 (51.6)	40 (42.1)	6 (6.3)	138 (72.6)	52 (27.4)					
	A1c≥7%	113(47.9)	97 (41.1)	26 (11.0)	323 (68.4)	149 (31.6)					
	Total	162(48.9)	137(41.4)	32(9.7)	461 (69.6)	201 (30.4)					

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.14: The KCNJ11 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in control group.

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		C/C	C/T	T/T	C	T					
KCNJ11	rs2285676	C/C	C/T	T/T	C	T	0.450	0.2258	0.359	0.9 (0.662-1.222)	0.549
	A1c<7%	21 (22.1)	57 (60.0)	17 (17.9)	99 (52.1)	91 (47.9)					
	A1c≥7%	70 (29.7)	122 (51.7)	44 (18.6)	262 (55.5)	210 (44.5)					
	Total	91(27.5)	179(54.1)	61(18.4)	361 (54.5)	301 (45.5)					
	rs5218	A/A	A/G	G/G	A	G	0.340	0.2834	2.334	1.3 (0.925-1.865)	0.127
	A1c<7%	14 (14.7)	45 (47.4)	36 (37.9)	73 (38.4)	117 (61.6)					
	A1c≥7%	29 (12.3)	94 (39.8)	113 (47.9)	152 (32.2)	320 (67.8)					
	Total	43(13.0)	139(42.0)	149(45.0)	225 (34.0)	437 (66.0)					
	rs5210	A/A	A/G	G/G	A	G	0.473	0.1433	0.790	0.9 (0.613-1.202)	0.374
	A1c<7%	26 (27.4)	43 (45.3)	26 (27.4)	95 (50.0)	95 (50.0)					
	A1c≥7%	73 (30.9)	108 (45.8)	55 (23.3)	254 (53.8)	218 (46.2)					
	Total	99(29.9)	151(45.6)	81(24.5)	349 (52.7)	313 (47.3)					

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.15: The DPP4 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in study population (n=662).

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		C/C	C/T	T/T	C	T					
DPP4	rs2970932	C/C	C/T	T/T	C	T	0.152	0.0636	0.262	0.8 (0.657-1.223)	0.609
	A1c<7%	173 (71.2)	63 (25.9)	7 (2.9)	409 (84.2)	77 (15.8)					
	A1c≥7%	313 (74.7)	91 (21.7)	15 (3.6)	717 (85.6)	121 (14.4)					
	Total	486 (73.4)	154 (23.3)	22 (3.3)	1126 (85.0)	198 (15.0)					
	rs2268889	A/A	A/G	G/G	A	G	0.409	0.0097	1.236	0.9 (0.699-1.104)	0.266
	A1c<7%	39 (16.0)	111 (45.7)	93 (38.3)	189 (38.4)	297 (61.6)					
	A1c≥7%	88 (21.0)	176 (42.0)	155 (37.0)	352 (42.0)	486 (58.0)					
	Total	127 (19.2)	287 (43.4)	248 (37.4)	541 (40.9)	783 (59.1)					
	rs1861975	A/A	A/C	C/C	A	C	0.388	0.6404	0.038	1.0 (0.777-1.229)	0.845
	A1c<7%	42 (17.3)	103 (42.4)	98 (40.3)	187 (38.5)	299 (61.5)					
	A1c≥7%	61 (14.6)	205 (48.9)	153 (36.5)	327 (39.0)	511 (61.0)					
	Total	103 (15.6)	308 (46.5)	251 (37.9)	514 (38.8)	810 (61.2)					

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.16: The WFS1 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in study population (n=662).

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
		A/A	A/G	G/G	A	G					
WFS1	rs1046320	A/A	A/G	G/G	A	G	0.215	4.4452 x 10 ⁻¹¹	1.160	0.9 (0.659-1.129)	0.282
	A1c<7%	159 (65.4)	56 (23.0)	28 (11.6)	374 (77.0)	112 (23.0)					
	A1c≥7%	280 (66.8)	106 (25.3)	33 (7.9)	666 (79.5)	172 (20.5)					
	Total	439 (66.3)	162 (24.5)	61 (9.2)	1040 (78.5)	284 (21.5)					
	rs734312	A/A	A/G	G/G	A	G	0.157	0.3411	0.784	1.2 (0.877-1.631)	0.376
	A1c<7%	6 (2.5)	67 (27.6)	170 (69.9)	79 (16.3)	407 (83.7)					
	A1c≥7%	14 (3.3)	89 (21.2)	316 (75.5)	117 (14.0)	721 (86.0)					
	Total	20 (3.0)	156 (23.6)	486 (73.4)	196 (14.8)	1128 (85.2)					
	rs10010131	A/A	A/G	G/G	A	G	0.302	0.8238	1.808	1.2 (0.910-1.489)	0.179
	A1c<7%	127 (52.3)	97 (39.9)	19 (7.8)	351 (72.2)	135 (27.8)					
	A1c≥7%	200 (47.7)	179 (42.7)	40 (9.6)	579 (69.1)	259 (30.9)					
	Total	327 (49.4)	276 (41.7)	59 (8.9)	930 (70.2)	394 (29.8)					

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

Table 4.17: The KCNJ11 gene polymorphisms, genotypes, allele distributions and associations with DPP-4 inhibitor treatment response in study population (n=662).

Gene	SNP	Genotype [n (%)]			Alleles [n(%)]		MAF	HWE p value	X ²	Unadjusted OR (95% CI)	P value
KCNJ11	rs2285676	C/C	C/T	T/T	C	T	0.499	0.5997	4.387	1.2 (0.934-1.467)	0.037*
	A1c<7%	81 (33.3)	119 (49.0)	43 (17.7)	281 (57.8)	205 (42.2)					
	A1c ≥7%	116 (27.7)	220 (52.5)	83 (19.8)	452 (53.9)	386 (46.1)					
	Total	197 (29.8)	339 (51.2)	126 (19.0)	733 (55.4)	591 (44.6)					
	rs5218	A/A	A/G	G/G	A	G	0.353	0.4680	2.625	1.2 (0.960-1.529)	0.105
	A1c<7%	31 (12.8)	123 (50.6)	89 (36.6)	185 (38.1)	301 (61.9)					
	A1c ≥7%	56 (13.4)	170 (40.6)	193 (46.0)	282 (33.7)	556 (66.3)					
	Total	87 (13.1)	293 (44.3)	282 (42.6)	467 (35.3)	857 (64.7)					
	rs5210	A/A	A/G	G/G	A	G	0.492	0.9892	1.977	0.9 (0.681-1.065)	0.160
	A1c<7%	50 (20.6)	127 (52.3)	66 (27.1)	227 (46.7)	259 (53.3)					
	A1c ≥7%	111 (26.5)	203 (48.4)	105 (25.1)	425 (50.7)	413 (49.3)					
	Total	161 (24.3)	330 (49.8)	171 (25.9)	652 (49.2)	672 (50.8)					

* statistically significant (p<0.05)

MAF: Minor Allele Frequency; X²: Chi square test; OR: Odds Ratio; 95%CI: 95% Confidence Interval
Hardy-Weinberg Equilibrium (HWE) p value of more than 0.05 is considered consistent with the HWE test.

4.6.5 Summary of Positive Genotyping Results: Comparison Between Groups

Table 4.18: Summary of positive genotyping results: comparison between groups.

Results obtained from Table 4.10, Table 4.11 and Table 4.17.

Characteristics	Unadjusted OR (95% CI) for case group (n=331)	Unadjusted OR (95% CI) for control group (n=331)	Unadjusted OR (95% CI) for study population (n=662)
WFS1 rs734312	1.7 (1.086-2.650)*	-	-
KCNJ11 rs2285676	1.5 (1.083-2.019)*	-	1.2 (0.934-1.467)*

* p<0.05: statistically significant

KCNJ11 rs2285676 polymorphism was found to be positively associated with DPP-4 inhibitor treatment response (Table 4.11 and Table 4.17). By comparing the odds ratio between the case and the whole study population group (Table 4.18), KCNJ11 rs2285676 polymorphism in the case group was more likely to be associated with DPP-4 inhibitor treatment response than in the whole study population group (OR: 1.5 vs. 1.2; case group vs. study population group, respectively) and not at all in the control group. This indicates the possibility of KCNJ11 rs2285676 polymorphism being exclusively correlative of response to DPP-4 inhibitor treatment rather than other oral antidiabetic treatments. WFS1 rs734312 polymorphism however as not found to be associated with oral antidiabetic (including DPP-4 inhibitors) treatment response in the whole study population (Table 4.18) indicating the possibility that this gene might not be strongly associated with DPP-4 inhibitor treatment response.

4.6.6 Linkage Disequilibrium of DPP4, WFS1 and KCNJ11 Gene Polymorphisms

4.6.6.1 Linkage Disequilibrium Between DPP4 Gene Polymorphisms in Patients with T2D According to the Response to DPP-4 Inhibitors

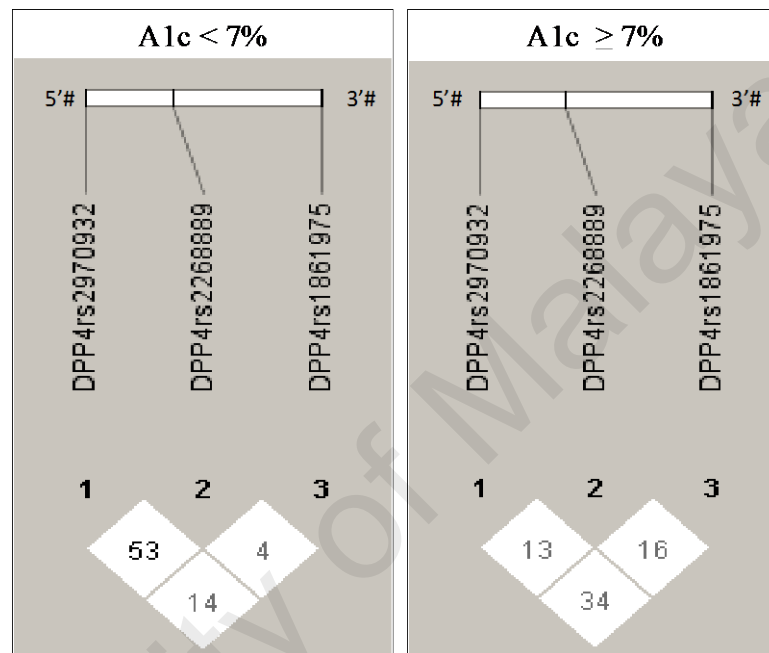


Figure 4.2: Linkage disequilibrium (LD) structure of DPP4 polymorphisms (rs2970932, rs2268889 and rs1861975) for good DPP-4 inhibitor treatment response (A1c < 7%) and poor DPP-4 inhibitor treatment response (A1c ≥ 7%) in case group.

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values are shown in the diamond boxes.

Table 4.19: The correlation coefficient D' and r² between DPP4 rs2970932, rs2268889 and rs1861975 polymorphisms (in case group).

DPP4 gene polymorphisms (A1c < 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.531	0.144
rs2268889 (G > A)	0.030	-	0.047
rs1861975 (A > C)	0.002	0.002	r ²
DPP4 gene polymorphisms (A1c ≥ 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.135	0.342
rs2268889 (G > A)	0.003	-	0.160
rs1861975 (A > C)	0.008	0.010	r ²

D': linkage disequilibrium coefficient
r²: correlation

In both responders and non-responders groups, all three DPP4 gene polymorphisms were located far from each other in the DPP4 gene (Figure 4.2) and the D' values of rs2970932 and rs2268889 in the responders group showed moderate linkage disequilibrium, while other polymorphisms in both groups showed mild linkage disequilibrium (Table 4.19). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak; showing that the interaction between these polymorphisms does not contribute much to the effect (treatment response) (Table 4.19).

4.6.6.2 Linkage Disequilibrium Between WFS1 Gene Polymorphisms in Patients with T2D According to the Response to DPP-4 Inhibitors

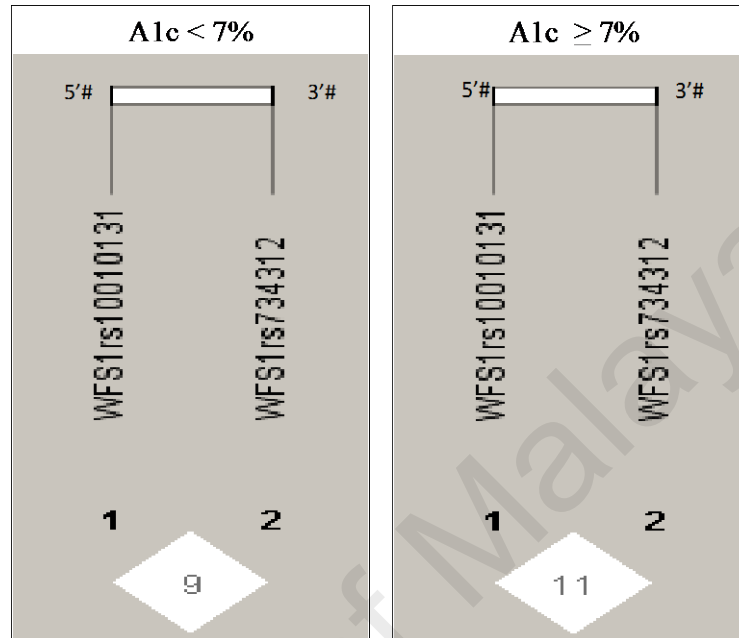


Figure 4.3: LD structure of WFS1 polymorphisms (rs10010131 and rs734312) for good DPP-4 inhibitor treatment response ($A1c < 7\%$) and poor DPP-4 inhibitor treatment response ($A1c \geq 7\%$) in case group.

WFS1 rs1046320 was excluded from the LD structure because the allele frequency was less than 0.05 (Bonnen, Wang, Kimmel, Chakraborty, & Nelson, 2002). The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.20: The correlation coefficient D' and r^2 between WFS1 rs1046320, rs734312 and rs10010131 polymorphisms (in case group).

WFS1 gene polymorphisms ($A1c < 7\%$)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	-	-
rs734312 (G > A)	-	-	0.009
rs10010131 (A > G)	-	0.004	r^2
WFS1 gene polymorphisms ($A1c \geq 7\%$)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	-	-
rs734312 (G > A)	-	-	0.113
rs10010131 (A > G)	-	0.004	r^2

D' : linkage disequilibrium coefficient
 r^2 : correlation

In both responder and non-responder groups, both WFS1 gene polymorphisms (rs10010131 and rs734312) were located not close to each other in the WFS1 gene (Figure 4.3) and the D' values of each polymorphism showed mild linkage disequilibrium (Table 4.20). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak showing that the interaction between these polymorphisms does not contribute much to the effect (treatment response) (Table 4.20).

4.6.6.3 Linkage Disequilibrium Between KCNJ11 Gene Polymorphisms in Patients with T2D According to the Response to DPP-4 Inhibitors

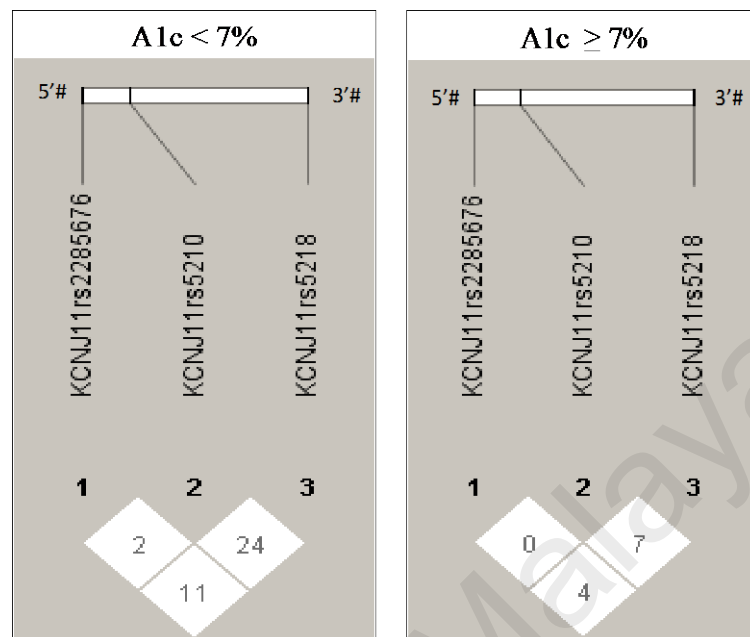


Figure 4.4: LD structure of KCNJ11 polymorphisms (rs2285676, rs5210 and rs5218) for good DPP-4 inhibitor treatment response (A1c < 7%) and poor DPP-4 inhibitor treatment response (A1c ≥ 7%) in case group.

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.21: The correlation coefficient D' and r^2 between KCNJ11 rs2285676, rs5210 and rs5218 polymorphisms (in case group).

KCNJ11 gene polymorphisms ($A1c < 7\%$)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.023	0.117
rs5210 (G > A)	0.0	-	0.246
rs5218 (G > A)	0.005	0.030	r^2
KCNJ11 gene polymorphisms ($A1c \geq 7\%$)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.007	0.041
rs5210 (G > A)	0.0	-	0.079
rs5218 (G > A)	0.001	0.003	r^2

D' : linkage disequilibrium coefficient
 r^2 : correlation

In both responders and non-responders groups, all three KCNJ11 gene polymorphisms were located not close to each other in the KCNJ11 gene (Figure 4.4) and the D' values of each polymorphism showed mild linkage disequilibrium (Table 4.21). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak showing that the interaction between these polymorphisms does not contribute much to the effect (treatment response) (Table 4.21).

4.6.6.4 Linkage Disequilibrium Between DPP4 Gene Polymorphisms in Patients with T2D According to the Response to Other Non-DPP-4 Inhibitor Oral Antidiabetics

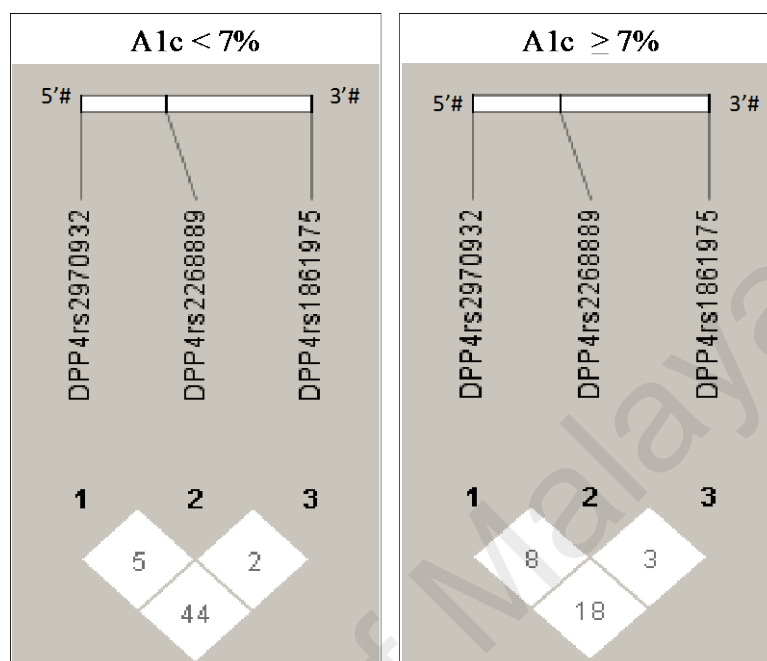


Figure 4.5: LD structure of DPP4 polymorphisms (rs2970932, rs2268889 and rs1861975) for good treatment response ($A1c < 7\%$) and suboptimal response ($A1c \geq 7\%$) to non DPP-4 inhibitor oral antidiabetic therapy in control group.

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values are shown in the diamond boxes.

Table 4.22: The correlation coefficient D' and r² between DPP4 rs2970932, rs2268889 and rs1861975 polymorphisms (in control group).

DPP4 gene polymorphisms (A1c < 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.052	0.440
rs2268889 (G > A)	0.001	-	0.022
rs1861975 (A > C)	0.067	0.0	r²
DPP4 gene polymorphisms (A1c ≥ 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.085	0.185
rs2268889 (G > A)	0.001	-	0.031
rs1861975 (A > C)	0.005	0.001	r²

D': linkage disequilibrium coefficient
r²: correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three DPP4 gene polymorphisms were located not close to each other in the DPP4 gene (Figure 4.5) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.22). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak and there was no correlation between rs2268889 and rs1861975 (Table 4.22).

4.6.6.5 Linkage Disequilibrium Between WFS1 Gene Polymorphisms in Patients with T2D According to the Response to Other Non-DPP-4 Inhibitor Oral Antidiabetics

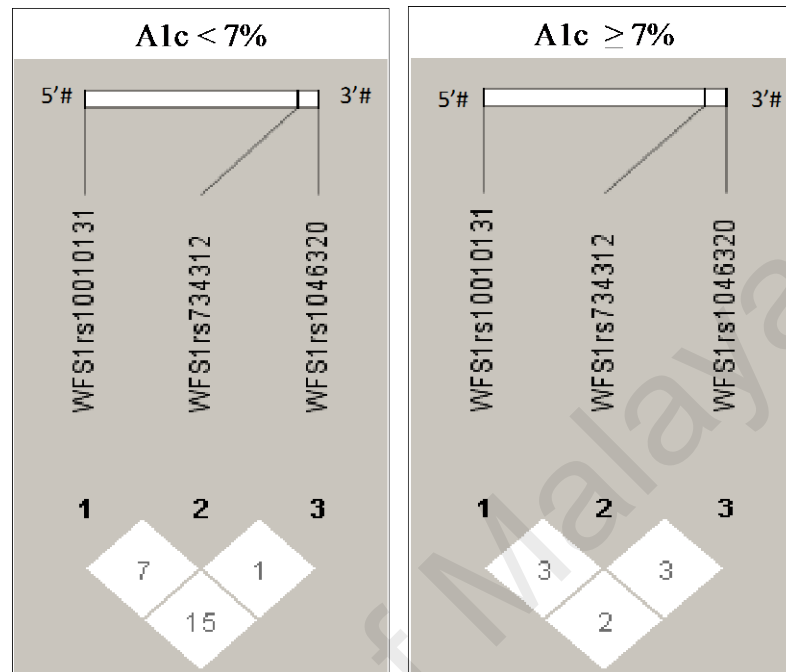


Figure 4.6: LD structure of WFS1 (rs10010131, rs734312 and rs1046320) for good treatment response (A1c < 7%) and suboptimal response (A1c ≥ 7%) to non DPP-4 inhibitor oral antidiabetic therapy in control group.

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.23: The correlation coefficient D' and r^2 between WFS1 rs1046320, rs734312 and rs10010131 polymorphisms (in control group).

WFS1 gene polymorphisms ($A1c < 7\%$)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	0.010	0.159
rs734312 (G > A)	0.0	-	0.076
rs10010131 (A > G)	0.016	0.003	r^2
WFS1 gene polymorphisms ($A1c \geq 7\%$)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	0.033	0.027
rs734312 (G > A)	0.001	-	0.036
rs10010131 (A > G)	0.0	0.0	r^2

D' : linkage disequilibrium coefficient
 r^2 : correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three WFS1 gene polymorphisms were located not close to each other in the WFS1 gene (Figure 4.6) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.23). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak and there was no correlation between rs734312 and rs1046320 in the group of patients with good glycemic control, and between rs10010131 and rs1046320, and rs10010131 and rs734312 in the group of patients with suboptimal glycemic control (Table 4.23).

4.6.6.6 Linkage Disequilibrium Between KCNJ11 Gene Polymorphisms in Patients with T2D According to the Response to Other Non-DPP-4 Inhibitor Oral Antidiabetics

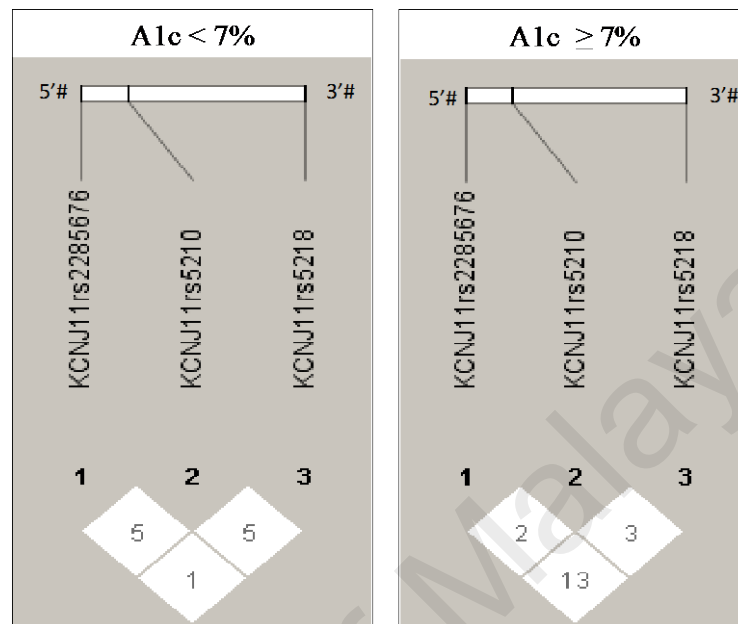


Figure 4.7: LD structure of KCNJ11 (rs2285676, rs5210 and rs5218) for good treatment response ($A1c < 7\%$) and suboptimal response ($A1c \geq 7\%$) to non-DPP-4 inhibitor oral antidiabetic therapy in control group.

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.24: The correlation coefficient D' and r² between KCNJ11 rs2285676, rs5210 and rs5218 polymorphisms (in control group).

KCNJ11 gene polymorphisms (A1c < 7%)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.058	0.014
rs5210 (G > A)	0.003	-	0.051
rs5218 (G > A)	0.0	0.002	r²
KCNJ11 gene polymorphisms (A1c ≥ 7%)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.023	0.136
rs5210 (G > A)	0.0	-	0.032
rs5218 (G > A)	0.007	0.001	r²

D': linkage disequilibrium coefficient
r²: correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three KCNJ11 gene polymorphisms were located not close to each other in the KCNJ11 gene (Figure 4.7) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.24). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak showing that the interaction between these polymorphisms does not contributing much to the effect (treatment response) (Table 4.24). There was no correlation between rs2285676 and rs5218 in the group of patients with good glycemic control, and between

rs2285676 and rs5210 in the group of patients with suboptimal glycemc control (Table 4.24).

4.6.7 Effect of Interaction Within Polymorphisms of Pancreatic β -cell Genes in T2D Patients on Any Oral Antidiabetic Treatment Except for SGLT2 Inhibitors

For estimating the haplotype effects; the relationship between haplotype and phenotype in comparison to the most frequent haplotype, were used as the estimated regression parameters. Odds ratio was used for the estimations of haplotype effects, and were compared to the most frequent haplotype (intercept/reference) in each polymorphism, with 95% confidence intervals.

4.6.8 Linkage Disequilibrium of DPP4, WFS1 and KCNJ11 Gene Polymorphisms in Whole Study Population on Any Oral Antidiabetic Treatment Except for SGLT2 Inhibitors (n=662)

4.6.8.1 Linkage disequilibrium between DPP4 gene polymorphisms in patients with T2D according to the response to any oral antidiabetic

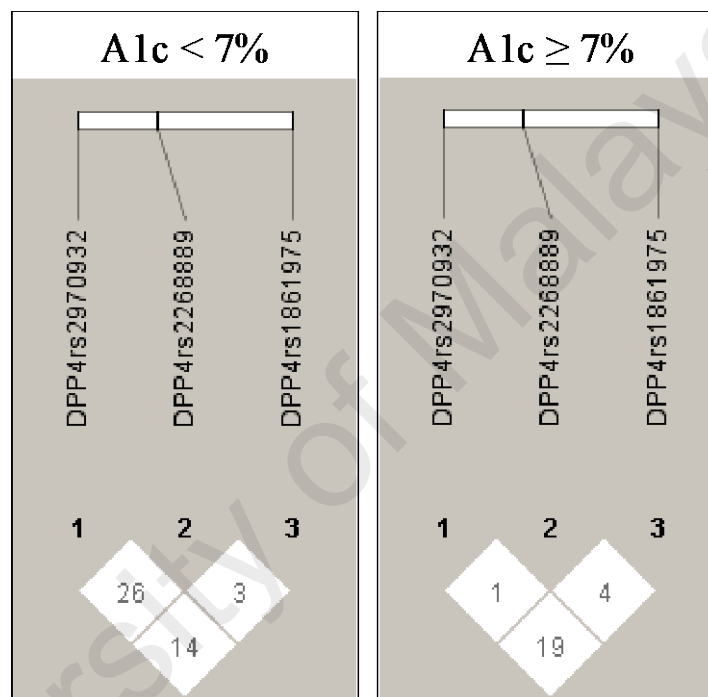


Figure 4.8: LD structure of DPP4 polymorphisms (rs2970932, rs2268889 and rs1861975) for good glycemic control ($A1c < 7\%$) and suboptimal glycemic control ($A1c \geq 7\%$) in whole study population (n=662).

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values are shown in the diamond boxes.

Table 4.25: The correlation coefficient D' and r² between DPP4 rs2970932, rs2268889 and rs1861975 polymorphisms (in study population (n=662)).

DPP4 gene polymorphisms (A1c < 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.265	0.142
rs2268889 (G > A)	0.008	-	0.034
rs1861975 (A > C)	0.006	0.001	r²
DPP4 gene polymorphisms (A1c ≥ 7%)			
D'	rs2970932 (T > C)	rs2268889 (G > A)	rs1861975 (A > C)
rs2970932 (T > C)	-	0.010	0.192
rs2268889 (G > A)	0.0	-	0.043
rs1861975 (A > C)	0.004	0.001	r²

D': linkage disequilibrium coefficient
r²: correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three DPP4 gene polymorphisms were located not close to each other in the DPP4 gene (Figure 4.8) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.25). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak and there was no correlation between rs2268889 and rs2970932 polymorphisms in patients with suboptimal glycemic control (Table 4.25).

4.6.8.2 Linkage disequilibrium between WFS1 gene polymorphisms in patients with T2D according to the response to any oral antidiabetics

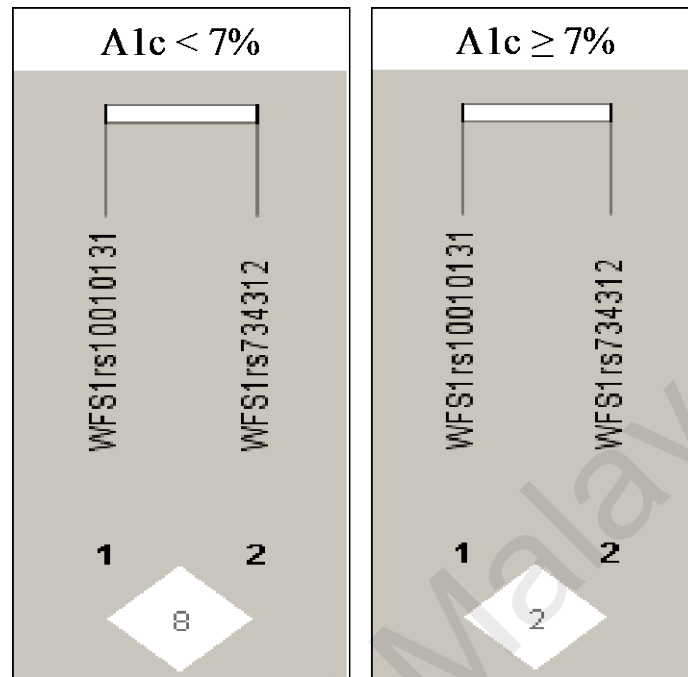


Figure 4.9: LD structure of WFS1 polymorphisms (rs10010131 and rs734312) for good glycemic control (A1c < 7%) and suboptimal glycemic control (A1c ≥ 7%) in whole study population (n=662).

WFS1 rs1046320 was excluded from the LD structure because the allele frequency was less than 0.05 (Bonnen et al., 2002). The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.26: The correlation coefficient D' and r² between WFS1 rs1046320, rs734312 and rs10010131 polymorphisms (in study population (n=662)).

WFS1 gene polymorphisms (A1c < 7%)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	-	-
rs734312 (G > A)	-	-	0.084
rs10010131 (A > G)	-	0.004	r²
WFS1 gene polymorphisms (A1c ≥ 7%)			
D'	rs1046320 (G > A)	rs734312 (G > A)	rs10010131 (A > G)
rs1046320 (G > A)	-	-	-
rs734312 (G > A)	-	-	0.029
rs10010131 (A > G)	-	0.0	r²

D': linkage disequilibrium coefficient
r²: correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three WFS1 gene polymorphisms were located not close to each other in the WFS1 gene (Figure 4.9) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.26). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak and there was no correlation between rs734312 and rs1046320 in the group of patients with suboptimal glycemic control showing that the interaction between these polymorphisms did not contributed to the effect (treatment response) (Table 4.26).

4.6.8.3 Linkage disequilibrium between KCNJ11 gene polymorphisms in patients with T2D according to the response to any oral antidiabetic

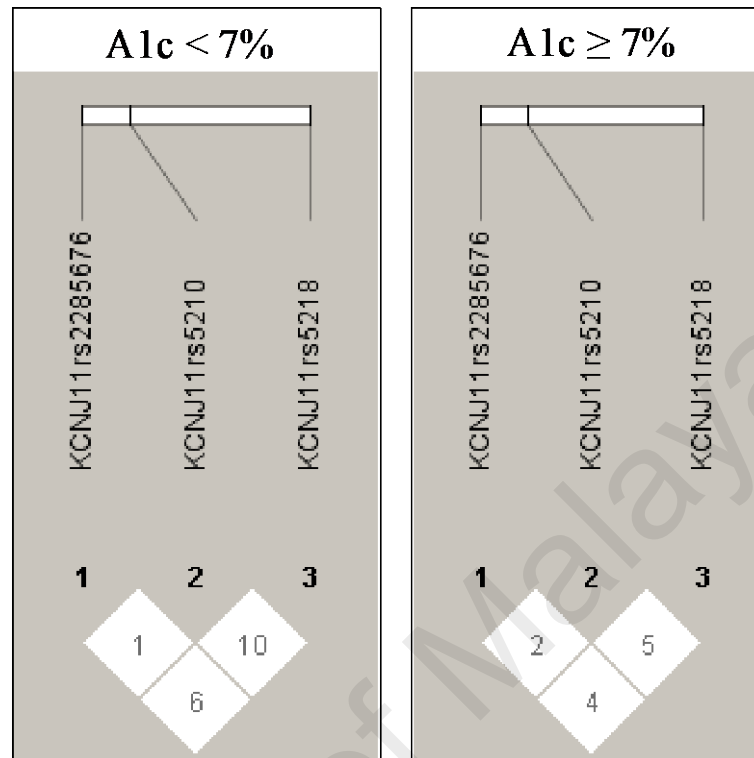


Figure 4.10: LD structure of KCNJ11 polymorphisms (rs2285676, rs5210 and rs5218) for good glycemic control ($A1c < 7\%$) and suboptimal glycemic control ($A1c \geq 7\%$) in whole study population ($n=662$).

The upper diagram shows the relative position of single nucleotide polymorphisms (SNPs) as vertical lines. Pairwise D' (linkage disequilibrium coefficient) values were shown in the diamond boxes.

Table 4.27: The correlation coefficient D' and r² between KCNJ11 rs2285676, rs5210 and rs5218 polymorphisms (in study population (n=662)).

KCNJ11 gene polymorphisms (A1c < 7%)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.012	0.060
rs5210 (G > A)	0.0	-	0.101
rs5218 (G > A)	0.002	0.005	r²
KCNJ11 gene polymorphisms (A1c ≥ 7%)			
D'	rs2285676 (T > C)	rs5210 (G > A)	rs5218 (G > A)
rs2285676 (T > C)	-	0.023	0.042
rs5210 (G > A)	0.0	-	0.055
rs5218 (G > A)	0.001	0.002	r²

D': linkage disequilibrium coefficient
r²: correlation

In both patients with good glycemic control and patients with suboptimal glycemic control, all three KCNJ11 gene polymorphisms were located not close to each other in the KCNJ11 gene (Figure 4.10) and the D' values of each polymorphisms showed mild linkage disequilibrium (Table 4.27). Although there was presence of linkage between these polymorphisms in this gene, the correlation between these polymorphisms were weak showing that the interaction between these polymorphisms does not contributing much to the effect (treatment response) (Table 4.27). There was no correlation between rs2285676 and rs5210 in the group of patients with good glycemic control, and between

rs2285676 and rs5210 in the group of patients with suboptimal glycemc control (Table 4.27).

4.6.9 Effect of Haplotype Interaction of DPP4, WFS1 and KCNJ11 Gene Polymorphisms

4.6.9.1 Effect of haplotype interaction of DPP4 gene polymorphisms with DPP-4 inhibitor treatment response (in case group (n=331))

No effect of haplotype interactions of DPP4 gene polymorphisms with DPP-4 inhibitor treatment response was observed (Table 4.28).

Table 4.28: Estimations of haplotype effects of DPP4 gene polymorphisms (in case group).

Predictor variables	OR	95% CI		p value
		Low	High	
DPP4 gene polymorphisms				
rs2970932				
Interaction between CC and CT	1.1	0.879	1.378	0.402
Interaction between CC and TT	1.0	0.951	1.127	0.423
Interaction between CT and TT	1.0	0.882	1.046	0.357
rs2268889				
Interaction between AG and GG	0.9	0.855	1.052	0.314
Interaction between AG and AA	1.0	0.884	1.202	0.697
Interaction between GG and AA	1.1	0.951	1.169	0.314
rs1861975				
Interaction between AC and CC	1.1	0.955	1.183	0.264
Interaction between AC and AA	1.0	0.824	1.121	0.610
Interaction between CC and AA	1.0	0.853	1.058	0.346

OR: odds ratio

CI: confidence interval

4.6.9.2 Effect of haplotype interaction of WFS1 gene polymorphisms with DPP-4 inhibitor treatment response (in case group (n=331))

The interaction between haplotype GG and AA of WFS1 rs734312 polymorphism was 1.3 times more likely to have an effect on DPP-4 inhibitor treatment response (Table 4.29). However, the interaction between haplotype GG and AG of WFS1 rs734312 polymorphism was 0.8 times less likely to have an effect on DPP-4 inhibitor treatment response (Table 4.29).

Table 4.29: Estimations of haplotype effects of WFS1 gene polymorphisms (in case group).

Predictor variables	OR	95% CI		p value
		Low	High	
WFS1 gene polymorphism				
rs1046320				
Interaction between AA and AG	1.0	0.864	1.166	0.960
Interaction between AA and GG	1.0	0.885	1.047	0.372
Interaction between AG and GG	1.0	0.955	1.129	0.372
rs734312				
Interaction between GG and AG	0.8	0.604	0.940	0.012*
Interaction between GG and AA	1.3	1.040	1.632	0.022*
Interaction between AG and AA	1.0	0.864	1.166	0.960
rs10010131				
Interaction between AA and AG	1.0	0.802	1.128	0.568
Interaction between AA and GG	1.0	0.891	1.036	0.298
Interaction between AG and GG	1.0	0.965	1.122	0.298

* statistically significant (p<0.05)

OR: odds ratio

CI: confidence interval

4.6.9.3 Effect of haplotype interaction of KCNJ11 gene polymorphisms in patients with T2D treated with DPP-4 inhibitors (in case group (n=331))

The interaction between haplotype CT and TT of KCNJ11 rs2285676 polymorphism was 1.1 times more likely to have an effect on DPP-4 inhibitor treatment response (Table 4.30). However, the interaction between haplotype CC and TT of KCNJ11 rs2285676 polymorphism was 0.9 times less likely to have an effect on DPP-4 inhibitor treatment response (Table 4.30).

Table 4.30: Estimations of haplotype effects of KCNJ11 gene polymorphisms (in case group).

Predictor variables	OR	95% CI		p value
		Low	High	
KCNJ11 gene polymorphisms				
rs2285676				
Interaction between CT and CC	0.9	0.741	1.010	0.067
Interaction between CT and TT	1.1	1.024	1.210	0.012*
Interaction between CC and TT	0.9	0.826	0.977	0.012*
rs5218				
Interaction between AG and GG	0.9	0.815	1.025	0.123
Interaction between AG and AA	1.0	0.811	1.115	0.537
Interaction between GG and AA	1.1	0.982	1.232	0.099
rs5210				
Interaction between AG and GG	0.9	0.858	1.043	0.263
Interaction between AG and AA	1.0	0.892	1.232	0.567
Interaction between GG and AA	1.1	0.959	1.166	0.263

* statistically significant (p<0.05)

OR: odds ratio

CI: confidence interval

4.6.9.4 Effect of haplotype interaction of DPP4 gene polymorphisms in patients with T2D treated with other oral antidiabetics (in control group (n=331))

No effect of haplotype interactions of DPP4 gene polymorphisms with other oral antidiabetics treatment response was observed (Table 4.31).

Table 4.31: Estimations of haplotype effects of DPP4 gene polymorphisms (in control group).

Predictor variables	OR	95% CI		p value
		Low	High	
DPP4 gene polymorphisms				
rs2970932				
Interaction between CC and CT	1.1	0.859	1.300	0.601
Interaction between CC and TT	1.0	0.953	1.141	0.364
Interaction between CT and TT	1.0	0.876	1.050	0.364
rs2268889				
Interaction between AG and GG	1.0	0.859	1.067	0.431
Interaction between AG and AA	1.1	0.925	1.273	0.317
Interaction between GG and AA	1.0	0.937	1.164	0.431
rs1861975				
Interaction between AC and CC	1.0	0.912	1.132	0.768
Interaction between AC and AA	1.0	0.846	1.201	0.929
Interaction between CC and AA	0.9	0.845	1.053	0.298

OR: odds ratio
CI: confidence interval

4.6.9.5 Effect of haplotype interaction of WFS1 gene polymorphisms in patients with T2D treated with other oral antidiabetics (in control group (n=331))

No effect of haplotype interactions of WFS1 gene polymorphisms with other oral antidiabetics treatment response was observed (Table 4.32).

Table 4.32: Estimations of haplotype effects of WFS1 gene polymorphisms (in control group).

Predictor variables	OR	95% CI		p value
		Low	High	
WFS1 gene polymorphism				
rs1046320				
Interaction between AA and AG	1.1	0.879	1.323	0.467
Interaction between AA and GG	1.0	0.949	1.132	0.429
Interaction between AG and GG	1.0	0.833	1.054	0.429
rs734312				
Interaction between GG and AG	1.0	0.842	1.230	0.859
Interaction between GG and AA	1.0	0.813	1.188	0.859
Interaction between AG and AA	1.1	0.846	1.312	0.640
rs10010131				
Interaction between AA and AG	0.9	0.752	1.090	0.294
Interaction between AA and GG	1.0	0.906	1.070	0.714
Interaction between AG and GG	1.0	0.935	1.104	0.714

OR: odds ratio
CI: confidence interval

4.6.9.6 Effect of haplotype interaction of KCNJ11 gene polymorphisms in patients with T2D treated with other oral antidiabetics (in control group (n=331))

No effect of haplotype interactions of KCNJ11 gene polymorphisms with other oral antidiabetics treatment response was observed (Table 4.33).

Table 4.33: Estimations of haplotype effects of KCNJ11 gene polymorphisms (in control group).

Predictor variables	OR	95% CI		p value
		Low	High	
KCNJ11 gene polymorphisms				
rs2285676				
Interaction between CT and CC	0.9	0.777	1.107	0.405
Interaction between CT and TT	1.0	0.861	1.071	0.471
Interaction between CC and TT	1.0	0.933	1.161	0.471
rs5218				
Interaction between AG and GG	1.0	0.866	1.112	0.766
Interaction between AG and AA	0.9	0.742	1.043	0.140
Interaction between GG and AA	1.0	0.893	1.147	0.849
rs5210				
Interaction between AG and GG	1.0	0.895	1.080	0.730
Interaction between AG and AA	1.1	0.914	1.263	0.382
Interaction between GG and AA	1.0	0.929	1.121	0.669

OR: odds ratio

CI: confidence interval

4.6.9.7 Effect of haplotype interaction of DPP4 gene polymorphisms with oral antidiabetic (except SGLT2 inhibitor) treatment response (in study population (n=662))

No effect of haplotype interactions of DPP4 gene polymorphisms with oral antidiabetic treatment response were observed (Table 4.34).

Table 4.34: Estimations of haplotype effects of DPP4 gene polymorphisms (in study population (n=662)).

Predictor variables	OR	95% CI		p value
		Low	High	
DPP4 gene polymorphisms				
rs2970932				
Interaction between CC and CT	1.1	0.906	1.221	0.508
Interaction between CC and TT	1.0	0.972	1.098	0.289
Interaction between CT and TT	1.0	0.908	1.026	0.258
rs2268889				
Interaction between AG and GG	0.9	0.877	1.016	0.125
Interaction between AG and AA	1.1	0.951	1.182	0.290
Interaction between GG and AA	1.1	0.984	1.141	0.125
rs1861975				
Interaction between AC and CC	1.0	0.969	1.125	0.254
Interaction between AC and AA	1.0	0.893	1.122	0.987
Interaction between CC and AA	0.9	0.877	1.020	0.150

OR: odds ratio

CI: confidence interval

4.6.9.8 Effect of haplotype interaction of WFS1 gene polymorphisms with oral antidiabetic (except SGLT2 inhibitor) treatment response (in study population (n=662))

The interaction between haplotype AG and AA of WFS1 rs734312 polymorphism was 0.9 times less likely to have an effect on oral antidiabetic treatment response (Table 4.35).

Table 4.35: Estimations of haplotype effects of WFS1 gene polymorphisms (in study population (n=662)).

Predictor variables	OR	95% CI		p value
		Low	High	
WFS1 gene polymorphism				
rs1046320				
Interaction between AA and AG	1.1	0.941	1.194	0.340
Interaction between AA and GG	1.0	0.943	1.064	0.960
Interaction between AG and GG	1.0	0.940	1.060	0.960
rs734312				
Interaction between GG and AG	0.9	0.759	1.007	0.063
Interaction between GG and AA	1.1	0.978	1.303	0.097
Interaction between AG and AA	0.9	0.808	0.969	0.008*
rs10010131				
Interaction between AA and AG	0.9	0.820	1.049	0.231
Interaction between AA and GG	1.0	0.920	1.027	0.306
Interaction between AG and GG	1.0	0.974	1.087	0.306

* statistically significant (p<0.05)

OR: odds ratio

CI: confidence interval

4.6.9.9 Effect of haplotype interaction of KCNJ11 gene polymorphisms with oral antidiabetic (except SGLT2 inhibitor) treatment response (in study population (n=662))

The interaction between haplotype CT and TT of KCNJ11 rs2285676 polymorphism was 1.1 times more likely to have an effect on oral antidiabetic treatment response (Table 4.36). However, the interaction between haplotype CT and CC, and haplotype CC and TT of KCNJ11 rs2285676 polymorphism were both 0.9 times less likely to have an effect on oral antidiabetic treatment response (Table 4.36).

The interaction between haplotype AG and GG, and GG and AA of KCNJ11 rs5218 polymorphism were both 1.1 times more likely to have an effect on oral antidiabetic treatment response (Table 4.36). However, the interaction between haplotype AG and AA of KCNJ11 rs5218 polymorphism was 0.9 times less likely to have an effect on oral antidiabetic treatment response (Table 4.36).

The interaction between haplotype AG and GG, and GG and AA of KCNJ11 rs5210 polymorphism were both 1.1 times more likely to have an effect on oral antidiabetic treatment response (Table 4.36). However, the interaction between haplotype AG and AA of KCNJ11 rs5210 polymorphism was 0.9 times less likely to have an effect on oral antidiabetic treatment response (Table 4.36).

Table 4.36: Estimations of haplotype effects of KCNJ11 gene polymorphisms (in study population (n=662)).

Predictor variables	OR	95% CI		p value
		Low	High	
KCNJ11 gene polymorphisms				
rs2285676				
Interaction between CT and CC	0.9	0.771	0.970	0.013*
Interaction between CT and TT	1.1	1.003	1.139	0.041*
Interaction between CC and TT	0.9	0.878	0.997	0.041*
rs5218				
Interaction between AG and GG	1.1	1.026	1.093	<0.001*
Interaction between AG and AA	0.9	0.865	1.022	0.150
Interaction between GG and AA	1.1	1.053	1.196	<0.001*
rs5210				
Interaction between AG and GG	1.0	0.934	0.976	<0.001*
Interaction between AG and AA	0.9	0.866	0.981	0.010*
Interaction between GG and AA	1.1	1.063	1.187	<0.001*

* statistically significant (p<0.05)

OR: odds ratio

CI: confidence interval

4.6.10 Comparison of Haplotype Interactions Between Case, Control and Overall Study Population Groups

Table 4.37: Summary of positive haplotype interactions significance results comparison between groups.

Results obtained from Table 4.29, Table 4.30, Table 4.35 and Table 4.36.

Characteristics	OR (95% CI) for case group (n=331)	OR (95% CI) for control group (n=331)	OR (95% CI) for study population (n=662)
WFS1 rs734312			
Interaction between GG and AG	0.8 (0.604-0.940)*	-	-
Interaction between GG and AA	1.3 (1.040-1.632)*	-	-
Interaction between AG and AA	-	-	0.9 (0.808-0.969)*
KCNJ11 rs2285676			
Interaction between CT and CC	-	-	0.9 (0.771-0.970)*
Interaction between CT and TT	1.1 (1.024-1.210)*	-	1.1 (1.003-1.139)*
Interaction between CC and TT	0.9 (0.826-0.977)*	-	0.9 (0.878-0.997)*
KCNJ11 rs5218			
Interaction between AG and GG	-	-	1.1 (1.026-1.093)*
Interaction between AG and AA	-	-	-
Interaction between GG and AA	-	-	1.1 (1.053-1.196)*
KCNJ11 rs5210			
Interaction between AG and GG	-	-	1.0 (0.934-0.976)*
Interaction between AG and AA	-	-	0.9 (0.866-0.981)*
Interaction between GG and AA	-	-	1.1 (1.063-1.187)*

* p<0.05: significant

The haplotype interactions between CT and TT, and CC and TT of the KCNJ11 rs2285676 (Table 4.37; in yellow rows) were found to be associated with DPP-4 inhibitor treatment response in the case group and also found to be associated with any oral antidiabetic (including DPP-4 inhibitors) treatment response in the whole study population. These haplotypes however, were not found to be associated with response to

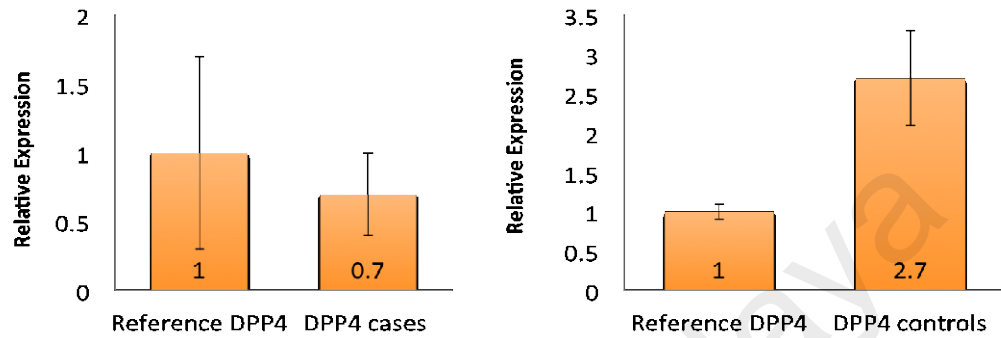
other non-DPP-4 inhibitor oral antidiabetics (other than DPP-4 inhibitors) in control group (Table 4.37). This indicates the possibility that the haplotype interaction between CT and TT, and CC and TT of KCNJ11 rs2285676 are exclusive to DPP-4 inhibitor treatment response.

The haplotype interactions between GG and AG, GG and AA of WFS1 rs734312 were only found to be associated with DPP-4 inhibitor treatment response in case group but not in the whole study population which also included the same DPP-4 inhibitor users in the case group (Table 4.37), which indicates the possibility that these haplotype interactions were not exclusive to DPP-4 inhibitor treatment response. Other haplotype interactions of KCNJ11 rs5218 and KCNJ11 rs5210 were only found to be associated with any oral antidiabetic treatment response in the whole study population but not in the case and control groups respectively (Table 4.37), therefore excluded from the possibility of being exclusive for DPP-4 inhibitor treatment response.

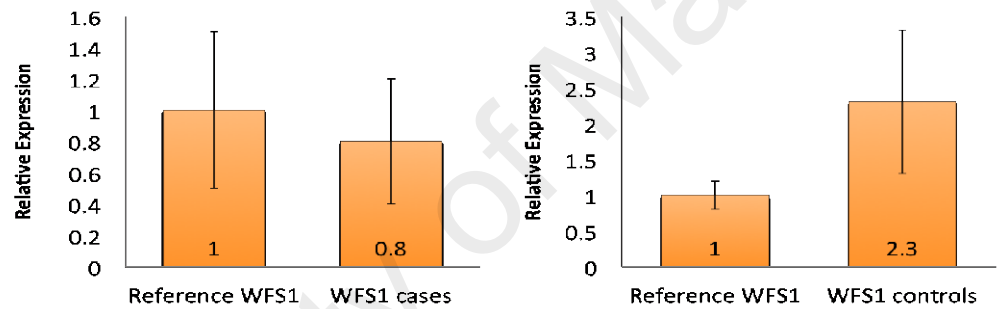
4.6.11 Gene Expression Studies

Gene expression studies were done for DPP4, WFS1 and KCNJ11 gene, and the results were shown in Figure 4.11-15 and Table 4.38-39.

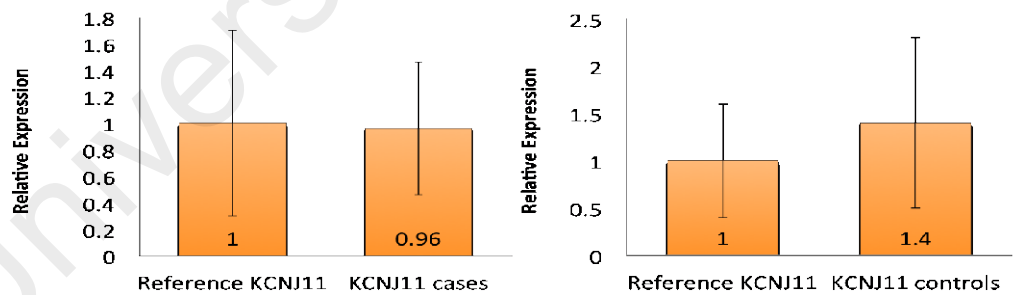
A. DPP4 gene



B. WFS1 gene



C. KCNJ11 gene



DPP4: dipeptidyl peptidase-4; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2

Figure 4.11: Relative expression of DPP4, WFS1 and KCNJ11 genes of cases (n=12) and controls (n=12), were obtained by the relative expression (ΔCt) method.

Values were normalized to β -actin expression and then compared to the normalized expression of DPP4, WFS1 and KCNJ11 genes, which was considered as 1.

4.6.11.1 Relative quantification of DPP, WFS1 and KCNJ11 genes in DPP-4 inhibitors users and non-users

The gene expression results (Figure 4.11) showed that expression of DPP4 gene was downregulated (median 0.708 (0.566-0.900) fold change) in case group, and the DPP4 gene in control subjects was upregulated (median 2.665 (2.355-3.028) fold change) compared to reference DPP4 gene. For WFS1 gene, the expression of the gene in case group was downregulated (median 0.842 (0.686-1.039) fold change), in contrast to the control group which was found to be upregulated (median 2.314 (1.874-2.891) fold change) (Figure 4.11). We observed the expression of KCNJ11 gene was downregulated (median 0.966 (0.789-1.206) fold change) in the case group, while in the control group; the expression of this gene was upregulated (median 1.434 (1.044-2.034) fold change) (Figure 4.11).

Overall, the expression of DPP4, WFS1 and KCNJ11 genes were found to be downregulated in patients on DPP-4 inhibitors therapy, with the DPP4 gene having the greatest degree of downregulated expression, followed by WFS1 and KCNJ11 genes (Figure 4.11). Additionally in the control group, the expression of DPP4, WFS1 and KCNJ11 gene were found to be upregulated, with DPP4 gene having the highest degree of upregulated expression, followed by WFS1 and KCNJ11 genes (Figure 4.11).

Table 4.38: The DPP-4 inhibitor treatment responses according to the expression of DPP4, WFS1 and KCNJ11 genes.

Results expressed as n (%). Fisher's exact test was used for p value where appropriate.

Genes	Case (n=12)		Control (n=12)		p value (n=24)
	Responders (A1c <7%)	Non-responders (A1c ≥7%)	Good glycemic control (A1c <7%)	Suboptimal glycemic control (A1c ≥7%)	
DPP4					
Down regulated	2 (16.6%)	8 (66.8%)	1 (8.3%)	2 (16.7%)	0.095 ^γ
Up regulated	2 (16.6%)	nil	5 (41.7%)	4 (33.3%)	
WFS1					
Down regulated	2 (16.6%)	7 (58.5%)	1 (8.3%)	1 (8.3%)	0.240 ^γ
Up regulated	2 (16.6%)	1 (8.3%)	5 (41.7%)	5 (41.7%)	
KCNJ11					
Down regulated	3 (25.0%)	7 (58.4%)	2 (16.7%)	3 (25.0%)	0.403 ^γ
Up regulated	1 (8.3%)	1 (8.3%)	4 (33.3%)	3 (25.0%)	

^γ Fisher's exact test

p <0.05 : statistically significant

DPP4: dipeptidyl peptidase-4; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2

Comparing responders and non-responders to DPP-4 inhibitor treatment, it was found that; for the case group, 4 subjects were found to be DPP-4 inhibitor responders. Of the responders in the case group, 2 subjects had down regulated expression of both DPP4 and WFS1 genes, and 3 subjects down regulated expression of KCNJ11 gene; Conversely, of the responders 2 subjects had up regulated expression of DPP4 and WFS1 genes, and only 1 subject had up regulated expression of KCNJ11 gene (Table 4.38). There were 8 non-responders with down regulated expression of DPP4 gene, 7 non-responders with down regulated expression of both WFS1 and KCNJ11 genes, and

1 non-responders each with upregulated expression of both WFS1 and KCNJ11 genes (Table 4.38).

For controls, 6 subjects were found to be on other antidiabetics (other than DPP-4 inhibitors) with A1c < 7%. 1 subject had down regulated expression of both DPP4 and WFS1 genes, and 2 subjects had down regulated expression of KCNJ11 gene; 5 subjects had up regulated expression of DPP4 and WFS1 genes, and only 3 subjects had up regulated expression of KCNJ11 gene (Table 4.38). There were 6 subjects in the control group with A1c \geq 7%, 2 had down regulated expression of DPP4 and 4 upregulation of DPP4 gene expression (Table 4.38). There was also 1 with down regulated expression, and 5 with up regulated expression of WFS1 gene (Table 4.38). As for KCNJ11 gene, there were 3 with down regulated expression, and 3 with up regulated expression (Table 4.38).

4.6.11.2 Absolute quantification of DPP, WFS1 and KCNJ11 genes in DPP-4 inhibitors users and non-users

Absolute quantification analyses were done for DPP4, WFS1 and KCNJ11 genes for both case (n=12) and control (n=12) groups.

Table 4.39: Absolute quantification of target genes; DPP4, WFS1 and KCNJ11 (n=12).

Genes	Case			Control		
	C _T mean	Quantity amplified (pg/μl)	CV	C _T mean	Quantity amplified (pg/μl)	CV
DPP4	28.23 ± 0.44	28.81 ± 7.76	0.15 ± 0.13	27.48 ± 1.83	4313.20 ± 5072.81	1.31 ± 0.14
WFS1	32.77 ± 0.41	131.07 ± 80.20	0.77 ± 0.21	33.09 ± 0.89	1199.32 ± 1058.38	1.25 ± 0.13
KCNJ11	32.95 ± 0.84	879.50 ± 1305.90	1.10 ± 0.25	33.73 ± 0.66	323.13 ± 178.26	1.05 ± 0.24
B-actin	19.50 ± 0.30	183.62 ± 35.35	1.13 ± 0.05	19.61 ± 0.60	1310.44 ± 491.26	1.37 ± 0.02

DPP4: dipeptidyl peptidase-4; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2; CV: coefficient of variation

Our results showed that DPP4 gene quantity was lower in cases compared to the control group (Table 4.39). The findings were similar for WFS1 gene, the quantity of this gene amplified was lower in cases compared with the control group (Table 4.39). However, the KCNJ11 gene was highly amplified in case group compared to the control group (Table 4.39).

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RESULTS PART 3 : MODELS DEVELOPED

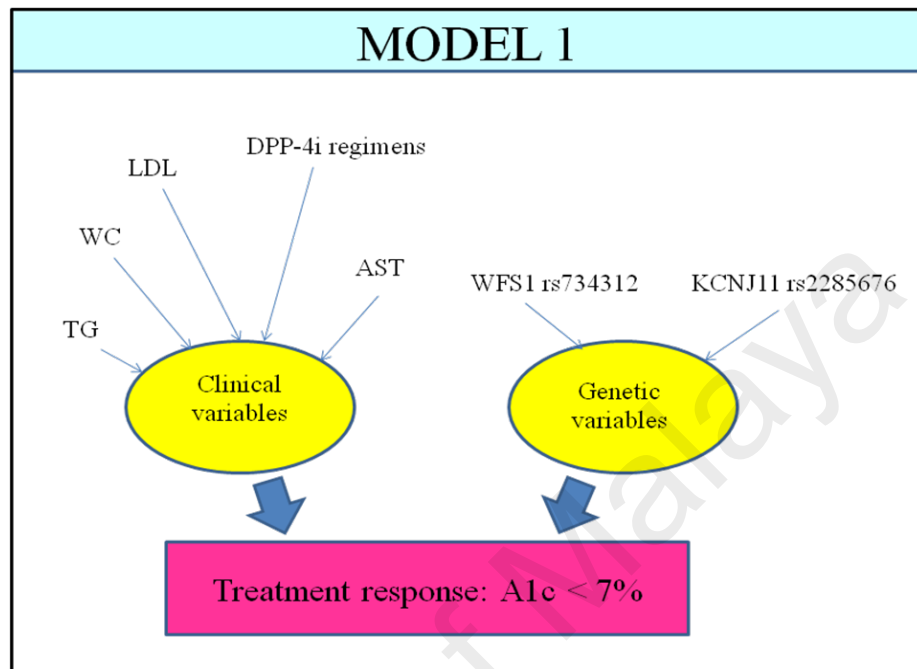
4.7 Determination of Associations of Response to DPP-4 Inhibitor Therapy in Patients with T2D by Multivariate Analysis

Four models were developed; Model 1 is the main model that associates the response to DPP-4 inhibitor therapy, while Model 2, 3 and 4 are the comparative models to Model 1 (Table 4.40).

Table 4.40: Type of models developed.

MODEL 1	Main model
MODEL 2	Comparative model
MODEL 3	Comparative model
MODEL 4	Comparative model

4.7.1 MODEL 1 : Incorporating Significant Variables on Comparison of Subjects on DPP-4 Inhibitor Therapy with Optimal Glycemic Control (A1c <7%) versus Suboptimal Control (A1c ≥7%)



TG : triglycerides; WC : waist circumference; ; LDL: Low Density Lipoprotein; DPP-4i : dipeptidyl peptidase-4 inhibitor; SU : sulphonylurea; AST: Aspartate Aminotransferase; WFS1: Wolfram syndrome 1; KCNJ11 Potassium channel Kir6.2

Figure 4.12: MODEL 1 : Incorporating significant variables on comparison of subjects on DPP-4 inhibitor therapy with optimal glycemic control (A1c <7%) versus suboptimal control (A1c ≥7%).

All of the significant clinical variables from Table 4.3 and significant genetic variables from Table 4.10 were incorporated in this predictor model. The clinical variables included WC, fasting triglyceride, LDL cholesterol levels, AST, DPP-4 inhibitor regimens (including DPP-4 inhibitor + biguanide + SU, DPP-4 inhibitor + biguanide, and DPP-4 inhibitor + biguanide + TZD), and the genetic variables included were WFS1 rs734312 and KCNJ11 rs2285676, respectively.

Logistic regression analysis showed that patients with triglycerides less than 1.7 mmol/L were 2.4 times more likely to respond to DPP-4 inhibitor treatment compared to those with levels ≥ 1.7 (OR: 2.4; 95% CI: 1.115-5.042) (Table 4.41). The analysis also showed that patients with KCNJ11 rs2285676 (genotype CC) were 2 times more likely to respond to DPP-4 inhibitor treatment compared to those without the polymorphism (OR: 2.0; 95% CI: 1.039-3.869) (Table 4.41).

Table 4.41: Regression model of DPP-4 inhibitor treatment response incorporating all significant variables derived from comparison of patients on DPP-4 inhibitor therapy with A1c <7% and A1c $\geq 7\%$.

Predictor variables	OR	95% CI		p value
		Low	High	
WC (WC male ≥ 90 cm)	2.8	0.498	15.981	0.241
WC (WC female ≥ 80 cm)	2.8	0.489	15.805	0.249
Triglycerides (< 1.7 mmol/L)	2.4	1.115	5.042	0.025*
LDL cholesterol (<2.6 mmol/L)	0.7	0.451	1.165	0.184
AST (≤ 31 U/L)	0.4	0.035	4.098	0.426
DPP-4 inhibitor + biguanides	1.3	0.638	2.550	0.490
DPP-4 inhibitor + biguanide + sulphonylurea	1.5	0.076	31.583	0.777
WFS1 rs734312 (genotype AA)	1.8	0.276	11.597	0.542
WFS1 rs734312 (genotype AG)	0.8	0.132	5.166	0.837
KCNJ11 rs2285676 (genotype CC)	2.0	1.039	3.869	0.038*
KCNJ11 rs2285676 (genotype CT)	0.9	0.490	1.679	0.757

Omnibus test of model coefficients : $\chi^2=30.710$, p=0.002

Nagelkerke r^2 : 0.119

Hosmer and Lemeshow Test : $\chi^2=13.198$, p=0.105

* statistically significant (p<0.05)

OR: odds ratio; CI: confidence interval; TG : triglycerides; WC : waist circumference; ; LDL: Low Density Lipoprotein; DPP-4i : dipeptidyl peptidase-4 inhibitor; SU : sulphonylurea; AST: Aspartate Aminotransferase; WFS1: Wolfram syndrome 1; KCNJ11 Potassium channel Kir6.2

Then in Stepwise regression analysis, the results were slightly the same as patients with triglycerides less than 1.7 mmol/L were 2.4 times more likely to respond to DPP-4 inhibitor treatment compared to those with levels ≥ 1.7 (OR: 2.4; 95% CI: 1.152-5.097) (Table 4.42). The analysis also showed that patients with KCNJ11 rs2285676 (genotype CC) were 2 times more likely to respond to DPP-4 inhibitor treatment compared to those without the polymorphism (OR: 2.0; 95% CI: 1.065-3.856) (Table 4.42). In conclusion, we found that low triglyceride levels and KCNJ11 rs2285676 are independent predictors of DPP-4 inhibitor treatment response in T2D.

Table 4.42: Stepwise (Forward Likelihood Ratio (LR)) regression model of DPP-4 inhibitor treatment response incorporating all significant variables derived from comparison of patients on DPP-4 inhibitor therapy with A1c <7% and A1c \geq 7%.

Predictor variables	OR	95% CI		p value
		Low	High	
Triglycerides (< 1.7 mmol/L)	2.4	1.152	5.097	0.020*
WFS1 rs734312 (genotype AA)	1.9	0.296	12.031	0.502
WFS1 rs734312 (genotype AG)	0.9	0.139	5.293	0.869
KCNJ11 rs2285676 (genotype CC)	2.0	1.065	3.856	0.031*
KCNJ11 rs2285676 (genotype CT)	0.9	0.514	1.715	0.838

Omnibus test of model coefficients : $\chi^2=23.605$, $p<0.001$

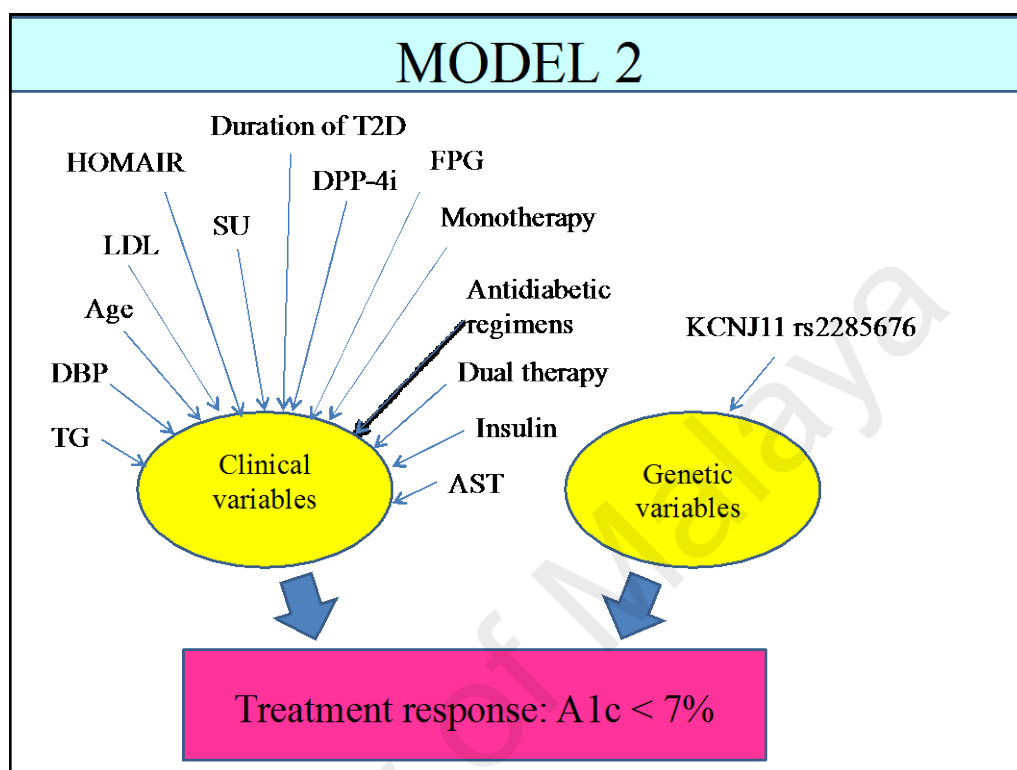
Nagelkerke r^2 : 0.092

Hosmer and Lemeshow Test : $\chi^2=4.556$, $p=0.602$

* statistically significant ($p<0.05$)

OR: odds ratio; CI: confidence interval; WFS1: Wolfram syndrome 1; KCNJ11 Potassium channel Kir6.2

4.7.2 MODEL 2 : Incorporating Significantly Differing Variables in Those with A1c <7% Compared with A1c ≥7% in All 662 Patients with T2D on Oral Antidiabetic Therapy (Excluding SGLT2 Inhibitors)



T2D: Type 2 diabetes; DPP-4i : dipeptidyl peptidase-4 inhibitor; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.; SU: sulphonylurea; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; AST: Aspartate Aminotransferase; DBP: Diastolic Blood Pressure; KCNJ11 Potassium channel Kir6.2

Figure 4.13: MODEL 2 : Incorporating significantly differing variables in those with A1c <7% compared with A1c ≥7% in all 662 patients with T2D on oral antidiabetic therapy (excluding SGLT2 inhibitors).

All of the significant variables from Table 4.7 and 4.17 were incorporated into Model 2. The clinical variables included age, duration of T2D, FPG, fasting insulin levels, triglycerides, LDL cholesterol, DBP, AST, HOMAIR, antidiabetic regimes, DPP-4 inhibitor, sulphonylurea, monotherapy and dual therapy use, and the genetic variable included was KCNJ11 rs2285676, respectively.

Using the Enter method, logistic regression analysis showed that patients aged less than 65 years old were 1.9 times more likely more likely to respond to any antidiabetic treatment compared to those aged more than 65 years old (OR: 1.9; 95% CI: 1.218-3.096) (Table 4.43). Patients with triglyceride levels less than 1.7 mmol/L were 1.5 times more likely to respond to any antidiabetic treatment compared to other patients (OR: 1.5; 95% CI: 0.986-2.367), contrary to LDL cholesterol levels in value less than 2.6 mmol/L will caused patients 0.7 times less likely to respond to any antidiabetic treatment compared to other patients (OR: 0.7; 95% CI: 0.511-1.013) (Table 4.43). Meanwhile, patients with HOMAIR less than 3.875 were 2 times more likely to respond to any antidiabetic treatment compared to other patients (OR: 2; 95% CI: 0.990-4.184) (Table 4.43).

The regression analysis also showed that patients whom received the combination therapy of DPP-4 inhibitor + biguanide + TZD were 0.1 times less likely to have good glycemic control (OR: 0.1; 95% CI: 0.010-0.881) (Table 4.43). The analysis also showed that patients on biguanide alone, and biguanide + TZD therapies were each 0.1 times less likely to have good glycemic control compared with patients with other therapies (OR: 0.1; 95% CI: 0.026-0.631; OR: 0.1; 95% CI: 0.026-0.820, respectively) (Table 4.43). Meanwhile, patients that received biguanide + SU combination therapies were 0.2 times less likely to have good glycemic control compared with patients with other therapies (OR: 0.2; 95% CI: 0.033-0.720) (Table 4.43).

The analysis also showed that patients with KCNJ11 rs2285676 (genotype CC) were 1.8 times more likely to respond to oral antidiabetic treatment compared to those without the polymorphism (OR: 1.8; 95% CI: 1.091-2.883) (Table 4.43).

Table 4.43: Regression model of treatment response to any oral antidiabetic (except SGLT2 inhibitors) in whole study population (n=662).

Predictor variables	OR	95% CI		p value
		Low	High	
Age (< 65 years old)	1.9	1.218	3.096	0.005*
Duration of T2D (< 10 years)	1.4	0.880	2.259	0.153
FPG (< 7 mmol/L)	1.3	0.734	2.381	0.353
Insulin (< 174 pmol/L)	0.6	0.313	1.233	0.174
Triglycerides (< 1.7 mmol/L)	1.5	0.986	2.367	0.058**
LDL cholesterol (<2.6 mmol/L)	0.7	0.511	1.013	0.059**
DBP (<90 mmHg)	1.2	0.780	1.923	0.379
AST (\leq 31 U/L)	0.7	0.212	2.568	0.632
HOMAIR (< 3.875)	2.0	0.990	4.184	0.053**
DPP-4 inhibitor + biguanide	0.3	0.058	1.579	0.156
DPP-4 inhibitor + biguanide + SU	0.2	0.041	1.356	0.105
DPP-4 inhibitor + biguanide + TZD	0.1	0.010	0.881	0.038*
Biguanide	0.1	0.026	0.631	0.011*
Biguanide + SU	0.2	0.033	0.720	0.017*
Biguanide + TZD	0.1	0.026	0.820	0.029*
KCNJ11 rs2285676 (genotype CC)	1.8	1.091	2.883	0.021*
KCNJ11 rs2285676 (genotype CT)	1.2	0.769	1.809	0.448

Omnibus test of model coefficients : $\chi^2=62.900$, $p<0.001$

Nagelkerke r^2 : 0.124

Hosmer and Lemeshow Test : $\chi^2=9.859$, $p=0.275$

* statistically significant ($p<0.05$)

** trending towards significance

OR: odds ratio; CI: confidence interval; T2D: Type 2 diabetes; DPP-4i : dipeptidyl peptidase-4 inhibitor; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.; SU: sulphonylurea; TZD: thiazolidinedione; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; AST: Aspartate Aminotransferase; DBP: Diastolic Blood Pressure; KCNJ11 Potassium channel Kir6.2 'DPP-4 inhibitors', 'Sulphonylurea', 'Monotherapy' & 'Dual therapy' were eliminated from the model analysis by the SPSS system due to redundancies with 'Antidiabetic regimes' categories.

Then in Stepwise regression analysis, patients with age less than 65 years old were 1.9 times more likely to respond to antidiabetics treatment compared to those aged more than 65 years old (OR: 1.9; 95% CI: 1.219-3.093) (Table 4.44). Patients with the duration of T2D of less than 10 years were 1.6 times more likely to respond to antidiabetics treatment compared to other patients (OR: 1.6; 95% CI: 1.077-2.354) (Table 4.44).

The model also showed that patients with triglyceride levels less than 1.7 mmol/L were 1.5 times more likely to respond to antidiabetics treatment compared to those with levels ≥ 1.7 mmol/L (OR: 1.5; 95% CI: 0.989-2.372) (Table 4.44). Contrary, patients with LDL cholesterol of less than 2.6 mmol/L were 0.7 times less likely to respond to antidiabetic treatment (OR: 0.7; 95% CI: 0.526-1.035) (Table 4.44). Patients with the HOMAIR levels of less than 3.875 were 2 times more likely to respond to antidiabetics treatment compared to other patients (OR: 2; 95% CI: 0.996-4.177) (Table 4.44).

The regression analysis also showed that patients whom received the combination therapy of DPP-4 inhibitor + biguanide + TZD were 0.1 times less likely to have good glycemic control (OR: 0.1; 95% CI: 0.010-0.861) (Table 4.44). The analysis also showed that patients on biguanide alone, biguanide + SU, and biguanide + TZD therapies were each 0.1 times less likely to have good glycemic control compared with patients with other therapies (OR: 0.1; 95% CI: 0.025-0.602; OR: 0.1; 95% CI: 0.032-0.705; OR: 0.1; 95% CI: 0.025-0.803, respectively) (Table 4.44).

Patients with KCNJ11 rs2285676 (genotype CC) were 1.8 times more likely to respond to antidiabetics treatment compared to other patients (OR: 1.8; 95% CI: 1.095-2.887) (Table 4.44).

Table 4.44: Stepwise (Backward LR) regression model of treatment response to any oral antidiabetic (except SGLT2 inhibitors) in whole study population (n=662).

Predictor variables	OR	95% CI		p value
		Low	High	
Age (< 65 years old)	1.9	1.219	3.093	0.005*
Duration of T2D (< 10 years)	1.6	1.077	2.354	0.020*
FPG (< 7 mmol/L)	1.3	0.739	2.389	0.342
Insulin (< 174 pmol/L)	0.6	0.314	1.228	0.171
Triglycerides (< 1.7 mmol/L)	1.5	0.989	2.372	0.056**
LDL cholesterol (<2.6 mmol/L)	0.7	0.526	1.035	0.052**
HOMAIR (< 3.875)	2.0	0.996	4.177	0.051**
DPP-4i + biguanide	0.3	0.056	1.558	0.151
DPP-4i + biguanide + SU	0.2	0.039	1.333	0.101
DPP-4i + biguanide + TZD	0.1	0.010	0.861	0.037*
Biguanide	0.1	0.025	0.602	0.010*
Biguanide + SU	0.1	0.032	0.705	0.016*
Biguanide + TZD	0.1	0.025	0.803	0.027*
KCNJ11 rs2285676 (genotype CC)	1.8	1.095	2.887	0.020*
KCNJ11 rs2285676 (genotype CT)	1.2	0.772	1.812	0.441

Omnibus test of model coefficients : $\chi^2=56.982$, $p<0.001$

Nagelkerke r^2 : 0.113

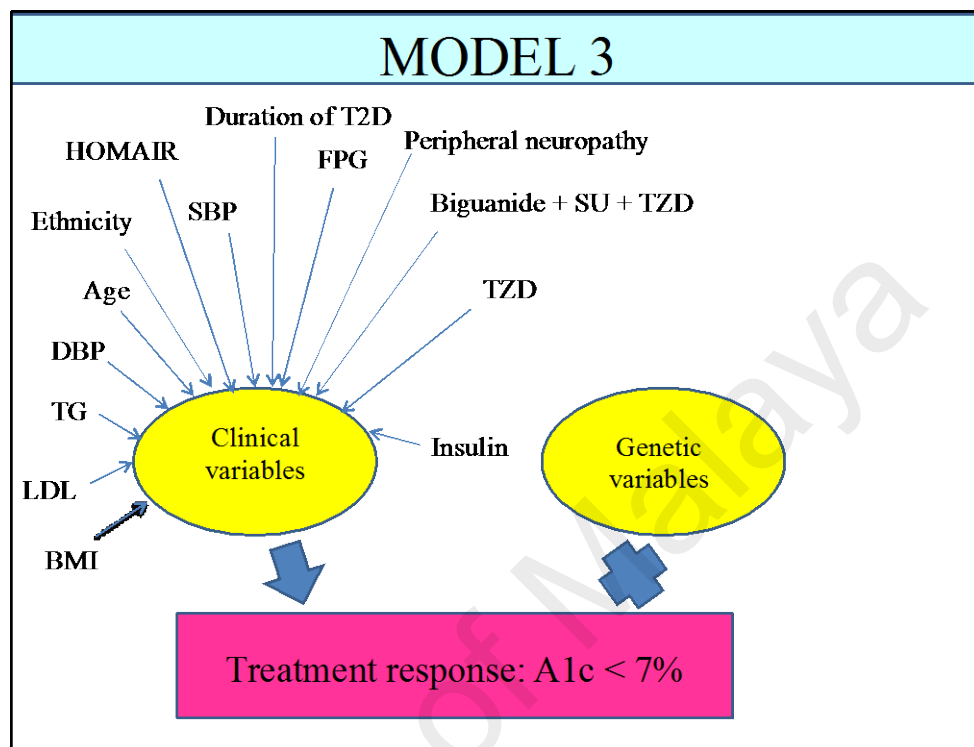
Hosmer and Lemeshow Test : $\chi^2=8.504$, $p=0.386$

* statistically significant ($p<0.05$)

** trending towards significance

OR: odds ratio; CI: confidence interval; T2D: Type 2 diabetes; ; DPP-4i : dipeptidyl peptidase-4 inhibitor; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.; SU: sulphonylurea; TZD: thiazolidinedione; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; AST: Aspartate Aminotransferase; DBP: Diastolic Blood Pressure; KCNJ11 Potassium channel Kir6.2

4.7.3 MODEL 3 : Incorporating Significant Associations on Univariate Analysis of Good Glycemic Control in Patients Not on DPP-4 Inhibitor Therapy



T2D: Type 2 diabetes; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.; SU : sulphonylurea; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; DBP: Diastolic Blood Pressure; SBP: Systolic Blood Pressure; TZD : Thiazolidinedione

Figure 4.14: MODEL 3 : Incorporating significant associations on univariate analysis of good glycemic control in patients not on DPP-4 inhibitor therapy.

None of the genetic variables were significant to be incorporated into this model.

All of the significant variables from Table 4.5 were incorporated into the model. Clinical variables included were age, duration of T2D, ethnicity, BMI, LDL cholesterol levels, DBP, fasting insulin, fasting triglycerides, HOMAIR, SBP, peripheral neuropathy, sulphonylurea + biguanide + TZD, and TZD use. No genetic variables were entered into this association model because of lack of significance (Table 4.12-14).

Using the Enter method, logistic regression analysis did not revealed any significant clinical associations of $A1c < 7\%$. The logistic regression analysis showed that controls who did not receive DPP-4 inhibitors were 0.1 times less likely to have good glycemic control on biguanide + SU + TZD therapy compared to other patients (OR: 0.1; 95% CI: 0.024-0.712) (Table 4.45).

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Table 4.45: Regression model incorporating significant associations on univariate analysis of good glycemic control (A1c <7%) in control patients not on DPP-4 inhibitor therapy.

Predictor variables	OR	95% CI		p value
		Low	High	
Ethnicity (Malay)	2.0	0.480	8.178	0.345
Ethnicity (Chinese)	0.9	0.206	3.963	0.893
Ethnicity (Indian)	1.6	0.362	7.273	0.527
Age (< 65 years old)	1.7	0.821	3.385	0.157
Duration of T2D (< 10 years)	1.8	0.935	3.278	0.080
BMI (Underweight)	4.3 x10 ⁻⁸	0.000	-	0.999
BMI (Normal weight)	3.4 x10 ⁻⁸	0.000	-	0.999
BMI (Overweight)	2.1 x10 ⁻⁸	0.000	-	0.999
FPG (< 7 mmol/L)	1.0	0.388	2.578	0.999
Insulin (< 174 pmol/L)	0.6	0.223	1.605	0.308
Triglycerides (< 1.7 mmol/L)	0.8	0.473	1.491	0.551
LDL cholesterol (<2.6 mmol/L)	0.7	0.422	1.185	0.189
DBP (<90 mmHg)	1.4	0.782	2.427	0.267
SBP (≥ 130 mmHg)	0.8	0.439	1.296	0.307
HOMAIR (< 3.875)	2.7	0.938	7.035	0.066
Peripheral neuropathy	0.8	0.367	1.610	0.486
Biguanide + SU + TZD	0.1	0.024	0.712	0.019*
TZD	1.1	0.480	2.655	0.782

Omnibus test of model coefficients : $\chi^2=31.886$, p=0.023

Nagelkerke r^2 : 0.131

Hosmer and Lemeshow Test : $\chi^2=13.824$, p=0.086

* statistically significant (p<0.05)

OR: odds ratio; CI: confidence interval; T2D: Type 2 diabetes; BMI: body mass index; HOMAIR: Homeostasis Model Assessment of Insulin Resistance.; SU : sulphonylurea; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; DBP: Diastolic Blood Pressure; SBP: Systolic Blood Pressure; TZD : Thiazolidinedione

Similar outcome found with Stepwise regression analysis, where patients treated with biguanide + SU + TZD therapy were 0.2 times less likely to have good glycemic control compared to patients with other therapies (OR: 0.2; 95% CI: 0.054-0.990) (Table 4.46).

Table 4.46: Stepwise (Forward LR) regression model incorporating significant associations on univariate analysis of good glycemic control (A1c<7%) in control patients not on DPP-4 inhibitor therapy.

Predictor variables	OR	95% CI		p value
		Low	High	
Biguanide + SU + TZD	0.2	0.054	0.990	0.048*

Omnibus test of model coefficients : $\chi^2=4.038$, p=0.044

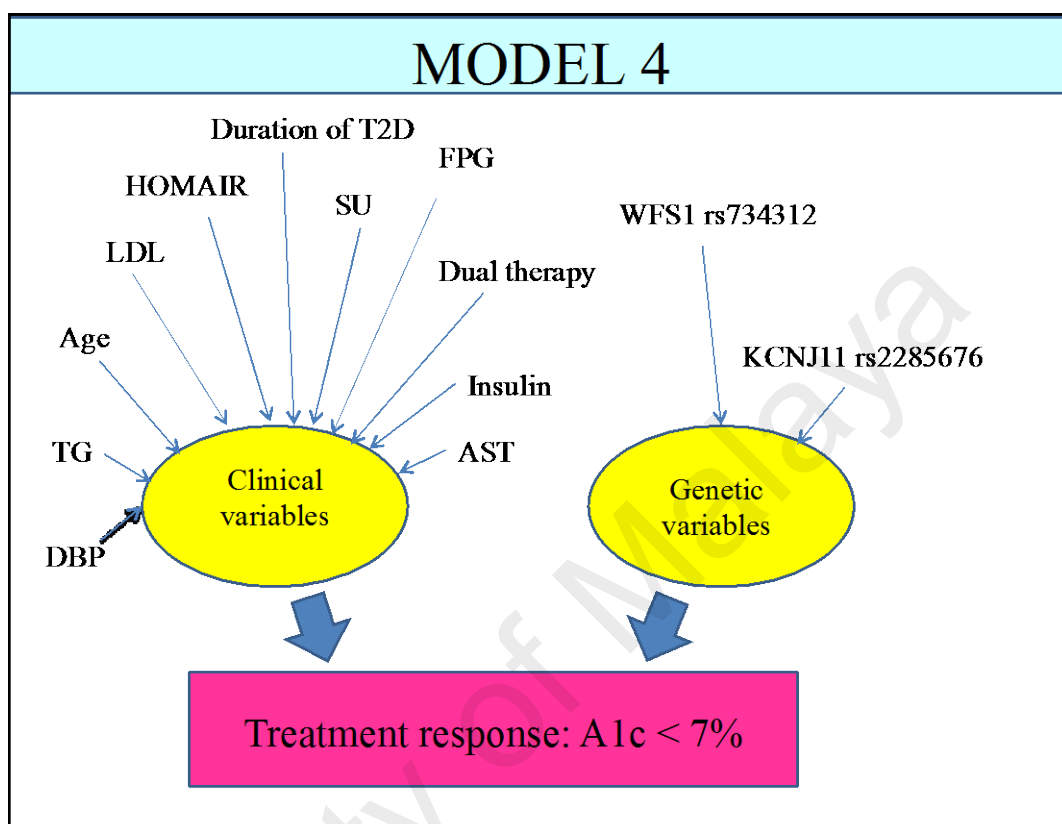
Nagelkerke r^2 : 0.017

Hosmer and Lemeshow Test : $\chi^2=14.245$, p=0.097

* statistically significant (p<0.05)

OR: odds ratio; CI: confidence interval; SU: sulphonylurea; TZD; thiazolidinedione

4.7.4 MODEL 4 : Incorporating Significantly Different Variables in the Case Group on DPP-4 Inhibitor Therapy Compared with Non-DPP-4 Inhibitor Therapy Group



TG: triglycerides; T2D: Type 2 diabetes; HOMAIR: Homeostasis Model Assessment of Insulin Resistance ; SU : sulphonylurea; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; AST: Aspartate Aminotransferase; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2

Figure 4.15: MODEL 4 : Incorporating significantly different variables in the case group on DPP-4 inhibitor therapy compared with non-DPP-4 inhibitor therapy group.

All of the significant clinical variables from Table 4.1 and genetic variables from Table 4.10 and Table 4.11 were categorized and entered into the regression model. The significant clinical variables included age, duration of T2D, FPG, fasting insulin, triglyceride, LDL cholesterol, DBP, AST, HOMAIR, dual therapy and Sulphonylurea

use. The significant genetic variables were the WFS1 rs734312 and KCNJ11 rs2285676 polymorphisms. A graphic representation of Model 4 is depicted in Figure 4.15.

Using the Enter method, the logistic regression analysis revealed that patients aged less than 65 years old were 2.2 times more likely to respond to DPP-4 inhibitor treatment compared to older subjects (OR: 2.2; 95% CI: 1.120-4.208) (Table 4.47). The model also showed that patients with triglyceride levels less than 1.7 mmol/L were 2.2 times more likely to respond to DPP-4 inhibitor treatment compared to those with levels ≥ 1.7 (OR: 2.2; 95% CI: 1.006-4.799) (Table 4.47). Patients with KCNJ11 rs2285676 (genotype CC) were 1.9 times more likely to respond to DPP-4 inhibitor treatment compared to other patients (OR: 1.9; 95% CI: 0.988-3.718) (Table 4.47) and this trended towards significance with a p of 0.054.

Table 4.47: Regression model incorporating significantly different variables in the case group on DPP-4 inhibitor therapy compared with non-DPP-4 inhibitor therapy group.

Predictor variables	OR	95% CI		p value
		Low	High	
Age (< 65 years old)	2.2	1.120	4.208	0.022*
Duration of T2D (< 10 years)	1.1	0.036	33.947	0.952
FPG (< 7 mmol/L)	0.6	0.260	1.317	0.195
Insulin (< 174 pmol/L)	0.7	0.248	2.024	0.520
Triglycerides (< 1.7 mmol/L)	2.2	1.006	4.799	0.048*
LDL cholesterol (<2.6 mmol/L)	0.8	0.479	1.289	0.340
DBP (< 90 mmHg)	1.5	0.049	45.493	0.817
AST (\leq 31 U/L)	0.4	0.038	3.945	0.424
HOMAIR (< 3.875)	1.4	0.463	4.328	0.543
Dual therapy	3.1	0.612	15.827	0.171
Sulphonylurea	0.4	0.073	2.360	0.321
WFS1 rs734312 (genotype AA)	1.7	0.250	12.032	0.578
WFS1 rs734312 (genotype AG)	0.8	0.113	5.046	0.772
KCNJ11 rs2285676 (genotype CC)	1.9	0.988	3.718	0.054**
KCNJ11 rs2285676 (genotype CT)	0.9	0.477	1.657	0.711

Omnibus test of model coefficients : $\chi^2=41.238$, $p<0.001$

Nagelkerke r^2 : 0.157

Hosmer and Lemeshow Test : $\chi^2=6.383$, $p=0.604$

* statistically significant ($p<0.05$)

** trending towards significance

OR: odds ratio; CI: confidence interval; TG: triglycerides; T2D: Type 2 diabetes; HOMAIR: Homeostasis Model Assessment of Insulin Resistance ; SU : sulphonylurea; LDL: Low Density Lipoprotein; FPG: Fasting Plasma Glucose; AST: Aspartate Aminotransferase; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2

Then in Stepwise regression analysis, patients with age less than 65 years old were 2.3 times more likely to respond to DPP-4 inhibitor treatment compared to those aged more than 65 years old (OR: 2.3; 95% CI: 1.180-4.296) (Table 4.48). Patients with the duration of T2D of less than 10 years were 1.7 times more likely to respond to DPP-4 inhibitor treatment compared to other patients (OR: 1.7; 95% CI: 1.009-2.892) (Table 4.48). The model also showed that patients with triglyceride levels less than 1.7 mmol/L were 2.2 times more likely to respond to DPP-4 inhibitor treatment compared to those with levels ≥ 1.7 (OR: 2.2; 95% CI: 1.031-4.732) (Table 4.48). Patients with KCNJ11 rs2285676 (genotype CC) were 2 times more likely to respond to DPP-4 inhibitor treatment compared to other patients (OR: 2; 95% CI: 1.025-3.767) (Table 4.48).

Table 4.48: Stepwise (Forward LR) regression model incorporating significantly different variables in the case group on DPP-4 inhibitor therapy compared with non-DPP-4 inhibitor therapy group.

Predictor variables	OR	95% CI		p value
		Low	High	
Age (< 65 years old)	2.3	1.180	4.296	0.014*
Duration of T2D (< 10 years)	1.7	1.009	2.892	0.046*
Triglycerides (< 1.7 mmol/L)	2.2	1.031	4.732	0.041*
WFS1 rs734312 (genotype AA)	1.9	0.280	12.491	0.519
WFS1 rs734312 (genotype AG)	0.8	0.120	5.041	0.793
KCNJ11 rs2285676 (genotype CC)	2.0	1.025	3.767	0.042*
KCNJ11 rs2285676 (genotype CT)	0.9	0.498	1.696	0.786

Omnibus test of model coefficients : $\chi^2=34.611$, $p<0.001$

Nagelkerke r^2 : 0.133

Hosmer and Lemeshow Test : $\chi^2=10.199$, $p=0.117$

* statistically significant ($p<0.05$)

OR: odds ratio; CI: confidence interval; T2D: Type 2 diabetes; WFS1: Wolfram syndrome 1; KCNJ11: Potassium channel Kir6.2

4.7.5 Comparison between case, control and study population models

Table 4.49: Comparison between models (based on Stepwise regression models).

Characteristics	MODEL 1	MODEL 2	MODEL 3	^Ω MODEL 4
	Adjusted OR (95% CI) for case group (from Table 4.42)	Adjusted OR (95% CI) for study population, n=662 (from Table 4.44)	Adjusted OR (95% CI) for control group (from Table 4.46)	Adjusted OR (95% CI) for case group (from Table 4.48)
Age (< 65 years old)	-	1.9 (1.219-3.093)*	-	2.3 (1.180-4.296)*
Duration of T2D (< 10 years)	-	1.6 (1.077-2.354)*	-	1.7 (1.009-2.892)*
LDL cholesterol (< 2.6 mmol/L)	-	0.7 (0.526-1.035)**	-	-
HOMAIR (< 3.875)	-	2.0 (0.996-4.177)**	-	-
Triglycerides (< 1.7 mmol/L)	2.4 (1.152-5.097)*	1.5 (0.989-2.372)**	-	2.2 (1.031-4.732)*
KCNJ11 rs2285676 (genotype CC)	2.0 (1.065-3.856)*	1.8 (1.095-2.887)*	-	2.0 (1.025-3.767)*

* p<0.05: statistically significant

** trending towards significance

T2D: Type 2 diabetes; LDL: Low Density Lipoprotein; HOMAIR: Homeostasis Model of Insulin Resistance; KCNJ11: Potassium channel Kir6.2; DPP-4i: dipeptidyl peptidase-4 inhibitor; SU: sulphonylurea; TZD: thiazolidinedione

^ΩMODEL 4 : Incorporating significantly different variables in the case group on DPP-4 inhibitor therapy compared with non-DPP-4 inhibitor therapy group.

In Chapter 5 (Discussion): Model 1,2 & 3 were discussed for comparison. Meanwhile, Model 4 was discussed accordingly.

Triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were strongly found to be associated with DPP-4 inhibitors treatment response. In comparison between case and control groups, triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were only significant in the case group, vice versa for control group (Table 4.49). In the association model for the whole study population, KCNJ11 rs2285676 (genotype CC) was still a significant association of oral antidiabetics treatment response, with additional age (< 65 years old), duration of T2D (< 10 years), HOMAIR (< 3.875) and triglycerides (< 1.7 mmol/L) as other significant predictors. When study excluded controls from the study population but uses the same significant variables to construct the DPP-4 inhibitor treatment response association model, triglycerides less than 1.7 mmol/L, KCNJ11 rs2285676 (genotype CC), age less than 65 years old and duration of T2D (< 10 years) were still remained as the significant association of DPP-4 inhibitor treatment response. Study progressed into more strict selection of variables for DPP-4 inhibitor treatment response association model, where the variables chosen were only significant in case group. Hence, triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) emerged as stronger associations (with higher OR) for DPP-4 inhibitor treatment response compared to other association models (Table 4.49). Study may concluded that triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were exclusive for DPP-4 inhibitor treatment response.

CHAPTER 5: DISCUSSIONS

The main objective of this study was to determine genetic associations of response to pharmacotherapy with DPP-4 inhibitors in patients with T2D. However in order to exclude the possibility that genetic polymorphisms that associate response to DPP-4 inhibitor therapy also associate treatment response to non-DPP-4 inhibitor therapy, we also included a control group of 331 patients on other oral antidiabetic drugs. The inclusion of the control group was meant to corroborate the exclusivity of genetic association variables of DPP-4 inhibitor treatment response. At the time of recruitment, SGLT2 inhibitors had not yet been introduced into the prescribing formulary of our recruitment site, the University of Malaya Medical Centre (UMMC); hence none of the patients recruited were on this therapy.

5.1 Baseline Demographic and Clinical Characteristics

The entire sample population (Table 4.1) of subjects with T2D of 662 subjects on any oral anti-diabetic therapy (including those on DPP-4 inhibitor therapy) had a mean age of 57.5 (± 7.3) years, equal gender distribution of male:female (1:1) and an ethnic composition of 48.2% Malays, 18.1% Indians and 28.4% Chinese. Mean BMI was 26.2 (± 3.4) kg/m². The majority of subjects were overweight (10.3% BMI ≥ 30 kg/m², 54.7% BMI ≥ 25 kg/m², 32.2% BMI 18.5-24.99 kg/m²) with elevated waist circumference (94% of males WC > 90 cm, 100% of females WC > 80 cm) 26.7% had a duration of diabetes ≥ 10 years. Mean A1c was 7.9 (± 2.0)%. Only 36.7% attained an optimal A1c of <7%. All patients were on metformin, 38.8% were on sulphonylureas, and 7.3% were on TZDs.

Cases group was significantly younger than the controls and the duration of T2D in cases group was significantly longer than the controls. Similar ethnicity ratio was seen in both cases and controls groups. Most of the subjects in both cases and controls groups were overweight with high waist circumference (>90cm) in both male and female.

The ethnicity ratio found in this study is consistent with the ethnicity ratio in the urban Malaysian population. To the best of our knowledge, no census is available regarding the ethnicity profile of those residing in Petaling Jaya currently, the area where the UMMC hospital situated (Lembah Pantai). However, according to the 2010 census by Department of Statistics of Malaysia, the population in Kuala Lumpur mainly consisted of Malays 45.9%, Chinese 43.2% and Indians 10.3% (DOSM, 2011), which is reflected by UMMC patient statistics.

Overall, glycemic control was poor with mean A1c in the total population (cases + controls =662) of 7.9% and that in the case population 7.4%. 36.7% of our total population and 44.7% of DPP-4 inhibitor users attained optimal glycemic control as defined by the ADA as an A1c of < 7%. In comparison the only available paper on the state of glycemic control in Malaysia, obtained from data collected in 2009 which was published as part of DIABCARE Malaysia in 2011, found that 22% of 1549 patients with T2D sampled from secondary and tertiary centres in Malaysia achieved an A1c <7% (Mafauzy, Hussein, & Chan, 2011). The DIABCARE Malaysia population however included patients with more advanced diabetes, as 53.6% of these patients were on insulin therapy (Mafauzy et al., 2011).

5.1.1 Comparison of baseline characteristics of cases and controls

Glycemic control was better in the case group. Mean A1c in the case group on DPP-4 inhibitors was lower than controls. The proportion of subjects with A1c <7% was significantly higher in the case group compared with controls (44.7% versus 28.7%, $p < 0.05$). These findings are consistent with Mu et al. (2009) who found that sitagliptin showed superior efficacy to glipizide in terms of glycemic control by causing significant improvement in glucose-dependent insulin secretion and restoration of pancreatic β -cells (Mu et al., 2009). The better glycemic control in the case group may also be accounted for by the significantly greater proportion of subjects on monotherapy in the control group.

The case and control groups had some differences (Table 4.1). Aside from glycemic control, there were differences in age, duration of diabetes, insulin sensitivity, lipid profile and AST. There were differences in usage of non-DPP-4 inhibitor drug therapy between groups with greater use of sulphonylureas and lower use of metformin in the control group. Participants in the case group were significantly younger (case:56.3 (± 6.8) versus control:58.0 (± 7.3) years, $p < 0.05$) than controls albeit with a slightly longer duration of diabetes (case:9.1 (± 4.5) versus control:8.8 (± 4.2), $p < 0.05$). There were no significant differences in the proportion of overweight and obese patients between cases and controls. Neither were there differences in ethnic distribution. The mean fasting insulin concentration in case group was significantly lower than the controls as was HOMAIR, indicating better insulin sensitivity and hence better glucose uptake by cells. This difference in insulin sensitivity may account for the better glycemic control in case group and be a result of treatment with DPP-4 inhibitors. DPP-4 inhibitors have been reported to improve insulin sensitivity (Kusunoki et al., 2015). Although there were only slight differences in magnitude in total cholesterol,

triglyceride, HDL cholesterol and LDL cholesterol between groups, DPP-4 inhibitor users had better lipid profiles compared to controls group. Both triglyceride and LDL were significantly lower in the DPP-4 inhibitor group. The relationship/association of DPP-4 inhibitor use with changes in lipids are not new, in fact, many studies show that DPP-4 inhibitors reduce lipid levels (as discussed in section 5.2.3.2-3) The latest study by Duvnjak & Blaslov in 2016, observed an improvement in lipid profiles in patients with T2D treated with sitagliptin and vildagliptin (Duvnjak & Blaslov, 2016).

Mean serum AST concentration was significantly albeit slightly higher in case group compared to controls. This study also found that insulin resistance (HOMAIR) was lower in the case group compared to controls, and the majority of the patients in case group were insulin sensitive. This may indicate that the use of DPP-4 inhibitors improved insulin sensitivity (as discussed in further detail in section 5.2.3.1).

The mean sCD26 level in case group was lower than the controls, as expected from the DPP-4 inhibitor users as DPP-4 inhibitor inhibits the DPP-4 enzyme which is also known as sCD26. However this difference was not statistically significant. Based on previously published results by Chiara et al., normal sCD26 values were considered to range between 460-850 ng/ml as measured using the Soluble CD26 ELISA Kit (eBioscience, Vienna, Austria) (De Chiara et al., 2014). Serum levels of sCD26 have been found to be higher in patients with T2D complicated with metabolic syndrome compared to patients with T2D without metabolic syndrome (1199 ng/ml and 1195 ng/ml, respectively) (Ahmed, Huri, Al-Hamodi, Salem, & Muniandy, 2015). The reference sCD26 range for healthy female adolescents and male adolescents are 400-1800 ng/ml and 700-2000 ng/ml, respectively (Delezuch et al., 2012). Our study results were consistent and within the normal range of the findings from all above studies

mentioned (Ahmed et al., 2015; De Chiara et al., 2014; Delezuch et al., 2012; Otero-Estevez et al., 2015).

As for comorbidities, our study found that most of the patients in both groups had associated hypertension. Hypertension is common and frequently occurs in patients with diabetes (Cheung & Li, 2012) as part of the metabolic syndrome. In fact, hypertension was found to affect 70% of patients with diabetes (Lago, Singh, & Nesto, 2007), and recent work by Nakamura et al. found that hypertension was the most common additional cardiovascular risk factor found in their study population consisting of patients with T2D undergoing sitagliptin treatment (Nakamura et al., 2016). These findings are in line with our results in this study population wherein more than 90% of subjects had hypertension. Dyslipidemia was also common in both groups at rates of >70% in both groups. This is a reflection of the association of T2D with dyslipidemia as part of the metabolic syndrome and is borne out in the literature (Schofield, Liu, Rao-Balakrishna, Malik, & Soran, 2016). Subjects in both case and control groups also showed the typical common pattern of dyslipidemia with elevated triglyceride levels and decreased HDL cholesterol levels (Basak, Chatterjee, & Sarma, 2013), although there was only slightly lower HDL cholesterol level in the case group perhaps due to the antihyperlipidemic agents taken by these subjects that may lessen dyslipidemia. The case group had a higher proportion of patients with peripheral neuropathy compared to control group although this difference was not statistically significant. Peripheral neuropathy is a common complication of poorly controlled diabetes that occurs up to 50% of patients with diabetes (Tesfaye et al., 2016) and it is characterized by pain, parathesia and sensory loss (Tesfaye et al., 2010), which may later develop into foot ulcerations and amputations (Tesfaye et al., 2016), However, we only found a minority of patients with this complication as our study inclusion and exclusion criteria

eliminated all subjects with nephropathy in order to minimize the impact of CKD on pharmacodynamics of anti-diabetic agents and hence glycemic control, as well as the impact of erythropoietin on A1c. This would have excluded patients with longer duration of diabetes and hence higher rates of peripheral neuropathy. The exclusion of patients using insulin therapy would also have limited our study population to subjects with shorter duration of diabetes and hence less peripheral neuropathy.

5.2 Demographic, Anthropometric, Clinical Associations of DPP-4 Inhibitor Treatment Response

5.2.1 Demographic Associations

5.2.1.1 Age

Our univariate analyses showed that younger age was a significant associate of glycemic control in controls on non-DPP-4 inhibitor oral antidiabetics (except DPP-4 inhibitors) and the total population of T2D patients on any oral antidiabetic treatment (except for SGLT2 inhibitors). However, age was not significant a significant associate of treatment response to DPP-4 inhibitors in the case group (n=331). Multivariate analysis however showed that age (<65 years old) is not an independent associate of good DPP-4 inhibitor treatment response in the case group or control group. Younger age was associate of good glycemic control thoughin patients on any oral antidiabetic treatment (except SGLT2 inhibitor) in the whole group 662 patients with T2D.

There are conflicting reports in the literature with regards to the impact of age on the efficacy of DPP-4 inhibitors. Our findings of good DPP-4 inhibitor treatment response regardless of age are similar those by Monami et al. (2011) & Del Prato et al. (2016),

where age was not found as a predictor of linagliptin treatment response (Del Prato, Patel, Crowe, & von Eynatten, 2016; Monami et al., 2011). In contrast, a prospective trial examining predictors of treatment response to sitagliptin therapy in 251 Korean subjects found that younger age was predictive of good treatment response in T2D (S. A. Kim et al., 2011).

There have been several studies demonstrating the efficacy of DPP-4 inhibitors in the management of elderly patients with T2D, lending credence to our observation that age was not a significant associate of treatment response to DPP-4 inhibitors. A study to determine the role of vildagliptin, a DPP-4 inhibitor, in managing T2D in elderly population has found that the A1c reduction in the elderly is as good as that achieved in younger patients and associated with low risk of hypoglycemia. Vildagliptin was also found to improve the β -cells' capability to respond accurately to the changes in plasma glucose levels in elderly (Halimi, Raccach, Schweizer, & Dejager, 2010). Elderly patients treated with vildagliptin do not experience hyperglucagonaemia and elevated postprandial glucose (PPG). During periods of high glucose concentration, vildagliptin caused a reduction in inappropriate glucagon secretion and PPG excursions, while in the presence of low glucose levels, the protective glucagon response is well-maintained (Halimi et al., 2010). In 2013, a multinational study assessing the feasibility of attaining individualised glycemic targets over 24 weeks using vildagliptin versus placebo, has found that individualised glycemic targets are achievable in elderly subjects (aged 70 years old or older) with T2D with vildagliptin without any tolerability issues with low occurrence of hypoglycemia (Strain, Lukashevich, Kothny, Hoellinger, & Paldánus, 2013). As aging is associated with an age-related reduction in renal function, elderly patients with T2D are at high risk for renal impairment, thus complicating T2D treatment as the treatment options are limited in the elderly especially those with renal

impairment. Another study was conducted in 2013 to assess the efficacy and tolerability of vildagliptin (albeit at half the maximum dose) versus placebo in elderly (aged 75 years or more) with T2D complicated with moderate or severe renal impairment (Schweizer & Dejager, 2013). The study found that vildagliptin was well tolerated, caused no increment in the risk of hypoglycemia and effective in improving glycemic control in an elderly population with T2D and moderate or severe renal impairment as compared to placebo (Schweizer & Dejager, 2013). A more recent study in 2015 investigated the effectiveness and tolerability of vildagliptin in addition to metformin in elderly with T2D in Greece has found that glycemic control improved significantly with a minor number of cases with hypoglycemia (Grigorios, Elena, George, Katopodis, & Skoutas, 2015). The findings of our study were consistent with all previous studies as discussed above. A study by Bramlage et al. in 2012 identifying the predictor for hypoglycemia in elderly patients with T2D treated with either oral monotherapy or dual antidiabetics therapy (except insulin and/or GLP-1 analogues), had found that those with the age ≥ 70 years had better response to sulphonylurea therapy compared to those aged < 60 years, and 60-70 years old (Bramlage et al., 2012).

Given all the evidence of the detrimental effect of age on β -cell function it is puzzling that age was not predictive of treatment response. Other prospective studies such as that by S.A. Kim et al. (2011) found that younger age was predictive of better response to DPP-4 inhibitors in Korean subjects (S. A. Kim et al., 2011). It is likely that our small sample size and cross-sectional design was unable to detect a significant impact of age in the case group on DPP-4 inhibitors because of the nonprospective nature of the study. In addition, the majority of our patients (82.2%) on DPP-4 inhibitors were less than 65 years of age. It is possible that had we included more elderly subjects, older age might have been a negative predictor of treatment response to

DPP-4 inhibitors. When sample population was enlarged to 662 patients however, by including both controls on non-DPP-4 inhibitor therapy as well as cases on DPP-4 inhibitor therapy, older age did emerge as a significant independent negative association of good glycemic control ($A1c < 7\%$).

Age at diagnosis of diabetes has not been shown to impact on response to sulphonylurea treatment in the observational GoDART study which found that TCF7L2 polymorphisms were independently predictive of treatment response to SUs in T2D (E.R. Pearson et al., 2007). Holstein et al. (2011) also found in a small observational study of 189 patients with T2D, age did not predict treatment response to SUs (Holstein, Hahn, Korner, Stumvoll, & Kovacs, 2011).

Aging is well known as a risk factor for T2D (Gong & Muzumdar, 2012), where the prevalence of T2D is twice higher in older adults compared to middle-aged adults and peaks at 60-74 years old (Gunasekaran & Gannon, 2011). Impairment of glucose tolerance and progressive deterioration in endocrine function are the common features of normal aging process (Vitale, Salvioli, & Franceschi, 2013). Aging is associated with a decrease of insulin action (De Tata, 2014), which usually starts with the diminished insulin sensitivity of target tissues and leads to the progression of age-related glucose tolerance (De Tata, 2014). Factors that may contribute to age-related insulin resistance are increased central adiposity (Michalakis et al., 2013), declining lean muscle mass (Atkins, Whincup, Morris, & Wannamethee, 2014; J. E. Kim et al., 2014; Ostler et al., 2014), mitochondrial dysfunction (Bertrand et al., 2013; Dela & Helge, 2013; Toledo & Goodpaster, 2013), increased oxidative stress and inflammation (Y. G. Kim et al., 2013; Rains & Jain, 2011), hormonal changes (Barzilai, Huffman, Muzumdar, & Bartke, 2012), dietary habit changes, and declining physical activity (Bunprajun, Henriksen, Scheele, Pedersen, & Green, 2013; Leon-Latre et al., 2014). However, these factors

cannot be fully held responsible for the age-related glucose intolerance as pancreatic β -cell dysfunction is still the fundamental factor in causing T2D (Ashcroft & Rorsman, 2012) and it has been repeatedly reported in many studies that the ability of pancreatic β -cell to maintain the insulin secretory function adequate for daily metabolic requirement is impaired with increased age in both human and animal studies characterized by a significant degree of variability (De Tata, 2014). The age-related impairment of β -cell secretory abilities secondary to many factors such as decreased GLUT2 levels (Ihm et al., 2007), mitochondrial dysfunction (Barzilai et al., 2012; Supale et al., 2013), the buildup of advanced glycation end products (Coughlan et al., 2011), telomerase deficiency and decreased telomere length (Guo et al., 2011; Kuhlow et al., 2010), impaired Ca^{2+} handling (Lin & Sun, 2012; Ribeiro et al., 2012), decreased expression of β 2-adrenergic receptors (Santulli et al., 2012), increased autophagy (S. Wang, Sun, Xiang, & Li, 2013), decreased response to GLP-1 stimulation (Geloneze et al., 2014; Trahair et al., 2012), and decreased expression of β -cell specific genes and transcription factors (Ihm et al., 2007).

Mitochondrial dysfunction is worth considering as the main cause of age-related glucose intolerance secondary to pancreatic β -cell dysfunction; as mitochondria have an important role in the stimulus-secretion coupling in β -cells (De Tata, 2014). In the pancreatic β -cells, mitochondria sense nutrients and thus generate signals for insulin secretion; in particular, the mitochondrial metabolism of pyruvate which is derived from glucose may generate ATP thus causing the closure of the ATP-sensitive K^+ channels, followed by cell membrane depolarization, inducing Ca^{2+} influx through the voltage-gated Ca^{2+} channels resulting in elevated cytosolic concentration of Ca^{2+} which triggers insulin exocytosis (Maechler, Carobbio, & Rubi, 2006). Another theory that relates mitochondrial dysfunction to age-related glucose intolerance secondary to pancreatic β -

cell dysfunction is the mitochondrial free radical theory of aging (De Tata, 2014). Mitochondria have been proposed to represent the primary target of reactive oxygen species (ROS) damage due to their central role in the generation of ROS at the level of the electron transport chain and ATP production (De Tata, 2014). Current evidence suggests that abnormal mitochondrial ROS production and detoxification may contribute to mitochondrial dysfunction thus resulting in reduction in β -cell function and insulin secretion in elderly (Dai, Chiao, Marcinek, Szeto, & Rabinovitch, 2014; Liesa & Shirihai, 2013).

Pancreatic β -cell senescence is a process that may contribute to age-related β -cell dysfunction (De Tata, 2014), through decreased β -cell secretory function (De Tata, 2014). Cellular senescence is a process of limiting the proliferation of normal fibroblasts in a culture which is used to indicate the essentially irreversible growth arrest that occurs when cells that can divide are challenged by an oncogenic stress (Rodier & Campisi, 2011; Tchkonina, Zhu, van Deursen, Campisi, & Kirkland, 2013). The senescent cells disrupt normal tissue structures and differentiated functions in complex cell culture models (Campisi, Andersen, Kapahi, & Melov, 2011). mTOR is a nutrient-sensing cytoplasmic protein kinase that regulates cell growth and metabolism in response to nutrients, mitogens and hormones in eukaryotic cells. Later in life where growth has been completed, mTOR can operate cellular aging (Blandino-Rosano et al., 2012) and is involved in age-related diseases (such as T2D) (De Tata, 2014). Although glucose, fatty acids, and amino acids may activate mTOR in β -cells to increase the β -cell mass and function, thus compensating for age-related development of insulin resistance (Blagosklonny, 2013); chronic hyperstimulation of mTOR during aging, may contribute to the development of β -cell failure (Blagosklonny, 2013). The proliferation of pancreatic β -cells is reduced in humans with age (Reers et al., 2009), and this has

been found to be associated with a decline in pancreatic and duodenal homeobox 1 (pdx1) expression (Gannon et al., 2008; Reers et al., 2009), obesity, patients with T2D and lean individuals aged 61-83 years old (Gunasekaran & Gannon, 2011). The reduced expression of cell cycle inhibitor; p16Ink4a was also found to contribute to the decline in proliferative capacities of the older β -cell (Gunasekaran & Gannon, 2011).

Elderly patients with T2D pose unique treatment challenges and have not been well-represented in clinical trials, thus further studies to better define more suitable or accurate glucose targets and to obtain the best management strategies for achieving and maintaining the targeted glycemic levels. Since DPP-4 inhibitors are less likely to cause hypoglycemic risk and seem to be effective independent of age, this class of drugs may be the best oral antidiabetic therapy for the elderly population with T2D.

5.2.1.2 Duration of T2D

Our univariate analysis revealed that duration of T2D was not a significant associate of DPP-4 inhibitor treatment response in cases. However shorter duration of diabetes was a significant associate of good glycemic control (A1c <7%) in controls on non-DPP-4 inhibitor oral antidiabetic therapy, and the whole population of 662 patients on all oral antidiabetics (except SGLT2 inhibitor). Upon multivariate analysis however shorter duration of DM predicted good glycemic control only in the whole sample population on oral anti-diabetics (except for SGLT2 inhibitor).

There are conflicting reports as to the impact of duration of diabetes on efficacy of DPP-4 inhibitors in the published literature. Our findings contrast with those of Lim et al. (2012) who found that shorter duration of DM was independently predictive of better

response to sitagliptin in combination with metformin in 150 Korean subjects enrolled in a prospective trial (Lim et al., 2012). A prospective study by Nomiyama et al. (2012) of 345 subjects also found that shorter duration of diabetes is a predictor of greater improvement in A1c in response to DPP-4 inhibitor treatment in the Japanese population (Nomiyama et al., 2012) On the other hand, S. A. Kim et al. (2011) did not find that shorter duration of diabetes was predictive of efficacy of sitagliptin as add-on therapy in a similar Korean population (S. A. Kim et al., 2011).

What of the impact of duration of T2D on other oral anti-diabetics? Our findings of no impact of duration of diabetes are consistent with those of Nichols et al. in 2007, wherein the duration of T2D was found not to be a predictor of poor sulphonylurea treatment response (Nichols, Alexander, Girman, Kamal-Bahl, & Brown, 2007). A more recent study by Brown, Conner & Nichols in 2010 found that duration of T2D was not a predictor of metformin monotherapy treatment failure in 1,799 patients with T2D from the year 2004 to 2006 (Brown, Conner, & Nichols, 2010). On the other hand, a systematic review of predictors of response to metformin and sulphonylureas based on articles reporting from the year 2003-2012 by Martono et al. (2015) found that while age was not predictive of treatment response to sulphonylureas, shorter duration of diabetes was predictive of good response to SUs (Martono et al., 2015).

Duration of T2D was categorized as a predictor in the analysis, as the severity of the disease may worsen over time (V. A. Fonseca, 2009), and determining DPP-4 inhibitor efficacy in T2D of long duration is thus important. The hallmark of T2D progression is declining β -cell function with time on top of insulin resistance, processes characterized by deterioration in clinical parameters including A1c, FPG, and postprandial glucose levels (V. A. Fonseca, 2009). The differences in our findings may be due to the differences in the cut-off point for the duration of diabetes in other studies.

A study evaluated the effects of duration of T2D on insulin secretion in a group of patients with the mean duration of T2D of 12 years (range 6 to 14 years) has found that insulin secretion decreases over time, however, the rate of decline was variable among subjects (Zangeneh et al., 2006). A1c values showed a significant increase with the increase in the duration of diabetes (Verma et al., 2006). The study also found that insulin resistance increases with the duration of diabetes (Verma et al., 2006). Fasting and PPG were also found to showed a significant increase with the duration of diabetes (Verma et al., 2006). There are also reports that insulin resistance increases with the increase in duration of T2D (Verma et al., 2006).

In conclusion, our finding that duration of diabetes was not independently associative of treatment response to DPP-4 inhibitor therapy is likely due to the smaller sample population coupled with the cross-sectional study design. It is also possible that the fact that the majority of our patients in the case group (71.6%) had duration of diabetes less than 10 years has resulted in this negative result. A prospective study with a larger sample size including more patients with duration of diabetes >10 years, might most likely have revealed an impact of duration of diabetes given the natural history of decline in β -cell mass with increasing duration of T2D. Indeed once the sample population was enlarged (n=662) to include patients on other oral antidiabetics, shorter duration of diabetes became independently association of good glycemic control.

5.2.1.3 Ethnicity

Ethnicity was not found to be a significant associate of treatment response to DPP-4 inhibitor therapy in case group and any oral antidiabetic therapy (except SGLT2 inhibitor) in the combined study population of 662 subjects (cases + controls). Upon

univariate analysis, ethnicity was a significant associate ($p < 0.25$) of good glycemic control in the control group on non-DPP-4 inhibitor oral antidiabetic therapy. This association between good glycemic control and ethnicity in the control group however was not present on multivariate analysis. Our findings are consistent with those of Zhang et al. (2014) wherein ethnicity was also found not to be a significant determinant of A1c response in patients with T2D undergoing oral triple therapy with DPP-4 inhibitors, sulphonylurea and metformin (Zhang et al., 2014). Neither was ethnicity found to be a significant predictor of metformin and sulphonylurea treatment response in a systematic review by Martono et al. in 2015 (Martono et al., 2015).

Our findings differ from a recent study by Del Prato et al. in 2016 where Asian ethnicity was found to be a predictor of better treatment response to linagliptin (Del Prato et al., 2016). DPP-4 inhibitor therapies had shown greater A1c-lowering efficacy in Asian-dominant studies (where study participants were $>50\%$ Asian) compared to non-Asian dominant studies (S. A. Kim et al., 2011). Another study by James et al. in 2012, investigating the impact of ethnicity on improvement in A1c, had found that while A1c was improved after 1 and 2 years of antidiabetic treatment (metformin only, metformin + other oral antidiabetics, other oral antidiabetics, insulin, and insulin + oral antidiabetics) in south Asian (Bangladeshi, Indian, Pakistani, other Asian and mixed Asian), white (British, Irish and other white), black African/Caribbean (African, Caribbean, black British and mixed black) and other ethnic groups, participants of south Asian ethnicity had significantly less benefit from each diabetes treatment (James et al., 2012). South Asian participants were found to have a lower reduction of A1c compared to white participants, while the reduction in A1c between black African/Caribbean and white participants did not vary significantly from each other (James et al., 2012).

Our sample population did not have sufficient numbers of patients of Indian descent (South Asians) to find a statistically significant impact of ethnicity on treatment response. Based on these findings from James et al. (2012) and Del Prato et al. (2016), which found an impact of South Asian ethnicity on treatment response (Del Prato et al., 2016; James et al., 2012), further prospective studies are required that incorporate more patients of Indian ethnicity in Malaysia in order to study the association between ethnicity and treatment response.

Several studies of the T2D population in Southeast Asia have noted differences between the 3 main ethnic groups i.e. those of Malay, Chinese and Indian descent with regards to risk factors, glycemic control, complications and prevalence of comorbidities. Hence it is highly plausible that by recruiting larger numbers patients and ensuring a more equal ethnic distribution in the study population we might detect an impact of ethnicity on DPP-4 inhibitor treatment response. A study to determine the ethnic differences among Malay, Chinese, and Indians patients with T2D in Singapore had found that ethnic differences exist with regard to diabetes control (A1c), BMI, family history of diabetes, presence of hypertension and the severity of albuminuria; for instance, the study found that Malays had the highest BMI (27.4 kg/m²), followed by Indians (25.74 kg/m²) and Chinese (24.9 kg/m²). As for glycemic control, Indians had the highest A1c levels (8.3%), followed by Malay (8.0%) and Chinese (7.7%) (Hong, Chia, Hughes, & Ling, 2004). Among all three ethnicities, Indians were more likely to have a positive family history of diabetes and poorer control of diabetes as compared to Chinese and Malay (Hong et al., 2004). Ethnic differences in T2D are caused by genetic and environmental factors (Hong et al., 2004). Ethnicity also was found to be one of the major determinants of diabetic dyslipidemia in Malaysia, apart from glycemic control (Ismail et al., 2001). There exist ethnic differences in serum lipid concentrations, with

Malay having the highest total cholesterol (mean 6.19 mmol/l) and the highest LDL cholesterol (mean 4.16 mmol/l), while Chinese had the highest HDL cholesterol (mean 1.24 mmol/l) (Ismail et al., 2001). This study concluded that ethnicity was an important determinant of total cholesterol, LDL cholesterol and HDL cholesterol in T2D (Ismail et al., 2001). This information on ethnic differences will aid in managing patients with diabetes. Since Indians are more prone to T2D due to family history of diabetes, they should be encouraged to screening for T2D as early as possible or regularly. Additionally, since glycemic control is the least optimal in Indians, healthcare professionals should investigate the health beliefs and dietary habits of the Indian patients so that the advice given is specially tailored and culturally acceptable to them. As for Malay, the healthcare professionals may target strategies of lifestyle changes including tailored dietary advice and cooking tips to prevent the onset of obesity. A study was done to identify the relationship of health beliefs and complication prevention behaviors among Chinese patients with T2D in Malaysia. This study found significant correlation between complication prevention behaviors and perceived severity, perceived susceptibility and perceived barriers. There were also significant correlations between subjects' education level and perceived severity, perceived susceptibility and complication prevention behavior. The study finally concluded that the poor complication preventive behavior among Malaysian Chinese with T2D was associated with lack of perceived seriousness of diabetes and lack of perceived susceptibility to diabetes complications (M. Y. Tan, 2004).

5.2.2 Anthropometric Associations

5.2.2.1 Body Mass Index (BMI) and Waist Circumference (WC)

Our univariate analyses showed that BMI was a significant associate ($p < 0.25$) of good glycemic control in controls on non-DPP-4 inhibitor oral antidiabetics. This association however did not persist on multivariate analysis. BMI was not found to be a significant associate of DPP-4 inhibitor treatment response in cases and good glycemic control in the combined total population on any oral antidiabetic (except SGLT2 inhibitor).

There are conflicting reports in the literature as to whether BMI impacts on DPP-4 inhibitor treatment response. Our findings of no association of BMI with treatment response are consistent with a study by Chen et al. in 2015, that found that BMI was not a predictor for efficacy of linagliptin monotherapy in Asian patients (Yuhong Chen et al., 2015). Our findings differ from Kim et al. in 2011, where patients with T2D with low BMI were found to respond better to sitagliptin add-on therapy in a Korean study population (S. A. Kim et al., 2011). A more recent study by Yagi et al. in 2015 also found that low baseline BMI is a predictor for good DPP-4 inhibitor treatment response in Japanese patients with T2D (Yagi et al., 2015). Similarly, Nomiya et al. (2012) also found baseline BMI to be predictive of treatment response to sitagliptin in a prospective trial (Nomiya et al., 2012).

Upon univariate analysis, WC was significantly higher ($p < 0.25$) in those on DPP-4 inhibitors with good treatment response ($A1c < 7\%$) in the case group. This association however did not persist upon multivariate analysis. WC was not significantly associated with $A1c < 7\%$ in the control group or the combined study population. To the best of our knowledge, there have been no studies that specifically assessed WC as an associate

of DPP-4 inhibitor treatment response, most studies used BMI as a variable. Therefore, we were unable to make a comparison with studies by other groups. It is possible that we were unable to find any significant association between treatment response and waist circumference in the final analysis because of the uneven group distribution for comparisons, with >90% of the study population having a central obesity and WC above the normal range.

In conclusion, we could not find an association between BMI and WC with treatment response to DPP-4 inhibitors. The cross-sectional nature of our study methodology prevents comparison with prospective trials that examined the impact of baseline BMI on treatment response to DPP-4 inhibitors. The fact that DPP-4 inhibitors are often prescribed to overweight and obese subjects as these agents are weight neutral (as opposed to sulphonylureas that are often associated with weight gain) may also confound the picture. Nevertheless, further prospective randomized controlled trials are the best study design to obtain the most accurate findings.

5.2.3 Clinical Associations

5.2.3.1 Insulin resistance: Fasting insulin concentration and HOMAIR

Upon univariate analysis, fasting insulin (<174 pmol/L) and HOMAIR <3.85 were significant predictors of optimal glycemic control (A1c <7%) in the combined population of cases and controls (n=662) on any oral anti-diabetic therapy (except for SGLT2 inhibitors). After multivariate analysis only HOMAIR remained significantly associative of A1c <7% in the total population of subjects. Measures of insulin

resistance (fasting insulin and HOMAIR) were not associative of treatment response on multivariate analysis in the case or control groups.

Our findings of a lack of impact of insulin resistance on treatment response to DPP-4 inhibitors are consistent with those of a prospective study by S. A. Kim et al. (2011) in 251 Korean patients who found that fasting insulin and HOMAIR were not significantly different between responders and non-responders to 24 weeks of sitagliptin therapy as an add-on (S. A. Kim et al., 2011). Lim et al. (2011) also found that while lower fasting insulin and HOMAIR were predictive of treatment response to combination therapy with sitagliptin and metformin on univariate analysis, this association did not persist on multivariate analysis (Lim et al., 2012).

In contrast, a more recent study by Ku et al. in 2015, found that high HOMAIR at baseline was a significant predictor of positive treatment response to 4 years therapy with sitagliptin in combination with metformin in patients with T2D in South Korea (Ku et al., 2015).

Our failure to find a relationship between better insulin sensitivity and treatment response in the case group on univariate and therefore multivariate analysis may be because our study is underpowered. Alternatively the lack of demonstrable influence of insulin resistance at baseline on treatment response to DPP-4 inhibitors may be secondary to the cross-sectional nature of our study design. There is evidence that treatment with DPP-4 inhibitors improve insulin resistance, therefore a difference in insulin sensitivity between responders and non-responders is simply an outcome of treatment and not a predictor of treatment response. There have been many reports that DPP-4 inhibitors improve insulin resistance (Apaijai, Chinda, Palee, Chattipakorn, & Chattipakorn, 2014; Derosa et al., 2012; Kern et al., 2012; Lim et al., 2012; Zhuge et al.,

2016). A 2-year study evaluation of sitagliptin treatment in patients with T2D found that sitagliptin is effective in improving insulin resistance based on the reductions in fasting plasma insulin and HOMAIR levels from baseline, thus leading to a better glycemic profile, as well as improving body weight and lipid profiles (Derosa et al., 2014). Three months therapy with sitagliptin was found to significantly reduce postprandial glucose levels and increased the insulinogenic index measured during Meal Tolerance Test (MTT), suggesting that sitagliptin was effective in improving acute insulin response and suppressing postprandial hyperglycemia in Japanese patients with T2D who displayed impaired acute insulin responses (Ohkura et al., 2014). Sitagliptin therapy with the addition of metformin was also found to have a positive effect on insulin resistance in Indian populations based on the findings that this combination therapy significantly reduced A1c and FPG levels from baseline (Sen, Sinha, Nyati, & Joshi, 2012). A study comparing the effect of metformin versus sitagliptin plus metformin combination against insulin resistance in patients with T2D had found that the presence of sitagliptin in combination with metformin as part of a regimen was more effective in reducing insulin resistance leading to improved glycemic control, compared to metformin therapy alone (Derosa et al., 2012). A more recent study also found that vildagliptin in combination with metformin improved insulin resistance and exerted a cardioprotective effect in obese-insulin resistant rats with ischemia-reperfusion injury (Apaijai et al., 2014). A common perception is that DPP-4 inhibitor treatment may be less effective with increasing insulin resistance and disease progression with concomitant loss of pancreatic β -cell function. This has been proven wrong in a study by Schweizer, Dejager & Foley in 2012; where individuals with higher insulin resistance, obesity and longer duration of T2D were shown to benefit from vildagliptin therapy in combination with metformin (Schweizer et al., 2012). In a recent study determining the effect of DPP4 inhibition by linagliptin on macrophage migration and polarization in white

adipose tissue and liver of high-fat diet–induced mice, linagliptin impaired the obesity-related insulin resistance and inflammation (Zhuge et al., 2016). Linagliptin reduced the (macrophage1)M1-polarized macrophage migration while inducing an (macrophage2)M2 dominant shift of macrophages within white adipose tissue and liver, resulting in the impairment of obesity-related insulin resistance and inflammation in test subjects (Zhuge et al., 2016). Four weeks of linagliptin therapy were found to reduce insulin resistance and improve insulin sensitivity in diet-induced obese mice, as well as reducing liver fat content (Kern et al., 2012).

In summary, our group failed to find an association between insulin resistance and treatment response to DPP-4 inhibitors. This may have been because our sample population was underpowered or because of the cross-sectional study design. The interpretation of the association of insulin resistance and treatment response in a cross-sectional study is confounded by the fact that treatment with DPP-4 inhibitors in itself can improve insulin resistance.

5.2.3.2 Triglycerides

Our univariate analyses showed that triglyceride (<1.7 mmol/L) was significantly associated with good glycemic control in case group on DPP-4 inhibitor therapy and the total population on any oral antidiabetic drug treatment (except SGLT2 inhibitors) ($p<0.05$). Upon multivariate analyses these relationships remained significant in both the aforementioned groups. There was no association between triglyceride <1.7 mmol/L and good glycemic control in the control group.

There is a vast body of literature that corroborates our finding of an independent association of lower triglyceride levels with DPP-4 inhibitor treatment response. Many studies have investigated the effects of DPP-4 inhibitor treatment on triglycerides levels with results consistent with our findings. Sitagliptin was found to significantly reduce postprandial triglyceride concentrations after 12 weeks of treatment in Japanese patients with T2D (Kubota et al., 2012). Sakamoto et al. (2013) found that sitagliptin was able to reduce serum triglyceride after three months of therapy (Sakamoto et al., 2013). Derosa et al. (2014) observed a reduction in triglyceride levels from baseline in a group of patients with T2D undergoing sitagliptin therapy within 2 years of treatment duration (Derosa et al., 2014). Similar findings were demonstrated in Brazilian populations (Barros et al., 2014). In 2014, sitagliptin was also found to significantly reduced triglyceride-rich lipoprotein (apolipoprotein (apo)B-48, VLDL apoB-100, apoE, and apoC-III) in patients with T2D within 6 weeks by reducing the synthesis of these lipoproteins (Tremblay et al., 2014). The addition of linagliptin to pioglitazone was found to reduce triglyceride levels from baseline after 24 weeks in a group of patients with T2D (McGill, 2012). According to Tremblay et al. (2014), the mechanisms of reducing these triglyceride-rich lipoprotein of both hepatic and intestinal origin in patients with T2D, involves increasing incretin hormone (GLP-1) levels, reducing circulating free fatty acids concentrations and improving pancreatic β -cell function and insulin sensitivity (Tremblay et al., 2014). Another possible mechanism postulated for the triglyceride-lowering effect by sitagliptin includes the inhibition of intestinal triglyceride absorption and hepatic VLDL release, declining blood glucose levels and complementary improvements in metabolic status due to GLP-1 (Kubota et al., 2012). A recent study by Macauley et al. (2015) demonstrated that vildagliptin caused a significant decrease in hepatic triglyceride levels within 6 months of therapy regardless of change in body weight (Macauley et al., 2015). Vildagliptin reduces postprandial

triglyceride levels and lipolysis more than it causes changes in plasma insulin concentrations (Matikainen et al., 2006) resulting in a decline in liver triglyceride concentration (Macauley et al., 2015). A study by McGill found that triglyceride levels decreased from baseline after 24 weeks of linagliptin therapy in a group of patients with T2D (McGill, 2012). However, a similar study conducted earlier by Gomis et al. found that the triglyceride levels remained stable above the normal reference range at 24 weeks of linagliptin treatment in a group of T2D patients (Gomis, Espadero, Jones, Woerle, & Dugi, 2011). Kern et al. (2012) found that the reductions in liver triglyceride content mediated by linagliptin were significantly correlated with blood glucose improvement, and the study concluded that long-term linagliptin treatment may decrease liver fat content in subjects with diet-induced hepatic steatosis and insulin resistance, as well as improving insulin sensitivity (Kern et al., 2012). These were explained by the ability of linagliptin to reverse liver triglyceride content and dose-dependently improve hepatic steatosis which was found to be correlated with improvements in blood glucose and A1c (Kern et al., 2012). Tanaka et al. (2016) found that serum levels of triglyceride changed significantly after linagliptin administration in patients with diabetic nephropathy (M. Tanaka et al., 2016). Treatment with GLP-1 was reported to reduce serum triglyceride levels pre- and post-meal (J. J. Meier et al., 2006), - postulated mechanisms include inhibition of postprandial hyperlipidemia by GLP-1, reduction of triglyceride absorption caused by delayed gastric emptying and also inhibition of lipolysis by improved insulin secretion (Shigematsu, Yamakawa, Kadonosono, & Terauchi, 2014). Other groups have hypothesized that GLP-1 signaling in the incretin pathway results in reduced triglyceride levels, cholesterol, and apoB48 produced by the small intestine (Hsieh et al., 2010). The increment of triglyceride due to the consumption of a high-fat diet can be inhibited by vildagliptin (Matikainen et al., 2006). All of these studies are consistent with our findings that lower triglycerides are associated with DPP-4 inhibitor treatment

response. Our analysis confirmed that triglycerides concentrations <1.7 mmol/L are a predictor of good response to DPP-4 inhibitor therapy.

There have also been a few reports of no association of triglyceride levels with glycemic control in patients treated with DPP-4 inhibitors. Our findings differ from Yagi et al. in 2015, who did not find triglycerides to be a predictor of DPP-4 inhibitor treatment response in Japanese patients with T2D, after 12 weeks of therapy (Yagi et al., 2015). Lim et al. in 2012 also found that triglycerides were not a predictor of treatment response to the combination of sitagliptin + metformin (Lim et al., 2012). Shigematsu et al. in 2014 found that sitagliptin did not reduce the triglyceride levels of subjects with T2D with dyslipidemia (Shigematsu et al., 2014). In a group of patients with T2D complicated by dyslipidemia, sitagliptin was found to significantly reduce total cholesterol, LDL cholesterol, and non-HDL cholesterol in a group with high triglyceride levels (≥ 150 mg/dL) (Shigematsu et al., 2014). In the case of statin users, sitagliptin reduced the total cholesterol and LDL cholesterol in a group with high triglyceride levels (≥ 150 mg/dL) (Shigematsu et al., 2014).

In conclusion, our study found a significant association of a lower triglyceride level with treatment response to DPP-4 inhibitors defined as A1c $<7\%$, after adjustment for all other variables i.e. demographic, drug therapy, genetic or metabolic. However given the cross-sectional design of our study, one cannot exclude the possibility that the lower triglyceride in the case group with good treatment response is merely another outcome of treatment with DPP-4 inhibitors rather than an associate of treatment response. The former is more likely.

5.2.3.3 LDL cholesterol

Our univariate analyses demonstrated that a lower LDL cholesterol (<2.6 mmol/L) was significantly associated with poorer glycemic control in the case group on DPP-4 inhibitors, controls on non-DPP-4 inhibitor oral antidiabetics and the total population on any oral antidiabetic (except SGLT2 inhibitor) (cases + controls). Upon multivariate analyses however only the association in the whole study population remained statistically significant.

Yagi et al. (2015) found that a reduced level of LDL cholesterol was significantly associated with DPP-4 inhibitor treatment response over 12 months but this association did not persist upon multivariate analysis (Yagi et al., 2015). Lim et al. (2012) was one of the few groups that analysed the impact of baseline LDL cholesterol on treatment response to DPP-4 inhibitors but found no effect on even univariate analysis (Lim et al., 2012). Additionally, Eppinga et al. in 2016, found that lower LDL cholesterol significantly predicted good treatment response to metformin (Eppinga et al., 2016). However, as the limitation of our study; the LDL cholesterol levels was not measured from baseline thus, we unable to conclude the effect of reduced level of LDL cholesterol on antidiabetics treatment response as studies above.

There have been several studies that reported an LDL lowering effect of DPP-4 inhibitors. Vildagliptin was found to reduce the hepatic expression of genes required for cholesterol synthesis. (Flock, Baggio, Longuet, & Drucker, 2007). Linagliptin has been found to reduce the serum LDL cholesterol in patients with T2D undergoing hemodialysis, regardless of its effect on lowering plasma glucose levels (Terawaki et al., 2015). A study by Katamani et al. (2013) comparing the clinical efficacy between sitagliptin and linagliptin had found that both sitagliptin and linagliptin significantly

reduced both LDL and HDL levels leading to lipid profile improvements in patients with T2D (Katamani et al., 2013). All of these studies demonstrated that DPP-4 inhibitors significantly reduced LDL cholesterol levels in patients with T2D.

Sitagliptin had positive effects on the lipid profile, where it was found to reduce LDL cholesterol, total cholesterol, and also found to elevate HDL cholesterol after 2 years of therapy in patients with T2D (Derosa et al., 2014). Shigematsu et al. (2014), have also reported that sitagliptin significantly decreases LDL cholesterol, as well as HDL cholesterol and total cholesterol after 12 weeks of treatment in patients with T2D (Shigematsu et al., 2014). Sitagliptin was repeatedly reported to improve lipid profiles in patients with T2D, with a recent study by Fan, Li & Zhang in 2016 observing that LDL cholesterol, HDL cholesterol, and total cholesterol can be improved by either sitagliptin monotherapy or sitagliptin in a combination therapy regime. However, treatment with sitagliptin alone produced greater improvement in these lipid profile parameters compared to the combination therapy (M. Fan, Li, & Zhang, 2016). In contrast, in a study comparing metabolic effects of sitagliptin in obese and non-obese patients with T2D, Katsuyama et al. (2015) reported that at 6 months of sitagliptin treatment, there was no change in LDL cholesterol, plasma glucose, blood pressure and eGFR indicating that sitagliptin and sitagliptin-mediated changes may not influence these parameters (Katsuyama, Sako, Adachi, Hamasaki, & Yanai, 2015).

Extended DPP-4 inhibition modifies expression of genes essential for fatty acid oxidation, comprised of acyl-coenzyme dehydrogenase medium chain and Acyl-CoA synthetase (Sakamoto et al., 2013). Additionally, DPP-4 inhibitors also reduce the levels of hepatic mRNA transcripts for acetyl coenzyme A acyltransferase 1 and carnitine palmitoyltransferase 1, regardless of the incretin receptor actions (Flock et al., 2007). Since these regulations rely on and/or are independent of the incretin receptor actions,

Sakamoto et al. (2013) suggested that sitagliptin may have the capability of lowering triglyceride levels in patients with T2D (Sakamoto et al., 2013).

In conclusion, although the majority of the studies above demonstrated that DPP-4 inhibitors significantly reduced LDL cholesterol levels, we did not find LDL cholesterol to be significantly associated with good glycemic control in cases on DPP-4 inhibitor treatment upon multivariate analysis. This is most likely because this was not a prospective study design and hence we did not have a baseline LDL cholesterol for our analyses. Any association between LDL cholesterol and A1c in the case group could have been secondary to the LDL-lowering treatment effect of DPP-4 inhibitors. Another confounding factor that effects interpretation of the impact of antidiabetic therapy on LDL is the contribution of concomitant statin therapy in patients with diabetes, which we did not analyse.

5.2.3.4 Systolic (SBP) and Diastolic blood pressure (DBP)

Upon univariate analysis, lower SBP was significantly associated with good glycemic control in the controls on non-DPP-4 inhibitor therapy but not in cases and the whole population. This association however did not persist upon multivariate analysis in the control group.

Upon univariate analysis, DBP (< 90 mmHg) was significantly associated with good glycemic control in those on any oral antidiabetic (except SGLT2 inhibitor) in the total combined study population and the control group on non-DPP-4 inhibitor therapy. However, DBP (< 90 mmHg) was not a significant associate of treatment response to

DPP-4 inhibitors in the case group. Upon multivariate analysis however these associations between lower DBP and good glycemic control did not persist.

Our findings are consistent with Martono et al. in 2015 who found that blood pressure was not an associate of response to metformin and sulphonylurea; in a systematic analysis regarding patients variable response to metformin and sulphonylurea therapies between the year 2003 and 2012 (Martono et al., 2015). It is also difficult to interpret differences in blood pressure as we have not controlled for the effect of concomitant use of antihypertensive medications.

Although DPP-4 inhibitors are known to lower systolic and diastolic blood pressure, we did not find blood pressure to be significantly lower in those with A1c <7% in the DPP-4 inhibitor therapy case group (Table 4.3). DBP however was significantly lower in case group compared with controls not on DPP-4 inhibitors (Table 4.1). Sitagliptin was found to significantly reduce diastolic blood pressure after 12 weeks of treatment in Japanese populations (Kubota et al., 2012). Sakamoto et al. (2013) found that sitagliptin was able to reduce SBP and DBP after three months of treatment duration (Sakamoto et al., 2013). Early stipulated possible mechanisms include GLP-1 action in the proximal renal tubule which induces increased urinary sodium excretion, which might contribute to blood pressure reduction with sitagliptin therapy (Gutzwiller et al., 2004; Sakamoto et al., 2013). It has also been proposed that sitagliptin causes a decline in blood pressure due to the sodium-diuretic action of GLP-1 (Kubota et al., 2012).

Vildagliptin was also found to decrease blood pressure by increasing urine sodium excretion. Sufiun et al. (2015) suggested that vildagliptin may have beneficial antihypertensive effects including the refinement of the abnormal circadian blood pressure pattern (Sufiun et al., 2015). In 2011, vildagliptin was reported to cause

improvement of endothelium-dependent vasodilatation in patients with T2D (van Poppel, Netea, Smits, & Tack, 2011); due to the vasodilatory actions of GLP-1 involving the GLP-1 receptor in the vascular endothelium which is mediated by metabolites of GLP-1 (Ban et al., 2008). Cosenso-Martin et al. (2015 & 2012) postulated that vildagliptin reduces blood pressure by reversing endothelial dysfunction. However, glucose control can also ameliorate the endothelium dysfunction thus, more studies are required to confirm these findings (Cosenso-Martin et al., 2015; Cosenso-Martin, Vilela-Martin, & Giollo-Junior, 2012). Duvnjak and Blaslov (2016) showed that both sitagliptin and vildagliptin improved arterial stiffness (Duvnjak & Blaslov, 2016) due to the cardiovascular effects mediated by GLP-1 via its specific GLP-1 receptor on cardiomyocytes, vascular endothelium and vascular smooth muscle cells resulting in vasodilatory effects (Ban et al., 2008) thus, leading to the reduction of blood pressure in patients with T2D (Duvnjak & Blaslov, 2016). An early study by Bosi, Byiers & Cohen in 2007, also showed that vildagliptin reduced DBP in patients with T2D complicated with hypertension (Bosi, Byiers, & Cohen, 2007).

There has been work exploring possible mechanisms which involve the active isoform of GLP-1 which is GLP-1 (7-36) (Sakamoto et al., 2013). GLP-1 (7-36) manifests vascular actions through GLP-1 receptor signaling, where its metabolite, the GLP-1 (9-36) exhibits vasodilatory effects regardless of the GLP-1 receptor in a nitrous oxide/cyclic guanosine monophosphate (cGMP)-dependent manner (Ban et al., 2008). In the presence of DPP-4 inhibitors, GLP-1 levels are increased resulting in vasodilatation, thus causing the reduction in blood pressure (Sakamoto et al., 2013).

5.2.3.5 AST levels

Upon univariate analysis, AST levels (≤ 31 U/L) were significantly associated with ($p < 0.25$) glycemic control in case group on DPP-4 inhibitors and in the total population on any oral antidiabetic (except SGLT2 inhibitor). Upon multivariate analysis however the association in both the case group and total population disappeared. Most subjects had AST levels within the normal range as subjects with serious illnesses were excluded from the study. Chronic mild elevation of transaminases however is commonly found in T2D owing to a hepatic steatosis (Bhatt & Smith, 2015).

To the best of our knowledge, there are currently no studies that associate AST levels as the associate for DPP-4 inhibitors treatment response. In this study, the mean AST levels were slightly but significantly higher in the case group on DPP-4 inhibitors (20.9 (± 5.6) IU/l) compared with the control group (19.4 (± 5.3) IU/l) (Table 4.1). The upper limit of normal range AST in our institutions's laboratory is 31 IU/L. However, the majority patients in the case group on DPP-4 inhibitor therapy ($>95\%$) had AST levels < 31 IU/l regardless of A1c levels in the optimal or suboptimal range (Table 4.4).

GLP-1 is reported to be expressed in various cells including hepatocytes (N. A. Gupta et al., 2010) thus, DPP-4 inhibitors are suspected to have pleiotropic effects independent of incretin activity (other than reducing plasma glucose concentrations and stimulating pancreatic β -cells insulin secretion) (Kanazawa et al., 2014). Pleiotropic effects refer to a single gene affecting multiple systems or influencing more than one phenotype traits (Kavalipati et al., 2015). Since non-alcoholic fatty liver disease (NAFLD) is severe, more prevalent and increases the mortality rates in patients with T2D (Tziomalos, Athyros, & Karagiannis, 2012), many studies have been conducted to

evaluate and examine the effects of DPP-4 inhibitors on liver function in patients with T2D.

Our findings are not consistent with many studies that have reported that liver enzyme levels are lowered by DPP-4 inhibitor therapy. Studies have shown that sitagliptin can safely be used in patients with T2D complicated by liver disease or injury (Asakawa et al., 2015) and it also improves fatty liver with a significant reduction in AST, ALT, A1c and gamma-glut amyl-transpeptidase (γ GT) levels (Iwasaki et al., 2011; Shirakawa et al., 2011). DPP-4 inhibitors have been found to significantly reduce AST and ALT levels regardless of A1c levels, after six months of treatment in Japanese populations with T2D (Kanazawa et al., 2014). A study by Miyazaki et al. (2012) found that DPP4 expression was significantly greater in patients with NAFLD compared to healthy individuals as the DPP4 was locally expressed in liver (Miyazaki et al., 2012), suggesting that the inhibition of DPP4 activity by the DPP-4 inhibitors may be useful for the treatment of NAFLD (Kanazawa et al., 2014). A randomized controlled trial conducted to compare the efficacy of sitagliptin versus placebo in reducing liver fat by measuring the MRI-derived proton density-fat fraction (MRI-PDFF) had found that sitagliptin was no more effective than placebo in improving fatty liver in patients with NAFLD (Cui et al., 2016). A comparison between the clinical efficacy of sitagliptin and linagliptin had found that although both effects on glycemic control were virtually the same (Gross et al., 2013), sitagliptin showed significant improvements in AST and ALT compared to linagliptin (Katamani et al., 2013). Linagliptin was found to significantly decrease the serum levels of AST in patients with T2D undergoing hemodialysis (Terawaki et al., 2015).

Vildagliptin was found to have a protective effect for the liver against cyclosporin A-induced hepatotoxicity via reducing the DPP4 activity as vildagliptin improved AST,

ALT and YGT levels within 28 days of therapy (El-Sherbeeney & Nader, 2016). On the other hand, an earlier study by Su et al. (2014) in subjects from China found that the levels of AST, ALT, urea and creatine had slightly increased after 24 weeks treatment with vildagliptin (Su et al., 2014). However, a similar increment was also observed in placebo group, this plus small sample size and the use of other antihypoglycemic agents may have contributed to these results; and suggest that vildagliptin does not negatively affect the liver function of patients with T2D (Su et al., 2014). Meta-analyses involving more than 7000 individuals with T2D treated with vildagliptin indicate that vildagliptin is not associated with increased risk of hepatic events or drug-induced liver injury due to hepatic enzyme elevations (Ligueros-Saylan, Foley, Schweizer, Couturier, & Kothny, 2010). However, there have been a few cases of DPP-4 inhibitor-induced liver injury reported recently; one case of sitagliptin-induced hepatic injury (Kutoh, 2014), one case of vildagliptin-induced idiosyncratic liver injury (Kurita, Ito, Shimizu, Hirata, & Uchihara, 2014), and one case of linagliptin-induced liver toxicity (Toyoda-Akui et al., 2011). Since these adverse events occurred in the presence of other antidiabetics and/or diseases (Kurita et al., 2014; Kutoh, 2014; Toyoda-Akui et al., 2011), the causality is questionable and more studies are required to explore the effect of DPP-4 inhibitors on the liver. The DPP-4 inhibitors improved liver function by stimulating GLP-1 activity and by inhibiting local DPP4 activity in liver (N. A. Gupta et al., 2010; Kanazawa et al., 2014), however, the exact mechanisms of improved liver function in individuals with NAFLD remains unknown.

To summarize, in our study there was no significant association between lower liver enzyme levels and DPP-4 inhibitor use. This is not consistent with the majority of studies that report a lowering of liver enzymes secondary to DPP-4 inhibitor use. However, AST levels were not measured from baseline thus, changes in AST levels

cannot be determined. Other limitations include the absence of other supportive data such as liver biopsy and ultrasonography in order to exclude NAFLD in our study population. It has been reported that a significant proportion of patients with T2D and NAFLD can have liver enzymes well within the upper range of normal hence the need for imaging and biopsy studies to examine the true prevalence of NAFLD in patients on DPP-4 inhibitor therapy (Pearce, Thosani, & Pan, 2013).

5.2.3.6 sCD26 levels

Upon univariate analysis sCD26 levels were significantly higher in those with good glycemic control in the control group on non-DPP-4 inhibitor oral antidiabetic therapy. This association did not however persist on multivariate analysis. sCD26 levels were not found to be significantly associated with DPP-4 inhibitor treatment response in case group and good glycemic control in the total study population on any oral antidiabetic (except SGLT2 inhibitors).

Our findings of no significant difference in sCD26 levels between patients with good response to DPP-4 inhibitor therapy and those that respond poorly were not concordant with findings by Ahmed et al. in 2015, where sCD26 levels were significantly associated with higher A1c levels (poor response) in patients with T2D treated with DPP-4 inhibitors. (Ahmed et al., 2015). The reason for these differences may be due to the choices of the study population where ours were all diabetics and Ahmed's study (2015) included patients without diabetes as the control groups (Ahmed et al., 2015). Although sCD26 levels was a significant variable in the control group, we had to exclude this variable from further analysis since the ROC curve was not significant and we cannot proceed with sCD26 levels categorization, plus we could not

find any references or guidelines on sCD26 cut-off point for patients with T2D within this specific age group, to be used in our study. Additionally, since this study was about DPP-4 inhibitors and its users, sCD26 will not be discussed any further in this chapter as it was only significant in non-DPP-4 inhibitor therapy group.

5.2.4 Comorbidities and Complications of Diabetes

We evaluated differences in the prevalence of other comorbidities associated with the metabolic syndrome such as hypertension and dyslipidemia and its association with glycemic control. We also evaluated the presence of complications such as peripheral neuropathy. Patients with chronic kidney disease (CKD) were excluded from participation so are therefore not relevant to this discussion. There were very few subjects with documented retinopathy in our sample population, and therefore this will not be discussed further either. Neither hypertension or dyslipidemia was associated with glycemic control. Peripheral neuropathy was associated with glycemic control on univariate analysis in the control group but this was not found to be independently predictive on multivariate analysis. Peripheral neuropathy had no impact on glycemic control in the case group however or the total population on univariate analysis.

The presence of complications is a function of time and past glycemic control and therefore is likely to be associated with longer duration of diabetes (Cortez, Reis, Souza, Macedo, & Torres, 2015), and therefore reduced β -cell mass. Therefore the presence of microvascular complications (potentially associated with reduced β -cell mass) is more likely to have an impact on patients treated with insulin secretagogues such as DPP-4 inhibitors and sulphonylureas (Del Guerra et al., 2005; Maedler et al., 2005; Page &

Reisman, 2013). However we were not able to find an association between the presence of peripheral neuropathy and treatment response to DPP-4 inhibitor therapy.

5.2.5 The Effect of Concomitant Antidiabetic Therapies in Combination Regimens

Upon univariate analysis, particular combinations of antidiabetic treatments (eg. DPP-4 inhibitor + biguanide, DPP-4 inhibitor + biguanide + TZD, etc.) were significantly associated with glycemic control in the case group on DPP-4 inhibitors, the control group and the total group on any oral antidiabetic therapy ($p < 0.25$). However, multivariate analysis showed that none of the combination antidiabetic regimens was an associate of good glycemic control in DPP-4 inhibitors users as well as the control group and the total group.

Neither did we find an association between subtype of DPP-4 inhibitor and glycemic control. The majority of cases were on sitagliptin, with vildagliptin being the second most commonly prescribed DPP-4 inhibitor.

We did analysed the significance of each of the treatment regimens and examined overall significance additionally. However, because of the uneven numbers of subjects in each of the treatment regimen groups, the resultant p-values might potentially false-significance. These are the limitations of our study and in future, it may be best to incorporate a balanced and sufficiently large number of subjects on each of the different combination antidiabetic treatment regimens.

5.2.5.1 Biguanides

All patients in the case and control groups were on metformin (biguanide) treatment.

5.2.5.2 Sulphonylureas

38.8% of the total population of combined cases and controls were on sulphonylurea therapy. 13% of cases were also on SUs (in addition to DPP-4 inhibitors) while 64.7% of controls were on SUs. Upon univariate analysis, SU use was significantly associated with glycemic control only in the combined population of cases and controls (n=662) but this association lost its significance upon multivariate analysis.

5.2.5.3 Thiazolidinediones

Univariate analysis revealed that use of thiazolidinediones was significantly associated with glycemic control in the case group on DPP-4 inhibitors and also in the control group. However, these associations did not persist on multivariate analysis. The very small numbers of patients on TZDs (7.3% of the total population) however bring into question the validity of these statistical results. In order to draw significant conclusions larger and even numbers of patients on individual classes of drugs need to be included in the study population.

5.2.6 Number of antidiabetic agents used: Monotherapy, dual and triple therapy

Univariate analysis revealed that monotherapy was significantly associated with glycemic control in the total group on any oral antidiabetic (except SGLT2 inhibitor) (Table 4.7). However, since only the control group had patients on monotherapy (biguanide), this variable was excluded from further analysis. As very few people were on triple therapy, this variable will also not be discussed further.

5.2.6.1 Dual Therapy

Upon univariate analysis, dual therapy (DPP-4 inhibitor + biguanide) was significantly associated with glycemic control in the case group on DPP-4 inhibitors ($p < 0.25$) (Table 4.3) and also in the total study population on any oral antidiabetic treatment ($p < 0.25$) (Table 4.7). In cases, patients with dual therapy presented as the majority of patients with good DPP-4 inhibitor treatment response. In the overall study population, patients with dual therapy were also formed the majority with good glycemic control. This was expected since 77.6% of study subjects were on dual therapy treatment for T2D. However, multivariate analysis showed that dual therapy was not an associate of DPP-4 inhibitor treatment response, and neither was it an associate of treatment response to any oral antidiabetics (except SGLT2 inhibitor) in the overall population.

5.3 Genetic Variants Associated with DPP-4 Inhibitor Treatment Response

Among 9 SNPs investigated in this study, only WFS1 rs734312 and KCNJ11 rs2285676 were found to be associated with DPP-4 inhibitors treatment response in cases group. No SNPs were found to be associated with oral antidiabetics treatment response in controls group.

KCNJ11 rs2285676 was found to be exclusive for DPP-4 inhibitors treatment response based on these findings; (1) KCNJ11 rs2285676 significant in cases group but not in controls group, (2) KCNJ11 rs2285676 remained significant in study population (cases group in study population), and (3) KCNJ11 rs2285676 Odds Ratio (OR) in cases group (solely DPP-4 inhibitor users) was stronger compared to the OR in study population (combination of DPP-4 inhibitor users and non-users).

5.3.1 Baseline Genotypic Characteristics of Case Population in Response to DPP-4 Inhibitor Treatment

In this study, genotype analyses were used to detect the patients that have a definite good response to DPP-4 inhibitor treatment. This genotype screening was a crucial part in studying a population thoroughly, along with the phenotype screenings that were done earlier. Based on these screening, we detected patients with specific genotypes that will respond positively to DPP-4 inhibitors.

No significant differences were found between each of the DPP-4 rs2970932, rs2268889 and rs1861975 polymorphisms with DPP-4 inhibitors treatment response (Table 4.9). Nevertheless, based on the descriptive results, this study discover the possibilities that patients with DPP4 rs2970932 (genotype CT or TT), rs2268889

(genotype AG), and rs1861975 (genotype AA) polymorphisms had a better response to DPP-4 inhibitors treatment and may benefit greatly from this therapy.

Among the WFS1 gene polymorphisms investigated, rs735312 was the only polymorphism found to be significantly associated with DPP-4 inhibitors treatment response. Patients with WFS1 rs734312 (genotype AG) were found to have the highest good response to DPP-4 inhibitors treatment compared to those with genotype AA and GG, and allele A carriers responded greater to DPP-4 inhibitors treatment than allele G carriers (Table 4.10). WFS1 rs1046320 polymorphism was found not in Hardy-Weinberg equilibrium in the cases group, but vice versa in controls group; since both case-control groups came from the same population, this study concluded that there was the possibility of some technical error happened that lead to this results. Thus, this was considered as the limitation of this study as more strict techniques to be adopted in the case of replicating this study in future. As for WFS1 rs10010131 polymorphism, those with genotype AA and GG responded better to DPP-4 inhibitors treatment compared to those with genotype AG, and allele A carriers responded greatly to DPP-4 inhibitors treatment compared to allele G carriers.

KCNJ11 rs2285676 polymorphism was found to be significantly associated with DPP-4 inhibitors treatment response (Table 4.11). Additionally, patients with genotype CC responded better to DPP-4 inhibitors treatment compared to those with genotype CT and TT, and this study also found that allele C carriers responded greatly to DPP-4 inhibitors treatment compared to allele T carriers. The other two investigated KCNJ11 polymorphisms; rs5218 and rs5210 were not significantly associated with DPP-4 inhibitors treatment response. However, based on the descriptive data, there was a possibility that patients with rs5218 (genotype AG) and rs5219 (genotype AG) may

respond well to DPP-4 inhibitors treatment compared to patients with other genotypes. Thus, this may spark interest of further investigations in future.

5.3.2 Baseline Genotypic Characteristics of Control Population in Response to Oral Antidiabetics (Except DPP-4 Inhibitor) Treatment

Subjects in the controls group were obtained from the same population as cases group, the difference was those in controls group did not use DPP-4 inhibitors as T2D treatment. Nevertheless, this study had not found any investigated polymorphisms that were significance to the oral antidiabetics treatment (Table 4.12-14). The study had come to the possibility that maybe those investigated polymorphisms were only exclusive to DPP-4 inhibitors treatment. Many will argue as these results were expected as these genes were selected based on the DPP-4 inhibitors mechanism of action pathway, thus it was common sense that these results will not be significant in patients that did not use DPP-4 inhibitors. However, we would like to make a point here that these genes (DPP4, WFS1, and KCNJ11) are equally important for sulphonylureas and thiazolidinediones to exert their actions. DPP4 gene (rs12617656, rs4664443, and rs7633162) polymorphisms were found to be associated with T2D in Malaysian population (Ahmed et al., 2016), due to its role in encoding a CD26/DPP4 protein that caused the catalytic degradation of active GLP-1 in glucose homeostasis (Aschner et al., 2006; Deacon & Holst, 2009; D. J. Drucker & Nauck, 2006); which may affect the outcome of sulphonylureas and thiazolidinediones response (Brunham et al., 2007; Fagerholm, Haaparanta, & Scheinin, 2011). Meanwhile, WFS1 gene plays a major role in the secretion of insulin by the human pancreas (Mussig et al., 2010), where the inactivation of β -cell WFS1 disrupts ER homeostasis, resulting in β -cell dysfunction,

and thus contributing poor insulin secretion leading to poor sulphonylurea and thiazolidinedione response (Arora, Mehrotra, & Gulati, 2012; S. G. Fonseca et al., 2005; Shibasaki, Takahashi, Takahashi, & Seino, 2014). A study by Becker et al. (2013) reported that KCNJ11 genes play an important role in encoding proteins of the ATP-sensitive K⁺ channel which is the exact therapeutic target for sulphonylureas (Becker et al., 2013). All of these studies showed that DPP4, WFS1, and KCNJ11 genes are also important for sulphonylureas and thiazolidinediones treatment response.

This study described which genotypes of each studied polymorphisms that responded greatly to oral antidiabetics therapy. Patients with DPP4 rs2970932 (genotype CT), rs2268889 (genotype AG and GG), and rs1861975 (genotype AA and CC) responded greatly to oral antidiabetics treatment compared to those with other genotypes (Table 4.12). As for WFS1 gene, patients with WFS1 rs1046320 (genotype AG and GG), rs734312 (genotype GG), and rs10010131 (genotype AA and AG) responded greatly to oral antidiabetics treatment compared to those with other genotypes (Table 4.13). Finally, for KCNJ11 gene; patients with KCNJ11 rs2285676 (genotype CT), rs5218 (genotype AA and AG), and rs5210 (genotype GG) responded greatly to oral antidiabetics treatment compared to those with other genotypes (Table 4.14). Although these were only descriptive results, we hope that this information may be useful for future references in studies involving genotype characteristics of oral antidiabetics therapies.

5.3.3 Baseline Genotypic Characteristics of Study Population in Response to Oral Antidiabetics (Including DPP-4 Inhibitor) Treatment

This study combined both case and control groups to further analysed the genotype characteristics of the whole study population. Interestingly, this study found that KCNJ11 rs2285676 emerged as the sole polymorphism significantly associated with oral antidiabetics (including DPP-4 inhibitors) treatment response (Table 4.17). Meaning that, KCNJ11 rs2285676 sensitive to the presence of DPP-4 inhibitors users, as previously discussed that this polymorphism was not found to be significant in controls group. Patients with KCNJ11 rs2285676 (genotype CC) responded better to oral antidiabetics (including DPP-4 inhibitors) treatment compared to those with genotype CT and TT, and allele C carriers responded greater than allele T carriers to oral antidiabetics (including DPP-4 inhibitors) treatment.

Descriptively, patients with DPP4 rs2970932 (genotype CT), rs2268889 (genotype AG and GG), and rs1861975 (genotype AA and CC) responded greatly to oral antidiabetics (including DPP-4 inhibitors) treatment compared to those with other genotypes (Table 4.15). As for WFS1 gene, patients with WFS1 rs1046320 (genotype GG), rs734312 (genotype AG), and rs10010131 (genotype AA) responded greatly to oral antidiabetics (including DPP-4 inhibitors) treatment compared to those with other genotypes (Table 4.16). Finally, for KCNJ11 gene; patients with KCNJ11 rs2285676 (genotype CC), rs5218 (genotype AG), and rs5210 (genotype AG and GG) responded greatly to oral antidiabetics (including DPP-4 inhibitors) treatment compared to those with other genotypes (Table 4.17).

By analysing all groups; cases, controls and overall study population, this study had come to a conclusion that KCNJ11 rs2285676 is a strong significant genetic variable for

DPP-4 inhibitors treatment response, thus it need to be analysed thoroughly to confirm its association with DPP-4 inhibitors treatment response.

5.4 Genetic Associations of DPP-4 Inhibitor Treatment Response

Upon univariate analysis, we found that the KCNJ11 rs2285676 (genotype CC) polymorphisms were more prevalent in those with good response to DPP-4 inhibitor therapy in the case group and those with A1c <7% of the entire population on any oral antidiabetic therapy, but not in the control population who were not on DPP-4 inhibitor therapy. Upon univariate analysis, the WFS1 rs734312 polymorphism was also more prevalent in those with good response to DPP-4 inhibitor therapy in the case group but not in the whole group or the control group with A1c <7%. Upon multivariate analysis however only the KCNJ11 rs2285676 (genotype CC) polymorphism was independently predictive of good glycemic control in DPP-4 inhibitor users regardless of ethnicity, anthropometrics, age and duration of antidiabetics and concomitant use of other oral agents used in combination regimens. This suggests that the KCNJ11 rs2285676 (genotype CC) polymorphism might be uniquely relevant to the response to therapy with the DPP-4 inhibitor class rather than to other pharmacological classes such as SUs, TZDs, and biguanides. The control group of patients on non-DPP-4 inhibitor oral therapy serves as a comparator model. The lack of a significant effect of these polymorphisms in the control group underlines the fact that these genes play a unique role in the pharmacogenomics of the DPP-4 inhibitor class of agents in Asian subjects.

To the best of our knowledge, there were no studies that directly assessed KCNJ11 rs2285676 as the predictor of DPP-4 inhibitor treatment response. However, we compared our findings closely to the studies that found an association of KCNJ11

rs2285676 to T2D. Therefore, based on corroborating studies by Haghvirdizadeh et al. in 2015, where KCNJ11 rs2285676 polymorphism was identified as common a KCNJ11 polymorphism associated with diabetes (Haghvirdizadeh et al., 2015), and KCNJ11 rs2285676 was also reported to be associated with T2D in Chinese Han population (Liu et al., 2006); our finding that KCNJ11 rs2285676 polymorphism is an independent associate of DPP-4 inhibitor treatment response in Asian patients with T2D was a plausible and reasonable one that is consistent with what exists in the published literature. We were unable to compare the inconsistency of our findings due the fact there were no similar published studies. Neither are there any published reports as to the mechanism of the impact of this particular KCNJ11 rs2285676 gene polymorphism on risk of diabetes, although presumably as with the other KCNJ11 polymorphisms, it impacts on glucose stimulated insulin secretion via alterations in the activity and function of the KATP channel on the β -cell. KCNJ11 gene is important in insulin secretion where the gene encoded the Kir6.2 protein that coupled with SUR1 protein in the KATP channel that mediates insulin secretion from the β -cell into the circulation (Miki & Seino, 2005), triggered by elevated blood glucose levels that generate the ATP efflux (Haghvirdizadeh et al., 2015). Therefore, the mutations in the KCNJ11 gene can cause an impact on β -cell function where it caused reduced ability of the ATP thus resulting to 'overactive' channels that may decreased the pancreatic β -cell membrane excitability resulting to reduced insulin secretion (Cartier et al., 2001).

5.5 Effect of Gene-gene Interactions (Linkage Disequilibrium)

Haploview 4.2 software (J. C. Barrett et al., 2005) was used in this study to investigate the interactions between the DPP4, WFS1, and KCNJ11 polymorphisms.

SNPs can alter the risk of a disease occurrence, either alone or in linkage disequilibrium within a gene or with neighboring genes (Haghvirdizadeh et al., 2015). Linkage disequilibrium (LD) is defined as the non-random association of alleles at different sites either at two or more loci (Slatkin, 2008), as the alleles in LD are tightly bound together and more likely found together in a population (Zdanowicz, 2010). Linkage disequilibrium is important in this study as it was used to survey the polymorphisms to associate a phenotype, which in this study; DPP-4 inhibitor treatment response.

For DPP4 gene polymorphisms investigated, linkage disequilibrium showed that the alleles in each of these DPP4 polymorphisms were not tightly linked together and they were not likely to be found together in the population studied. This can be explained theoretically by the recombination process that occurs during meiosis, where genetic materials were exchanged between a pair of homologous chromosome. Theoretically, alleles that are closed to each other on a chromosome are more likely to be passed along together. However, in this study, the alleles of these DPP4 polymorphisms were far apart thus were more likely to be regrouped during the recombination process (Zdanowicz, 2010). The impact of recombination process on single DPP4 gene polymorphism will be caused the next generation to have four haplotypes thus, confirming DPP4 gene diversity in the study population (Ahmed et al., 2016).

For WFS1 gene polymorphisms in cases group, WFS1 rs1046320 was excluded from the linkage disequilibrium analysis because this polymorphism had an allele frequency of less than 0.05 in the case population but vice versa in control population. Linkage disequilibrium in both cases and controls group regardless the outcome of antidiabetics treatment response showed that the alleles in each of these WFS1 polymorphisms were far from each other and they were not likely to be found together in the population studied.

The linkage disequilibrium in all of the KCNJ11 gene polymorphisms in both cases and controls were weak indicated that the alleles in each of these DPP4 polymorphisms were not closely linked together and they were not likely to be found together in the population studied. The correlation between these KCNJ11 gene polymorphisms was also weak showing that the interaction between these polymorphisms does not contribute much to the effect (treatment response) studied. In overall, since the linkage disequilibrium of all of the SNPs of the genes studied were weak, we concluded the possibility of the study population had achieved diversity via recombination process during meiosis that occurred throughout generations. However, many intensive studies are required to prove this hypothesis. The correlations between each of the gene polymorphisms studied were also weak indicating that antidiabetics treatment response were not affected by the interaction between the polymorphisms of these three genes.

The strength of LD become the limitation of this study where a polymorphism in a complete LD ($D'=1.0$) yields a relative risk of 2.5, but as the D' reduces to 0.5, the detectable relative risk will be only at 1.6, concluding that the weaker the LD, the smaller the relative risk and the more difficult to detect the association of the polymorphisms to treatment response, unless the sample size was increased proportionately (J.J. McCarthy, 2002). We already increased our sample size initially from 262 to 331 (Section 3.2.1.1) to minimise this problem. However, in future a larger sample size may be used to replicate the study in order to yield better LD results.

Our findings suggested that these regions do indeed harbour DPP-4 inhibitor-treatment-response-susceptibility loci. Although all of the LOD value is less than 3, we believe that the weight of evidence for linkage to the chromosome 2q24.3, 4p16.1 and 11p15.1 is sufficient to sparks further intensive investigation of these regions regarding their associations to the DPP-4 inhibitor treatment response, based on linkage

disequilibrium. In future, we hope that such studies will be able to identify the mutations that have a direct effect on susceptibility to DPP-4 inhibitor treatment response.

5.6 Effect of Haplotypes Interaction

In this study, haplotype analysis was used to investigate the genetic interaction between haplotype within the same chromosome for an effect, which was the response to DPP-4 inhibitors treatment in cases group and response to other oral antidiabetics in controls group.

This study found that the interaction between haplotype GG and AA of the WFS1 rs734312 polymorphism was more likely to have an effect on DPP-4 inhibitor treatment response. The study also found that the interaction between haplotype CT and TT of KCNJ11 rs2285676 was more likely to have an effect on DPP-4 inhibitor treatment response. The interaction between other haplotypes of the genes polymorphisms studied was found to have no effect on antidiabetics treatment response in both cases and controls groups. To the best of our knowledge, there were no studies reporting on the haplotype interactions of the WFS1 rs734312 and KCNJ11 rs2285676 relating to the effect on DPP-4 inhibitor treatment response. Thus, we were unable to make a comparison of our findings with other similar studies. Nevertheless, since both; WFS1 rs734312 and KCNJ11 rs2285676 were associated with T2D (Batool et al., 2014; Cheurfa et al., 2011; T. Kawamoto et al., 2004; Liu et al., 2006), our findings were at least relevant to the consistency of these genes being associated with T2D, thus the haplotype interactions of both genes associated with the response of DPP-4 inhibitors in treating T2D was reasonable.

In overall, the results demonstrated that, by considering the interactions between haplotypes of the disease genes potentially related to DPP-4 inhibitor treatment response, we may succeed in identifying the predisposing-treatment-response disease-genetic variants that might otherwise have remained undetected. The discovery of haplotype interactions effects in this section is in-line with our early findings that WFS1 rs734312 (Table 4.10) and KCNJ11 rs2285676 (Table 4.11) polymorphisms were associated with DPP-4 inhibitors treatment response.

5.7 Gene Expression and Treatment Response

The expression of DPP4 gene in the case subjects group was suppressed contrary to the DPP4 gene expression in controls group when compared with each reference DPP4 genes. Since the DPP-4 enzyme is encoded by the DPP4 gene (Kameoka et al., 1993), and in our result; the DPP4 gene showed a reduced expression in the presence of DPP-4 inhibition, the DPP-4 inhibitor is suggested to potentially have the influence on DPP4 gene expression through the inhibition of the DPP-4 enzyme. Supporting our findings, we observed 3.9-fold increment in relative expression of DPP4 gene without the presence of DPP-4 inhibitors (Figure 4.14). Additionally, the specific quantity of DPP4 gene amplified was highly reduced (28.81 ± 7.76) in case of subjects group compared to the controls group (4313.20 ± 5072.81) (Table 4.34). DPP4 gene is expressed most prominently in small intestine (The Human Protein Atlas (THPA, 2016a)), which is the location of intestinal L cells (D. J. Drucker & Nauck, 2006) in releasing GLP-1 into the gastrointestinal circulation (Müssig et al., 2010); in order to initiate insulin production in the insertion pathway, in response to ingestion of food (D. J. Drucker & Nauck, 2006), thus the downregulated DPP4 expression of our case subjects group suggested

that the DPP-4 inhibitors effectively exerting its effect by inhibiting the DPP-4 enzyme (Lacy, 2009). Several studies reported that the widely used antidiabetics such as metformin (Green, 2007; Lenhard, Croom, & Minnick, 2004; Lindsay et al., 2005) and pioglitazone (Lenhard et al., 2004), reduced the circulating levels of DPP4 activity *in vivo* (Kirino et al., 2009). However, a study by Pala et al. (2012) measured the DPP4 activity and mRNA expression in cultured human aortic endothelial cells (HAEC) and human microvascular dermal endothelial cells (HMVEC) treated with high glucose, metformin and rosiglitazone, had found that hyperglycemia increased the DPP4 activity in microvascular endothelial cells; suggesting that DPP4 activity increased at specific site (Pala et al., 2012). In the current study, we failed to find the significant difference between the DPP4 expressions and DPP-4 inhibitor treatment response. However, we observed downregulated DPP4 expression subjects had poor DPP-4 inhibitors treatment response in both cases and controls (66.8% and 16.7%, respectively) (Table 4.33).

As for WFS1 gene, we observed a reduced expression in case subjects group and increased expression in controls group, as compared to the reference WFS1 gene (Figure 4.14). Absolute quantification showed that the WFS1 gene quantity amplified in cases group (131.07 ± 80.20) were lower than the controls group (1199.32 ± 1058.38) (Table 4.34). Although the expected WFS1 expression in case subjects group is to be higher than the reference WFS1 gene; since DPP-4 inhibitors inhibit DPP-4 enzyme resulting to increase production of insulin by WFS1 gene in the endoplasmic reticulum, the WFS1 expression at least was higher than the DPP4 expression in the case subjects group (Figure 4.14). Nevertheless, we observed 2.9-fold increment in relative expression of WFS1 gene without the presence of DPP-4 inhibitors (Figure 4.14). WFS1 gene is highly expressed in pancreatic β -cells, renal tubules, trophoblast, cells in ductus seminiferous and purkinje cells (THPA, 2016b). In pancreatic β -cells, the WFS1

gene expression is regulated by inositol-requiring 1 (IRE1) and PKR-like endoplasmic reticulum (ER) kinase (PERK), which are the central regulators of the unfolded protein (such as proinsulin) response (S. G. Fonseca et al., 2005). IRE1 is a sensor for the unfolded and misfolded proteins in ER, which is also a central regulator of the unfolded protein response (UPR) (Yani Chen & Brandizzi, 2013; Iwawaki, Akai, Yamanaka, & Kohno, 2009). While PERK is also a sensor of unfolded or misfolded proteins and is highly expressed in pancreatic β -cells (Herbert, 2007; Osowski & Urano, 2011). In normal condition, WFS1 is upregulated during insulin secretion (S. G. Fonseca et al., 2005). However, since our sampling were fasting blood glucose, we may conclude that the reason for our downregulated WFS1 expression in cases subjects group is probably due to the absence of food in the gastrointestinal tract hence low insulin production by the pancreatic β -cells. Supporting our theory, DPP-4 inhibitors are activated by the ingestion of meal (Lacy, 2009), and insulin is released in a biphasic manner; which is in a rapid transient first phase followed by a slowly developed but sustained phase (Rosengren et al., 2012), thus in relevant with our findings. WFS1 mRNA expression is regulated by a 500-base-pair promoter region located at its transcriptional start point (Kakiuchi et al., 2009). Based on this fact, many studies have been conducted to determine whether this WFS1 promoter can be activated by specific drugs (Kakiuchi et al., 2009; Punapart et al., 2014). For instance, Kakiuchi et al. (2009) investigated the associations of valproate to WFS1 expression in bipolar disorder, had found that valproate increases WFS1 expression levels in a dose-dependent pattern (Kakiuchi et al., 2009). Interestingly, the therapeutic concentrations of valproate induce WFS1 mRNA expression and activate the WFS1 promoter, in which valproate dose-dependently intensify the separation of WFS1 from GRP94 (an ER stress-response protein complex) (Kakiuchi et al., 2009). Meanwhile, Punapart et al. (2014) found that the regulation of peroxisome proliferator-activated receptor delta (Ppard) by valproic

acid is dependent on WFS1 genotype (Punapart et al., 2014). In our study, no significant relationship was observed between the WFS1 expression with DPP-4 inhibitors treatment response ($p=0.240$), thus further analysis unable to be ruled out.

KCNJ11 is largely known as the component of the ATP-sensitive potassium (KATP) channel for many years, and the SNPs reported to be associated with increased risk of T2D (Qiu et al., 2014; Sokolova et al., 2015). However, the KCNJ11 expression patterns within the human pancreatic islets are not known although, in previous report (Kirkpatrick et al., 2010), KCNJ11 has been shown to be enriched in alpha cells in rat pancreatic islets (Franklin, Gromada, Gjinovci, Theander, & Wollheim, 2005). Nevertheless, the latest study by Kirkpatrick et al. in 2010 found that KCNJ11 is enriched in human pancreatic β -cells relative to alpha cells (Kirkpatrick et al., 2010). Our results showed that KCNJ11 gene expression was slightly suppressed in the presence of DPP-4 inhibitors, as we observed 1.5-fold increment in relative expression of this gene in controls group (Figure 4.14). Additionally, the number of KCNJ11 gene amplified in the case subjects group (879.50 ± 1305.90) was higher than the controls group (323.13 ± 178.26) (Table 4.34). Theoretically, KCNJ11 gene expression should be upregulated since DPP-4 inhibitors inhibit the DPP-4 enzyme, thus through cascades of actions in the incretin pathway; which excites the KCNJ11 gene to releases insulin via KATP channel (Miki & Seino, 2005). However, our results showed that KCNJ11 gene had the highest relative expression than DPP4 and WFS1 gene (as compared to each reference genes) in the presence of DPP-4 inhibitors, suggesting that DPP-4 inhibitors potentially affecting the expression of KCNJ11 gene through the incretin pathway (Jamaluddin et al., 2014). A study by Schwanstecher, Meyer & Schwanstecher (2002) found that E23K alters the KATP channel function by inducing spontaneous overactivity of pancreatic β -cells, thus increasing the threshold ATP concentration for

insulin release into circulation (Schwanstecher, Meyer, & Schwanstecher, 2002). Florez et al. (2007) investigated the effect of genotype on insulin secretion and insulin sensitivity at 1 year in 3,534 participants with impaired glucose tolerance (IGT), had found that lysine variant in KCNJ11 E23K were less protected by 1-year metformin treatment than E/E homozygotes ($p < 0.02$), suggesting the lysine variant causing decreased in insulin secretion in subjects with IGT (Florez et al., 2007). These reports suggest that the presence of diabetes risk allele in the KCNJ11 SNPs may alter the insulin secretion pattern (Florez et al., 2007; Schwanstecher et al., 2002), and explained the downregulated KCNJ11 expression we found in our case subjects group.

Understanding the DPP4, WFS1 and KCNJ11 gene expression in the incretin pathway might be of importance in treating T2D with DPP-4 inhibitors and may provide additional targets for therapy intervention. We consider that our analysis of mRNA expression of T2D susceptibility genes (DPP4, WFS1, and KCNJ11) in a cohort of patients prescribed with DPP-4 inhibitors, has revealed interesting patterns of genes expression between DPP-4 inhibitor users and other antidiabetic users, which may add points on the mechanisms of action of T2D susceptibility genes. To what extent the effects of these genes expressions on the DPP-4 inhibitors treatment responses may require further intensive investigation.

Limitations of study may include the inability to avoid the high amplification frequency of the standard curve for WFS1 and KCNJ11 genes. Reasons for high amplification frequency may be due to the poor pipetting technique and poor samples assembling technique onto the MicroAmp[®] Fast 96-Wells Reaction Plate (ABI, 2010).

5.8 Summary of Association Models Incorporating Clinical and Genetic Markers that Associate to DPP-4 Inhibitor Treatment Response.

5.8.1 MODEL 1 : Incorporating Significant Variables on Comparison of Subjects on DPP-4 Inhibitor Therapy with Optimal Glycemic Control (A1c <7%) versus Suboptimal Control (A1c \geq 7%)

Model 1 generated from regression analysis showed that patients with triglycerides less than 1.7 mmol/L were 2.4 times more likely to respond to DPP-4 inhibitor treatment compared to other patients. The model also showed that patients with KCNJ11 rs2285676 (genotype CC) were 2 times more likely to respond to DPP-4 inhibitor treatment compared to those without the polymorphism. Similar findings were found with stepwise regression analysis along with stronger significance for both variables. These findings can be used to select patients best suited for DPP-4 inhibitors therapies or it can be used in selecting best T2D treatment exclusively for a patient of known triglycerides level and KCNJ11 polymorphism. Thus, the use of Model 1 to associate the outcome of a DPP-4 inhibitor therapy may possibly change the usual practice of selecting drug therapy and may serve as important key aspects to improve T2D management in future.

5.8.2 MODEL 2 : Incorporating Significantly Differing Variables in Those with A1c <7% Compared With A1c \geq 7% in All 662 Patients with Type 2 Diabetes on Oral Antidiabetic Therapy (Excluding SGLT2 Inhibitors)

Model 2 generated from regression analysis showed that patients with age less than 65 years old were 1.9 times more likely more likely to respond to oral antidiabetic treatment compared to other patients. The model also showed that patients with KCNJ11 rs2285676 (genotype CC) were 1.9 times more likely to respond to oral antidiabetic treatment compared to those without the polymorphism. Further refinement of Model 2 by using Stepwise regression analysis had found additional associative variables apart from those found in earlier regression analysis including that the patients with the duration of T2D of less than 10 years were 1.6 times more likely to respond to oral antidiabetics treatment compared to other patients. These findings may aid in predicting response to oral antidiabetic therapies providing that the information on patient's age, duration of T2D and KCNJ11 polymorphisms were known before initiating any T2D treatments.

Interestingly, this study had found few variables that were trending towards significance and might be considered as potential associations for oral antidiabetics treatment response. Those variables included patients with triglyceride levels less than 1.7 mmol/L were 1.5 times more likely to respond to antidiabetics treatment compared to other patients, and patients with the HOMAIR levels of less than 3.875 were 2 times more likely to respond to antidiabetics treatment compared to other patients. This model also found that patients with LDL cholesterol of less than 2.59 mmol/L were 0.7 times less likely to respond to antidiabetic treatment compared to other patients. Although these variables were only near to significance, it was also good to know the possibilities of HOMAIR, triglycerides and LDL cholesterol of being the predictor for oral

antidiabetics treatment response. Maybe in future, all of these variables can be taken into consideration whenever a decision need to be made in selecting a perfect T2D treatment for a specific type of patient especially when good glycemic control is highly desirable for a given small time frame that requires no room for treatment failure. Simple example as preparing patient with T2D for a major surgery or a radiation therapy, the glycemic control preferably must be on-point before the procedures so that any post-procedure complications relating to glycemic levels may be avoided.

Stepwise regression analysis enables this study to detect of which antidiabetic treatment regimes that have the propensity of resulting poor glycemic control to patients with T2D. Those treatment regimes were the combination therapy of DPP-4 inhibitor + biguanide + thiazolidinedione, biguanide alone, biguanide + sulphonylurea, and biguanide + thiazolidinedione therapies; which means that other antidiabetic treatment regimes were better choices to aim for a good glycemic control. However, more thorough investigations were needed to further evaluate these findings.

5.8.3 MODEL 3 : Incorporating Significant Associations on Univariate Analysis of Good Glycemic Control in Patients Not on DPP-4 Inhibitor Therapy

Model 3 did not found any significant clinical associations of oral antidiabetics treatment response in patients that did not use DPP-4 inhibitors. However, the model did show that patient who did not receive DPP-4 inhibitors were 0.1 times less likely to have good glycemic control on biguanide + sulphonylurea + thiazolidinedione therapy compared to other patients, which means the possibility of the presence of DPP-4 in antidiabetic treatment may resulted to better glycemic control. However, in cases group,

this study had not obtained subjects undergoing quadruple T2D therapy involving DPP-4 inhibitor + biguanide + sulphonylurea + thiazolidinedione, thus any conclusion regarding this matter cannot be made. Nevertheless, based on this study current findings, there was still a possibility that adding DPP-4 inhibitor to antidiabetic treatment may make a difference to glycemic control. Further refinement of Model 3 using Stepwise regression analysis had also lead to the same conclusion with even stronger odds ratio indicating that the combination of biguanide + sulphonylurea + thiazolidinedione was definitely a poor choice of treatment to treat patients with T2D with the similar characteristic as described in our control group. However, in future, more depth investigation may be required to further confirm these findings.

5.8.4 MODEL 4 : Incorporating Significantly Different Variables in the Case Group on DPP-4 Inhibitor Therapy Compared with Non-DPP-4 Inhibitor Therapy Group

Model 4 was a special model in this study because we incorporated the significantly different variables in the case group on DPP-4 inhibitor therapy compared with non-DPP-4 inhibitor therapy group so that we can see the difference between the case and control groups thoroughly. Model 4 showed that patients aged less than 65 years old were 2.2 times more likely to respond to DPP-4 inhibitor treatment compared to other patients. The model also showed that patients with triglyceride levels less than 1.7 mmol/L were 2.2 times more likely to respond to DPP-4 inhibitor treatment compared to other patients. Although the p-value was trending to significance, this study would like to make a point here that model showed that patients with KCNJ11 rs2285676 (genotype CC) were 1.9 times more likely to respond to DPP-4 inhibitor treatment

compared to other patients. Nevertheless, further refinement of the Model 4 (by Stepwise regression analysis) had shown that patients with KCNJ11 rs2285676 (genotype CC) were a valid association variable for DPP-4 inhibitor treatment response as it was presented with significance ($p < 0.05$). Age less than 65 years old and triglyceride levels less than 1.7 mmol/L were also maintained as the association variables for DPP-4 inhibitor treatment response. Interestingly, duration of T2D of less than 10 years emerged as one of the association variables in the new refined Model 4 inversely with our previous findings in Model 1 that only triglycerides levels and KCNJ11 rs2285676 (genotype CC) were the sole association variables for DPP-4 inhibitors. The effect of duration of T2D on antidiabetics treatment response may be exclusive to DPP-4 inhibitors users as this variable was not found to be a significant predictor variable in controls group. However, further investigation regarding this matter may be required in future.

5.8.5 Comparison Between Association Models

Triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were strongly found to be associated with DPP-4 inhibitors treatment response. In comparison between case and control groups, triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were only significant in the case group, vice versa for the control group (Table 4.49).

In the association model for the whole study population, triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were still significant associations of oral antidiabetics treatment response, with additional age less than 65 years old and duration of T2D less than 10 years as another significant predictors. When study

excluded controls from the study population but uses the same significant variables to construct the DPP-4 inhibitor treatment response association model, triglycerides less than 1.7 mmol/L, KCNJ11 rs2285676 (genotype CC), age less than 65 years old and duration of T2D less than 10 years still remained as the significant associations of DPP-4 inhibitor treatment response.

Study progressed into the more strict selection of variables for DPP-4 inhibitor treatment response association model, where the variables were chosen were only significant in case group. Hence, triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) emerged as stronger associations (with higher OR) for DPP-4 inhibitor treatment response compared to other association models (Table 4.49).

The study concluded that the association variables; triglycerides less than 1.7 mmol/L and KCNJ11 rs2285676 (genotype CC) were exclusive for DPP-4 inhibitor treatment response. Our findings in-line with many studies worldwide (Barros et al., 2014; Derosa et al., 2014; Kern et al., 2012; Kubota et al., 2012; Macauley et al., 2015; Matikainen et al., 2006; McGill, 2012; Sakamoto et al., 2007; Sakamoto et al., 2013; M. Tanaka et al., 2016; Tremblay et al., 2014), that had found the triglyceride-lowering effect of DPP-4 inhibitor thus, we hope that in future, the triglycerides levels may serve as an important factor to consider while deciding DPP-4 inhibitor therapy to treat patients with T2D. Although there was no study yet claiming the effect of KCNJ11 rs2285676 (genotype CC) on DPP-4 inhibitor treatment response, we would like to make a point that KCNJ11 rs2285676 polymorphism was previously identified as one of the common KCNJ11 polymorphisms associated with diabetes (Haghvirdizadeh et al., 2015) and was also reported to be associated with T2D in Chinese Han population (Liu et al., 2006); and obtaining this same polymorphism with strong significance in our study making it a valid argument that this polymorphism is associated with DPP-4

inhibitor treatment response and the way this study found that KCNJ11 rs2285676 (genotype CC) may lead to good response to DPP-4 inhibitor; was definitely fascinating and might sparks interest in the realm of clinical practice in refining criteria of choosing the best T2D treatment for this specific type of patient. Nevertheless, replication studies in a controlled environment (for example; randomized controlled trial) may be required in future to refine this finding.

5.9 Overall Findings

This study had found the association of lipid profiles to oral antidiabetics therapy. Triglycerides were found to be associated with DPP-4 inhibitors treatment response consistent with the findings of other recent studies (as described in section 5.2.3.2). Meanwhile, LDL cholesterol was found to be associated with other oral antidiabetics treatment response. Patients with T2D may benefit with good DPP-4 inhibitors treatment response if their triglycerides level are less than 1.7 mmol/L.

In terms of genetic point of view, among all gene polymorphisms studied, WFS1 rs734312 (Table 4.10) and KCNJ11 rs2285676 (Table 4.11) were found to be associated with DPP-4 inhibitors treatment response. However, since WFS1 rs734312 was eliminated in association model (Table 4.42), this gene will not be discussed in this section. Focusing on KCNJ11 rs2285676, further analysis (Table 4.20) showed that the interaction between CT and TT was 1.1 times more likely to have an effect on DPP-4 inhibitor treatment response. The more discrete analysis in the association model (Table 4.42) showed that haplotype CC of the KCNJ11 rs2285676 reigned as the causative variable that caused good DPP-4 inhibitor treatment response. This concluded to the highest possibility that the allele C in KCNJ11 rs2285676 is associated with good DPP-

4 inhibitor treatment response consistent with our preliminary findings (Table 4.11) that majority of subjects with allele C presented with good DPP-4 inhibitor treatment response ($A1c < 7\%$) as compared to allele T. In future, more intensive study is required to investigate the therapeutic effects of DPP-4 inhibitors to C allele carriers of the KCNJ11 rs2285676 polymorphism.

A cross sectional study main and primarily usefulness is for the purposes of hypotheses generation. This will enable better design and planning of a genuine prospective and predictive study, with multiple time points. This is done in the clinical development of all drugs, where genetic and other measurements are done at the beginning of a prospective study, and then analysis is conducted after the completion of a blinded randomised (and often placebo controlled) study with the drug. However, the pharma companies use of such data is restricted to marketing purposes only and not for other important scientific uses. Thus, the study in this thesis is important and useful in identifying new clinical and genetic factors, where the significance remains unknown (and unproven in prediction) still.

5.10 Strengths and Limitations of Study

This study has several limitations. The majority of the study's subjects had a shorter duration of T2D of less than 10 years, as a result the study's findings might not be relevant to patients with longer duration of diabetes or even with comorbidities and diabetes-related complications other than dyslipidemia, hypertension, and peripheral neuropathy. Nevertheless, we stand firm with the genetic association variable as it is definite and will not change in any circumstances, thus remaining as a strong associate of DPP-4 inhibitors treatment response.

Relatively few published reports were available for KCNJ11 rs2285676 in diabetes, let alone its associations with DPP-4 inhibitor treatment response, thereby raising the question of the reliability of our findings. However, we overcame that by carrying out intensive genomic analyses to make sure that our findings are accurate. The novelty of our study findings is the strength of our study. The fact that our subjects are Asian is also a strength because of the scarcity of pharmacogenomic studies in Asian subjects, in particular Malay ethnic descent subjects. Asian subjects with diabetes are widely believed to have a different phenotype of diabetes characterized by greater visceral adiposity at a lower BMI/waist circumference, greater insulin resistance (secondary to higher levels of inflammation/free fatty acids) and greater β -cell secretory dysfunction. Our work adds to the scant body of literature on Asian pharmacogenomics, and may lead to the delineation of an Asian diabetes genotype (Y. G. Kim et al., 2013; King et al., 2012; Yoon et al., 2006).

The cross-sectional design of this study is a limitation. Most researchers will argue that baseline A1c and reduction of A1c after treatment should have been considered. The statistical significance for reduction of A1c or change in A1c should be calculated and included as part of an additive genetic model adjusted for baseline A1c, thus demonstrating the expected value of higher reduction for each variable; for example C-allele of rs2285676. However, in our study, A1c measurement was only taken once as all of the data were based on a single cross-sectional sample. However, study inclusion criteria did specify that all subjects should have been on antidiabetics (including DPP-4 inhibitors) for at least 3 months in order to reflect the change in A1c caused by the antidiabetics taken. The ideal study design would be a prospective clinical trial wherein the study design provides control over treatment dose, therapy indication, the timing of treatment, and takes into account many other possible confounders we could not

consider such as adherence and lifestyle measures to treat diabetes such as diet and exercise (J. J. McCarthy, 2003).

DPP-4 inhibitors improve glycemia by increasing insulin secretion and suppressing glucagon. Therefore another limitation of our study is that we did not evaluate insulin either as HOMA2-%B or insulin secretion secondary to dynamic stimulation with oral or IV glucose. Neither did we evaluate glucagon suppression by DPP-4 inhibitors as a predictive factor for treatment response. Many studies have found that DPP-4 inhibitors significantly improve β -cell function (Riche, East, & Riche, 2009), thus, since β -cell dysfunction is a major component of the pathophysiology of T2D in Asian populations, this is a significant limitation (Y. A. Kim, 2015)

There was quite a high rate of non-participation (331 patients out of an initial sample size of 1,306 patients on DPP-4 inhibitor therapy, eg., n=281 medical record not available; n=256 did not agree to participate). Since we were using the HIS system, the numbers of diabetes patients generated by the keyword 'diabetes mellitus' was high (1,306 patients) as it generated the whole diabetes patients RN numbers registered in the HIS system itself. That is the reason of the high in number of potential subjects. As the Diabetes Clinic operates for the average of 50 patients per clinic day, the number n=256 of 'did not agree to participate' is justified as data collections were done in few months time. As for the unavailability of the medical records (n=281), that just represent a small percentage of the potential subjects which is 21.5%, and it is also common for the potential subjects to have appointments at clinics other than Diabetes Clinic that made their medical records unavailable at the UMMC's Patient Information Department. This was a significant limitation of the study.

Another limitation of this study was the exclusion of DPP-4 inhibitor dose as a

variable as the variability of the multiple doses of the different types of DPP-4 inhibitors utilized by study subjects would result in a very small sample size for each of the dose categories and thus insignificant statistical results. Larger sample size population would have been preferable in order to consider DPP-4 inhibitor dose as a variable. The unequal ethnic distribution is also a limitation and prevented us from exploring genetic differences linked to ethnicity. There were less participants of Chinese descent and even fewer Indians recruited, as the majority population in Malaysia is Malay. Future directions of our work should include a prospective trial design with a large sample size and inclusion of equal numbers of patients from all 3 ethnic groups. The prospective trial design should include the DPP-4 inhibitor dose as one of the variables for the predictors of DPP-4 inhibitors treatment response, as well as baseline A1c and change in A1c. Further studies should also be conducted to determine the impact of the KCNJ11 rs2285676 polymorphism in terms of b-cell secretory dysfunction with evaluation of insulin secretion with indexes such as HOMA B, the insulinogenic index derived from the OGTT as well as the intravenous glucose tolerance test.

CHAPTER 6: CONCLUSION

This cross-sectional observational study investigated the association between 9 genetic polymorphisms in 3 selected genes involved in the incretin and insulin secretion pathway i.e. the DPP4 gene, WFS1 gene and KCNJ11 gene; with DPP-4 inhibitor treatment response in patients with T2D, in the Malaysian population. We achieved our study objectives : (i) to identify the clinical, and and genetic markers associated with DPP-4 inhibitor treatment response, (ii) to investigate the association of gene expression levels with DPP-4 inhibitor treatment response, and finally, (iii) to develop a model incorporating clinical and genetic markers that associated with DPP-4 inhibitor treatment response. Initial univariate analysis found that waist circumference, triple therapy incorporating DPP-4 inhibitors, biguanides and sulphonylureas, dual therapy with DPP-4 inhibitors and biguanides, serum triglyceride, serum LDL cholesterol, serum AST, KCNJ11 rs2285676 polymorphism and WFS1 rs734312 polymorphism were associated with A1c <7% in subjects on DPP-4 inhibitor therapy. However upon multivariate analysis of the case group on DPP-4 inhibitor therapy, only a lower triglyceride level and KCNJ11 rs2285676 polymorphism (genotype CC) were associated with A1c <7%. Importantly, these variables were independently associated with optimal glycemic control after adjustment for age, duration of diabetes, BMI, HOMAIR and use of oral antidiabetic drug combination therapy regimens and classes such as sulphonylureas, biguanides and thiazolidinediones. The low triglyceride level in this cross-sectional study associated with A1c <7% in case subjects on DPP-4 inhibitors however is more likely to be an outcome of DPP-4 inhibitor treatment and not a predictor of response given the fact that DPP-4 inhibitors are known to lower triglyceride levels. In contrast, in the control group on non-DPP-4 inhibitor oral antidiabetic therapy, none of the 9 SNPs tested for were predictive of good glycemic

control (A1c <7%). The lack of associative value KCNJ11 rs2285676 polymorphism (genotype CC) in the control group implies its as an associate of response to DPP-4 inhibitors rather than other oral antidiabetics/insulin secretagogues. However, we found no significant relationships between the DPP4, WFS1 and KCNJ11 expression with DPP-4 inhibitor treatment response. Our association model that found that that patients with triglyceride concentrations less than 1.7 mmol/L were 2.4 times more likely to respond to DPP-4 inhibitor treatment compared to other patients, and patients with KCNJ11 rs2285676 (genotype CC) polymorphism were 2.0 times more likely to respond to DPP-4 inhibitor treatment. This work sheds light on the relatively unexplored field of Asian pharmacogenomics, in particular treatment response to a recent addition to the pharmacological armamentarium of T2D, i.e. DPP-4 inhibitors. It is necessary to design prospective trials that examine the impact of these polymorphisms on changes from baseline A1c in a larger sample size with equal numbers of the 3 ethnicities that comprise the Malaysian population. The pathophysiological impact of this polymorphism on insulin secretion should also be evaluated with the use of indices such as the 30 minutes insulinogenic index (derived from the oral glucose tolerance test) and first phase insulin secretion (derived from the intravenous glucose tolerance test). Confirmation of these preliminary findings might contribute towards the achieving the goal of truly individualized pharmacotherapy in T2D based on gene profiling. We hope findings of this study may trigger more research regarding the impact of KCNJ11 rs2285676 gene polymorphism in diabetes, especially as an associate of DPP-4 inhibitor treatment response; perhaps in a better study design like a prospective controlled trial.

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LIST OF PUBLICATIONS AND PAPERS PRESENTED

Publications

1. Jamaluddin JL, Huri HZ, Vethakkhan SR, Mustafa N. (2014). Pancreatic gene variants potentially associated with dipeptidyl peptidase-4 inhibitor treatment response in Type 2 diabetes. *Pharmacogenomics*. 15 (2), 1-15. (ISSN 1462-2416).
2. Jamaluddin JL, Huri HZ, Vethakkhan SR. (2016). Clinical and genetic predictors of dipeptidyl peptidase-4 inhibitor treatment response in Type 2 diabetes. *Pharmacogenomics*. 17(8), 867-881. (ISSN 1462-2416).

Papers presentations

1. Jamaluddin JL, Huri HZ, Vethakkhan SR, Mustafa N, Ahmad WAW. (2015). Pathway mechanism of potential genomic markers of dipeptidyl peptidase-4 inhibitor treatment response in Type 2 diabetes. *Public Health Genomics*. 18(Suppl.1):151 (DOI: 10.1159/000381430) - presented at **Golden Helix Symposia 2015** from 11th-13th March 2015 at **Kuala Lumpur, Malaysia**.
2. Jamaluddin JL, Huri HZ, Vethakkhan SR, Mustafa N. (2015). The association of WFS1 gene polymorphisms with the DPP-4 inhibitors treatment response in Type 2 diabetes. *J Diabetes Metab*. 6:10 (ISSN 2155-6156) - presented at **8th Euro Global Diabetes Summit and Medicare Expo** from 3rd-5th November 2015 at **Valencia, Spain**.

Award

1. Best Poster Award at the 8th Euro Global Diabetes Summit and Medicare Expo held on November 3-5, 2015 in Valencia, Spain.

National Bulletin

1. Jazlina JL. Pharmacogenomics in determining drug response. *Malaysian Adverse Drug Reactions Advisory Committee (MADRAC) Newsletter April 2016*. National Pharmaceutical Regulatory Agency (NPRA), Ministry of Health Malaysia - *MADRAC Newsletter April 2016* is available at: http://npra.moh.gov.my/images/Publications/Newsletter_MADRAC_Bulletin/Bulletin_MADRAC_April_2016.pdf